# QoS-Based Concurrency Control on Multimedia Objects \*

5L - 01

Naokazu Nemoto, Katsuya Tanaka and Makoto Takizawa <sup>†</sup> Tokyo Denki University <sup>‡</sup>

Email: {nemoto, katsu, taki}@takilab.k.dendai.ac.jp

### 1 Introduction

It is critical for applications to obtain enough quality of service (QoS) from multimedia objects. Not only states but also QoS of objects are changed by performing methods on the objects. Each object is required to be consistent in presence of multiple transactions issuing requests to the objects. We discuss new types of equivalent and conflicting relations among methods with respect to QoS. We introduce two types of locking modes, serialization and mutually exclusive modes to synchronize concurrent accesses to objects based on the QoS relations. We also discuss how those lock modes conflict.

# 2 QoS Based Compatible Relations

### 2.1 Consistent relations

A class movie is composed of two component classes; advertisement and content. An object  $m_1$  created from the movie class is also composed of an advertisement object  $a_1$  and a content object  $c_1$ . Another movie object  $m_2$  is a same as  $m_1$  except that the advertisement object of  $m_2$  is  $a_2$ . An application does not care the difference between  $m_1$  and  $m_2$  since the application is interested in only the content of movie. Here,  $m_2$  is considered to be equivalent with  $m_1$ . A class like content in which applications are interested is mandatory.

There are the following equivalent relations between a pair of states  $s_t$  and  $s_u$  of a class c:

- $s_t$  is state-equivalent with  $s_u$   $(s_t s_u)$  iff  $s_t = s_u$ .
- $s_t$  is semantically equivalent with  $s_u$  ( $s_t \equiv s_u$ ) iff  $s_t s_u$  or  $c_i(s_t) \equiv c_i(s_u)$  for every mandatory component class  $c_i$  of c.
- $s_t$  is QoS-equivalent with  $s_u$  ( $s_t \approx s_u$ ) iff  $s_t s_u$  or  $s_t$  and  $s_u$  are obtained by degrading QoS of some state s of c.
- $s_t$  is semantically QoS-equivalent with  $s_u$  ( $s_t \cong s_u$ ) iff  $s_t \approx s_u$  or  $c(s_t) \cong c(s_u)$  for every mandatory component class  $c_i$  of c.
- $s_t$  is requirement QoS-equivalent (RoS-equivalent) with  $s_u$  on RoS R ( $s_t$   $-_R$   $s_u$ ) iff  $s_t \approx s_u$  and  $Q(s_t) \cap Q(s_u) \succeq R$ .
- $s_t$  is semantically RoS-equivalent with  $s_u$  on RoS R  $(s_t \equiv_R s_u)$  iff  $s_t -_R s_u$  or  $c_i(s_t) \equiv_R c_i(s_u)$  for every mandatory class  $c_i$  of c.

Let State, Sem, QoS, RoS, Sem-QoS, and Sem-RoS be sets of possible state-, semantically, QoS-, RoS-, semantically QoS-, and semantically RoS-equivalent relations of a class c. Let E be a family of State, Sem, QoS, RoS, Sem-QoS, and Sem-RoS. For a pair of sets  $\alpha$  and  $\beta$  in E, " $\alpha \rightarrow \beta$ " means  $\alpha \subseteq \beta$ , showing that every pair of operations  $op_1$  and  $op_2$  satisfy the  $\beta$ -equivalency if  $op_1$  and  $op_2$  satisfy the  $\alpha$ -equivalency.

[Theorem] The Hasse diagram as shown in Figure 1 holds for the  $\alpha$ -equivalent relations.  $\square$ 

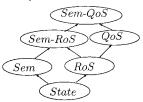


Figure 1: Equivalent relations.

### 2.2 Compatible relations

A method  $op_t$  conflicts with another method  $op_u$  in an object o iff the result obtained by performing  $op_t$  and  $op_u$  depends on the computation order. Multimedia objects are characterized by QoS in addition to the states. There are the following compatible relations between a pair of methods  $op_t$  and  $op_u$  of a class c:

- $op_t$  is state-compatible with  $op_u$   $(op_t \mid op_u)$  iff  $op_t \circ op_u op_u \circ op_t$ .
- $op_t$  is QoS-compatible with  $op_u$   $(op_t || op_u)$  iff  $op_t \circ op_u \approx op_u \circ op_t$ .
- $op_t$  is RoS-compatible with  $op_u$  on RoS R ( $op_t \mid_R op_u$ ) iff  $op_t \circ op_u -_R op_u \circ op_t$ .
- $op_t$  is semantically compatible with  $op_u$  ( $op_t$  |||  $op_u$ ) iff  $op_t \circ op_u \equiv op_u \circ op_t$ .
- $op_t$  is semantically QoS-compatible with  $op_u$   $(op_t \wr l op_u)$  iff  $op_t \circ op_u \cong op_u \circ op_t$ .
- $op_t$  is semantically RoS-compatible with  $op_u$  on R ( $op_t |||_R op_u$ ) iff  $op_t \circ op_u \equiv_R op_u \circ op_t$ .

Let "\$\alpha\$-compatible relation (\$\righta\$\_{\alpha}\$)" show some of the compatible relations where \$\alpha\$ \in \{State, Sem (semantically), QoS, RoS, Sem-QoS (semantically-QoS), Sem-RoS (semantically-RoS)\}. For example, \$\righta\_{QoS}\$ shows \$||\$ and \$\righta\_{R}\$ shows \$||\$ and \$\righta\_{R}\$ shows \$||\$ and \$\righta\_{R}\$ op\$\_{t}\$ op\$\_{t}\$ conflicts with op\$\_{u}\$ (op\$\_{t}\$ \$\righta\_{\alpha}\$ op\$\_{u}\$) unless op\$\_{t}\$ \$\righta\_{Q}\$ op\$\_{u}. For example, op\$\_{t}\$ QoS-conflicts with op\$\_{u}\$ (op\$\_{t}\$ \$\righta\_{\alpha}\$ op\$\_{u}\$) unless op\$\_{t}\$ || op\$\_{u}\$. \$\righta\_{\alpha}\$ is symmetric but is not transitive.

## 3 Synchronization

# 3.1 Traditional locking protocol

Suppose a pair of transactions  $T_i$  and  $T_j$  issue methods  $op_t$  and  $op_u$  to an object o, respectively. Here,  $T_i$  precedes  $T_j$  ( $T_i \rightarrow T_j$ ) iff  $op_t$  and  $op_u$  conflict and  $op_t$  is performed on the object o before  $op_u$ . A collection of the transactions  $T_1, \ldots, T_m$  are serializable iff either  $T_i \rightarrow T_j$  or  $T_j \rightarrow T_i$  for every pair of transactions  $T_i$  and  $T_j$ , i.e. the transactions are totally ordered in the precedent relation " $\rightarrow$ ". In order to do that, an object o is locked before a method  $op_t$  is performed on o. If o is already locked for a method  $op_u$  conflicting with  $op_t$ ,  $op_t$  blocks until the lock held by  $op_u$  is released. On the other hand, every pair of compatible methods can be performed on the object o in any order. An

<sup>\*</sup>QoS に基づくマルチメディアオブジェクトの同期手法

<sup>†</sup>根本 直一, 田中 勝也, 滝沢 誠

<sup>‡</sup>東京電機大学

object o is locked in a mode  $\mu(op_t)$  before  $op_t$  is performed.  $\mu(op_t)$  conflicts with  $\mu(op_u)$  if  $op_t$  conflicts with  $op_u$ . Otherwise the modes are *compatible*. If o is locked in a mode conflicting with  $\mu(op_t)$ ,  $op_t$  blocks. Otherwise,  $op_t$  is performed. It is well known that a collection of transactions are serializable if every transaction is two-phase locked.

### QoS based lock modes

The following orthogonal types of lock modes for a method  $op_t$  are introduced with respect to the  $\alpha$ compatibility and  $\alpha$ -mutually exclusive relations :

- 1.  $\alpha$ -serialization lock mode  $\sigma_{\alpha}(op_t)$ , and
- 2.  $\alpha$ -mutually exclusive lock mode  $\mu_{\alpha}(op_t)$ .

The serialization locks are used to serialize a collection of conflicting methods issued by different transactions. That is, the lock can be used to decide which method to be started before the other. If an object is locked in an  $\alpha$ -serialization mode conflicting with  $\sigma(op_t)$ ,  $op_t$  blocks. The mutually exclusive locks are used to make methods mutually exclusively performed on an object. Let  $\tau_1$  and  $\tau_2$  be lock modes.  $\tau_1$  is compatible with  $\tau_2$  ( $\tau_1 \diamond \tau_2$ ) iff  $\tau_1$  does not conflict with  $\tau_2$ . Furthermore,  $\mu_R(reduce)$  is not compatible with  $\mu_R(mediascale)$ . Every type of conflicting relation is assumed to be symmetric but not transitive.

Suppose that an object o is locked for a method  $op_t$  and another method  $op_u$  is issued to the object o. If  $\sigma_{\alpha}(op_u)$  conflicts with  $\sigma_{\alpha}(op_t)$ ,  $op_t$  blocks until  $op_u$  terminates. Suppose an object x supports a pair of methods  $op_1$  and  $op_2$  on x and another object ysupports  $op_3$  and  $op_4$  on y. A transaction  $T_1$  issues  $op_1$ to x and  $op_3$  to y. Another transaction  $T_2$  issues  $op_2$  to x and  $op_4$  to y. First, suppose  $op_1$  is  $\alpha$ -compatible with  $op_2$   $(op_1 \diamondsuit_{\alpha} op_2)$  and  $op_3 \diamondsuit_{\alpha} op_4$ . Here,  $\sigma_{\alpha}(op_1)$  is compatible with  $\sigma_{\alpha}(op_2)$   $(\sigma_{\alpha}(op_1) \diamondsuit \sigma_{\alpha}(op_2))$  and  $\sigma_{\alpha}(op_3) \diamondsuit \sigma_{\alpha}(op_4)$ .  $op_1$  and  $op_2$  can be performed on the object x and  $op_3$  and  $op_4$  on y in any order. For example,  $op_1$  is performed after  $op_2$  on x and  $op_4$  is performed after  $op_3$  on y.

Next, suppose  $\mu_{\alpha}(op_1)$  conflicts with  $\mu_{\alpha}(op_2)$  but  $\mu_{\alpha}(op_3)$  is compatible with  $\mu_{\alpha}(op_4)$ .  $op_2$  can be started after  $op_1$  completes.  $op_1$  and  $op_2$  cannot be concurrently performed. However,  $op_3$  and  $op_4$  can be concurrently performed on the object y because

 $\mu_{\alpha}(op_3)$  is compatible with  $\mu_{\alpha}(op_4)$ . [Theorem] Suppose a method  $op_t$  strongly  $\alpha$ -conflicts with  $op_u$ . A mutually exclusive mode  $\mu_{\alpha}(op_t)$  conflicts with  $\mu_{\alpha}(op_u)$  if a serialization mode  $\sigma_{\alpha}(op_{t})$  conflicts with  $\sigma_{\alpha}(op_{u})$ .  $\square$ If a method  $op_{t}$   $\alpha$ -conflicts with another method

 $op_u$ ,  $op_t$  and  $op_u$  cannot be concurrently performed.

If a transaction T issues a method  $op_t$  in the lphaconflicting relation to an object o, o is locked according to the following protocol.

### [Locking protocol]

- 1. The transaction T first issues a serialization lock request  $\sigma_{\alpha}(op_t)$  to the object o.
- 2. If o is not locked in any mode conflicting with  $\sigma_{\alpha}(op_t)$ , o is locked in  $\sigma_{\alpha}(op_t)$  and then the lock mode is tried to be converted in a mode  $\mu_{\alpha}(op_t)$ .
- 3. If the lock mode is converted,  $op_t$  is ready to be performed on o.
- 4. Otherwise,  $op_t$  blocks.  $\square$

#### Relation among lock modes

Figure 1 shows how compatibility. Here, State, QoS, RoS, Sem, Sem-QoS, and Sem-RoS indicate sets of possible State-, QoS-, RoS-, semantically, and

semantically QoS- and RoS-conflicting relations of a class c, respectively. Let C be a family of the sets {State, QoS, RoS, Sem, Sem-QoS, Sem-RoS}. The Hasse diagram as shown in Figure 1 holds for the  $\alpha$ -conflicting relations in C. Let  $\alpha_1$  and  $\alpha_2$  be two types of conflicting relations.  $\alpha_1$  dominates  $\alpha_2$  ( $\alpha_1 \succ \alpha_2$ ) iff  $\alpha_1 \supseteq \alpha_2$  in Figure 1.  $\alpha_1$  and  $\alpha_2$  are uncomparable  $(\alpha_1)$  $||\alpha_2\rangle$  if neither  $\alpha_1 \succ \alpha_2$  nor  $\alpha_2 \succ \alpha_1$ . In Figure 1, Sem ||RoS| Let  $C_{\alpha}(\tau)$  be a set of lock modes which are compatible with a lock mode  $\tau$  with respect to an  $\alpha$ -compatible relation  $(\diamondsuit_{\alpha})$ . The following property holds on the dominant relation "≺"

[Definition] A lock mode  $\tau_1$  on  $\alpha_1$  is stronger than another mode  $\tau_2$  on  $\alpha_2$  iff  $C_{\alpha_1}(\tau_1) \subseteq C_{\alpha_2}(\tau_2)$ .  $\square$  " $\tau_1 \succ \tau_2$ " means that  $\tau_1$  conflicts with more number of lock modes than  $\tau_2$ . The lock mode  $\tau_1$  is more restricted than  $\tau_2$ . For example, write  $\succ$  read.

Let  $\alpha_1$  and  $\alpha_2$  be types of RoS-conflicting relations on RoS  $R_1$  and  $R_2$ , respectively. We discuss  $\alpha_1 \succ \alpha_2$  or  $\alpha_1 \prec \alpha_1$ . Here, " $R_1 \succ R_2$ " ( $R_1$  dominates  $R_2$ ) means that  $R_1$  shows a higher level of QoS than  $R_2$  as presented before. It is straightforward for the following theorem to hold from the definitions.

Suppose that  $R_1$  and  $R_2$  show monochromatic and colored movies. Let  $\tau_1$  and  $\tau_2$  be serialization lock modes of a method grayscale on RoS  $R_1$  and  $R_2$ , respectively, i.e.  $\tau_1 = \sigma_{R_1}(grayscale)$  and  $\tau_2 = \sigma_{R_2}(grayscale)$ . Here,  $R_2 \succ R_1$ . grayscale  $R_1$ -conflicts with add but is  $R_2$ -compatible with add.  $\tau_1$ is stronger than  $\tau_2$  ( $\tau_1 \succ \tau_2$ ) since  $C_{R_1}(\tau_1) \supset C_{R_2}(\tau_2)$ .

### Concluding Remarks

We discussed novel types of relations among methods on the basis of QoS and the state of an object, i.e. state-, semantically, QoS-, RoS-, and semantically QoS- and RoS-conflicting relations of methods in the object-based multimedia system. We presented the locking protocol to realize these new types of conflicting relations, where new lock modes, serialization and mutually exclusive modes are introduced. By using the serialization and mutually exclusive locks, we can increase the performance of the system.

In the locking protocol, the lock mode is converted from serialization ones  $\sigma$  to mutually exclusive ones  $\mu$ is stronger the  $\sigma$ , transactions may be deadlocked. We need unsympathetic modes discussed in the paper [?].

### Publications

- 1 Nemoto N., Tanaka K., and Takizawa M., "Quality-Based Synchronization Methods of Multimedia Objects," to appear in Information Sciences an International Journal, 2001.
- 2 Nemoto N., Tanaka K., and Takizawa M.: "Quality-Based Approach to Locking Multimedia Objects," to appear in Journal of Internet Technology, special issue on "Internet Multimedia Information Systems", Vol. 2, No. 4, 309-316, 2001.
- 3 Nemoto, N., Tanaka, K., and Takizawa, M.: Quality-Based Concurrency Control for Multimedia Objects. The 7th International Conf. on Distributed Multimedia Systems(DMS'2001), 218-225, 2001.
- 4 Nemoto, N., Tanaka, K., and Takizawa, M.: Quality-Based Approach to Locking Multimedia Objects, Proc. of the ICDCS-2001 Workshops, 351-356, 2001.