

# Concurrency Control Protocol on Distributed Multimedia Objects

Keisuke Hasumi, Tomoya Enokido, and Makoto Takizawa

Dept. of Computers and Systems Engineering

Tokyo Denki University, Japan

{hasu, eno, taki}@takilab.k.dendai.ac.jp

## Abstract

Multimedia objects distributed in networks are concurrently manipulated by multiple transactions like co-authoring systems. Multimedia objects are larger and structured in a part-of relation. Not only state but also quality of service (QoS) of object are changed through methods. We define new types of conflicting relations on methods by taking into account QoS while only state change is considered in traditional concurrency controls. We discuss a protocol for locking objects to be consistent with respect to the QoS-based conflicting relations.

## 分散マルチメディアオブジェクト上における同時実行制御プロトコル

蓮見 圭亮 榎戸 智也 滝沢 誠

東京電機大学大学院理工学研究科情報システム工学専攻

E-mail {hasu, eno, taki}@takilab.k.dendai.ac.jp

分散アプリケーションでは、複数のユーザによってマルチメディアオブジェクトが同時に操作される。オブジェクトは複数の副オブジェクトが part-of 関係によって階層構造になっている。また、各オブジェクトの状態と QoS(Quality of Service) が、メソッドにより操作される。本論文では、従来の同時実行制御の中で新たに QoS に基づいたメソッド間の競合関係を定義する。更に、QoS に基づいた競合関係を用いて一貫性を保つためのオブジェクトのロックプロトコルを提案する。

## 1 Introduction

In various kinds of distributed applications, multimedia objects like video and voice are manipulated. In co-authoring systems and cooperative working systems [1], multiple applications not only retrieve but also manipulate multimedia objects which are distributed in networks. There are many discussions about concurrency control on traditional data like two-phase locking [6] and timestamp ordering protocols [1]. Differently from traditional data, multimedia objects are larger and structured. In addition, it is significant to discuss what quality of service (QoS) like frame rate and number of colours each object supports for applications. Each object is an encapsulation of data and methods. The object can be manipulated only through the methods. There are a pair of aspects to discuss properties of methods on multimedia objects; *state* and *QoS* types. State and QoS of object are manipulated by *state* and *QoS* methods, respectively. For example, the frame rate of a video is changed by *QoS* method while component objects are added to an object by *state* method. Some types of methods might change both state and QoS of object. The authors [14] discuss novel types of conflicting relations among methods on the basis of state and QoS of object. In this paper, we extend and refine types of conflicting relations so that of methods to make the meanings of methods more strict. We also discuss the hybrid type of concurrency control with locking and timestamp ordering mechanisms to manage multiple transactions manipulating multimedia objects.

In section 2, we discuss a system model. In section 3, we discuss a hierarchical locking protocol.

## 2 Consistent Relations

A system is composed of *classes* and *objects*. A class  $c$  is composed of *attributes* and *methods*. An object  $o$  is an instantiation of the class  $c$ . A tuple of attribute values is a *state* of the object  $o$ . Each object has one state at a time. A *state* of a class also means a state of the object. An object has a unique invariant identifier, i.e. object identifier (oid) while its state is variant.

A new class  $c_2$  can be derived from an existing class  $c_1$ . In addition, a class  $c$  can be composed of *component* classes  $c_1, \dots, c_n$ . Let  $c.c_i$  show a component class  $c_i$  of the class  $c$ . Let  $c_i(s)$  denote a projection of a state  $s$  of the class  $c$  to a component class  $c_i$ . For example, a class *karaoke* [Figure 1] is composed of three component classes, *music*, *words*, and *background* [Figure 2]. *background(k)* shows a state of *background* in a state  $k$  of a *karaoke* object. The *background* class is furthermore composed of *car*, *tree*, and *cloud* classes.

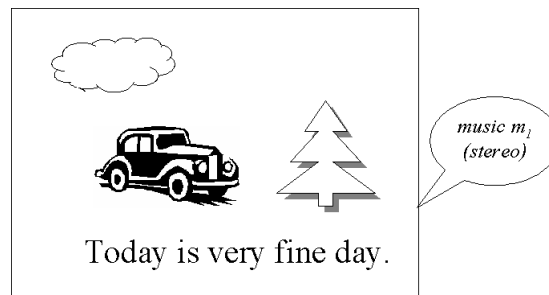


Figure 1. Karaoke object.

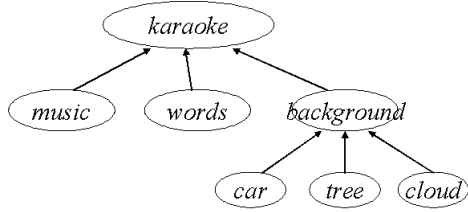


Figure 2. Karaoke class.

Let  $op(s)$  denote a state obtained by performing a method  $op$  on a state  $s$  of the object  $o$ . Let  $[op(s)]$  show output obtained by performing a method  $op$  on the state  $s$ . Here,  $op_1 \circ op_2$  and  $op_1 \oplus op_2$  show that a pair of methods  $op_1$  and  $op_2$  are serially and concurrently performed, respectively.

Applications obtain service from multimedia objects only through methods supported by objects. Each service is characterized by *quality of service* (QoS) like level of resolution. Each state  $s$  of an object  $o$  supports QoS denoted by  $Q(s)$ . For example,  $Q(play(s))$  shows QoS of *music*, *sound*, and *background* image played on a state  $s$  of a *karaoke* object.  $Q(s)$  indicates QoS of the state  $s$  of the *karaoke* object.  $Q(s_1)$  dominates  $Q(s_2)$  ( $Q(s_1) \succeq Q(s_2)$ ) iff a state  $s_1$  supports better QoS than another state  $s_2$ . For example,  $\langle 30[\text{fps}], 16[\text{colours}] \rangle \preceq \langle 40, 64 \rangle$ . The formal definition of the relation  $\preceq$  is discussed in papers [9, 10] Since “ $\preceq$ ” is a partially ordered relation, a *least upper bound* (*lub*)  $q_1 \cup q_2$  of QoS  $q_1$  and  $q_2$  is some QoS  $q_3$  in  $S$  such that 1)  $q_1 \preceq q_3$  and  $q_2 \preceq q_3$ , and 2) no QoS  $q_4$  where  $q_1 \preceq q_4 \preceq q_3$  and  $q_2 \preceq q_4 \preceq q_3$ . For example,  $\langle 30[\text{fps}], 1024[\text{colours}] \rangle \cup \langle 40[\text{fps}], 512[\text{colours}] \rangle = \langle 40, 1024 \rangle$ .

An application requires an object to support *requirement* QoS (RoS). Let  $r$  be RoS of an application. If  $Q(play(s)) \succeq r$ , the application can accept the *karaoke* service supported thought the method *play* since enough QoS is supported.

An object  $k_1$  created from the *karaoke* class is also composed of a *music* object  $m_1$ , *words* object  $w_1$ , and *background* object  $b_1$ . Another *karaoke* object  $k_2$  is same as  $k_1$  except that the *background* object of  $k_2$  is  $b_2$  ( $\neq b_1$ ). An application considers a pair of the objects  $k_1$  and  $k_2$  to be *consistent* since the application is interested in only *words* and *music*.

If the application is interested in state and QoS of a component class, the component class is referred to as *state* ( $S$ ) and *QoS* ( $Q$ ) *significant*, respectively. A class  $c$  is referred to as  $SQ$ ,  $S$ , and  $Q$ -type if the class  $c$  is  $S$  and  $Q$ ,  $S$ , and  $Q$ , respectively. In addition, if  $c$  is not significant,  $c$  is referred to as  $N$  significant.  $stype(c)$  shows a significant type of a class  $c$  ( $\in \{SQ, S, Q, N\}$ ). For example, classes *words* and *music* are  $SQ$ -types. Because no people can do the *karaoke* without both the classes with enough QoS. On the other hand, a class *background* is an  $N$ -type, in which applications are not interested. Mandatory and optional classes [13, 14] show  $SQ$  and  $N$ -types, respectively. We extend the consistent relations by newly considering the significant types  $SQ$ ,  $S$ ,  $Q$ , and  $N$  of component classes. There are following types of consistent relations between a pair of states  $s_t$  and  $s_u$  of a class  $c$ . Here, let  $c_i$  indicate a component class of a class  $c$ .

For a pair of significant types  $\alpha$  and  $\beta$ , “ $\alpha / \beta$ ” stands for “ $\alpha$  or  $\beta$ ”.

- $s_t$  is *state* and *QoS* ( $SQ$ ) *consistent* with  $s_u$  ( $s_t - s_u$ ) iff  $s_t = s_u$ .
- $s_t$  is *state* ( $S$ ) *consistent* with  $s_u$  ( $s_t \sim s_u$ ) iff  $s_t$  and  $s_u$  are obtained by degrading QoS of some state  $s$  of  $c$ .
- $s_t$  is *QoS* ( $Q$ ) *consistent* with  $s_u$  ( $s_t \frown s_u$ ) iff  $s_t \sim s_u$  and  $Q(s_t) = Q(s_u)$ .
- $s_t$  is *semantically*  $SQ$  (*Sem-SQ*) *consistent* with  $s_u$  ( $s_t \equiv s_u$ ) iff  $s_t - s_u$  or  $c_i(s_t) \equiv c_i(s_u)$  for every  $SQ$ -type component class  $c_i$ .
- $s_t$  is *semantically*  $S$  (*Sem-S*) *consistent* with  $s_u$  ( $s_t \simeq s_u$ ) iff  $s_t \sim s_u$  or  $c_i(s_t) \simeq c_i(s_u)$  for every  $SQ/S$ -type component class  $c_i$ .
- $s_t$  is *semantically*  $Q$  (*Sem-Q*) *consistent* with  $s_u$  ( $s_t \sqsupset s_u$ ) iff  $s_t \frown s_u$  or  $c_i(s_t) \sqsupset c_i(s_u)$  for every  $Q$ -type component class  $c_i$ .

Furthermore, there are following types of consistent relations with respect to *RoS*. Here, let  $r$  be some *RoS* instance.

- $s_t$  is *state* and *RoS*  $r$  ( $S[r]$ ) *consistent* with  $s_u$  ( $s_t - [r] s_u$ ) iff  $s_t - s_u$  and  $Q(s_t) \cap Q(s_u) \succeq r$ .
- $s_t$  is  $[r]$  *consistent* with  $s_u$  ( $s_t \frown [r] s_u$ ) iff  $s_t \frown s_u$  and  $Q(s_t) \cap Q(s_u) \succeq r$ .
- $s_t$  is *semantically*  $S[r]$  (*Sem-S[r]*) *consistent* with  $s_u$  ( $s_t \equiv [r] s_u$ ) iff  $s_t - [r] s_u$  or  $c_i(s_t) \equiv [r] c_i(s_u)$  for every  $Q$ -type component class  $c_i$ .
- $s_t$  is *semantically*  $[r]$  (*Sem-[r]*) *consistent* with  $s_u$  ( $s_t \sqsupset [r] s_u$ ) iff  $s_t \frown [r] s_u$  or  $c_i(s_t) \sqsupset [r] c_i(s_u)$  for every  $Q$ -type component class  $c_i$ .

If a pair of states  $s_t$  and  $s_u$  are  $SQ$ -consistent with one another, ( $s_t - s_u$ ), both state and QoS of  $s_t$  and  $s_u$  are the same, i.e.  $s_t = s_u$  and  $Q(s_t) = Q(s_u)$ . In Figure 1, suppose every image object is fully colored and *sound* object supports a stereo type of sound. A state  $s_1$  is obtained by changing state  $s$  of the *car* object with *monochromatic* image. Another state  $s_2$  is obtained by changing the *sound* object with *monochromatic* one. Here,  $s_1$  and  $s_2$  are  $S$ -consistent ( $s_t \frown s_u$ ) but not  $SQ$ -consistent ( $s_t - s_u$ ). Thus,  $s_t$  is  $S$ -consistent with  $s_u$  but  $Q(s_t)$  and  $Q(s_u)$  may not be the same.

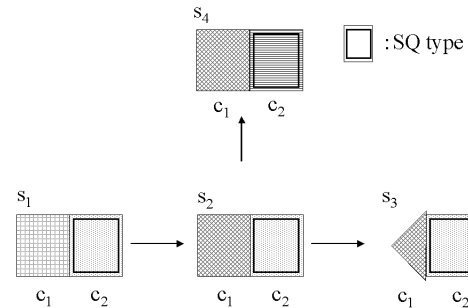


Figure 3. State and QoS change.

Figure 3 shows state and QoS change of an object of a class  $c$  with two component classes  $c_1$  and  $c_2$ . First, QoS of a state  $s_1$  of the component class  $c_1$  of

$c$  is changed to another state  $s_2$  and then the state of  $c_1$  is changed to  $s_3$ . Here, suppose  $c_1$  and  $c_2$  are  $N$ - and  $SQ$ -types, respectively. A pair of the states  $s_1$  and  $s_2$  are  $S$ -consistent ( $s_1 \sim s_2$ ) because  $c_2(s_1) = c_2(s_2)$  and  $c_1(s_2)$  is obtained just by changing QoS of  $c_1(s_1)$ . In addition, the states  $s_1, s_2$ , and  $s_3$  are semantically  $SQ$ -consistent ( $s_1 \equiv s_2 \equiv s_3$ ) since  $c_2(s_1) = c_2(s_2) = c_2(s_3)$  for the  $SQ$ -type class  $c_2$ . Next, suppose QoS of the component class  $c_2$  of  $s_2$  is changed to  $s_4$ . Here,  $s_2$  and  $s_4$  are not  $SQ$ -consistent because  $c_2(s_2) \neq c_2(s_4)$ . Let  $R$  be a set of possible RoS instances, named *RoS set*. Let  $-_R, \equiv_R, \frown_R$  and  $\lhd_R$  show sets  $\{ -_{[r]} \mid r \in R \}, \{ \equiv_{[r]} \mid r \in R \}, \{ \frown_{[r]} \mid r \in R \},$  and  $\{ \lhd_{[r]} \mid r \in R \}$  which are referred to as *SR-consistent, semantically SR-consistent, R-consistent, and semantically R-consistent relations*, respectively. Here, let  $SQ, S, Q, Sem-SQ, Sem-S, Sem-Q, SR, R, Sem-SR,$  and  $Sem-R$  denote consistency sets of possible  $SQ, S, Q, sem-SQ, Sem-S, Sem-Q, SR, R, Sem-SR,$  and  $Sem-R$  consistent relations of a class  $c$ . Let  $\mathbf{C}$  be a consistency family which is a family  $\{SQ, S, Q, Sem-SQ, Sem-S, Sem-Q, SR, R, Sem-SR, Sem-R\}$  of the sets of the consistent relations. For a consistency set  $\alpha$  in the consistency family  $\mathbf{C}$ , let  $\square_\alpha$  show an  $\alpha$ -consistent relation. For example,  $\square_\equiv$  stands for the semantically  $SQ$  ( $Sem-SQ$ ) consistent relation  $\equiv$ . For a pair of methods  $op_1$  and  $op_2$  of a class  $c$ , " $op_1 \square_\alpha op_2$ " shows that an  $\alpha$ -consistent relation  $op_1(s) \square_\alpha op_2(s)$ " holds for every state  $s$  of a class  $c$ . For a pair of consistency sets  $\alpha$  and  $\beta$  in  $\mathbf{C}$ , " $\alpha$  dominates  $\beta$ " ( $\alpha \rightarrow \beta$ ) means  $\alpha \subseteq \beta$ , showing that  $s_t \square_\beta s_u$  if  $s_t \square_\alpha s_u$  for every pair of states  $s_t$  and  $s_u$  of a class  $c$ . Figure 4 shows a Hasse diagram where a node  $\alpha$  shows a consistency set  $\alpha$  in  $\mathbf{C}$  and a directed edge from a node  $\alpha$  to another node  $\beta$  shows a dominant relation " $\alpha \rightarrow \beta$ ". For example, " $SQ \rightarrow Sem-SQ$ " means that  $s_1 \equiv s_2$  if  $s_1 \sim s_2$  for every pair of states  $s_1$  and  $s_2$ . Let  $r_1$  and  $r_2$  show a pair of RoS instances, i.e.  $r_1, r_2 \in R$ . That is,  $r_1$  dominates  $r_2$  ( $r_1 \rightarrow r_2$ ) iff  $r_1 \succeq r_2$ . Here,  $r_1 = \langle 40[\text{fps}], 1024[\text{colours}] \rangle \succeq r_2 = \langle 30[\text{fps}], 512[\text{colours}] \rangle$ . Suppose  $r_1 \rightarrow r_2$ .  $s_1 \equiv_{[r_2]} s_2$  if  $s_2 \equiv_{[r_1]} s_1$ .  $[r_1] \leftarrow [r_2], S[r_1] \leftarrow S[r_2], Sem-S[r_1] \leftarrow Sem-S[r_2],$  and  $Sem-[r_1] \leftarrow Sem-[r_2]$ .

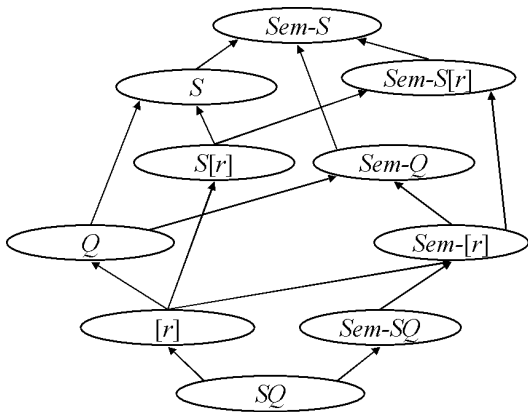


Figure 4. Hasse diagram.

Now, we define an  $\alpha$ -compatible relation  $\diamond_\alpha$  on methods of a class  $c$  for  $\alpha$  in  $\mathbf{C}$ .

**[Definition]** A method  $op_t$  is  $\alpha$ -compatible with a method  $op_u$  for a consistency set  $\alpha$  in  $\mathbf{C}$  ( $op_t \diamond_\alpha op_u$ ) iff  $op_t \circ op_u(s) \square_\alpha op_u \circ op_t(s)$  for every state  $s$  of

a class  $c$ .  $\square$

$op_t$  is referred to as  $\alpha$ -conflict with  $op_u$  ( $op_t \not\Diamond_\alpha op_u$ ) iff  $op_t$  is not  $\alpha$ -compatible with  $op_u$ . Here,  $\diamond_\alpha$  is assumed to be symmetric but not transitive. Let  $\alpha$  and  $\beta$  be consistency sets in  $\mathbf{C}$ . If  $op_t \diamond_\alpha op_u$ ,  $op_t$  and  $op_u$  are allowed to be performed in any order with respect to a consistency set  $\alpha$ .

**[Theorem]**  $\diamond_\alpha \subseteq \diamond_\beta$  iff  $\alpha \leftarrow \beta$ .  $\square$

A same Hasse diagram as Figure 4 holds for conflicting and compatible relations. For example,  $op_t \diamond_\equiv op_u$  if  $op_t \diamond_- op_u$  since  $Sem-SQ \leftarrow SQ$ .

Next, let us consider whether or not a pair of methods  $op_t$  and  $op_u$  can be concurrently performed with respect to some consistency set  $\alpha$  in  $\mathbf{C}$ . Let  $\{ op_t \parallel op_u(s) \}$  be a set of possible states obtained by concurrently performing  $op_t$  and  $op_u$  on a state  $s$  of a class  $c$ .

**[Definition]** A pair of methods  $op_t$  and  $op_u$  are  $\alpha$ -independent iff for every state  $s$  of a class  $c$ ,  $s' \square_\alpha op_1 \circ op_2(s)$  or  $s' \square_\alpha op_2 \circ op_1(s)$  for every state  $s' \in \{ op_1 \parallel op_2(s) \}$ .  $\square$

Here,  $op_t$  and  $op_u$  are  $\alpha$ -exclusive iff  $op_t$  and  $op_u$  are not  $\alpha$ -independent. If  $op_t$  and  $op_u$  are  $\alpha$ -exclusive,  $op_t$  and  $op_u$  cannot be concurrently performed on a state of a class  $c$  with respect to the consistency set  $\alpha$ . If  $op_t$  and  $op_u$  are concurrently performed, a state obtained by  $op_t \parallel op_u$  is not consistent with respect to  $\alpha$ . The  $\alpha$ -exclusive relations are also represented in a same Hasse diagram as Figure 4.

### 3 Hybrid Concurrency Control

#### 3.1 Timestamp ordering(TO) scheduler

Each object is provided with two types of concurrency control mechanisms; a timestamp ordering (TO) scheduler [1] and locking protocol [1] [Figure 5]. The TO scheduler is used to serialize conflicting methods issued by transactions with consistency sets. An object is locked in order to realize mutual exclusion among methods. Each transaction  $T$  is assigned a timestamp  $ts(T)$  which shows a local time when  $T$  is initiated. For every pair of different transactions  $T_1$  and  $T_2$ , either  $ts(T_1) < ts(T_2)$  or  $ts(T_1) > ts(T_2)$ . Every method  $op$  issued by a transaction  $T$  carries the timestamp  $ts(T)$ , i.e.  $ts(op) = ts(T)$ . We assume each transaction issues a method by using a synchronous remote procedure call.

Each transaction  $T$  manipulates objects according to some consistency set  $\alpha$  in the consistency family  $\mathbf{C}$  ( $type(T) = \alpha$ ).  $T$  issues a method  $op$ , where  $type(op) = type(T)$ . Transactions issue requests of methods to the TO scheduler of an object  $o$ . The methods are buffered and are ordered in the TO scheduler according to the following timestamp ordering (TO) rule:

**[Consistent timestamp ordering (TO) rule]** For every pair of methods  $op_1$  and  $op_2$  on an object  $o$ ,  $op_1$  precedes  $op_2$  in the TO scheduler of the object  $o$  ( $op_1 \Rightarrow_o op_2$ ) if  $op_1$   $\alpha$ -conflicts with  $op_2$  ( $op_1 \not\Diamond_\alpha op_2$ ),  $ts(op_1) < ts(op_2)$ , and  $\alpha = type(op_1) \cap type(op_2)$ .  $\square$

Suppose there are a pair of transactions  $T_1$  and  $T_2$  where  $ts(T_1) < ts(T_2)$ . The transaction  $T_1$  issues a method *grayscale* and the other transaction  $T_2$  issues another method *add-car* to the *background* object  $b$  of Figure 1. Suppose  $type(T_1) = type(T_2) = Q$ . Since *grayscale*  $Q$ -conflicts with *add-car*,  $T_1$   $Q$ -conflicts

with  $T_2$ . *grayscale* has to precede *add-car* in the TO scheduler of the object  $b$  ( $grayscale \Rightarrow_b add-car$ ) since  $ts(grayscale) < ts(add-car)$ . Next, suppose  $type(T_1) = Q$  and  $type(T_2) = r$  where RoS  $[r]$  shows “application is not interested in the colour of a car.”  $Q \cap [r] = [r]$  since *grayscale* and *add-car* are  $[r]$ -compatible ( $grayscale \sqcap_{[r]} add$ ). Hence,  $add-car \Rightarrow_b grayscale$  even if  $ts(grayscale) < ts(add-car)$ .

First, suppose a transaction  $T$  issues a method  $op$  to the TO scheduler of an object  $o$ . There is a variable  $mts(op)$  showing the timestamp of a method  $op$  which is most recently started on the object  $o$ . The variable  $mts(op)$  is initially 0. The method  $op$  is stored in the TO scheduler according to the ordering rule as “ $op'$  precedes  $op$  ( $op' \Rightarrow_o op$ )” if  $mts(op') < ts(op)$  for every method  $op'$   $\alpha$ -conflicting with the method  $op$ . Otherwise,  $op$  is rejected and then the transaction  $T$  is aborted. The number of transactions to be aborted can be reduced if a top method  $op$  of the TO scheduler is delayed as discussed in the paper [1].

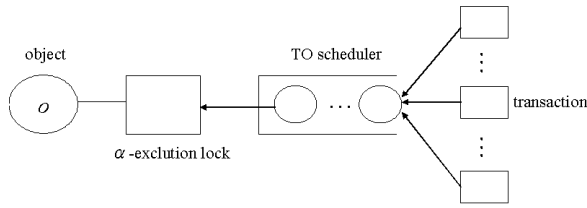


Figure 5. TO scheduler and locking.

Suppose there are a pair of transactions  $T_1$  and  $T_2$  which manipulate a *background* object  $b$  where  $ts(T_1) < ts(T_2)$ . The transaction  $T_1$  issues a method *grayscale* and  $T_2$  issues *add-car* to the object  $b$ . Suppose  $type(T_1) = Q$  and  $type(T_2) = S$ .  $S = Q \cap S$ . Since *grayscale*  $S$ -conflicts with *add-car*, *grayscale* is required to precede *add-car* in the TO-scheduler of the object  $b$ . Next, suppose  $type(T_1) = type(T_2) = r'$  (“not interested in colour of car”). Since *grayscale* is  $[r']$ -compatible with *add-car*, *add-car* can precede *grayscale* in the TO scheduler of the object  $b$ .

### 3.2 Serializability

Let  $T_i$  and  $T_j$  be a pair of transactions issuing methods  $op_i$  and  $op_j$  to an object  $o$ , respectively. The transaction  $T_i$   $\alpha$ -precedes  $T_j$  with respect to a consistency set  $\alpha$  ( $T_i \xrightarrow{\alpha} T_j$ ) iff  $op_i$   $\alpha$ -conflicts with  $op_j$  ( $op_i \not\sqsubset_{\alpha} op_j$ ) where  $\alpha = type(T_i) \cap type(T_j)$  and  $op_i$  is started before  $op_j$  on the object  $o$ . The  $\alpha$ -precedent relation  $\xrightarrow{\alpha}$  is transitive.

**[Theorem]** A transaction  $T_i$   $\alpha'$ -precedes another transaction  $T_j$  ( $T_i \xrightarrow{\alpha'} T_j$ ) with respect to a consistency set  $\alpha'$  if  $T_i \xrightarrow{\alpha} T_j$  and  $\alpha' \rightarrow \alpha$ .  $\square$

**[ $\alpha$ -Serializability]** A collection  $T$  of transactions  $T_1, \dots, T_m$  are  $\alpha$ -serializable with respect to a consistency set  $\alpha$  if both  $T_i \xrightarrow{\alpha} T_j$  and  $T_j \xrightarrow{\alpha} T_i$  do not hold for every pair of transactions  $T_i$  and  $T_j$  where  $\alpha = type(T_i) \cap type(T_j)$ .  $\square$

Let  $SQ, S, Q, R, Sem-SQ, Sem-S, Sem-Q,$  and  $Sem-R$  be sets of possible transactions which are  $SQ, S, Q, R, Sem-SQ, Sem-S, Sem-Q,$  and  $Sem-R$ -serializable, respectively. Let  $SR$  be a family  $\{SQ,$

$S, Q, R, Sem-SQ, Sem-S, Sem-Q,$  and  $Sem-R\}$  of the transaction sets. For a pair of transaction sets  $\alpha_1$  and  $\alpha_2$  in  $SR$ , “ $\alpha_1 \rightarrow \alpha_2$ ” shows  $\alpha_1 \subseteq \alpha_2$ . Let  $T$  be a set of  $\{T_1, \dots, T_n\}$  of transactions. Suppose  $\alpha_1 \rightarrow \alpha_2$  for  $\alpha_1, \alpha_2 \in SR$ .  $T$  is  $\alpha_2$ -serializable if  $T$  is  $\alpha_1$ -serializable. For example,  $T$  is  $Q$ -serializable if  $T$  is  $S$ -serializable. The Hasse diagram for  $SR$  and  $\rightarrow$  is isomorphic with Figure 4.

**[Serializability]** A set  $T$  of transactions is  $\alpha$ -serializable iff  $\xrightarrow{\alpha}$  is acyclic for every consistent set  $\alpha$  in  $C$ .  $\square$

### 3.3 Locking protocol

A top method  $op$  in the TO scheduler is first taken. We have to decide whether or not the method  $op$  can be performed on an object  $o$ . A set of methods which are being performed on the object  $o$  is stored in a variable  $R_o$ . If the method  $op$  satisfies the following execution rule,  $op$  is removed from the TO scheduler and is performed on the object  $o$ :

**[Execution rule]** If one of the following rules is satisfied, a method  $op$  is performed on an object  $o$ ,

1.  $R_o$  is empty.
2. If  $R_o$  is not empty,  $op$  is not  $\alpha$ -exclusive with every method  $op'$  in  $R_o$  where  $\alpha = type(op) \cap \{type(op') \mid op' \in R_o\}$ .  $\square$

If the method  $op$  completes,  $op$  is removed from  $R_o$ . If  $op$  does not satisfy the execution rule, the method  $op$  waits in the TO scheduler.

In order to realize the execution rule, the locking mechanism is adopted. For a top method  $op$  in the TO scheduler, a lock request of a mode  $\mu_{\alpha}(op)$  is issued to the object  $o$  where  $\alpha = type(op)$ . For every method  $op'$  in  $R_o$ , if  $\mu_{\alpha}(op')$  is not  $\alpha'$ -exclusive with  $\mu_{\alpha}$  are  $\alpha' = \alpha' \cap \alpha$ , the object  $o$  is locked in the mode  $\mu_{\alpha}(op)$ . If succeeded in locking the object  $o$ , the method  $op$  is started performed and  $op$  is added to  $R_o$ . Here,  $mts(op) := \max(ts(op), mts(op))$ . Otherwise, the method  $op$  is kept waited in the TO scheduler.

Suppose the top method  $op$  in the TO scheduler does not satisfy the execution rule. The method  $op$  has to stay in the TO scheduler. Until the top method satisfies the execution rule, i.e. the object is locked, every method has to wait in the TO scheduler. In order to increase the throughput, another methods than the top method is tried to be performed. A method which is  $\alpha$ -compatible with  $op$  and preceded by  $op$  in the TO scheduler can be performed on the object  $o$ .

**[Definition]** A method  $op$  is  $\alpha$ -ready in the TO scheduler of an object  $o$  with respect to a consistency set  $\alpha$  iff  $op$  satisfies the  $\alpha$ -execution rule and every method  $op'$  preceding  $op$  in the TO scheduler is  $\alpha$ -compatible with  $op$  and  $\alpha = type(op) \cap type(op')$ .  $\square$

An  $\alpha$ -ready method  $op$  is referred to as *top  $\alpha$ -ready method* in the TO scheduler iff  $op$  precedes every other  $\alpha$ -ready methods in the TO scheduler. If the top  $\alpha$ -ready method  $op$  satisfies the execution rule,  $op$  is removed from the TO scheduler and then is performed on the object  $o$ . This is repeated until there is no  $\alpha$ -ready method in the TO scheduler.

Suppose there are a pair of transactions  $T_1$  and  $T_2$  where  $ts(T_1) < ts(T_2)$ .  $T_1$  issues a pair of methods  $op_1$  and  $op_2$  to objects  $x$  and  $y$ , respectively.  $T_2$  issues  $op_2$  and  $op_4$  to  $x$  and  $y$ , respectively.  $S_x$  and  $S_y$  show the TO schedulers of objects  $x$  and  $y$ , respec-

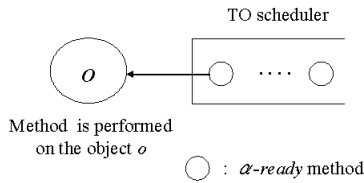


Figure 6.  $\alpha$ -ready method.

tively. Suppose that  $op_1$  *Sem-SQ*-conflicts with  $op_2$  ( $op_1 \not\hat{=} op_2$ ) and ( $op_3 \not\hat{=} op_4$ ).  $op_1$  precedes  $op_2$  in the TO scheduler  $S_x$  ( $op_1 \Rightarrow_x op_2$ ) and  $op_3 \Rightarrow_y op_4$ . In the TO scheduler  $S_x$ ,  $op_1$  is removed and is performed on the object  $x$ . Then,  $op_2$  is examined for the execution rule. Since  $op_2$  is *Sem-SQ*-exclusive with  $op_1$ ,  $op_2$  is kept waited in  $S_x$  until  $op_1$  completes. On the other hand, after  $op_3$  is started on the object  $y$ ,  $op_4$  is performed because  $op_4$  is not *Sem-SQ*-exclusive with  $op_3$ .

If a method  $op$  completes and the lock of  $op$  is released, the procedure presented here is applied from the top method in the TO scheduler.

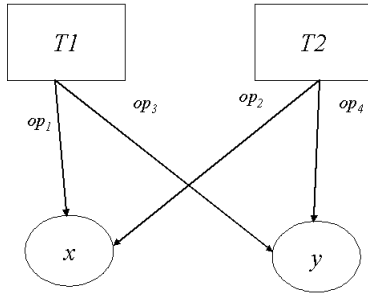


Figure 7. Concurrent access.

### 3.4 Commitment

We discuss how a transaction terminates. A transaction issues special types of methods *commit(c)* and *abort(a)* to objects in addition to methods to manipulate the objects. Suppose a transaction  $T$  issues methods  $op_1, \dots, op_m$  to an object  $o$ . After the methods  $op_1, \dots, op_m$  are performed on the object  $o$ , the transaction  $T$  issues a commit method  $c$  to the object  $o$ , and the commit method  $c$  is performed on the object  $o$ . Here, the locks held by the methods  $op_1, \dots, op_m$  are released. Similarly, the locks are released if *abort(a)* is performed. That is, a strict two-phase locking protocol [1] is adopted. Each of commit and abort methods is timestamped as well as the other methods.

**[Definition]** Let  $e_1$  and  $e_2$  be commit or abort methods of an object  $o$  issued by transactions  $T_1$  and  $T_2$ , respectively.  $e_1$  precedes  $e_2$  in the TO scheduler ( $e_1 \Rightarrow_o e_2$ ) if  $ts(e_1) < ts(e_2)$  and  $T_1$   $\alpha$ -conflicts with  $T_2$  when  $\alpha = type(T_1) \cap type(T_2)$ .  $\square$

Suppose a top method is a commit method  $c$  of a transaction  $T$  in the TO scheduler of an object  $o$ . If the commit  $c$  satisfies the execution rule,  $c$  is removed. The object  $o$  is physically updated and the lock of the object  $o$  is released. If the top method is an abort method  $a$ , the lock of the object  $o$  is just released.

## 4 Concluding Remarks

In this paper, we defined novel types of consistent relations among methods by taking into account QoS change in addition to state change. Based a consistent relation, we defined the conflicting relations. We discussed concurrently control with two mechanisms time ordering (TO) and locking protocols.

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