

## 点次数の増加上限制約を持つ2点連結グラフに対する 線形時間3点連結化アルゴリズム

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**[概要]** 無向グラフ  $G = (V, E)$  と各点  $v \in V$  の次数増加上限  $g(v) \in Z^+ \cup \{\infty\}$  が与えられたときに、次数増加上限制約を満たしつつ  $G$  に最小本数の辺を付加して3点連結グラフを構成する問題 (3VCA-DC) を考える。本稿では、 $G$  が2点連結である場合に本問題が線形時間で解けることを示す。

## A Linear Time Algorithm for Tri-connectivity Augmentation of Bi-connected Graphs with Upper Bounds on Vertex-Degree Increase

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**[Abstract]** The 3-vertex-connectivity augmentation problem of a graph with degree constraints, 3VCA-DC, is defined as follows: “Given an undirected graph  $G = (V, E)$ , and an upper bound  $g(v) \in Z^+ \cup \{\infty\}$  on vertex-degree increase for each  $v \in V$ , find a smallest set  $E'$  of edges such that  $(V, E \cup E')$  is 3-vertex-connected and such that vertex-degree increase of each  $v \in V$  by the addition of  $E'$  to  $G$  is at most  $g(v)$ , where  $Z^+$  is the set of nonnegative integers.” In this paper we show that checking the existence of a feasible solution and finding an optimum solution to 3VCA-DC for any bi-connected graph  $G$  can be done in  $O(|V| + |E|)$  time.

### 1 Introduction

The connectivity augmentation problem of a graph asks for finding a smallest (or minimum-cost) set of edges whose addition to a given graph results in a graph satisfying some prescribed connectivity properties. This paper considers the 3-vertex-connectivity augmentation problem of a graph with degree constraints.

Given an undirected graph  $G = (V, E)$  and a subset  $S \subseteq V$ ,  $G$  is said to be  $k$ -vertex-connected with respect to  $S$  (or  $k$ -connected with respect to  $S$ ) if and only if  $G$  has at least  $k$  internally-disjoint paths between any pair of vertices in  $S$ , where if  $S = V$  then “with respect to  $S$ ” is neglected. In particular, we say that  $G$  which is  $k$ -connected with respect to  $S$  is *bi-connected (tri-connected) with respect to  $S$*  if  $k = 2$  ( $k = 3$ , respectively).

The  $k$ -vertex-connectivity augmentation problem for a specified set of vertices of a graph with degree constraints,  $k$ VCA-SV-DC, is defined as follows: “Given a positive integer  $k$ , an undirected graph  $G = (V, E)$ , a specified set  $S \subseteq V$  and an upper bound  $g(v) \in Z^+ \cup \{\infty\}$  on vertex-degree increase for each  $v \in V$ , find a smallest set  $E'$  of edges such that  $G + E'$  is  $k$ -connected with respect to  $S$  and such that vertex-degree increase of each  $v \in V$  by the addition of  $E'$  to  $G$  is at most  $g(v)$ , where  $G + E' = (V, E \cup E')$  and  $Z^+$  is the set of non-negative integers.” We call any set  $F$  of edges a *solution* to  $k$ VCA-SV-DC if  $G + F$  is  $k$ -connected with respect to  $S$ , and we say that any set  $F'$  of edges is *feasible* (or more precisely  *$g$ -feasible*) if  $F'$  includes at most  $g(v)$  edges incident to  $v$  for each  $v \in V$ . Any feasible solution of minimum cardinality is called an *optimum solution* to  $k$ VCA-SV-DC.  $k$ VCA-SV-DC has application to designing communication networks.  $k$ VCA-

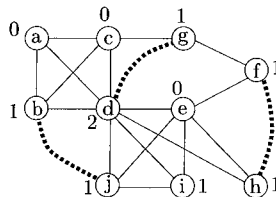


Figure 1: An instance,  $G = (V, E)$  and  $g : V \rightarrow Z^+ \cup \{\infty\}$ , of 3VCA-DC, where  $G$  is bi-connected and the number beside each vertex  $v \in V$  denotes  $g(v)$ . The edge set  $\{(b, j), (d, g), (f, h)\}$  shown by bold dotted lines is an optimum solution. Notice that if  $g(b) = 0$  then there is no feasible solution because  $g(a, b) = g(a) + g(b) = 0$ .

SV-DC with  $S = V$  is denoted as  $k$ VCA-DC.  $k$ VCA-SV-DC with  $g(v) = \infty$  for all  $v \in V$  is denoted as  $k$ VCA-SV.  $k$ VCA-SV with  $S = V$  is denoted as  $k$ VCA. Figure 1 shows an instance of 3VCA-DC.

We summarize known results on  $k$ VCA-SV-DC. For 2VCA, a polynomial time algorithm was proposed by Eswaran and Tarjan [1], and a linear time algorithm was proposed Rosenthal and Goldner [11] and Hsu and Ramachandran [5]. For 3VCA, a polynomial time algorithm was devised by Watanabe and Nakamura [14], and a linear time algorithm was proposed by Hsu and Ramachandran [4]. For 4VCA, Hsu [3] devised an  $O(|V| \log |V| + |E|)$  time algorithm. For any fixed  $k$ , Jackson and Jordán [6] first devised a polynomial time algorithm for  $k$ VCA. Concerning  $k$ VCA-SV, it was shown that  $k$ VCA-SV

can be solved in linear time by reducing it to  $k$ VCA by Watanabe, Higashi, and Nakamura [13] if  $k = 2$  and by Mashima and Watanabe [10] if  $k = 3$ . For 2VCA-SV-DC, we proposed a linear time algorithm [9].

In this paper we show that checking the existence of a feasible solution and finding an optimum solution to 3VCA-DC for any bi-connected graph  $G$  can be done in  $O(|V| + |E|)$  time.

The paper is organized as follows. Section 2 provides some definitions and notations. Section 3 shows a necessary and sufficient condition for the existence of a feasible solution to 3VCA-DC. Section 4 presents a linear time algorithm for finding an optimum solution to 3VCA-DC. The concluding remarks are given in Sect. 5.

## 2 Preliminaries

### 2.1 Basic Definitions

A singleton set  $\{x\}$  may be written as  $x$ . The notation “ $\subseteq$ ” means inclusion, and “ $\subset$ ” does proper inclusion. For a real number  $x$ , let  $\lceil x \rceil$  ( $\lfloor x \rfloor$ , respectively) denote the smallest integer not less than  $x$  (the largest integer not more than  $x$ ).

An *undirected graph*  $G = (V(G), E(G))$  consists of a finite and nonempty set  $V(G)$  of vertices and a finite set  $E(G)$  of undirected edges.  $V(G)$  and  $E(G)$  are often denoted as  $V$  and  $E$ , respectively. We assume that graphs have neither multiple edges nor self-loops unless otherwise stated. An edge whose endvertices are  $u$  and  $v$  is denoted by  $(u, v)$ . The *degree* of a vertex  $v$  in  $G$ ,  $d_G(v)$ , is the number of edges incident to  $v$  in  $G$ . For a set  $E'$  of edges with  $E' \cap E = \emptyset$ ,  $G + E'$  denotes the graph  $(V, E \cup E')$ . For a set  $X \subseteq V \cup E$ ,  $G - X$  denotes the graph obtained from  $G$  by deleting  $X$ , where any edge incident to  $v \in X$  is also removed. A *subgraph* of  $G$  is any graph  $H$  such that  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ . For  $X \subseteq V$ , let  $\Gamma(X; G) = \{v \in V - X \mid (u, v) \in E(G) \text{ for some } u \in X\}$  and  $\xi(X; G) = V - (X \cup \Gamma(X; G))$ . If  $G$  is clear from the context,  $\Gamma(X; G)$  and  $\xi(X; G)$  may be denoted as  $\Gamma(X)$  and  $\xi(X)$ , respectively. Let  $V(E') = \{u, v \in V \mid (u, v) \in E'\}$  for any set  $E'$  of edges. For any function  $f : V \rightarrow Z^* \cup \{\infty\}$  and any set  $X \subseteq V$ , we use the notation  $f(X) = \sum_{v \in X} f(v)$ .

A *path* between  $u$  and  $v$ , or a  $(u, v)$ -*path*, is an alternating sequence of vertices and edges  $u = v_0, e_1, v_1, \dots, v_{n-1}, e_n, v_n = v$  ( $n \geq 0$ ) such that if  $n \geq 1$  then  $v_0, \dots, v_n$  are all distinct and  $e_i = (v_{i-1}, v_i)$  for each  $i$ ,  $1 \leq i \leq n$ . If  $n \geq 2$  then the vertices  $v_1, v_2, \dots, v_{n-1}$  are called the *inner vertices* of the path. A set of paths is said to be *internally-disjoint* if no two of them have an inner vertex in common. A *cycle* is a  $(v_0, v_n)$ -path,  $n \geq 2$ , together with the edge  $(v_0, v_n)$ . An undirected graph  $G$  is *connected* if  $G$  has a path between any pair of vertices in  $G$ ; otherwise  $G$  is *disconnected*. Any maximal connected subgraph of  $G$  is called a *connected component* or simply a *component* of  $G$ .  $G$  is *acyclic* if  $G$  contains no cycles. A *forest* is an acyclic undirected graph, and a *tree* is a connected forest. A *leaf* of a tree is a vertex with only one edge incident to it.

Let  $G$  be a connected graph. Any subset  $R$  of  $V$  is called a *separator* of  $G$  if and only if  $G - R$  is disconnected. Any separator of minimum cardinality is called a *minimum separator* of  $G$ . The *vertex-connectivity*  $\kappa(G)$  of  $G$  is the cardinality of any minimum separator of  $G$ , where if  $G$  is a complete graph then  $\kappa(G)$  is defined to be  $|V| - 1$ . If  $\kappa(G) \geq k$  for a positive integer  $k$  then  $G$  is said to be  *$k$ -vertex-connected* (or  *$k$ -connected*); in particular, if  $\kappa(G) \geq 2$  ( $\kappa(G) \geq 3$ ) then  $G$  is said to be *bi-connected* (*tri-connected*, respectively). Note that  $\kappa(G) \geq k$  holds if and only if  $G$  has at least  $k$  internally-disjoint paths

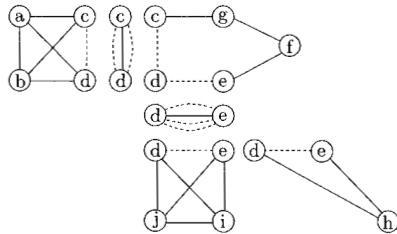


Figure 2: Tri-components of the graph  $G$  in Fig. 1. Edges represented as broken lines are virtual edges.

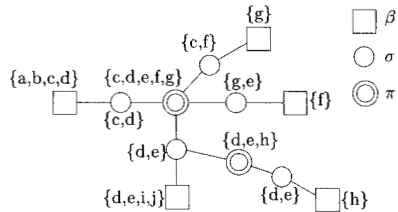


Figure 3: 3- $\text{blk}(G)$  of the graph  $G$  in Fig. 1.

between any pair of vertices in  $V$ .

Let  $G$  be a bi-connected graph. For any pair of vertices  $u, v \in V$ ,  $\{u, v\}$  is called a *separation pair* of  $G$  if and only if  $\{u, v\}$  is a separator of  $G$ . Let  $\text{SP}(G)$  denote the class of all separation pairs of  $G$ , where the part “ $(G)$ ” is often omitted for simplicity unless any confusion arises. Let  $K \in \text{SP}(G)$ . Any component of  $G - K$  is called a  *$K$ -component* of  $G$ . The *separating degree* of  $K$  in  $G$  is the number of  $K$ -components of  $G$ , and it is denoted by  $d_G(K)$  or simply  $d(K)$ . In this paper, we often identify a  $K$ -component (or a component) with its vertex set.

### 2.2 Tri-Components and 3-Block Graph

Let  $G$  be a bi-connected graph. *Tri-connected components* (*tri-components* for short) [2, 12] of  $G$  are constructed from  $G$  by the two operations “split” and “merge”. Tri-components can be partitions into three classes: tri-connected graphs, polygons and bonds, where a *bond* is a graph consisting of two vertices and at least three multiple edges between them. For the details of tri-components, refer to [2, 12]. Figure 2 shows tri-components of the graph  $G$  in Fig. 1. Any separation pair shared by at least two tri-components, each being either a tri-connected graph or a polygon, is called a separation pair of *adjacent type*, and any separation pair consisting of nonadjacent vertices in a polygon is called a separation pair of *nonadjacent type*. Note that any separation pair is either of adjacent type or of nonadjacent type. Let  $\text{SP}_A(G) = \{K \in \text{SP}(G) \mid K \text{ is of adjacent type}\}$  and  $\text{SP}_N(G) = \text{SP}(G) - \text{SP}_A(G)$ , where the part “ $(G)$ ” is often omitted for simplicity unless any confusion arises.

**Remark 2.1**  $d_G(K) = 2$  for any  $K \in \text{SP}_N(G)$ .  $\square$

The 3-block graph [4], 3- $\text{blk}(G)$ , of a bi-connected graph  $G$  is constructed as follows. The vertex set of 3- $\text{blk}(G)$  consists of three kinds of vertices called  $\sigma$ -vertices,  $\pi$ -vertices or  $\beta$ -vertices: we create a  $\sigma$ -vertex for each separation pair of adjacent type, a  $\pi$ -vertex for each polygon, and a  $\beta$ -vertex for each tri-component that is a tri-connected graph. Then distinct

vertices  $u, v$  created above are connected by an edge if and only if either (1) or (2) holds: (1)  $\{u, v\}$  is a pair of a  $\sigma$ -vertex and a  $\beta$ -vertex, and the separation pair represented by the  $\sigma$ -vertex is contained in the tri-connected graph represented by the  $\beta$ -vertex; (2)  $\{u, v\}$  is a pair of a  $\sigma$ -vertex and a  $\pi$ -vertex, and the separation pair represented by the  $\sigma$ -vertex is contained in the polygon represented by the  $\pi$ -vertex. Moreover, for each vertex  $w \in V(G)$  with  $d_G(w) = 2$ , do the following: create a  $\beta$ -vertex  $u$  for  $\{w\}$ , and a  $\sigma$ -vertex  $v$  for  $\Gamma(w; G)$ ; connect  $u$  and  $v$  by an edge; connect by an edge the vertex  $v$  and the  $\pi$ -vertex representing the polygon to which  $w$  belongs. The resulting graph is  $3\text{-blk}(G)$ . Figure 3 shows  $3\text{-blk}(G)$  of the graph  $G$  in Fig. 1.  $3\text{-blk}(G)$  of a bi-connected graph  $G$  is a tree and it can be constructed in  $O(|V|+|E|)$  time by using the algorithm in [2] for finding tri-components of  $G$ .

Let  $v \in V(3\text{-blk}(G))$ . The degree of  $v$  in  $3\text{-blk}(G)$  is denoted by  $d_{3\text{-blk}(G)}(v)$  or simply  $d(v)$  unless any confusion arises. If  $d(v) = 1$  then  $v$  is called a *leaf* of  $3\text{-blk}(G)$ . Note that any leaf of  $3\text{-blk}(G)$  is a  $\beta$ -vertex.

Let  $V_\sigma(3\text{-blk}(G))$  denote the set of all  $\sigma$ -vertices of  $3\text{-blk}(G)$ . Any subset  $K \subseteq V$  represented by a  $\sigma$ -vertex of  $3\text{-blk}(G)$  is called a  $\sigma$ -pair of  $G$ . Let  $\text{SI}(G)$  or simply  $\text{SI}$  denote the class of all  $\sigma$ -pairs of  $G$ .

**Remark 2.2** Let  $G$  be a bi-connected graph with  $|V| \geq 4$ .  
(1) The following conditions are equivalent: (a)  $\kappa(G) \geq 3$ ; (b)  $\text{SP}(G) = \emptyset$ ; (c)  $\text{SI}(G) = \emptyset$ ; (d)  $V_\sigma(3\text{-blk}(G)) = \emptyset$ .  
(2)  $\text{SI}(G) \subseteq \text{SP}(G)$ .  
(3) Let  $K \in \text{SI}(G)$  and  $V_\sigma(K) = \{v \in V_\sigma(3\text{-blk}(G)) \mid v \text{ represents } K\}$ . Then, there is a  $\sigma$ -vertex  $v \in V_\sigma(K)$  with  $d(v) = d_G(K)$ , and any  $v' \in V_\sigma(K) - \{v\}$  satisfies  $d(v') = 2$ .  
(4) Let  $K' \in \text{SP}(G) - \text{SI}(G)$ . Then,  $K' \in \text{SP}_N(G)$ , and, therefore,  $d_G(K') = 2$  by Remark 2.1.  $\square$

Any graph represented by a  $\beta$ -vertex of  $3\text{-blk}(G)$  is called a *3-block* of  $G$ . Any 3-block  $B$  of  $G$  is called a *singleton 3-block* if  $B$  consists of a single vertex of  $G$ ; otherwise  $B$  is a *tri-connected 3-block*. Any singleton 3-block consists of one vertex whose degree in  $G$  is two, and there is exactly one polygon containing it. For any 3-block  $B$  of  $G$ , let  $d_G(B)$  or simply  $d(B)$  denote the number of separation pairs  $K \in \text{SP}(G)$  with  $K \subseteq V(B)$ , where we set  $d_G(B) = 1$  for any singleton 3-block  $B$ . For any polygon  $P$  of  $G$ , let  $d_G(P)$  or simply  $d(P)$  denote the sum of the number of separation pairs  $K \in \text{SP}_A(G)$  with  $K \subseteq V(P)$  and the number of singleton 3-blocks included in  $P$ . For any  $v \in V(3\text{-blk}(G))$ , let  $K_v, B_v$  or  $P_v$  denote the  $\sigma$ -pair, the 3-block or the polygon represented by  $v$ , respectively. Note that  $d(v) = d_G(B_v)$  for any  $\beta$ -vertex  $v$  and that  $d(u) = d_G(P_u)$  for any  $\pi$ -vertex  $u$ .

Let  $u$  and  $v$  be distinct two leaves of  $3\text{-blk}(G)$ , and assume that the  $(u, v)$ -path in  $3\text{-blk}(G)$  passes through a  $\pi$ -vertex  $w$ . Let  $Q_1, Q_2, \dots, Q_{d(w)}$  be a sequence of separation pairs of adjacent type and singleton 3-blocks, each included in  $P_w$ , appearing in this order on  $P_w$ , where distinct separation pairs may share one vertex. Let  $Q_i$  and  $Q_j$ ,  $1 \leq i < j \leq d(w)$ , be two members of  $\{Q_1, \dots, Q_{d(w)}\}$ , each represented by some vertex in the  $(u, v)$ -path. Then the  $(u, v)$ -path is said to be *adjacent* on  $w$  if  $j - i = 1$  or  $j - i = d(w) - 1$ ; otherwise *nonadjacent* on  $w$ .

Any separation pair  $K$  of  $G$  is said to be a *primary separation pair* if either  $d(K) \geq 3$  or  $K \subseteq V(B)$  for some 3-block  $B$  with  $d(B) \geq 3$ . Note that any primary separation pair is of adjacent type. For any  $v \in V$  with  $d(v) = 2$ , let  $P_G(v)$  or simply  $P(v)$  denote the polygon of  $G$  containing  $v$ . Let  $T_G$  or simply  $T$  denote the set  $\{v \in V \mid d(v) = 2, d(P(v)) \geq 3\}$ .

## 2.3 Lower Bounds

Let  $G$  be a bi-connected graph with  $|V| \geq 4$ . Let  $v$  be any leaf of  $3\text{-blk}(G)$  and let  $u$  be the  $\sigma$ -vertex adjacent to  $v$  in  $3\text{-blk}(G)$ . Then the subset  $V(B_v) - K_u \subseteq V$  is called a *leaf* of  $G$  or the *leaf* of  $G$  represented by  $v$ . Any singleton 3-block is a leaf of  $G$ . For any leaf  $v$  of  $3\text{-blk}(G)$ , let  $X_v$  denote the leaf of  $G$  represented by  $v$ . Let  $\mathcal{L}(G)$  denote the class of all leaves of  $G$ , and let  $l(G)$  denote the number of leaves of  $G$  (or equivalently the number of leaves of  $3\text{-blk}(G)$ ). Note that  $X \cap X' = \emptyset$  for any distinct  $X, X' \in \mathcal{L}(G)$ . Let  $d(G)$  denote the maximum separating degree of all separation pairs of  $G$  (or equivalently the maximum degree of all  $\sigma$ -vertices in  $3\text{-blk}(G)$  by Remark 2.2).

**Example 2.3** For  $G$  in Fig. 1,  $\mathcal{L}(G) = \{\{a, b\}, \{f\}, \{g\}, \{h\}, \{i, j\}\}$ ,  $l(G) = 5$ , and  $d(G) = 3$ .  $\square$

Let  $F$  be any feasible solution to 3VCA-DC for  $G$  and  $g$ . For any  $X \in \mathcal{L}(G)$ ,  $F$  contains at least one edge connecting a vertex in  $X$  with a vertex in  $\xi(X)$ . Since  $\mathcal{L}(G)$  is pairwise disjoint, we have  $|F| \geq \lceil l(G)/2 \rceil$ , meaning that  $g(V) \geq 2\lceil l(G)/2 \rceil$  and  $g(X) \geq 1$  for any  $X \in \mathcal{L}(G)$ . Also, for any  $K \in \text{SP}$ ,  $F$  contains at least  $d(K) - 1$  edges so that adding them to  $G - K$  results in a connected graph. Therefore  $|F| \geq d(G) - 1$  and  $g(V - K) \geq 2(d(K) - 1)$  for any  $K \in \text{SP}$ . Hence we have the following lemmas.

**Lemma 2.4** For any feasible solution  $F$  to 3VCA-DC for  $G$  and  $g$ ,  $|F| \geq \max\{d(G) - 1, \lceil l(G)/2 \rceil\}$ .  $\square$

**Lemma 2.5** If there is a feasible solution to 3VCA-DC for  $G$  and  $g$  then the following (1) and (2) hold.

- (1)  $g(X) \geq 1$  for any  $X \in \mathcal{L}(G)$ , and if  $l(G)$  is odd then  $g(V) \geq l(G) + 1$ .
- (2)  $g(V - K) \geq 2(d(K) - 1)$  for any  $K \in \text{SP}$ .  $\square$

Next we investigate the situations where there is a  $\sigma$ -vertex  $v \in V_\sigma(3\text{-blk}(G))$  with  $d(v) - 1 \geq \lceil l(G)/2 \rceil$ .

**Lemma 2.6** Let  $u, v \in V_\sigma(3\text{-blk}(G))$  be distinct  $\sigma$ -vertices with  $d(u) - 1 \geq \lceil l(G)/2 \rceil \leq d(v) - 1$ . Then the following (1)–(3) hold:

- (1)  $d(u) - 1 = d(v) - 1 = \lceil l(G)/2 \rceil$ ;
- (2)  $l(G)$  is even;
- (3)  $d(x) \in \{1, 2\}$  for any  $x \in V(3\text{-blk}(G)) - \{u, v\}$ .

*Proof:* Since  $l(G)$  is the number of leaves of  $3\text{-blk}(G)$ ,

$$l(G) \geq (d(u) - 1) + (d(v) - 1) \geq 2\lceil l(G)/2 \rceil \geq l(G).$$

Since the formula above must hold with equality, we have the lemma.  $\square$

**Lemma 2.7** For any  $v \in V_\sigma(3\text{-blk}(G))$ , the following (1) and (2) hold.

- (1) If  $d(v) - 1 > \lceil l(G)/2 \rceil$  then  $d(u) - 1 < \lceil l(G)/2 \rceil$  for any  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$  and  $d(v) = d(G)$ .
- (2) Suppose that  $d(v) - 1 = \lceil l(G)/2 \rceil$ . Then  $d(v) = d(G)$ , and the following (i) and (ii) hold.

- (i) If  $d(v) \geq 3$  then there are at most two  $\sigma$ -vertices  $u \in V_\sigma(3\text{-blk}(G))$  with  $d(u) = d(G)$ , and moreover if there are two such  $\sigma$ -vertices then  $l(G)$  is even.
- (ii) If  $d(v) = 2$  then  $l(G) = 2$  and  $d(u) = 2$  for any  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$ .

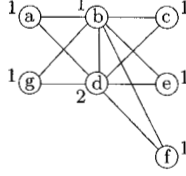


Figure 4: An instance for which there is no feasible solution to 3VCA-DC, where  $l(G) = d(G) = 5$  and the condition (2) does not hold.  $\{b, d\}$  is the separation pair  $K$  with  $d(K) = d(G)$ . Since  $g(V - K) = 5$ , there is no feasible solution.

Proof: We prove (1). If  $d(u) - 1 \geq \lceil l(G)/2 \rceil$  for some  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$ , then we have a contradiction  $d(v) - 1 = \lceil l(G)/2 \rceil$  by Lemma 2.6 (1). Therefore  $d(u) - 1 < \lceil l(G)/2 \rceil$  for any  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$ . Since  $d(v)$  is the maximum degree of all  $\sigma$ -vertices in  $3\text{-blk}(G)$ ,  $d(v) = d(G)$  holds.

We prove (2). By Lemma 2.6 (1) (or (1) of this lemma),  $d(u) - 1 \leq \lceil l(G)/2 \rceil$  for any  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$ . Therefore  $d(v) = d(G)$ . Let  $V_\sigma^d = \{u \in V_\sigma(3\text{-blk}(G)) \mid d(u) = d(G)\}$ . Suppose by contradiction that  $d(v) \geq 3$  and  $|V_\sigma^d| \geq 3$ . Then, it follows from Lemma 2.6 (3) that  $d(v) = 2$ , a contradiction. Hence if  $d(v) \geq 3$  then  $|V_\sigma^d| \leq 2$ , and if  $|V_\sigma^d| = 2$  then  $l(G)$  is even by Lemma 2.6 (2), showing (2)(i). Suppose that  $d(v) = 2$ . Then  $l(G) = 2$  follows from  $\lceil l(G)/2 \rceil = 1$ . And, for any  $u \in V_\sigma(3\text{-blk}(G)) - \{v\}$ , we have  $d(u) = 2$  since  $d(v) \geq d(u) \geq 2$ , showing (2)(ii).  $\square$

By Lemma 2.7, we have the next corollary.

**Corollary 2.8** *If either  $(d(G) - 1 > \lceil l(G)/2 \rceil)$  or  $(d(G) - 1 = \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd then there is the unique  $\sigma$ -vertex  $v \in V_\sigma(3\text{-blk}(G))$  with  $d(v) = d(G)$ ; furthermore,  $K_v$  is the unique separation pair  $K \in \text{SP}(G)$  with  $d(K) = d(G)$ .*

Proof: Lemma 2.7 shows the first part. Since  $d(v) \geq 3$ ,  $K_v$  is a separation pair of adjacent type, and, therefore,  $d(K_v) = d(v) = d(G)$ . Hence the second part follows.  $\square$

### 3 The Existence Condition for Feasible Solutions

From this section, we assume that  $G$  is bi-connected with  $|V| \geq 4$  unless otherwise stated. In this section we show a necessary and sufficient condition for the existence of a feasible solution to 3VCA-DC.

**Theorem 3.1 (The existence condition for feasible solutions)** *There is a feasible solution to 3VCA-DC for a bi-connected graph  $G$  with degree constraints by  $g$  if and only if the following (1)–(3) hold.*

- (1)  $g(X) \geq 1$  for any leaf  $X \in \mathcal{L}(G)$ .
- (2) If either  $(d(G) - 1 > \lceil l(G)/2 \rceil)$  or  $(d(G) - 1 = \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd then  $g(V - K) \geq 2(d(G) - 1)$  for the separation pair  $K$  with  $d(K) = d(G)$ . (See Fig. 4.)
- (3) If  $(d(G) - 1 < \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd then the following (a)–(c) hold.
  - (a)  $g(V) \geq l(G) + 1$ .
  - (b) If no polygon  $P$  with  $d(P) \geq 3$  exists and one vertex  $u \in V$  is shared by all primary separation pairs of  $G$ , then  $g(V - u) \geq l(G) + 1$ . (See Fig. 5.)
  - (c) If  $l(G) = 3$ , there is a polygon  $P$  with  $d(P) = 3$ , and  $P$  includes at least one singleton 3-block, then  $g(V - T) \geq l(G) + 1 - |T|$ . (See Fig. 6.)  $\square$

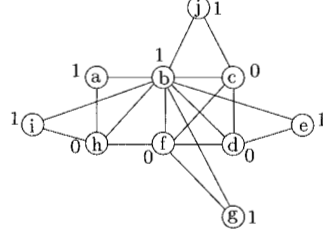


Figure 5: An instance for which there is no feasible solution to 3VCA-DC, where  $l(G) = 5$ ,  $d(G) = 3$ , and the condition (3)(b) does not hold. Vertex  $b$  is shared by all members of  $\{K \in \text{SP}(G) \mid d(K) \geq 3\} = \{\{b, f\}, \{b, h\}\}$  and  $\{K \in \text{SP}(G) \mid K \subseteq V(B) \text{ for some } 3\text{-block } B \text{ with } d(B) \geq 3\} = \{\{b, c\}, \{b, d\}, \{b, f\}\}$ . Since  $g(V - b) = 5$ , there is no feasible solution.

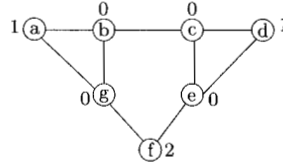


Figure 6: An instance for which there is no feasible solution to 3VCA-DC, where  $l(G) = 3$ ,  $d(G) = 2$ , and the condition (3)(c) does not hold. Polygon  $P$  with  $V(P) = \{b, c, e, f, g\}$  has  $d(P) = 3$ , and  $T = \{v \in V(P) \mid d_G(v) = 2\} = \{f\}$ . Since  $g(V - T) = 2 < 4 - |T|$ , there is no feasible solution.

We call the set of the conditions (1)–(3) given in Theorem 3.1 *the existence condition for feasible solutions*. Note that, in the condition (2),  $K$  is unique by Corollary 2.8. We call any graph satisfying all the assumptions of the condition (3)(b) or (3)(c) *a graph of type 1 or of type 2*, respectively, and the vertex  $u$  in the condition (3)(b) is called *the center* of a graph of type 1, where we usually denote the center by  $u$  unless any confusion arises.

**Lemma 3.2** *Let  $G$  be a graph of type 1 with center  $u$ . If  $g(V - u) < l(G) + 1$  then there is no feasible solution.*

Proof: Suppose that there is a feasible solution  $F$ . It suffices to consider the case where  $g(X) = 1$  for each  $X \in \mathcal{L}(G)$ ,  $g(V - u) = l(G)$ , and  $g(u) = \infty$ . Let  $X$  be any leaf of  $G$ . Since there is no polygon  $P$  with  $d(P) \geq 3$ , there is a primary separation pair  $K$  of  $G$  such that some  $K$ -component  $H$  of  $G$  satisfies  $X \subseteq H$  and  $X' \cap H = \emptyset$  for any  $X' \in \mathcal{L}(G) - \{X\}$ . (Such  $K$  can be found easily by searching 3- $\text{blk}(G)$  from the leaf which represents  $X$ . The first visited  $\sigma$ -vertex  $s$  satisfying  $d(s) \geq 3$  or being adjacent to a  $\beta$ -vertex  $b$  with  $d(b) \geq 3$  represents the desired separation pair  $K$ .) Since  $u \in K$  and  $K \notin \text{SP}(G + F)$ ,  $F$  must contain an edge connecting a vertex in  $X$  with a vertex in some  $X' \in \mathcal{L}(G) - \{X\}$ . Therefore, every leaf of  $G$  would be connected by an edge in  $F$  to another leaf of  $G$ , implying  $g(V - u) \geq l(G) + 1$ , a contradiction.  $\square$

**Lemma 3.3** *Let  $G$  be a graph of type 2. If  $g(V - T) < l(G) + 1 - |T|$  then there is no feasible solution.*

Proof: Suppose that there is a feasible solution  $F$ . It suffices to consider the case where  $g(X) = 1$  for each  $X \in \mathcal{L}(G) - \{v\}$  |

$v \in T$ ],  $g(V - T) = l(G) - |T|$ , and  $g(x) = \infty$  for each  $x \in T$ .  $F$  contains at least one edge connecting a vertex  $v$  in  $T$  with a vertex  $v'$  in some  $X \in \mathcal{L}(G) - \{\{v\} \mid v \in T\}$ . Suppose that such an edge  $(v, v')$  is added to  $G$ . Then, a new leaf  $X'$  containing  $X$  but not containing  $v$  appears in the resulting graph, and no more edge can be added to  $X'$  because  $g(X') = g(X) = 1$ , a contradiction.  $\square$

The necessity of the conditions (1)–(3) follows from Lemmas 2.5, 3.2 and 3.3, and the sufficiency is shown by the algorithm *Solve\_3VCA-DC\_aug2to3* which will be presented in the next section.

Given  $G$  and  $g$ , we can check whether or not the existence condition for feasible solutions holds in  $O(|V| + |E|)$  time. Hence we have the following theorem.

**Theorem 3.4** *Checking the existence of a feasible solution to 3VCA-DC for any bi-connected graph  $G$  can be done in  $O(|V| + |E|)$  time.*  $\square$

#### 4 A Linear Time Algorithm

In this section, we assume that a bi-connected graph  $G$  with degree constraints by  $g$  satisfies the existence condition for feasible solutions, and we present a linear time algorithm *Solve\_3VCA-DC\_aug2to3* for finding an optimum solution to 3VCA-DC for  $G$  and  $g$ .

We partition the problem into the following three cases:

- Case 1:  $(d(G) - 1 > \lceil l(G)/2 \rceil)$  or  
 $(d(G) - 1 = \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd);
- Case 2:  $(d(G) - 1 \leq \lceil l(G)/2 \rceil)$  and  $l(G)$  is even);
- Case 3:  $(d(G) - 1 < \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd).

And we present three algorithms, Algorithm 1 for Case 1, Algorithm 2 for Case 2, Algorithm 3 for Case 3, for finding an optimum solution. *Solve\_3VCA-DC\_aug2to3* uses these algorithms and is formally described as follows.

**Algorithm *Solve\_3VCA-DC\_aug2to3***

Input: A bi-connected graph  $G = (V, E)$  with degree constraints by  $g : V \rightarrow Z^+ \cup \{\infty\}$ , satisfying the existence condition for feasible solutions.

Output: An optimum solution  $E^*$  to 3VCA-DC for  $G$  and  $g$ .

1. Find an optimum solution  $E^*$  by using Algorithm 1, Algorithm 2 or Algorithm 3 according to the case to which the problem belongs, and output  $E^*$ .

Before describing three algorithms, we summary the known results on 3VCA for bi-connected graphs  $G$ . Any vertex  $v \in V$  is said to be a *leaf-vertex* of  $G$  if  $v \in X$  for some  $X \in \mathcal{L}(G)$ ; otherwise *non-leaf-vertex* of  $G$ .

**Lemma 4.1** ([4, 7, 14]) *Any optimum solution  $F$  to 3VCA for a bi-connected graph  $G$  satisfies (1)–(3):*

- (1) *If  $(d(G) - 1 > \lceil l(G)/2 \rceil)$  or  $(d(G) - 1 = \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd) then  $F$  consists of  $d(G) - 1$  edges whose addition connects  $d(G)$  components of  $G - K$ , where  $K$  is the separation pair with  $d(K) = d(G)$ , and every leaf of  $G$  contains at least one vertex incident to some edge in  $F$ .*
- (2) *If  $(d(G) - 1 \leq \lceil l(G)/2 \rceil)$  and  $l(G)$  is even) then  $F$  consists of  $\lceil l(G)/2 \rceil$  edges each of which connects two leaf-vertices belonging to distinct leaves of  $G$ .*
- (3) *If  $(d(G) - 1 < \lceil l(G)/2 \rceil)$  and  $l(G)$  is odd) then  $F$  consists of  $\lceil l(G)/2 \rceil$  edges each of which connects two leaf-vertices belonging to distinct leaves of  $G$  plus one edge connecting a leaf-*

*vertex of  $G$  with a vertex which is not necessarily a leaf-vertex of  $G$ .*  $\square$

#### 4.1 Algorithm 1 and Algorithm 2

In Cases 1 and 2, we can directly use the results shown in Lemma 4.1 to find an optimum solution. The difference is that we have to take into account  $g$ -feasibility. The following Algorithms 1 and 2 solve Cases 1 and 2, respectively. Algorithm 1 is based on the algorithm in [7], and Algorithm 2 uses an algorithm for 3VCA.

**Algorithm 1** /\* for Case 1 \*/

1. Find the separation pair  $K$  of  $G$  with  $d(K) = d(G)$ .
2.  $k \leftarrow d(G)$ . Let  $C_1, \dots, C_k$  be the  $k$  components of  $G - K$ , and let  $\ell_i$  ( $i = 1, \dots, k$ ) be the number of leaves of  $G$  contained in  $C_i$ .
3. Let  $a_1, \dots, a_k$  be  $k$  integers satisfying  $\sum_{i=1}^k a_i = 2(k - 1)$  and  $\ell_i \leq a_i \leq g(C_i)$  for each  $i = 1, \dots, k$ .
4. Construct a tree  $T$  with  $V(T) = \{v_i \mid 1 \leq i \leq k\}$  satisfying  $d_T(v_i) = a_i$  for each  $v_i \in V(T)$ .
5.  $E^* \leftarrow \emptyset$ . For each  $(v_i, v_j) \in E(T)$ , add an edge which connects a vertex in  $C_i$  with a vertex in  $C_j$  into  $E^*$  so that  $E^*$  finally satisfies that every leaf of  $G$  contains a vertex in  $V(E^*)$  as well as  $g$ -feasibility of  $E^*$ . Output  $E^*$ .

**Lemma 4.2** *Algorithm 1 finds an optimum solution to 3VCA-DC in Case 1 and can run in  $O(|V| + |E|)$  time.*

Proof: Since the conditions (1) and (2) hold, the algorithm is executable. We assume by contradiction that  $G + E^*$  is not tri-connected. Then  $\text{SP}(G + E^*) \neq \emptyset$ , and let  $K'$  be any separation pair of  $G + E^*$ . We have  $K' \in \text{SP}(G)$  and  $K' \neq K$  for the separation pair  $K$  with  $d_c(K) = d(G)$ . Therefore,  $K' \subseteq K \cup H$  for some  $K$ -component  $H$  of  $G$ , and  $K \subseteq K' \cup H'$  for some  $K'$ -component  $H'$  of  $G$ . Furthermore,  $H'$  includes all  $K$ -components except  $H$ , while  $H$  includes all  $K'$ -components except  $H'$ . Every  $K'$ -component  $C'$  with  $C' \neq H'$  includes a leaf  $X$  of  $G$ , and, therefore,  $C'$  and  $H'$  is connected by an edge  $e \in E^*$  which connects a vertex in  $X$  with a vertex in some  $K$ -component in  $H'$ . This means  $G + E^* - K'$  is connected, contradicting  $K' \in \text{SP}(G + E^*)$ . Hence  $G + E^*$  is tri-connected. Since  $|E^*| = d(G) - 1$ ,  $E^*$  is an optimum solution by Lemma 2.4 (or Lemma 4.1 (1)).

Concerning time-complexity, Steps 1, 2 and 3 can be executed in  $O(|V| + |E|)$  time using 3-blk( $G$ ) which can be computed in  $O(|V| + |E|)$  time. In Step 4 we use the algorithm [8, Problem 7.47] for constructing a tree from a prescribed degree sequence, which runs in  $O(|V|)$  time. Step 5 can be done in  $O(|V|)$  time. The total time-complexity of Algorithm 1 is  $O(|V| + |E|)$ .  $\square$

**Algorithm 2** /\* for Case 2 \*/

1. Find an optimum solution  $F$  for 3VCA for  $G$ .  
/\*  $|F| = \lceil l(G)/2 \rceil$ , and each edge of  $F$  connects two leaf-vertices belonging to distinct leaves of  $G$ . \*/
2.  $E^* \leftarrow \emptyset$ . For each  $(u, v) \in F$ , do the following: find two leaves  $X(u), X(v) \in \mathcal{L}(G)$  containing  $u, v$ , respectively; select a vertex  $u' \in X(u)$  with  $g(u') \geq 1$  and a vertex  $v' \in X(v)$  with  $g(v') \geq 1$ ; and add an edge  $(u', v')$  into  $E^*$ . Output  $E^*$ .

**Lemma 4.3** *Algorithm 2 finds an optimum solution to 3VCA-DC in Case 2 and can run in  $O(|V| + |E|)$  time.*

Proof: The correctness is obvious. Using a linear time algorithm [4] for 3VCA, Step 1 can be done in  $O(|V| + |E|)$  time. Step 2 takes  $O(|V|)$  time. Hence the lemma follows.  $\square$

## 4.2 Algorithm 3

In Case 3, we use the strategy of reducing the problem to Case 1 or Case 2 by adding several number of edges which can be a subset of an optimum solution. In Algorithm 3, at most two edges are added before the reduction. In the following we introduce two types of edge addition, called *Edge-Addition A* and *Edge-Addition B*, and show some properties of them. Then we present Algorithm 3.

### 4.2.1 Edge-Addition A

Edge-Addition A is to add an edge connecting two leaf-vertices of  $G$  so that the number of leaves is decreased by two if possible. Suppose that  $l(G) \geq 5$ . Let  $u$  be any leaf of  $3\text{-blk}(G)$  with  $g(X_u) \geq 2$ , where  $X_u$  is the leaf of  $G$  represented by  $u$ . Select a leaf  $v$  of  $3\text{-blk}(G)$  satisfying one of the following (a)–(c) [4]: (a) The  $(u, v)$ -path contains at least one  $\beta$ -vertex with degree at least four; (b) The  $(u, v)$ -path contains at least two vertices with degree at least three; (c) The  $(u, v)$ -path is nonadjacent on some  $\pi$ -vertex. Select a vertex  $u' \in X_u$  with  $g(u') \geq 1$  and a vertex  $v' \in X_v$  with  $g(v') \geq 1$ , and add an edge  $(u', v')$  to  $G$ . If  $l(G) = 3$  then let  $u$  be any leaf of  $3\text{-blk}(G)$  with  $g(X_u) \geq 2$  and  $B_u \notin \{v\} \mid v \in T_G$  (i.e.  $B_u$  is not a singleton 3-block in some polygon  $P$  with  $d(P) \geq 3$ ), let  $v$  be any leaf of  $3\text{-blk}(G)$  with  $v \neq u$ , and add an edge connecting  $u' \in X_u$  with  $v' \in X_v$  to  $G$ , where  $g(u') \geq 1$  and  $g(v') \geq 1$ .

Let  $G_A$  denote the graph obtained from  $G$  by executing Edge-Addition A (i.e.  $G_A = G + \{(u', v')\}$ ) and let  $v^*$  be a vertex in  $X_u \in \mathcal{L}(G)$  satisfying  $g(v^*) \geq 1$  and, moreover, if  $v^* = u'$  then  $g(v^*) \geq 2$ . Note that if  $l(G) = 3$  then  $v^* \notin T_G$ . We update  $g$  by  $g(u') \leftarrow g(u') - 1$  and  $g(v') \leftarrow g(v') - 1$ .

**Remark 4.4**  $g(v^*) \geq 1$  for updated  $g$  (even if  $v^* = u'$ ).  $\square$

**Lemma 4.5 ([4])** (1) If  $l(G) \geq 5$  then  $l(G_A) = l(G) - 2$ ;  
(2) If  $l(G) = 3$  then  $l(G_A) = 2$ .  $\square$

**Corollary 4.6** (1) If  $l(G) \geq 5$  then  $\mathcal{L}(G_A) = \mathcal{L}(G) - \{X_u, X_v\}$ ,  $v^*$  is a non-leaf-vertex of  $G_A$ ,  $d(G_A) - 1 \leq \lceil l(G_A)/2 \rceil$  and  $l(G_A)$  is odd.

(2) If  $l(G) = 3$  then  $G_A$  has a new leaf  $X' \in \mathcal{L}(G_A) - \mathcal{L}(G)$ , and  $d(G_A) - 1 = \lceil l(G_A)/2 \rceil = 1$ .  $\square$

**Remark 4.7**  $g(X) \geq 1$  for any  $X \in \mathcal{L}(G_A) \cap \mathcal{L}(G)$ .  $\square$

For the case  $v^* \notin T_G$  we have the next lemma.

**Lemma 4.8** If  $v^* \notin T_G$  then  $G_A$  has a tri-connected 3-block  $B$  with  $v^* \in V(B)$  and has no  $K \in \text{SP}(G_A)$  with  $v^* \in K$ .

Proof:  $B_u$  is a tri-connected 3-block or a singleton 3-block containing  $v^*$ . If  $B_u$  is a singleton 3-block then there is the triangle  $P(v^*)$  with  $d(P(v^*)) = 2$ . By adding  $(u', v')$ ,  $B_u$  (or  $P(v^*)$ ) and  $v'$  are included in a tri-connected 3-block of  $G_A$ . Also  $G$  has no  $K \in \text{SP}(G)$  with  $v^* \in K$  and so does  $G_A$ .  $\square$

The next lemma shows that if  $l(G) = 3$  then Case 3 can be reduced to Case 2 after Edge-Addition A.

**Lemma 4.9** If  $l(G) = 3$  then  $v^*$  is in the new leaf of  $G_A$ .

Proof: By Lemmas 4.5 (2) and 4.8, we have  $d_{G_A}(B) = 1$  for the tri-connected 3-block  $B$  with  $v^* \in V(B)$ . Since  $v^* \notin K$  for any  $K \in \text{SP}(G_A)$ ,  $v^*$  is in the new leaf of  $G_A$ .  $\square$

For the case  $v^* \in T_G$  we have the next lemma.

**Lemma 4.10** Suppose that  $v^* \in T_G$ . Then any  $K \in \text{SP}(G_A)$  with  $v^* \in K$  has  $d_{G_A}(K) = 2$ . If  $G_A$  has a tri-connected 3-block  $B$  with  $d_{G_A}(B) \geq 3$  and  $v^* \in V(B)$  then  $B$  includes a separation pair  $K \in \text{SP}(G_A)$  with  $v^* \notin K$ .

Proof: In this case,  $v^* = u'$ . Any  $K \in \text{SP}(G)$  with  $v^* \in K$  consists of  $v^*$  and any vertex  $x \in V(P(v^*))$  not adjacent to  $v^*$  in  $P(v^*)$ , that is,  $K \in \text{SP}_N(G)$ . Therefore, for any  $K \in \text{SP}(G_A)$  with  $v^* \in K$ , we have  $K \in \text{SP}_N(G)$  and hence  $d_{G_A}(K) = 2$ . By adding  $(u', v')$ , at most two separation pairs  $K \in \text{SP}_N(G)$  with  $v^* \in K$  are included in  $B$ . Since  $d_{G_A}(B) \geq 3$ ,  $B$  includes some  $K \in \text{SP}(G_A)$  with  $v^* \notin K$ .  $\square$

The next lemma shows that if  $(d(G_A) - 1 = \lceil l(G_A)/2 \rceil$  and  $l(G_A)$  is odd) then Case 3 can be reduced to Case 1 after Edge-Addition A.

**Lemma 4.11** If  $(d(G_A) - 1 = \lceil l(G_A)/2 \rceil$  and  $l(G_A)$  is odd) then  $g(V - K) \geq 2(d(G_A) - 1)$  for the separation pair  $K \in \text{SP}(G_A)$  with  $d_{G_A}(K) = d(G_A)$ .

Proof: Since  $d_{G_A}(K) \geq 3$ , we have  $v^* \notin K$  by Lemmas 4.8 and 4.10. Hence  $g(V - K) \geq \sum_{X \in \mathcal{L}(G_A)} g(X) + g(v^*) \geq l(G_A) + 1 = 2(\lceil l(G_A)/2 \rceil) = 2(d_{G_A}(K) - 1)$ .  $\square$

Moreover, the next lemma concerning graphs of type 1 or of type 2 follows from Lemmas 4.8 and 4.10.

**Lemma 4.12** If  $l(G_A) \geq 3$  then (1) and (2) hold.

(1) If  $G_A$  is a graph of type 1 then  $v^*$  is not the center of  $G_A$ .

(2) If  $G_A$  is a graph of type 2 then  $v^* \notin T_{G_A}$ .

Proof: We prove (1). Suppose that  $v^*$  is the center of  $G_A$ . If  $v^* \notin T_G$  then  $v^* \notin K$  for any  $K \in \text{SP}(G_A)$  by Lemma 4.8, contradicting that  $v^*$  is the center of  $G_A$ . Therefore we have  $v^* \in T_G$ . By Lemma 4.10, any  $K \in \text{SP}(G_A)$  with  $v^* \in K$  has  $d_{G_A}(K) = 2$ . This shows that  $G_A$  has a tri-connected 3-block  $B$  with  $d_{G_A}(B) \geq 3$  and  $v^* \in V(B)$ . Again by Lemma 4.10,  $B$  includes a separation pair  $K \in \text{SP}(G_A)$  with  $v^* \notin K$ , contradicting that  $G_A$  is of type 1. Hence (1) follows.

Since  $v^*$  is a non-leaf-vertex of  $G_A$ , (2) holds.  $\square$

From the results mentioned above, we obtain the following corollary.

**Corollary 4.13** (1) The existence condition for feasible solutions holds for  $G_A$  and updated  $g$ .

(2) If  $l(G) \geq 5$  ( $l(G_A) \geq 3$ ) then  $G_A$  has a non-leaf-vertex  $v^*$  with  $g(v^*) \geq 1$  and  $v^* \neq u$  if  $G_A$  is a graph of type 1.  $\square$

If  $(d(G_A) - 1 < \lceil l(G_A)/2 \rceil$  and  $l(G_A)$  is odd) then we can execute Edge-Addition B by using  $v^*$  in Corollary 4.13 (2) as a non-leaf-vertex to which a new edge is added.

### 4.2.2 Edge-Addition B

Edge-Addition B is to add an edge connecting a non-leaf-vertex with a leaf-vertex of  $G$  so that the number of leaves is decreased by one. Let  $v^*$  be a non-leaf-vertex of  $G$  with  $g(v^*) \geq 1$ , where we assume that  $v^*$  is not the center of  $G$  if  $G$  is a graph of type 1. We consider two cases.

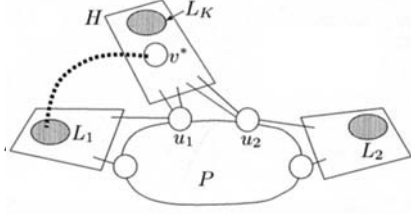


Figure 7: Edge-Addition B in Case B1, showing the case  $Q_1, Q_2 \in \text{SP}_\Lambda(G)$  and  $v^* \in H$ .

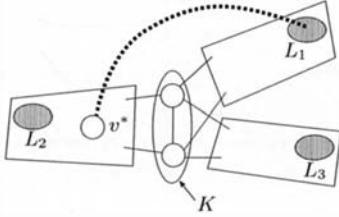


Figure 8: Edge-Addition B in Case B2, where  $d(K) \geq 3$ .

(Case B1) There is a polygon  $P$  with  $d(P) \geq 3$ . In this case,  $P$  includes a separation pair  $K = \{u_1, u_2\} \in \text{SP}_\Lambda(G)$  with  $v^* \in H \cup K$ , where  $H$  is a  $K$ -component not containing  $V(P) - K$ . See Fig. 7. Let  $Q_1, Q_2$  be a separation pair of adjacent type or a singleton 3-block included in  $P$  such that  $Q_1, K, Q_2$  appear in this order consecutively on  $P$ . If  $Q_1 \in \text{SP}_\Lambda(G)$  then let  $L_1 \in \mathcal{L}(G)$  be any leaf in a  $Q_1$ -component not containing  $V(P) - Q_1$ ; if  $Q_1$  is a singleton 3-block (which is a leaf) then let  $L_1 = Q_1$ . For  $Q_2$ , let  $L_2$  be a leaf of  $G$  defined similarly. If  $v^* \in H \cup \{u_2\}$  then select a vertex  $v'' \in L_1$  with  $g(v'') \geq 1$ ; if  $v^* = u_1$  then select a vertex  $v'' \in L_2$  with  $g(v'') \geq 1$ . And add an edge  $(v^*, v'')$  to  $G$ .

**Example 4.14** For  $G$  in Fig. 1,  $d(G) - 1 < \lceil l(G)/2 \rceil$  and  $l(G)$  is odd.  $G$  has a non-leaf-vertex  $v^* = d$  with  $g(v^*) \geq 1$  and a polygon  $P$  with  $V(P) = \{c, d, e, f, g\}$  and  $d(P) \geq 3$ . For  $K = \{c, d\} \in \text{SP}_\Lambda(G)$  and a  $K$ -component  $H = \{a, b\}$ ,  $v^* \in H \cup K$  holds. Then an edge  $(d, g)$  is added to  $G$ .  $\square$

(Case B2) There is no polygon  $P$  with  $d(P) \geq 3$ . Then there is a primary separation pair  $K$  of  $G$  with  $v^* \notin K$  (even if  $G$  is a graph of type 1). If  $d(K) \geq 3$  then let  $L_1 \in \mathcal{L}(G)$  be any leaf in a  $K$ -component not containing  $v^*$ , select a vertex  $v'' \in L_1$  with  $g(v'') \geq 1$  and add an edge  $(v^*, v'')$  to  $G$  (Fig. 8). If  $d(K) = 2$  then do the following. Let  $B$  be a 3-block with  $K \subseteq V(B)$  and  $d(B) \geq 3$ . Let  $L_K \in \mathcal{L}(G)$  be any leaf in the  $K$ -component not containing  $V(B) - K$ . Let  $K_1 \in \text{SP}(G) - \{K\}$  be any separation pair in  $V(B)$ , and let  $L_1 \in \mathcal{L}(G)$  be any leaf in a  $K_1$ -component not containing  $V(B) - K_1$ . If  $v^*$  and  $V(B) - K$  are in a  $K$ -component then select a vertex  $v'' \in L_K$  with  $g(v'') \geq 1$  (Fig. 9); otherwise select a vertex  $v'' \in L_1$  with  $g(v'') \geq 1$ . And add an edge  $(v^*, v'')$  to  $G$ .

Let  $G_B$  denote the graph obtained from  $G$  by executing Edge-Addition B (i.e.  $G_B = G + \{(v^*, v'')\}$ ). For any 3-block or any leaf  $Y$  of  $G$  (or  $G_B$ ), let  $\beta(Y)$  denote the  $\beta$ -vertex representing  $Y$  in  $3\text{-blk}(G)$  (or in  $3\text{-blk}(G_B)$ ).

**Lemma 4.15**  $l(G_B) = l(G) - 1$ .

Proof: (Case B1) Suppose that  $v^* \in H$  (Fig. 7). Then  $G_B$  has a 3-block  $B_1$  containing  $\{v^*, u_1, u_2\} \cup L_1$ . Let  $L_K \in \mathcal{L}(G)$  be any

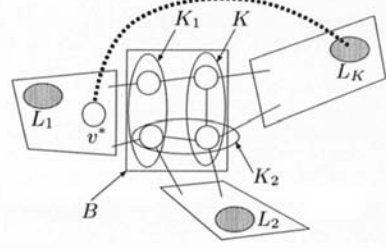


Figure 9: Edge-Addition B in Case B2, where  $d(K) = 2$  and  $K \subseteq V(B)$  for a 3-block  $B$  with  $d(B) \geq 3$ , showing the case where  $v^*$  and  $V(B) - K$  are in a  $K$ -component.

leaf in  $H$ . Then  $L_K, L_2 \in \mathcal{L}(G_B)$ , and there are two paths in  $3\text{-blk}(G_B)$ , one from  $\beta(B_1)$  to  $\beta(L_K)$  and one from  $\beta(B_1)$  to  $\beta(L_2)$ . Hence  $\beta(B_1)$  is not a leaf of  $3\text{-blk}(G_B)$ , and  $l(G_B) = l(G) - 1$ .

Suppose that  $v^* = u_2$ . If  $Q_1 \in \text{SP}_\Lambda(G)$  then  $G_B$  has a 3-block  $B'_1$  containing  $\{v^*\} \cup Q_1 \cup L_1$ , and, in  $3\text{-blk}(G_B)$ , the path between  $\beta(L_K)$  and  $\beta(L_2)$  passes through  $\beta(B'_1)$ , showing  $l(G_B) = l(G) - 1$ . If  $Q_1$  is a singleton 3-block then  $L_1 = Q_1 = \{v''\}$ , and  $\{v^*, v''\} \in \text{SP}_\Lambda(G_B)$ . Since  $v''$  is no longer a leaf-vertex in  $G_B$ ,  $l(G_B) = l(G) - 1$ . In the case  $v^* = u_1$ , a similar discussion shows  $l(G_B) = l(G) - 1$ .

(Case B2) Suppose that  $d(K) \geq 3$  (Fig. 8).  $G_B$  has a 3-block  $B_2$  containing  $K \cup \{v^*, v''\}$ . Let  $L_2 \in \mathcal{L}(G)$  be any leaf in the  $K$ -component containing  $v^*$ . Let  $L_3 \in \mathcal{L}(G)$  be any leaf in a  $K$ -component containing neither  $v''$  nor  $v^*$ . Then, in  $3\text{-blk}(G_B)$ , the path between  $\beta(L_2)$  and  $\beta(L_3)$  passes through  $\beta(B_2)$ , showing  $l(G_B) = l(G) - 1$ .

Suppose that  $d(K) = 2$  and  $K \subseteq V(B)$  for a 3-block  $B$  with  $d(B) \geq 3$ .  $G_B$  has a 3-block  $B'_2$  containing  $B \cup \{v^*, v''\}$ . Let  $K_2 \in \text{SP}(G) - \{K, K_1\}$  be any separation pair in  $V(B)$ , and let  $L_2 \in \mathcal{L}(G)$  be any leaf in a  $K_2$ -component not containing  $V(B) - K_2$ . If  $v^*$  and  $V(B) - K$  are contained in a  $K$ -component then, in  $3\text{-blk}(G_B)$ , the path between  $\beta(L_1)$  and  $\beta(L_2)$  passes through  $\beta(B'_2)$ . Otherwise, in  $3\text{-blk}(G_B)$ , the path between  $\beta(L_K)$  and  $\beta(L_2)$  passes through  $\beta(B'_2)$ .  $\beta(B'_2)$  is not a leaf of  $3\text{-blk}(G_B)$ . Hence  $l(G_B) = l(G) - 1$ .  $\square$

### 4.2.3 Description of Algorithm 3

Now we describe Algorithm 3. Figure 10 shows overview of Algorithm 3.

**Algorithm 3** /\* for Case 3 \*/

1. (1.1)  $E^* \leftarrow \emptyset$ .
  - (1.2) If there is a non-leaf-vertex  $v^*$  with  $g(v^*) \geq 1$  such that if  $G$  is a graph of type 1 then  $v^*$  is not the center of  $G$ , select such a vertex  $v^*$  and go to Step 3.
  - (1.3) Otherwise go to Step 2.
2. (2.1) Add an edge  $(u', v')$  to  $G$  by Edge-Addition A as described in Sec. 4.2.1;  $E^* \leftarrow E^* \cup \{(u', v')\}$ ;  $G \leftarrow G + \{(u', v')\}$ ;  $g(u') \leftarrow g(u') - 1$  and  $g(v') \leftarrow g(v') - 1$ .
  - (2.2) If the problem for new  $G$  and  $g$  is in Case 1 or 2 then find an optimum solution  $A$  by Algorithm 1 or 2, respectively;  $E^* \leftarrow E^* \cup A$ ; and output  $E^*$  and halt.
  - (2.3) Otherwise select a non-leaf-vertex  $v^*$  with  $g(v^*) \geq 1$  such that if  $G$  is a graph of type 1 then  $v^*$  is not the center of  $G$ ; and go to Step 3.
3. (3.1) Add an edge  $(v', v'')$  by Edge-Addition B as described in Sec. 4.2.2;  $E^* \leftarrow E^* \cup \{(v', v'')\}$ ;  $G \leftarrow G +$

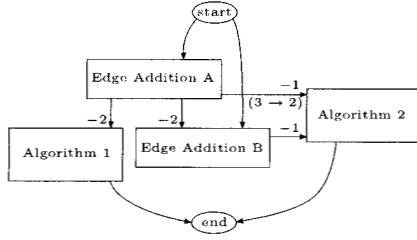


Figure 10: Overview of Algorithm 3, where the negative integer beside each arrow shows decrease of the number of leaves.

$\{(v^*, v'')\}; g(v^*) \leftarrow g(v^*) - 1$  and  $g(v'') \leftarrow g(v'') - 1$ .

(3.2) Find an optimum solution A for new  $G$  and  $g$  by Algorithm 2;  $E^* \leftarrow E^* \cup A$ ; and output  $E^*$ .

**Lemma 4.16** *Algorithm 3 finds an optimum solution to 3VCA-DC in Case 3 and can run in  $O(|V| + |E|)$  time.*

Proof: We show the correctness of Algorithm 3. Suppose that a non-leaf-vertex  $v^*$  is selected in Step 1. By Lemma 4.15,  $l(G_B) = l(G) - 1$ , which is even. Therefore, the problem is reduced to Case 2 and we can apply Algorithm 2 for  $G_B$ . The optimum solution obtained by Algorithm 2 with the edge added by Edge-Addition B is an optimum solution whose number of edges is  $\lceil l(G)/2 \rceil$ .

Next we consider the case Step 2 is executed. After Edge-Addition A in Step 2, the existence condition for feasible solutions holds by Corollary 4.13 (1). Therefore, if the problem is reduced to Case 1 or Case 2 then we can execute algorithm 1 or Algorithm 2, respectively. If the problem is in Case 3, there is a desired non-leaf-vertex  $v^*$  by Corollary 4.13 (2), so we can execute Edge-Addition B in Step 3, and we find an optimum solution A to  $G_B$  by Algorithm 2. In each case we have  $|E^*| = \lceil l(G)/2 \rceil$  for  $E^*$  output by Algorithm 3. Hence it is an optimum solution.

Since all of Edge-Addition A, Edge-Addition B, Algorithm 1, Algorithm 2 can be executed in  $O(|V| + |E|)$  time, Algorithm 3 can run in  $O(|V| + |E|)$  time.  $\square$

From Lemmas 4.2, 4.3 and 4.16, *Solve\_3VCA-DC\_aug2to3* finds an optimum solution and runs in  $O(|V| + |E|)$  time. We obtain the following theorem.

**Theorem 4.17** *If a bi-connected graph  $G$  with degree constraints by  $g$  satisfies the existence condition for feasible solutions then finding an optimum solution to 3VCA-DC for  $G$  and  $g$  can be done in  $O(|V| + |E|)$  time and the cardinality of the optimum solution equals  $\max\{d(G) - 1, \lceil l(G)/2 \rceil\}$ .*  $\square$

## 5 Concluding Remarks

We have shown that checking the existence of a feasible solution and finding an optimum solution to 3VCA-DC for any bi-connected graph  $G = (V, E)$  can be done in  $O(|V| + |E|)$  time, where if there is a feasible solution then the optimum value to 3VCA-DC is equal to the optimum one to 3VCA. Devising a polynomial time algorithm for 3VCA-DC for not bi-connected graph is left for future research.

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