

# An On-Line Consistency Preservation Algorithm for Distributed Database Systems with Broadcast Communication Function

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## Abstract

An on-line scheduler for consistency preservation of distributed database systems with broadcast communication function is proposed. Each local subdatabase system (SDBS) has an identical scheduler. These schedulers manage only their respective local data items. Every transaction is executed by one SDBS. A scheduler communicates with other SDBS's only if data items of another SDBS are read (or written) in the transaction. Our goal is a SDBS which can act both as a local system and as a total system. In other words, a system wherein each SDBS shall work as a stand-alone database system while preserving only local information in itself.

We assume that there is no copy of the data items. We further assume the scheduler can know the sets of the data items in each transaction  $t$  which are read and written when the transaction  $t$  is started. The maximum number of communications to other SDBS's for each read (or write) operation is  $(\text{SDBS's} + 1)$ . Our scheduling algorithm accepts the class of the read-write histories which includes properly the class of the CPSR.

## 1 Introduction

Local area networks (LAN's) or satellite communication networks which have broadcast communication functions are widely used nowadays. We propose an on-line schedule algorithm (hereinafter DSA) which utilizes the broadcast communication function for consistency preservation (through the preservation of serializability) of distributed database systems.

The problem of preserving consistency (through the preservation of serializability) is generally a NP-complete problem[1]. Many polynomial time algorithms which accept subclasses of serializable histories (the sequences of read or write commands executed by the scheduler) are known[1,2,3] (For example, CPSR proposed by P.A.Bernstein, etc.). For distributed database systems, SDD1[5] applies the time-stamp. Our algorithm DSA accepts the class of histories which include properly the class of the CPSR and also the class accepted by SDD1.

The distributed database system (hereinafter DDBS) is composed of multiple local subdatabase systems (hereinafter SDBS's) and one broadcast communication line. Our goal is a SDBS which can act both as a local system and as a total system. In other words, a system wherein each SDBS shall work as a stand-alone

database system while preserving local information in itself. Each SDBS has an identical scheduler which manages its local data items. The only cost criterion is the number of broadcasts.

Database  $D$  is a set of data items of the DDBS. For our purposes, the granularity of the data items is unimportant. The set of data items  $D(s)$  is a subset of  $D$  which is managed by a SDBS "s". A transaction is a program which is managed by an SDBS.

We define transaction  $t$  as an acyclic flowchart of read(or write) commands. SDBS  $s(t)$  is a sub-database system which executes transaction  $t$ . The two subsets of set  $D$  in transaction  $t$  are defined as follows:

The readset  $R(t)$  is a set of data items which are read by transaction  $t$ , and the writeset  $W(t)$  is a set of data items which are written by transaction  $t$ . The scheduler of a SDBS  $s(t)$  can know the readset  $R(t)$  and the writeset  $W(t)$  when execution of transaction  $t$  is started. There are two types of transactions. If the readset  $R(t)$  and the writeset  $W(t)$  of transaction  $t$  are both included  $[D(s(t))$ : the set of data items in SDBS  $s(t)$ ], then transaction  $t$  is a L-transaction. In any other case, transaction  $t$  is a M-transaction.

The following are assumptions about the communication model.

- (1) Only one message is transmitted at a time.

(2) Each message is received by all SDBS's simultaneously in the order of the transaction.

(3) There is no communication error.

(4) Each scheduler S of the SDBS has a number SI(S) and is totally ordered by the number.

If a read (or write) command of a transaction t reads (or writes) data items other than D(s(t)), then our algorithm DSA communicates with other SDBS's (n + 1) times to decide the acceptance of the read (or the write) command, where n is the total number of SDBS's in the DDBS. If a read (or write) command of a transaction t reads (or writes) data items in the D(s(t)) then scheduler S of the SDBS s(t) broadcasts a message only once. Further more, it is shown that our algorithm DSA is deadlock-free.

## 2 Terminology

Let  $D = \{x_1, \dots, x_m\}$  be the set of all data items.

The domain of data item  $x_i$  is  $dom(x_i)$ ,  $i = 1, \dots, m$ ; a database state is an element of  $dom(D) = dom(x_1) \times \dots \times dom(x_m)$ . We shall define the initial database state IS as consistent. Database state transition occurs by transactions. The set CD is the consistent database state which contains all of the database states which will be translated by any serial execution of transactions starting from the state IS.

Let  $G = \langle N, E \rangle$  be a directed acyclic graph. We can define a partial order  $\ll_G$  naturally on the set N. Let  $n_1$  and  $n_2$  be different nodes of graph G. We say that  $n_2$  is *reachable* from  $n_1$  in graph G iff there is a directed path from  $n_1$  to  $n_2$  in graph G. This shall be denoted  $n_1 \ll_G n_2$ . Also, we say that a set of nodes  $R(n_1)$  is *reachable* from the node  $n_1$  in the graph G iff

$$R(n_1) = \{n_i | n_i \text{ is a node of graph G and } n_1 \ll_G n_i\}$$

For simplicity, we consider that each transaction is a sequence of *commands* requested by a directed acyclic graph. Let  $T(t) = \langle N(t), E(t) \rangle$  be a directed acyclic graph for transaction t. Where

$$N(t) = \{C_i | C_i \text{ is a read or write command of the transaction } t\}$$

$E(t) = \{(C_i, C_j) | \text{command } C_j \text{ will be executed in } t \text{ following the command } C_i\}$ .

One start node and some end nodes are specified in T(t). The indegree of the start node is zero and the outdegree of each end node is zero. Each command specifies also the set of data items  $d(C_i)$  which are the actual parameters of command  $C_i$ . And we denote  $C_i(x, y)$  as  $d(C_i) = \{x, y\}$ . We represent " $C_i <_t C_j$ " to denote "there is a directed edge  $(C_i, C_j)$  in the set of edge E(t)". We say that a string  $S_t = C_1 \cdot C_2 \cdot \dots \cdot C_n$  of commands in N(t)

is an *execution sequence* of t iff

(1) command  $C_1$  is the start node of T(t)

(2) command  $C_n$  is an end node of T(t)

(3) for any  $C_i, C_{i+1} (1 \leq i \leq n - 1)$ ,  $C_i <_t C_{i+1}$ .

Let  $d(C_i)$  and  $d(C_j)$  be the set of data items which are the actual parameters of commands  $C_i$  and  $C_j$  respectively. We say that write commands  $C_i$  and  $C_j$  are in *ww-conflict* iff  $d(C_i) \cap d(C_j) \neq \phi$ . And, we say that read command  $C_i$  and write command  $C_j$  are in *rw-conflict* iff  $d(C_i) \cap d(C_j) \neq \phi$

Let ST be a set of transactions and let L be the union of the set of commands which are in N(t) for each transaction t. A *history*  $H = C_1 \cdot C_2 \cdot \dots \cdot C_n$  is a string of commands from the set L such that:

For each transaction t in the ST, the projection of the string H to the set N(t) of the commands is an execution sequence of t.

Any prefix of history H is a *subhistory* of H. We write " $C_1 <_H C_2$ " to denote;

"command  $C_1$  *proceeds* command  $C_2$  in history (or subhistory) H".

For example, if  $H = C_1 \cdot C_2 \cdot \dots \cdot C_n$  then  $C_i <_H C_j$  iff  $i < j$ .

Let  $H_s$  be a subhistory. We say that transaction t is an *ended* transaction if the projection of the subhistory  $H_s$  to the set of the commands N(t) is an execution sequence of t, and we say that transaction t is an *active* transaction if the projection of the subhistory  $H_s$  to the set of the commands N(t) is not an execution sequence of t.

Let  $E_t = FH_t \cdot PH_t$  be an execution sequence of the transaction t, and let  $H_s$  be a subhistory. We say that  $PH_t$  is a *rest execution sequence* of the active transaction t with subhistory  $H_s$  iff every command of  $FH_t$  appears in the subhistory  $H_s$  and no commands of  $PH_t$  appear in the subhistory  $H_s$ .

We say that a string of commands H is a *candidate history* with a subhistory  $H_s$  if  $H_s$  is a prefix of H. The set of all candidate histories with the subhistory  $H_s$  is denoted  $PH(H_s)$  and, we define a subset  $PSH(H_s)$  of  $PH(H_s)$  as follows:

Let  $PH_{e_i}$  be a rest execution sequence of the transaction  $t_{e_i}$  with the subhistory  $H_s$ ,

$PSH(H_s) = \{H | H = H_s \cdot PH_{e_1} \cdot PH_{e_2} \cdot \dots \cdot PH_{e_q}\}$ , where q is the number of active transactions in  $H_s$ .

We define the *rest of the readset* and *rest of the writerset* for active transactions in the subhistory  $H_s$ , respectively denoted

$RER(t, H_s)$  and  $REW(t, H_s)$  to be the set of data items where  $RER(t, H_s) = \{d_i | d_i \text{ is an element of the set } d(C_i), \text{ where } C_i \text{ is a read command of an active transaction } t \text{ in the subhistory}$

$H_s$  and the read command  $C_i$  is an element of a rest execution sequence of the transaction  $t$  with the subhistory  $H_s$  }

$REW(t, H_s) = \{d_i | d_i \text{ is an element of the set } d(C_i), \text{ where command } C_i \text{ is a write command of an active transaction } t \text{ in the subhistory } H_s, \text{ and the write command } C_i \text{ is an element of a rest execution sequence of the transaction } t \text{ with the subhistory } H_s\}$

We define that  $RER(t, H_s) = REW(t, H_s) = \phi$  for an ended transaction  $t$ .

Let a read command  $C_i$  of a transaction  $t_1$  and a write command  $C_j$  of a transaction  $t_2$  appear in a subhistory  $H_s$ . We say that read command  $C_i$  and  $REW(t, H_s)$  are in *rw-conflict* in the subhistory  $H_s$  iff  $d(C_i) \cap REW(t, H_s) \neq \phi$  and  $t_1 \neq t$ .

We say that write command  $C_j$  and  $RER(t, H_s)$  are in *rw-conflict* in the subhistory  $H_s$  iff

$$d(C_j) \cap RER(t, H_s) \neq \phi \text{ and } t_2 \neq t.$$

And, we say that write command  $C_j$  and  $REW(t, H_s)$  are in *ww-conflict* in the subhistory  $H_s$  iff  $d(C_j) \cap REW(t, H_s) \neq \phi$  and  $t_2 \neq t$ .

Let write commands  $C_i$  and  $C_j$  appear in a subhistory  $H_s$  such that  $C_i <_H C_j$  and let there be no read command  $C_r$  in the subhistory  $H_s$  such that  $C_i <_H C_r$  and  $d(C_i) \cap d(C_j) \cap d(C_r) \neq \phi$ . We say that write commands  $C_i$  and  $C_j$  are *ww-changeable* iff

We can make a set of data items CW which covers  $d(C_i) \cap d(C_j)$  and satisfies the following condition;

**Condition:** For any data item  $d_m$  in the set CW, there exists a write command  $C_w$  such that:

(1) The data item  $d_m$  is an element of  $d(C_w)$ .

(2)  $C_j <_H C_w$ .

(3) There is no read command  $C_r$  such that the data item  $d_m$  is an element of  $d(C_r)$  and  $C_j <_H C_r <_H C_w$ .

Intuitively, if write commands  $C_i$  and  $C_j$  are *ww-changeable* then all the data items of the set  $d(C_i) \cap d(C_j)$  are overwritten without being read in the subhistory  $H_s$ .

Two histories  $H_1$  and  $H_2$  are *equivalent* iff for every consistent database state S and for all interpretations (except read and write commands) of the transactions,  $H_1$  and  $H_2$  map the same final database state. Intuitively, two histories are equivalent if they have the same effect on the database for all interpretations of transactions and all initial database states.

**Proposition 1** Let  $H_1 = x_1 \cdot C_i \cdot x_2 \cdot C_j \cdot x_3$  be a history, and commands  $C_i, C_j$  be *ww-changeable*. The history  $H_1$  is equivalent to the history  $H_2 = x_1 \cdot C_j \cdot x_2 \cdot C_i \cdot x_3$ .

### 3 Serialization graph GS

A *serial history* H is a concatenation of execution sequences of all transactions in a certain order.

History H is *serializable* if there is a serial history which is equivalent to history H. A subhistory  $H_s$  is *serializable* iff there exists a serializable history H and  $H_s$  is a prefix of H. The decision problem of serializability (whether or not history H is serializable) is an NP-complete problem[1]. Then, we introduce a directed graph  $GS(H_s) = \langle V_{H_s}, E_{H_s} \rangle$  in which acyclicity is the sufficient condition for serializability of the subhistory  $H_s$ .

Let  $H_s$  be a subhistory. We define the directed graph  $GS(H_s) = \langle V_{H_s}, E_{H_s} \rangle$  of the subhistory  $H_s$ , where

$$V_{H_s} = \{t_i | \text{transaction } t_i \text{ appears in } H_s\}$$

$$E_{H_s} = E_{H_s, p} \cup E_{H_s, i}$$

$$E_{H_s, p} = \{(t_i, t_j) | t_i \text{ and } t_j \text{ satisfy conditions (1) or (2) below}\}$$

$$E_{H_s, i} = \{(t_i, t_j) | t_i \text{ and } t_j \text{ satisfy conditions (3) or (4) below}\}.$$

**Condition (1):** Commands  $C_{i_k}$  and  $C_{j_l}$  of transaction  $t_i$  and  $t_j$  respectively appear in the subhistory  $H_s$ . Commands  $C_{i_k}$  and  $C_{j_l}$  are in *rw-conflict*, and  $C_{i_k} <_H C_{j_l}$ .

**Condition (2):** Command  $C_{i_k}$  of transaction  $t_i$  appears in the subhistory  $H_s$  and,  $C_{i_k}$  and  $RER(t, H_s)$  or  $C_{i_k}$  and  $REW(t, H_s)$  are in *rw-conflict*.

**Condition (3):** Write commands  $C_{i_k}$  and  $C_{j_l}$  of transactions  $t_i$  and  $t_j$  respectively appear in the subhistory  $H_s$ . Commands  $C_{i_k}$  and  $C_{j_l}$  are in *ww-conflict* and are not *ww-changeable*.

**Condition (4):** The write command  $C_{i_k}$  of transaction  $t_i$  appears in the subhistory  $H_s$ , and the write command  $C_{i_k}$  and  $REW(t, H_s)$  are in *ww-conflict*.

**Proposition 2** Let  $H_1 = x_1 \cdot C_i \cdot C_j \cdot x_2$  be a history, and let commands  $C_i$  and  $C_j$  respectively be commands of transaction  $t_i$  and  $t_j$ . If transaction  $t_j$  is not reachable from transaction  $t_i$  in the graph  $GS(H_s)$ , then the history  $H_1$  is equivalent to the history  $H_2 = x_1 \cdot C_j \cdot C_i \cdot x_2$ .

**Theorem 1** If the directed graph  $GS(H_s) = \langle V_{H_s}, E_{H_s} \rangle$  of the subhistory  $H_s$  is acyclic, then subhistory  $H_s$  is serializable.

**Proof** We can define the total order  $\ll_E$  on the set of the transactions  $V_{H_s}$ . This total order is consistent with the partial order  $\ll_G$  S defined by the acyclic directed graph  $GS(H_s) = \langle V_{H_s}, E_{H_s} \rangle$ . Let  $S_h$  be the serial history of this total order  $\ll_E$  and let H be a history which is an element of the set  $PSH(H_s)$  where;

$$H = H_s \cdot H_{e_1} \cdot H_{e_2} \dots \cdot H_{e_q}, \text{ and where if } i < j \text{ then } e_i \ll_E e_j. \text{ Using proposition 2, we can make the serial history } S_h \text{ by}$$

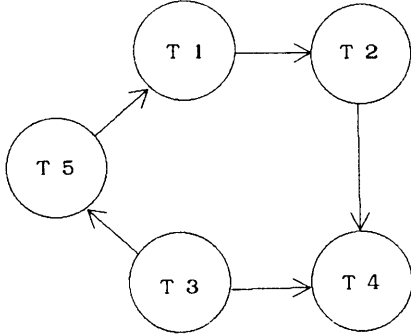


Figure 1: The graph  $GS(H)$  of the Example 1.

rearranging the commands in  $H$  so as to become equivalent to the serial history  $S_h$ .  $\square$

**Example 1** Let  $t_1, \dots, t_5$  be five straight line transactions such that  $t_1 = R_1\{x\} \cdot W_1\{u\}$ ,

$$t_2 = R_2\{a\} \cdot W_2\{x, y\},$$

$$t_3 = R_3\{z\} \cdot W_3\{y, c\},$$

$$t_4 = R_4\{b\} \cdot W_4\{y\},$$

$$t_5 = R_5\{u\} \cdot W_5\{z\},$$

and

$$H = R_1\{x\} \cdot R_2\{a\} \cdot W_2\{x, y\} \cdot R_3\{z\} \cdot R_4\{b\} \cdot W_3\{y, c\} \cdot W_4\{y\} \cdot R_5\{u\} \cdot W_5\{z\} \cdot W_1\{u\}.$$

Figure 1 shows the graph  $GS(H)$ .

In this case, command  $W_2$  and  $W_3$  are ww-changeable and the history  $H$  is equivalent to the serial history

$$S_h = R_3\{z\} \cdot W_3\{y, c\} \cdot R_5\{u\} \cdot W_5\{z\} \cdot R_1\{x\} \cdot W_1\{u\} \cdot R_2\{a\} \cdot W_2\{x, y\} \cdot R_4\{b\} \cdot W_4\{y\}.$$

History  $H$  is not in the class CPSR.

Let  $H$  be a history and  $GS(H) = \langle V_H, E_H \rangle$ . A directed graph  $CGS(H) = \langle V_H, CE_H \rangle$  is defined as follows:

$CE_H = E_H \cup \{(t_i, t_j) \mid \text{There exist two write commands (command } C_i \text{ of transaction } t_i \text{ and command } C_j \text{ of transaction } t_j) \text{ in the history } H \text{ such that } C_i <_H C_j \text{ and are ww-changeable in the history } H \}$ .

For history  $H$  of the class CPSR, the graph  $CGS(H) = \langle V_H, CE_H \rangle$  is acyclic. If graph  $CGS$  is acyclic, then graph  $GS$  is also acyclic. From this, we get the following proposition.

**Proposition 3** *The class of history  $H$  whose graph  $GS(H)$  is acyclic includes properly the class of the CPSR.*

## 4 The scheduler and protocols

Database  $D$  is partitioned into the data item sets  $D(s)$  for each SDBS  $s$ . Let  $H_s = C_1 \cdot C_2 \cdot \dots \cdot C_m$  be a subhistory and  $C_i(s)$  be the restricted command to the  $D(s)$  of the command  $C_i$  such that  $d(C_i(s)) = D(s) \cap d(C_i)$ . We define the subhistory of SDBS  $s$  denoted  $H_s(s)$  as follows:

$HH_s(s) = C_1(s) \cdot C_2(s) \cdot \dots \cdot C_m(s)$ ,  $d(C_i(s)) = d(C_i) \cap D(s)$  ( $1 \leq i \leq m$ ). From  $HH_s(s)$ , we get the subhistory  $H_s(s)$  of SDBS  $s$  to remove the commands such that  $d(C_i(s)) = \phi$ .

All schedulers in the DDBS memorize the following three data:

(1) A subhistory  $H_s(s)$  of the SDBS  $s$ .

(2) A directed graph  $SGS(H_s(s)) = GS(H_s(s))$ .

(3) List  $LR(s)$  of reachable sets  $R(t)$  from each transaction  $t$  in the graph  $SGS(H_s(s))$ .

For each read (or write) command, on-line schedulers check the acyclicity of the graph  $GS(H_s)$ .

Let  $H_s$  be the subhistory which was executed in the system DDBS. When a command  $C$  is requested by a transaction  $t$  of a SDBS  $s$ , each scheduler shall make a temporary graph  $SGS(H_s(s_i) \cdot C(s_i))$ . The schedulers check the acyclicity of the graph  $GS(H_s \cdot C)$ . If it is acyclic, then command  $C$  is accepted and,

(1). Graph  $SGS(H_s(s))$  is updated to the graph  $SGS(H_s(s) \cdot C(s))$ .

(2). The subhistory  $H_s(s)$  is updated to the subhistory  $H_s(s) \cdot C(s)$ .

(3). Every reachable set  $R(t)$  is updated.

If the graph is acyclic, command  $C$  is rejected and placed in a queue.

Graph  $SGS(H_s, s)$ , subhistory  $H_s(s)$  of the SDBS  $s$  and all reachable sets  $R(t)$  are preserved in their former states (the scheduler  $S(s(t))$  does not update any data).

For all queued commands  $C_w$ , every time a command is accepted, the scheduler tries to accept command  $C_w$  in the same manner as in which command  $C_w$  was previously requested. During the acyclic check of command  $C$  in a M-transaction (called the *check phase* of command  $C$ ), all other commands are queued.

The schedulers use the following three messages for the preservation of serializability:

(1). Message  $M1(t, C_i, RER(t), REW(t))$ : Where command  $C_i$  of a M-transaction  $t$ , and parameters  $RER(t)$  and  $REW(t)$  are defined when command  $C_i$  is accepted.

(2). Message  $M2(LRT, SI)$ : Where  $LRT$  is the list of reach-

able sets from each M-transaction in the graph  $GS(H_s \cdot C_i)$ , and  $SI$  is the number of the scheduler which requested the broadcasting of this message  $M2$ .

(3). Message  $M3$  : The information that a cycle has been detected.

After a message  $M1(t, C_i, RER(t), REW(t))$  has been broadcast, thereafter all schedulers can send only messages  $M2$  or  $M3$  to check the acyclicity of command  $C_i$ . All other messages are queued. The command  $C$  check phase ends when message  $M3$  is broadcast or when all schedulers broadcast message  $M2$  (there is no cycle in the graph  $GS(H_s \cdot C_i)$ ).

Every scheduler  $S$  is assigned a number  $SI(S)$ . These schedulers are totally ordered by their number. We denote this order  $<_S$ . In the check phase of a command  $C$ , every scheduler  $S_i$  sends a request for message  $M2$  (or  $M3$ ) to be broadcast. These broadcast requests are sent in ascending order by scheduler number.

## 5 The algorithms DSA and analysis

### 5.1 The algorithms DSA for a command of a M-transaction

For a command  $C$  of a M-transaction  $t$ , the scheduler  $S$  of a SDBS  $s(t)$  sends a request for a message  $M1(t, C, RER(t), REW(t))$  to be broadcast if there are any data items in the set  $d(C)$  which are not included in  $D(s(t))$ . If the set of data items  $d(C)$  is included in  $D(s(t))$ , then our algorithms DSA treats it as a command of a L-transaction.

Let  $TLR$ ,  $BLR$  be lists of transaction sets. These lists exist in each scheduler for working areas. Initially list  $BLR$  is an empty list. The elements of each list for each transaction  $t$  are respectively denoted  $TRT(t)$  and  $BLR(t)$ . The element  $TRT(t)$  of the list  $TRT$  is the subset of transactions reachable from transaction  $t$  in the graph  $GS(H_s \cdot C)$ . The element  $BLR(t)$  of the list  $BLR$  is a set of already broadcast transactions reachable from transaction  $t$  in the graph  $GS(H_s \cdot C)$ .

Each scheduler executes the following algorithms according to the message received. ( $M1$ ,  $M2$ , or  $M3$ )

(Algorithm 1): When message  $M1(t, C, RER(t), REW(t))$  broadcast by scheduler  $S_r$  of the SDBS  $s_r$  is received:

(1). Every scheduler  $S_i$  such that  $d(C) \cap D(s_i) \neq \emptyset$ , temporarily makes;

- (a). the subhistory  $TH(s_i) = H_s(s_i) \cdot C(s_i)$  of SDBS  $s_i$ ,
- (b). the subgraph  $TGS(s_i) = GS(H_s \cdot C(s_i))$  and
- (c). the list  $TLR$  of reachable sets  $R(t)$  from each

transaction  $t$  in the set  $TV$  of the subgraph  $TSG = (TV, TE)$ .

(2). If  $SI(S_j)$  is the smallest number of all the schedulers, then scheduler  $S_j$  sends a request for the message  $M2(TLR, SI(S_j))$  to be broadcast.

(Algorithm 2): When message  $M2(LRT, SI(S_r))$  broadcast by scheduler  $S_r$  of the SDBS  $s_r$  is received :

Let  $LRT = R1(t_1) \cdot R1(t_2) \cdot \dots \cdot R1(t_k)$ .

For every scheduler  $S_i$  except scheduler  $S_r$ ,

begin

if the number  $SI(S_r)$  is not largest number of all the schedulers, then

(step1) for every M-transaction  $t_j$  ( $1 \leq j \leq k$ )

begin

$BLR(t_j) := BLR(t_j) \cup R1(t_j)$ ;

if  $R1(t_j) - TLR(t_j) \neq \emptyset$

then  $TLR(t_j) := R1(t_j) \cup TLR(t_j)$

end;

while there exists a M-transaction  $t_h$  such that

the M-transaction  $t_h$  is an element of  $TLR(t_p)$

and  $TLR(t_p) - TLR(t_h) \neq \emptyset$

for all M-transactions do

$TLR(t_p) := TLR(t_p) \cup TLR(t_h)$ ;

(step2) if the set  $TLR(t)$  includes the transaction  $t$  itself

then scheduler  $S_i$  sends a request for message  $M3$  to

be broadcast when there is no other scheduler  $S_j$

such that scheduler  $S_j$  has not broadcast message

$M2$  and the number  $SI(S_j) <_S SI(S_i)$

else

begin

if there is no other scheduler  $S_j$  such that

scheduler  $S_j$  has not broadcast message  $M2$

and the number  $SI(S_j) <_S SI(S_i)$

then

begin

for every M-transaction  $t_h$

$TR2(t_h) := TLR(t_h) - BLR(t_h)$ ;

the scheduler  $S_i$  sends a request

for the message  $M2(TR2, SI(S_i))$

to be broadcast

end;

if the number  $SI(S_r)$  is the largest number of

the all schedulers {no cycle detected}

then

begin

```

 $H_s(s_i) := TH(s_i);$ 
 $\{TH(s_i) = H_s(s_i) \cdot C(s_i)\}$ 
 $SGS(H_s(s_i)) := TGS(s_i);$ 
 $\{TGS(s_i) = GS(H_s(s_i) \cdot C(s_i))\}$ 
 $LR(s_i) := TLR;$ 
for every M-transaction  $t_h$ 
 $BLR(t_h) := \phi;$ 
ends the check phase of the command C
end
end

```

**(Algorithm 3):** When message M3 broadcast by a scheduler  $S_r$  of the SDBS  $s_r$  is received :

```

begin
for every M-transaction  $t_h$ 
 $BLR(t_h) := \phi;$ 
ends the check phase of the command C
end;

```

## 5.2 The algorithms DSA for a command of a L-transaction

For a command C of a transaction t, if set  $d(C)$  of data items is included in set  $D(s(t))$ , then scheduler S of SDBS  $s(t)$  temporarily makes

- the subhistory  $TH(s) = H_s(s) \cdot C$ ,
- the subgraph  $TGS(s) = SGS(H_s(s) \cdot C)$  and
- the list TLR of reachable sets  $R(t_h)$  from each transaction  $t_h$  in the graph  $TGS(s)$ . And the scheduler S shall execute the following algorithm:

**(Algorithm 4):**

```

begin
while there exists a transaction  $t_h$  such that
transaction  $t_h$  is an element of  $LR(t_p)$ 
and  $LR(t_p) - TLR(t_h) \neq \phi$  do
for all M-transactions do
 $TLR(t_p) := LR(t_p) \cup TLR(t_h);$ 
if transaction t is not an element of the set TLR(t)
then no cycle detected
begin
 $H_s(s) := TH(s);$   $\{TH(s) = H_s(s) \cdot C\}$ 
 $SGS(H_s(s)) := TGS(s);$ 
 $\{TGS(s) = GS(H_s(s) \cdot C)\}$ 
 $LR(s) := TLR;$ 
end

```

```

else the command C is queued;
end.

```

## 5.3 The analyses

### 5.3.1 Analysis 1

Let C be a command of a transaction t which is executed in the SDBS  $s(t)$  and let n be the number of SDBS in the DDBS. If  $d(C) - D(s(t)) \neq \phi$  then every scheduler shall broadcast message M2 or M3 only once. So the maximum number of broadcasts in the DDBS is  $n + 1$  times for each command of a M-transaction such that  $d(C) - D(s(t)) \neq \phi$ .

### 5.3.2 Analysis 2

Let  $REWW(t, H_s)$  be the set of data items for active transaction t in subhistory  $H_s$  and be defined as follows :

$REWW(t, H_s) = \{d_i | d_i \text{ is an element of the set } d(C_i), \text{ where command } C_i \text{ is a write command of an active transaction t in the subhistory } H_s, \text{ and write command } C_i \text{ is an element of each remaining execution sequence of transaction t with the subhistory } H_s.\}$

A data item in the set  $REWW(t, H_s)$  will always be overwritten in the rest of subhistory  $H_s$  unless a new transaction occurs.

Let write commands  $C_i$  and  $C_j$  appear in subhistory  $H_s$  such that  $C_i <_{H_s} C_j$  and let there be no read command  $C_r$  in subhistory  $H_s$  such that  $C_i <_{H_s} C_r$  and  $d(C_i) \cap d(C_j) \cap d(C_r) \neq \phi$ .

By extending the definition of "ww-changeable" to "eww-changeable",

we can expand the class of the histories which will be accepted by our algorithms DSA. But in the case of  $d(C) - D(s(t)) = \phi$ , message M2 must be broadcast.

We say that write commands  $C_i$  and  $C_j$  are *ww-changeable* iff

The write commands  $C_i$  and  $C_j$  are ww-changeable or satisfy all of the following three conditions:

Condition (1). There is no read command  $C_k$  in the subhistory  $H_s$  such that  $d(C_i) \cap d(C_j) \cap d(C_k) \neq \phi$

Condition (2). For any active transaction t in the subhistory  $H_s$ ,  $d(C_i) \cap d(C_j) \cap RER(t, H_s) = \phi$

Condition (3). Each data item in the set  $d(C_i) \cap d(C_j)$  is an element of set  $REWW(t, H_s)$  for active transaction t with the subhistory  $H_s$  such that  $C_j <_{H_s} C_w$ , or is an element in set  $d(C_w)$  for a write command in the subhistory  $H_s$  such that  $C_j <_{H_s} C_w$ .

### 5.3.3 Analysis 3

We assumed that messages M2 are broadcast according to a pre-defined order, but this assumption can be changed to :

"Any message which has already been requested but has not yet been broadcast can be cancelled just before it is broadcast."

If for example, scheduler S has received a request to broadcast message M2a but has not yet broadcast this message, and message M2b is received from another scheduler, then scheduler S will cancel the request for message M2a to be broadcast and will make a new message M2c or M3 by using the algorithm A2. Thus, each scheduler will broadcast message M2 only once to cycle check a command.

### 5.3.4 Analysis 4

If all of the above assumptions are removed, then in the worst case, the schedulers may be required to broadcast messages M2  $n(n-1)/2$  times. For example:

In the check phase of command C, each message M2 has an integer. These integers are assigned in the following manner:

(1). The first message M2 broadcast during a check phase is "1".

(2). Each scheduler ignores the previously broadcast message M2(LRT1,N1) if message M2(LRT2,N2) has already been received such that  $N2 \geq N1$ .

(3). When each scheduler sends a request for message M2(LRT,N) to be broadcast such that  $N = N1 + 1$  where N1 is the message with the greatest number in the current check phase.

If scheduler S sends a request for message M2 to be broadcast, and M2 is subsequently broadcast and is not ignored in the current check phase, thereafter scheduler S will not request any more messages to be broadcast.

If message M2(LRT,N) is broadcast and is not ignored, then in the worst case, there may exist  $N - 1$  M2 messages which were previously requested by the same scheduler. However, these previously requested messages will be ignored.

### 5.3.5 Analysis 5

The information to be memorized in a SDBS increases in proportion to the number of transactions. But if the in-degree of a transaction  $t$  is zero in the graph  $SGS(s) = \langle V(s), E(s) \rangle$  and the transaction  $t$  is terminated, then we can remove the transaction from graph SGS. The increase in information is resolved by

periodically broadcasting the set of ended transactions.

## 6 Summary

In concurrency control, the polynomial classes of the history may be improved by another ww-changeable condition. But the algorithms may become more complicated. Our scheduler protocol for a distributed database system with broadcast communication function may be applicable to the serializability preservation algorithm for distributed database systems with broadcast communication function if an acyclicity check of the directed graph G is executed where Graph G is the union of the local subgraphs. One such application would be the creation of a more simple algorithm for the class CPSR.

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