

Regular Paper

# Fillmat is NP-Complete and ASP-Complete

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**Abstract:** We study the computational complexity of a packing puzzle Fillmat, which is a type of pencil-and-paper puzzles made by Japanese puzzle publisher Nikoli. We show that the problem to decide if a given instance of Fillmat has a solution is NP-complete by a reduction from the circuit-satisfiability problem (Circuit-SAT). Our reduction is carefully designed so that we can also prove ASP-completeness of the another-solution-problem.

**Keywords:** computational complexity, NP-completeness, ASP-completeness, pencil-and-paper puzzle, Fillmat

## 1. Introduction and Definitions

A wide variety of puzzles are played all over the world. Pencil-and-paper puzzles are those offered as some figure on the paper and solved by drawing on the figure with a pencil, and such a type of puzzles are popular recreation. Fillmat (or Firumatto), which is considered in this paper, is one of pencil-and-paper puzzles made by Japanese puzzle magazine Nikoli [10].

It seems that one of the sources of fun on playing games and puzzles is their difficulty. From such a viewpoint, the computational complexity of many games and puzzles has been widely studied, and it is known that many commonly played puzzles are NP-complete. Hearn and Demaine [4] in 2009 surveyed the complexity results on combinatorial games and puzzles. In recent studies, it has been shown that Hashiwokakero [1], Number Link [8], Kurodoko [7], Shikaku and Ripple Effect [11], Yajilin and Country Road [5], Yosenabe [6], Shakashaka [3] and so on forth, which are pencil-and-paper puzzles published by Nikoli, are NP-complete. However, the complexity of Fillmat remained unstudied. Thus, in this paper, we study the computational complexity of the packing puzzle Fillmat defined as follows.

An instance of Fillmat and its solution are shown in Fig. 1. Fillmat is played on a rectangular grid  $P$  of size  $m \times n$ , some of whose squares are numbered by  $\{1, 2, 3, 4\}$ . We call the denoted numbers in  $P$  clues.

The player's task is to pack  $1 \times 1$ ,  $1 \times 2$ ,  $1 \times 3$ ,  $1 \times 4$  rectangles into  $P$  without overlap under the following four constraints. We call these 4 types of rectangles blocks.

- (1) Every block may contain at most one clue.
- (2) If the block contains a clue, the size of the block must be equal to the number denoted as a clue.
- (3) Two blocks of the same size must not be vertically or horizontally adjacent.
- (4) The boundary lines of blocks must not form a cross.

Examples that violates the constraints (3) and (4) on Fillmat

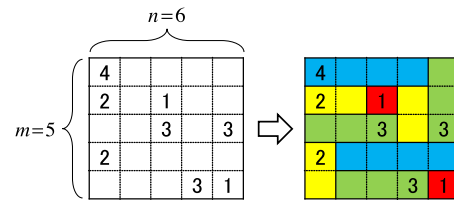


Fig. 1 An instance of Fillmat.

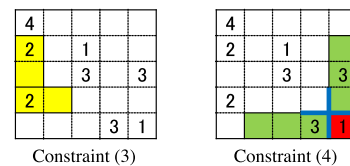


Fig. 2 Examples that violates the constraints (3) and (4) on Fillmat.

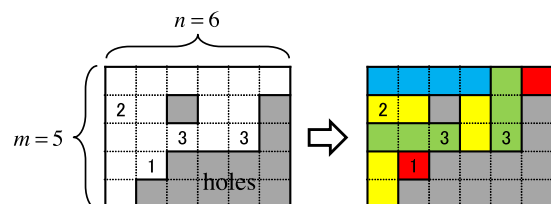


Fig. 3 An instance of Fillmat with holes.

are shown in Fig. 2. We note that  $P$  may contain holes, i.e.,  $P$  may have the squares on which a block can not be arranged (see Fig. 3). We mention that the original definition of Fillmat does not contain holes, and the original rule on the Nikoli website [10] says that we are to fill every square of the instance.

Throughout this paper, gray squares on a rectangular grid represent holes, and  $1 \times 1$ ,  $1 \times 2$ ,  $1 \times 3$ ,  $1 \times 4$  blocks are denoted by red, yellow, green, and blue squares, respectively. In this paper, we mainly consider the decision problem of Fillmat defined as follows.

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Fillmat Decision Problem

Instance: A rectangular grid  $P$  of size  $m \times n$ , some of whose squares are numbered by  $\{1, 2, 3, 4\}$ , and which may contain holes,  
 Question: Is there an arrangement of blocks in  $P$  satisfying the above constraints?

We analyze the computational complexity of the decision problem above and the another-solution-problem of Fillmat, and we show the following theorem in this paper (see Ref. [12] for definitions of a class **ASP** and **ASP-completeness**).

**Theorem 1** *Fillmat is NP-complete and ASP-complete.*

**2. Proof of Theorem 1**

We are now ready to state and prove our claim of **NP-completeness**. It is obvious that the problem to decide whether an instance of Fillmat has a solution is in **NP**, since it can be verified whether a solution candidate is correct in polynomial time.

To prove **NP-hardness**, we construct a reduction from the circuit-satisfiability problem (Circuit-SAT for short), which is the problem of deciding whether given Boolean circuits  $C(v_1, v_2, \dots, v_n)$  with  $n$  Boolean variables  $v_1, v_2, \dots, v_n$  have an assignment of the variables that makes the output true. Circuit-SAT has already been shown to be **NP-complete** in Ref. [2], and the proof of **NP-completeness** also implies that the another-solution-problem variant (**ASP** for short) of Circuit-SAT is **ASP-complete** since Cook’s reduction is an **ASP** reduction (see Ref. [12] for the definition of the term “**ASP** reduction”).

Our reduction is carefully designed so that each solution of Fillmat has a one-to-one correspondence with a solution of the original instance of Circuit-SAT. Therefore, the reduction also implies the result for the another-solution-problem of Fillmat, namely, the **ASP** variant of Fillmat is **ASP-complete**. The **ASP** version of Fillmat is defined as follows: Given an instance  $P$  of Fillmat and a solution  $s$ , find a solution  $s'$  of  $P$  other than  $s$ .

In the following part, we construct gadgets corresponding to each part of a Boolean circuit by using only the basic logical gates AND and NOT (note that  $\{\text{AND}, \text{NOT}\}$  is universal), wires, and splits. In the reduction, we must be careful about wires crossing in the circuits. To construct instances of Fillmat from circuits, we consider the Boolean circuits restricted to a plane. For this purpose, we can replace the wires crossing by McColl’s planar “cross-over” circuit [9] as shown in Fig. 4, which can be constructed by three XOR gates (note that an XOR gate can be expressed as a “planar” circuit consisting of AND and NOT gates).

In our construction of the gadgets, we consider  $6 \times 6$  squares to be a basic unit, and most of the gadgets consist of a multiple of sizes of a basic unit. In any gadget, the left-side of the gadget

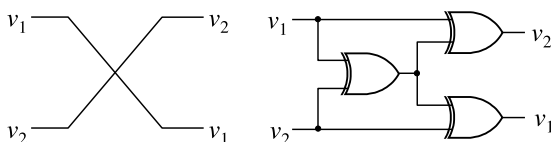


Fig. 4 McColl’s planar “cross-over” circuit.

receives a Boolean value from the adjacent gadget, and the output value is transmitted from the right-side of the gadget to the next gadget.

**2.1 Input and Wire Gadgets**

An input gadget and a wire gadget are shown in Fig. 5. We use a basic unit on the left-side of the figure, which is marked by a red dashed line, as an input gadget.

We consider how to place a  $1 \times 3$  block on a square numbered by 3 in the upper left of an input gadget. From the constraint (4), neither of both sides of a  $1 \times 3$  block can be located on the square with clue 3. Therefore, we have only two ways of packings as red and blue dashed rectangles illustrated in Fig. 6. Note that arrangements of  $1 \times 3$  blocks on other squares with clue 3 in Fig. 6 are restricted to such two ways.

If a choice of arrangements of a  $1 \times 3$  block on the upper left square in an input gadget is decided, arrangements of  $1 \times 3$  blocks on the surrounding squares with clue 3 will be fixed as illustrated in Fig. 7 from the constraint (3), and  $1 \times 1$  blocks are placed on the remaining squares. We regard the ways of arrangements (a) and (b) in the figure as truth-value assignments of variables and their transfer: Arrangement (a) corresponds to a true value; in contrast, arrangement (b) corresponds to a false value.

**2.2 Split Gadget**

A split gadget is shown in Fig. 8. This gadget branches a signal wire by combining the same structure as a wire gadget vertically. Note that the restriction of arrangements of  $1 \times 3$  blocks on squares numbered by 3 holds even if the direction of wire gadgets changes. In addition, wires must bend to connect logical gates in circuits, thus our construction needs to bend wire gadgets to route between our gate-like gadgets. Then, we can use the structure of the split gadget as bend gadgets.

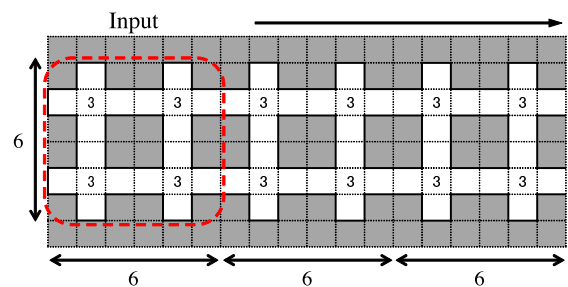


Fig. 5 An input gadget and a wire gadget.

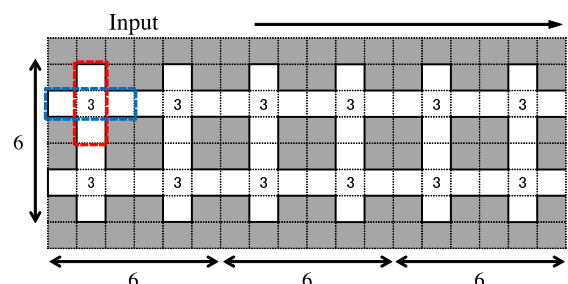
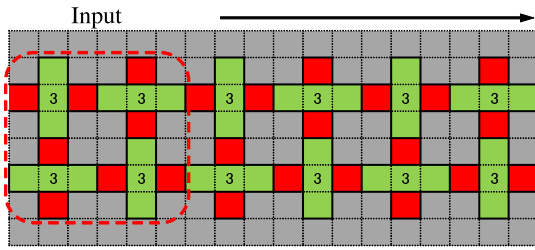
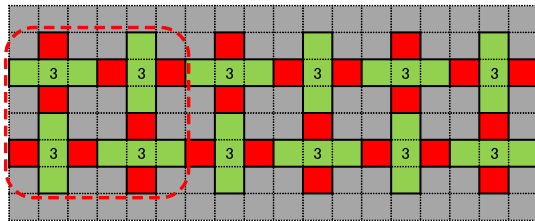


Fig. 6 Arrangement candidates in an input gadget.

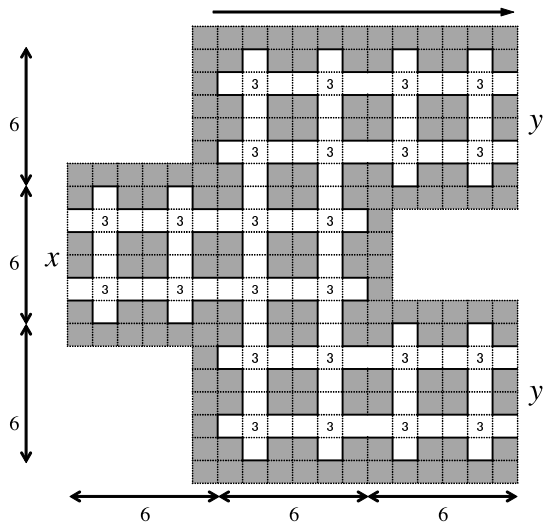
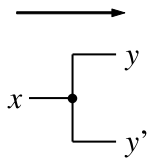


(a) True



(b) False

**Fig. 7** Truth-value assignments of variables and their transfer. Mention that the assignments (a) and (b) are symmetric, thus Fig. 13 and Fig. 14 in the following are also symmetric.



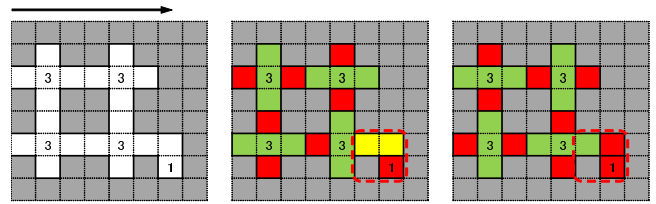
**Fig. 8** A split gadget.

**2.3 Output Gadget**

We use the gadget in Fig. 9 (a) as an output gadget. The gadget consists of  $2 \times 1$  squares adding on the lower right of the wire gadget. If the input of the output gadget is true, we have only one way to arrange on the gadget as illustrated in Fig. 9 (b). Otherwise, we have no arrangements satisfying all constraints. For example, the arrangement as Fig. 9 (c) does not satisfy the constraints (3) and (4). Therefore, it has a solution if and only if the value received from the left is true.

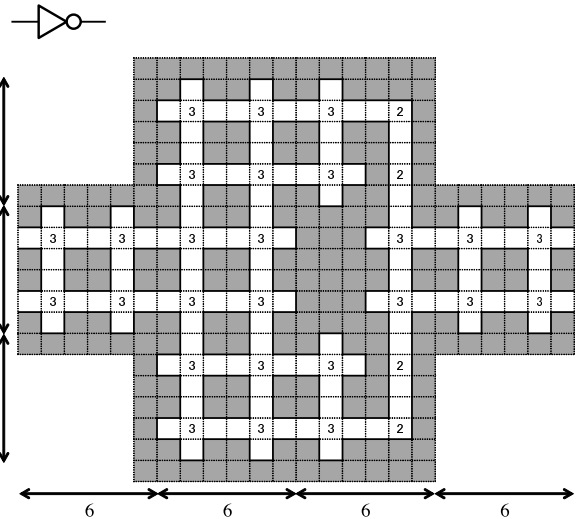
**2.4 NOT Gadget**

Figure 10 shows the NOT gadget. Similar to the case of a wire gadget, arrangements of  $1 \times 3$  blocks on squares numbered by 3

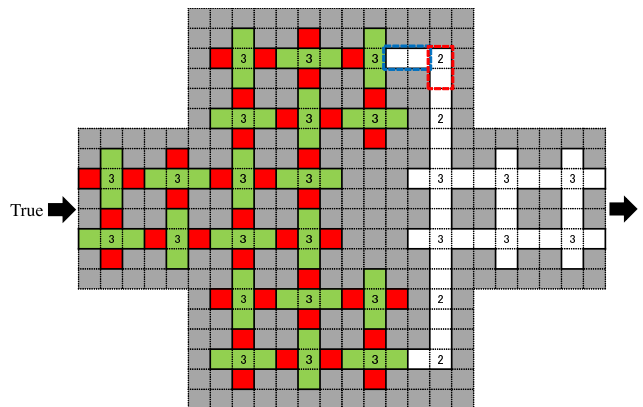


(a) (b) True (c) False

**Fig. 9** An output gadget.



**Fig. 10** A NOT gadget.



**Fig. 11** A NOT gadget with true input.

in the gadget are restricted.

If the input of the NOT gadget from the left gadget is true, the arrangement on the left half of the gadget is fixed as Fig. 11 since the structure of the part is the same as a split gadget. Now, we consider how to arrange a  $1 \times 2$  block on the upper right square with 2. If it is the arrangement like a red dashed rectangle in Fig. 11, we have no feasible arrangements of blocks on the two squares marked by a blue dashed line from the constraint (3). Therefore, the arrangement of a  $1 \times 2$  block is fixed as Fig. 12, and the next  $1 \times 2$  block is also fixed from the same reason. Similar to the same argument, we have only one way to arrange on the remaining part of the gadget as Fig. 13.

If the input of the NOT gadget is false, we have only one way to arrange on the gadget as Fig. 14 from upper and lower symmetry of the gadget. Therefore, the NOT gadget plays as a NOT gate in a circuit.

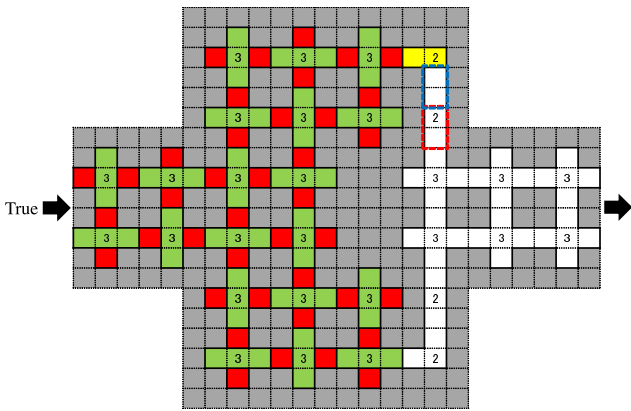


Fig. 12 Arranging  $1 \times 2$  blocks in a NOT gadget with true input.

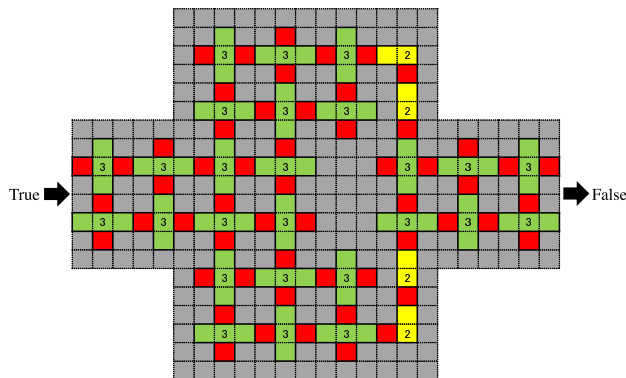


Fig. 13 Solution to a NOT gadget with true input.

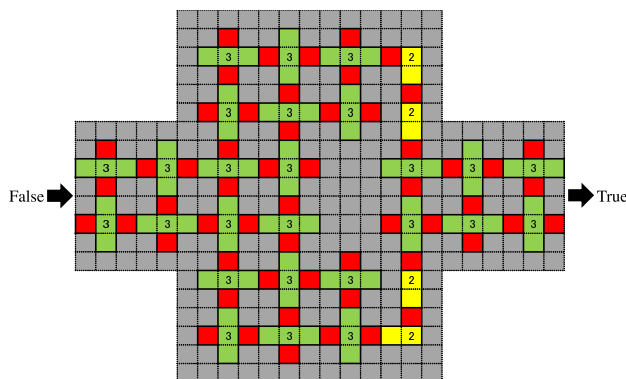


Fig. 14 Solution to a NOT gadget with false input.

2.5 AND Gadget

Figure 15 shows the AND gadget for an AND gate  $y = x_1 \wedge x_2$ . According to the values of  $x_1, x_2$ , we have four possible cases. Arrangements of  $1 \times 3$  blocks on squares numbered by 3 in the shape of parallel crosses (i.e., in the #-shaped subgrid except for holes) are similarly restricted. Therefore, it is easy to see that the output  $y$  is false if either  $x_1$  or  $x_2$  is false, since a horizontally long  $1 \times 3$  block is placed on either the square  $B$  or  $C$  (see Figs. 19, 23, and 24).

We consider the case that the inputs  $(x_1, x_2)$  of the AND gadget from the left gadget is (true, true) as illustrated in Fig. 16. Note that vertically long  $1 \times 3$  blocks are placed on both squares  $B$  and  $C$  in this case. Now, it takes notice of arrangement on uncovered squares in the upper side of wire-like gadgets from  $x_2$ . Then, we have only one way to arrange a  $1 \times 3$  block as a red dashed rectangle in Fig. 16. If not, the two  $1 \times 3$  blocks are vertically ad-

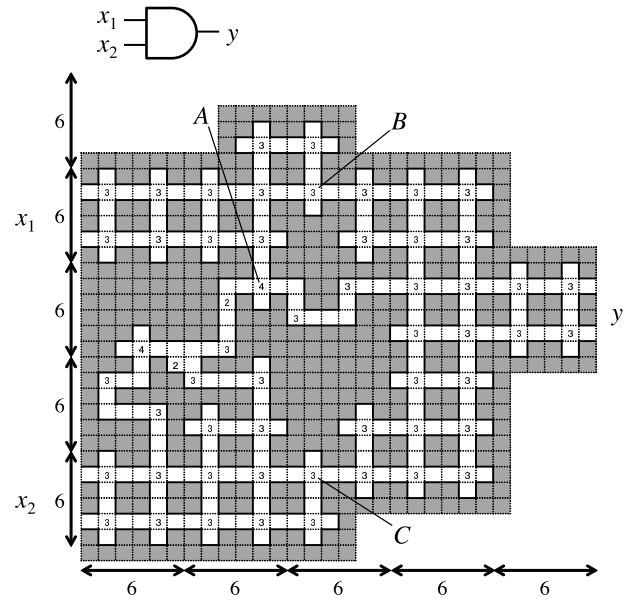


Fig. 15 An AND gadget.

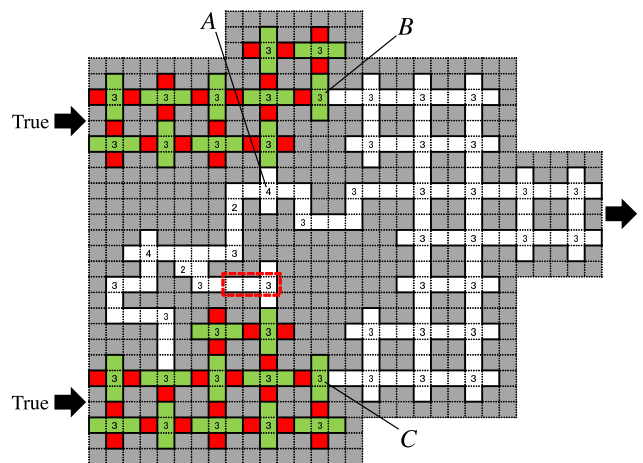


Fig. 16 An AND gadget with  $(x_1, x_2) = (\text{true}, \text{true})$ .

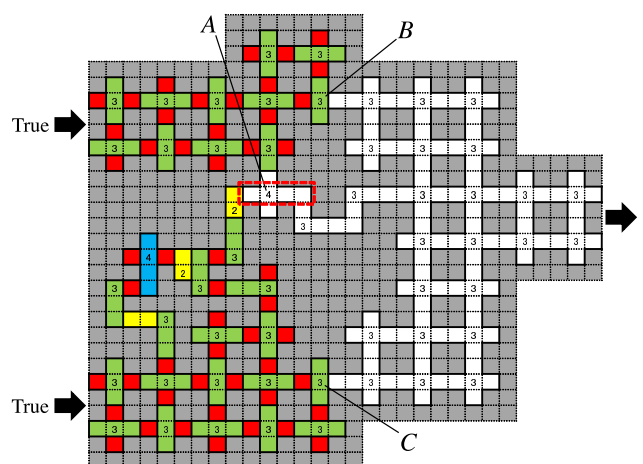


Fig. 17 Arranging blocks in an AND gadget with  $(x_1, x_2) = (\text{true}, \text{true})$ .

acent and it contradicts the constraint (3). According to such an arrangement, arrangement of blocks on its surrounding squares with numbers is fixed and the remaining squares are covered by  $1 \times 1$  blocks as illustrated in Fig. 17.

After that, we must place a  $1 \times 4$  block on the square  $A$  as a red



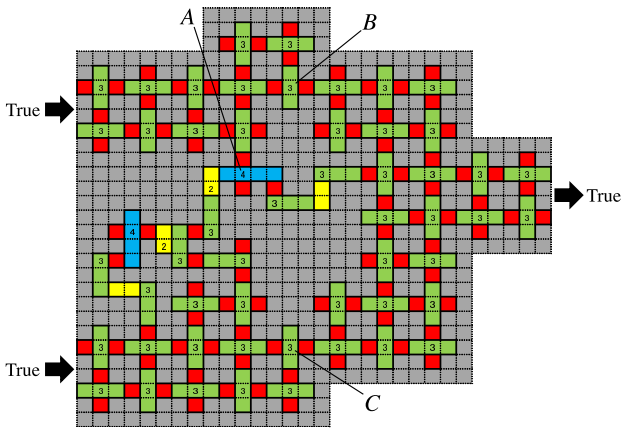


Fig. 18 Unique solution to an AND gadget with  $(x_1, x_2) = (\text{true}, \text{true})$ .

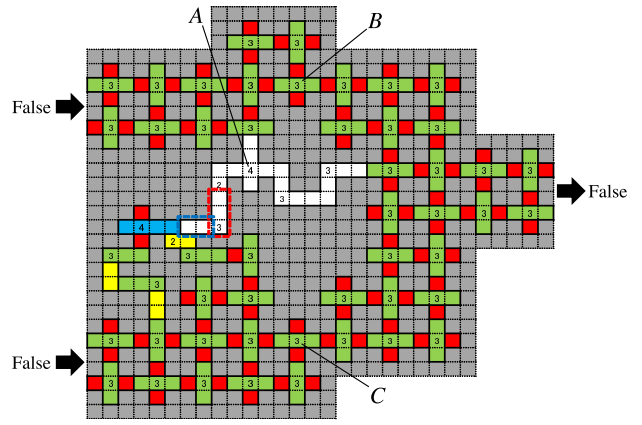


Fig. 20 Arranging blocks in an AND gadget with  $(x_1, x_2) = (\text{false}, \text{false})$ .

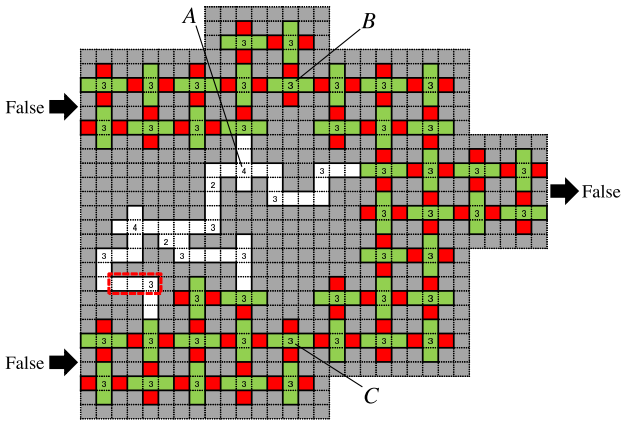


Fig. 19 An AND gadget with  $(x_1, x_2) = (\text{false}, \text{false})$ .

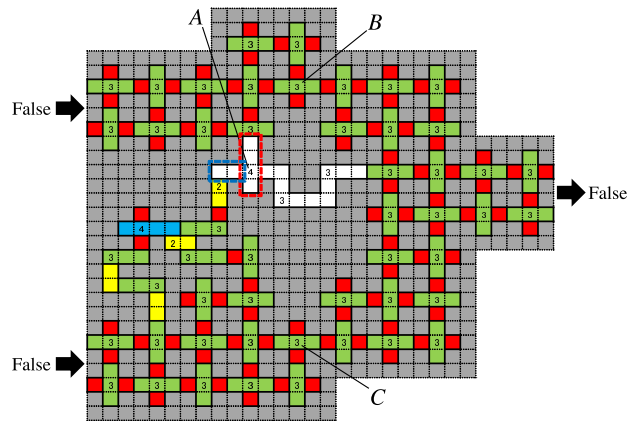


Fig. 21 Arranging a  $1 \times 4$  block in an AND gadget with  $(x_1, x_2) = (\text{false}, \text{false})$ .

dashed rectangle in Fig. 17, thus two  $1 \times 3$  blocks are arranged by flush right on the right-side squares with clue 3, and it yields that the remaining squares are covered by  $1 \times 1$  and  $1 \times 2$  blocks as illustrated in Fig. 18. Therefore, pushing by the  $1 \times 3$  block on the part, we have only one arrangement on the right-side of AND gadget. Consequently, it requires that the right adjacent gadget receives the value true when both inputs are true.

When the inputs  $(x_1, x_2)$  of the AND gadget from the left gadget is  $(\text{false}, \text{false})$ , the arrangement of blocks on the shape of parallel crosses including blocks with clue 3 is fixed as illustrated in Fig. 19. Then, we have only one way to arrange a  $1 \times 3$  block as a red dashed rectangle in Fig. 19. According to such an arrangement, the arrangement of blocks on its surrounding squares with clues is fixed and the remaining squares are covered by  $1 \times 1$  and  $1 \times 2$  blocks as Fig. 20. If we place a  $1 \times 3$  block like a red dashed rectangle in Fig. 20, there exists no feasible arrangements of blocks on the two squares marked by a blue dashed line. Therefore, the arrangement of a  $1 \times 3$  block is fixed as Fig. 21, and the next  $1 \times 2$  block is also fixed from the same reason.

Now, we consider how to arrange a  $1 \times 4$  block on the square with clue 4 near the center of the gadget. If we place the block like a red dashed rectangle in Fig. 21, there exists no feasible arrangements of blocks on the two squares marked by a blue dashed line. Therefore, the arrangement of blocks on its surrounding squares is fixed as illustrated in Fig. 22. Note that if the horizontally long  $1 \times 4$  block is arranged by flush right, it contradicts the constraint (4) on the bottom left corner of the block. This arrangement cor-

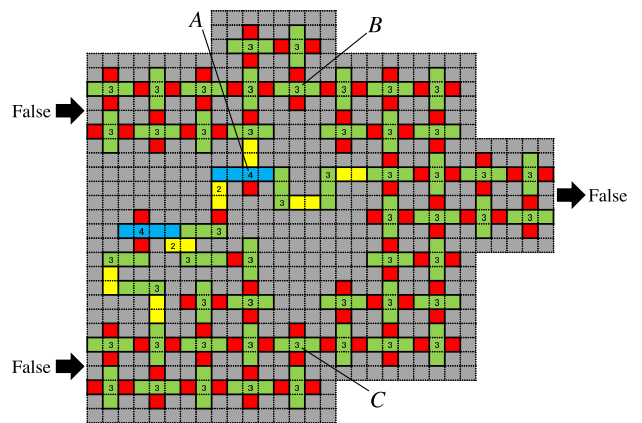


Fig. 22 Unique solution to an AND gadget with  $(x_1, x_2) = (\text{false}, \text{false})$ .

responds to the behavior of an AND gate with  $(\text{false}, \text{false})$  as both inputs.

We consider the case that the inputs  $(x_1, x_2)$  of the AND gadget from the left gadget is  $(\text{true}, \text{false})$ . Similar to the same argument for using the case  $(x_1, x_2) = (\text{false}, \text{false})$ , we have only one way to arrange on the remaining part of the gadget as illustrated in Fig. 23. Note that two squares on the right-side of square B must be covered by a  $1 \times 2$  block as Fig. 23.

When the inputs  $(x_1, x_2)$  of the AND gadget from the left gadget is  $(\text{false}, \text{true})$ , the arrangement on most of the squares is fixed as Fig. 24. In fact, the arrangement of blocks on the shape of parallel crosses including blocks with clue 3 is fixed by false

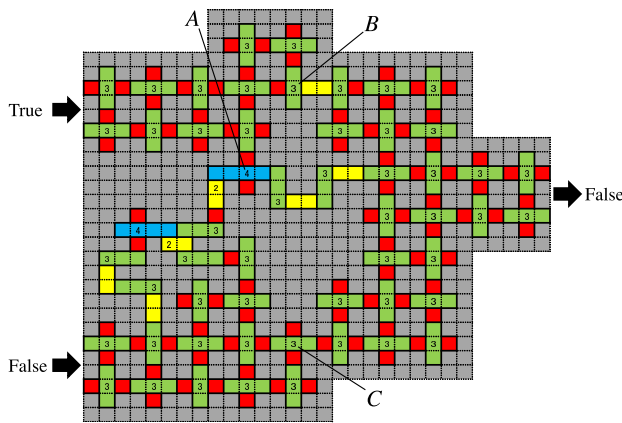


Fig. 23 Unique solution to an AND gadget with  $(x_1, x_2) = (\text{true}, \text{false})$ .

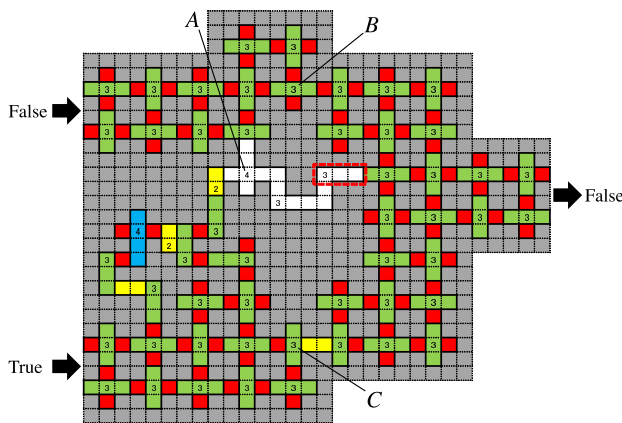


Fig. 24 An AND gadget with  $(x_1, x_2) = (\text{false}, \text{true})$ .

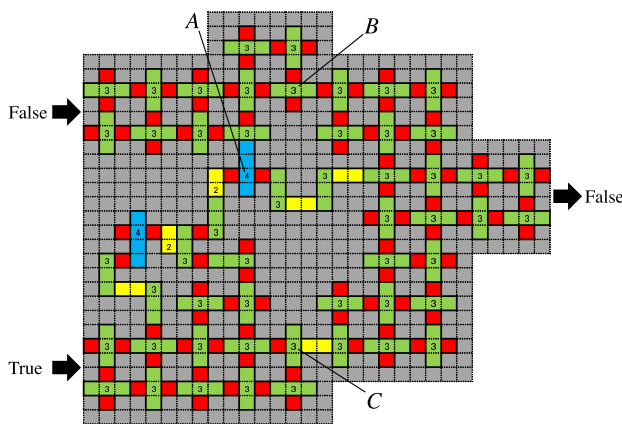


Fig. 25 Unique solution to an AND gadget with  $(x_1, x_2) = (\text{true}, \text{true})$ .

value  $x_1$ , moreover, we have only one way to arrange most of the squares in the upper side of wire gadgets of  $x_2$  from the same argument for using the case  $(x_1, x_2) = (\text{true}, \text{true})$ .

Arrangement on the remaining squares is considered. Since the arrangement like a red dashed rectangle in Fig. 24 does not satisfy the constraint (3), blocks placed on the remaining squares are fixed as illustrated in Fig. 25.

Therefore, the AND gadget in Fillmat instance corresponds to an AND gate in a circuit. Moreover, there is no other way of arranging blocks on the AND gadget for each case. Thus, feasible arrangements on the AND gadget have a one-to-one correspondence with the behavior of an AND gate.

### 3. Proof of Correctness of the Reduction

All the necessary gadgets we listed are constructed in the previous section. The area of the grid  $P$  where no gadget exists is filled by holes. As a result, we can construct the resulting instance of Fillmat in polynomial time of the size of the original Boolean circuit  $C$ .

It is easy to see that the resulting instance of Fillmat has a solution if and only if the original circuit has an assignment of the variables that makes the output true. Namely, the instance of Fillmat obtained by the reduction correctly simulates a Boolean circuit. Therefore, Fillmat Decision Problem is **NP**-complete. Moreover, once we fix an assignment of the variables of  $C$ , the arranging pattern of the resulting instance of Fillmat is uniquely determined. That is, arrangements of blocks on  $P$  of Fillmat have a one-to-one correspondence with the behavior of the original Boolean circuit  $C$ . Therefore, **ASP** version of Fillmat is **ASP**-complete.

### 4. Conclusions

In this paper, we have studied the computational complexity of Fillmat and we proved that Fillmat is **NP**-complete and **ASP**-complete by reducing the Circuit-SAT problem to Fillmat. The resulting instance of Fillmat contains holes, hence the complexity of Fillmat without holes is still open. In our reduction, the squares with clue 4 appear only in AND gadgets. An interesting question is to determine the computational complexity of Fillmat without the number 4 as a clue.

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