

## 仕様合成オペレータを持つ並行処理記述言語の アクションリファインメント

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あらまし ソフトウェア仕様の発展には初期設計時の詳細化と保守・運用時の機能変更がある。詳細化手法は設計法における重要なコンセプトの一つであり、従来より多くの研究が行われている。一方、ユーザ層の拡大により、システムへの要求を初期設計時に把握することがますます困難となり、運用開始後の仕様変更機能の重要性が増している。このような仕様の発展をサポートするための基本的検討として、二つの仕様を合成するオペレータをもつ並行処理記述言語のアクションリファインメントについて考察する。双模倣同値な二つの仕様は一般にはアクションリファインメント後に双模倣同値にならない。本稿では、上記言語の双模倣同値な仕様アクションリファインメント後、双模倣同値になるための十分条件を示す。

和文キーワード      プロセス代数、仕様合成、アクションリファインメント、双模倣同値。

## Action refinement in a process algebraic language that has a specification synthesis operator

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Abstract    System development process is repetition of perceiving new requirements and implementing them. When new requirements are clarified in the next design stage or after completing the design, current systems are considered to be incomplete. Incremental design method supports these repetition in design process. New specification can be added to an incomplete current specification, and a revised specification is easily synthesized. This article discusses theoretical foundations of incremental design methodologies in a process algebraic language. On the other hand, refinement is one of the most important concept in design method. However, it is known that refined specifications obtained from two bisimulation equivalent specifications are not guaranteed to be bisimulation equivalent. Unfortunately, it is same in incremental design methods. This article clarifies a pair of sufficient conditions to preserve bisimulation equivalence for incremental design method.

英文 key words    process algebra, specification synthesis, action refinement, bisimulation equivalence.

# 1 Introduction

In order to comply with increasing complexity of computer systems, efficient development methods are required. Many design methodologies, especially formal description techniques have therefore been proposed. Among the concepts of design methodologies, refinement is one of the most important. It supports so-called top-down design. Incremental development methodology that we have already proposed is a design concept which differs in standpoint from top-down design [IIKTS91]. In incremental design method, specification is always considered to be incomplete. New specification is added to incomplete current specification, and a revised specification is easily synthesized when new requirements are clarified. The advantage of incremental methodology is that it is easy to add new functions which are required after the systems have been put into use. Because of the increasing numbers and kinds of computer users, it is becoming difficult to recognize the requirements for computer systems. Therefore we believe that incremental enhancement of systems is becoming more important.

This article discusses the theoretical foundations for action refinement and specification synthesis in a process algebraic language. In the field of process algebra, there have been reports about action refinement [Itoh90] [Acet92] [Glab90]. However these did not consider specification synthesis. On the other hand, a process algebraic language that features a synthesis operator is proposed in [YK90]. But that article did not discuss action refinement. So far, there is no report that investigates refinement and incremental design simultaneously.

It is known that refined specifications obtained from two bisimulation equivalent specifications are not guaranteed to be bisimulation equivalent. Unfortunately, it is same in incremental design methods. This article clarifies a pair of sufficient conditions of specifications and refinement in incremental design method to preserve bisimulation equivalence after refinement.

In Section 2, some definitions and notations used in this article are given. The language introduced in [YK90] is adopted in this article. It is reviewed in Section 3. Action refinement and an equivalence called “*differential equivalence*” in [Itoh90] is reviewed in Section 4. Section 5 proposes the conditions for specifications and refinement. And we prove that bisimu-

lation equivalence is preserved after refinement on the proposed conditions.

## 2 Notation and labeled transition system

This section explains the notation and definitions that are used in this article. Throughout the article, the end of a definition, theorem, etc. is marked by ‘■’, and the end of a proof is marked by ‘■’.

### 2.1 Basic notation

**Notation 1** (Sets and Functions) Let  $A$  and  $B$  be sets,  $a \in A$  and  $b \in B$ .

- 1) The *cardinality* of  $A$  is denoted by  $\#(A)$ .  
 $A \setminus B = \{a \mid a \in A \wedge a \notin B\}$ .  $A \setminus B$  is called the complement of  $B$  relative to  $A$ .
- 2)  $\langle a, b \rangle$  denotes the ordered pair of  $a$  and  $b$ .  $\langle A, B \rangle$  denotes the set of ordered pair.
- 3)  $(A \rightarrow B)$  denotes a set of all functions from  $A$  to  $B$ .
- 4) The set of natural numbers is denoted by “ $\omega$ ”. ■

**Notation 2** Let  $A$  be a set,  $\sigma$  be a sequence in  $A^*$  and  $i \in \omega$ ,

- 1)  $\beta(i, \sigma)$  denotes the  $i$ -th element of  $\sigma$ .
- 2)  $length(\sigma)$  denotes the length of  $\sigma$ .
- 3)  $PrefixSet(\sigma) \stackrel{def}{=} \{\phi \in A^* \mid \exists \theta \in A^*[\phi\theta = \sigma]\}$ .
- 4)  $prefix(i, \sigma)$  denotes the prefix sequence of  $\sigma$  that length is  $i$ , i.e.  $(prefix(i, \sigma) \in PrefixSet(\sigma)) \wedge (length(prefix(i, \sigma)) = i)$ . ■

**Notation 3** 1) “ $\vec{a}$ ” is an abbreviation for sequence  $a_1 \dots a_n$ .

- 2) Let  $a$  and  $b$  be elements of some set and  $\mathcal{E}$  be an expression of the set.  
 $a^{\mathcal{E}(i)}$  denotes the  $i$ -th occurrence of  $a$  in  $\mathcal{E}$ .  
 $\mathcal{E}[b/a]$  denotes the expression obtained from  $\mathcal{E}$  by substituting  $b$  for all occurrences of  $a$ . ■

## 2.2 Labeled transition system

**Definition 2.1** A *labeled transition system*  $TS$  is defined as a 4-tuple  $TS = \langle S, \mathcal{A}, T, s_0 \rangle$ , where:

- $S$  is a (countable) non-empty set of states,
- $\mathcal{A}$  is a (countable) set of labels,
- $T = \{-\mu \rightarrow \subseteq S \times \mathcal{A} \times S \mid a \in \mathcal{A}\}$   
is a relation called the transition relation,
- $s_0 \in S$  is an initial state of  $TS$ . ■

We sometimes use LTS as an abbreviation for labeled transition system.

Next, we introduce several formal notations for labeled transition systems.

**Definition 2.2** Let  $B, B_i, C$  be labeled transition systems,  $\mu, \mu_i \in \mathcal{A}$  and  $\sigma \in \mathcal{A}^*$ ,

- $B - \mu_1 \dots \mu_n \rightarrow_{\mathcal{A}} C$   
if  $\exists B_i (0 \leq i \leq n)$   
 $[B = B_0 - \mu_1 \rightarrow B_1 - \mu_2 \rightarrow \dots - \mu_n \rightarrow B_n = C]$ .
- $B - \mu_1 \dots \mu_n \rightarrow_{\mathcal{A}}$  if  $\exists C [B - \mu_1 \dots \rightarrow C]$ .
- $out_{\mathcal{A}}(B) \stackrel{def}{=} \{\mu \in \mathcal{A} \mid B - \mu \rightarrow\}$ .
- $D_{\mathcal{A}}(B) \stackrel{def}{=} \{C \mid \exists \mu \in \mathcal{A} [B - \mu \rightarrow C]\}$ .
- $S(B, \sigma)_{\mathcal{A}} \stackrel{def}{=} \{\mu \in \mathcal{A} \mid \exists C [B - \sigma \rightarrow C - \mu \rightarrow]\}$ .
- $AS(B, \sigma)_{\mathcal{A}} \stackrel{def}{=} \{C \mid B - \sigma \rightarrow C\}$ .
- $Tr_{\mathcal{A}}(B) \stackrel{def}{=} \{\sigma \in \mathcal{A}^* \mid B - \sigma \rightarrow\}$ .
- $Path_{Act}(B) \stackrel{def}{=} \{p \mid (p \in Tr(B)) \wedge (\forall q \in Tr(B) [p \in prefix(q) \Rightarrow q = p])\}$ . ■

**Definition 2.3** *Act* is a (countable) set of observable actions. ■

Usually, *Act* is used as the label set of LTS. But in discussion of refinement,  $\langle Act, \omega \rangle$  is used as the label set. Therefor all notations in Definition 2.2 are defined with subscript indicating a label set. When  $\mathcal{A}$  is apparent from context or not important, it will be often omitted.

## 3 A language that features a synthesis operator

In this section, we introduce the language  $\mathcal{LS}$  discussed in this article. This language is defined in Subsection 3.1, and some of its properties are explained in Subsection 3.2.

## 3.1 Definition of $\mathcal{LS}$

$\mathcal{LS}$  has been defined in [YK90], and we repeat its definition here.

**Definition 3.1** Let  $\mathcal{A}$  be a set, then  $\mathcal{LS}_{\mathcal{A}}$  is the least set in which every terms is constructed from following only.

- $stop \in \mathcal{LS}_{\mathcal{A}}$ .
- $exit \in \mathcal{LS}_{\mathcal{A}}$ .
- $B \in \mathcal{LS}_{\mathcal{A}}, \mu \in \mathcal{A} \Rightarrow \mu; B \in \mathcal{LS}_{\mathcal{A}}$ .
- $B_1 \in \mathcal{LS}_{\mathcal{A}}, B_2 \in \mathcal{LS}_{\mathcal{A}} \Rightarrow B_1 \square B_2 \in \mathcal{LS}_{\mathcal{A}}$ .
- $B_1 \in \mathcal{LS}_{\mathcal{A}}, B_2 \in \mathcal{LS}_{\mathcal{A}}, G \subseteq \mathcal{A}$   
 $\Rightarrow B_1 \parallel [G] B_2 \in \mathcal{LS}_{\mathcal{A}}$ .
- $B_1 \in \mathcal{LS}_{\mathcal{A}}, B_2 \in \mathcal{LS}_{\mathcal{A}} \Rightarrow B_1 \langle \dagger \rangle B_2 \in \mathcal{LS}_{\mathcal{A}}$ . ■

Elements of  $\mathcal{LS}_{\mathcal{A}}$  are called statements.

We will often abbreviate  $\mathcal{LS}_{\mathcal{A}}$  to  $\mathcal{LS}$ , when  $\mathcal{A}$  is apparent from context or not important.

To treat action refinement from an action to a statement, *action prefix* should be replaced by *sequential composition*. But in this article, only action refinement from an action to an action sequence is treated, so we do not replace.

**Definition 3.2** Axioms of  $\mathcal{LS}_{\mathcal{A}}$  combinators:  $\mathcal{D}_m$

- |                                   |  |
|-----------------------------------|--|
| $stop$                            | none.  |
| $exit$                            | $exit - \delta \rightarrow stop$ ( $\delta \notin Act$ ).  |
| $\mu; B$                          | $\mu \in \mathcal{A} \vdash \mu; B - \mu \rightarrow B$ .  |
| $B_1 \square B_2$                 | $B_1 - \mu \rightarrow B'_1, out(B_1) \cap out(B_2) = \emptyset$<br>$\vdash B_1 \square B_2 - \mu \rightarrow B'_1$ .  |
|                                   | $B_2 - \mu \rightarrow B'_2, out(B_1) \cap out(B_2) = \emptyset$<br>$\vdash B_1 \square B_2 - \mu \rightarrow B'_2$ .  |
| $B_1 \parallel [G] B_2$           | $B_1 - \mu \rightarrow B'_1, B_2 - \mu \rightarrow B'_2,$<br>$out(B_1) \cap out(B_2) \subseteq G, \mu \in G$<br>$\vdash B_1 \parallel [G] B_2 - \mu \rightarrow B'_1 \parallel [G] B'_2$ . |
|                                   | $B_1 - \mu \rightarrow B'_1, out(B_1) \cap out(B_2) \subseteq G,$<br>$\mu \notin G$<br>$\vdash B_1 \parallel [G] B_2 - \mu \rightarrow B'_1 \parallel [G] B_2$ .                           |
|                                   | $B_2 - \mu \rightarrow B'_2, out(B_1) \cap out(B_2) \subseteq G,$<br>$\mu \notin G$<br>$\vdash B_1 \parallel [G] B_2 - \mu \rightarrow B_1 \parallel [G] B'_2$ .                           |
| $B_1 \langle \dagger \rangle B_2$ | $B_1 - \mu \rightarrow B'_1, B_2 - \mu \rightarrow B'_2$<br>$\vdash B_1 \langle \dagger \rangle B_2 - \mu \rightarrow B'_1 \langle \dagger \rangle B'_2$ .                                 |
|                                   | $B_1 - \mu \rightarrow B'_1, \mu \notin out(B_2)$<br>$\vdash B_1 \langle \dagger \rangle B_2 - \mu \rightarrow B'_1$ .   |
|                                   | $B_2 - \mu \rightarrow B'_2, \mu \notin out(B_1)$<br>$\vdash B_1 \langle \dagger \rangle B_2 - \mu \rightarrow B'_2$ . ■   |

**Definition 3.3**  $\mathcal{T}_{\mathcal{D}_m}$  is the smallest set that includes all transition rules derived from  $\mathcal{D}_m$ . ■

**Definition 3.4**  $\langle \mathcal{LS}_{\mathcal{A}}, \mathcal{A}, \mathcal{T}_{\mathcal{D}_m}, S \rangle$  is called the labeled transition system corresponding to  $S \in \mathcal{LS}_{\mathcal{A}}$  and denoted by  $lts(S)$ . ■

In this article, we use “strong equivalence” in [Miln89]. The symbol “ $\sim$ ” denotes strong equivalence. In this article we rename “strong equivalence” to “strong bisimulation equivalence” or merely “bisimulation equivalence”.

**Definition 3.5**  $\mathcal{S}^{\mathcal{D}_{\mathcal{A}}}$  is the smallest subset of  $\mathcal{LS}_{\mathcal{A}}$  that includes every statement  $S$  which satisfy following conditions.

For all  $C \in D(S)$ ,

if  $C \equiv A_1 \parallel A_2$ , then  $out(A_1) \cap out(A_2) = \emptyset$ .

if  $C \equiv A_1 \parallel [G] A_2$ , then  $out(A_1) \cap out(A_2) \subseteq G$ . ■

### 3.2 Some properties of $\mathcal{LS}$

In this subsection, characteristic points and properties of  $\mathcal{LS}$  are explained.

**Proposition 1** If  $S$  is in  $\mathcal{S}^{\mathcal{D}_{\mathcal{A}}}$  and  $\sigma$  is in  $\mathcal{A}^*$ , then  $\sharp(AS_{\mathcal{A}}(S, \sigma)) \leq 1$ . ■

**Proof.** Omitted. ■

**Definition 3.6** Let  $A$  be a statement and  $\sigma$  be in  $Tr_{\mathcal{A}}$ . The only element in  $AS(A, \sigma)$  is denoted by  $NAS(A, \sigma)$ . ■

**Corollary 1** If  $A$  and  $B$  are statements in  $\mathcal{S}^{\mathcal{D}_{\mathcal{A}}}$ , then  $A \sim B \Leftrightarrow Tr_{\mathcal{A}}(A) = Tr_{\mathcal{A}}(B)$ . ■

## 4 Action refinement in $\mathcal{LS}_{Act}$ and differential equivalence

In this section, we introduce refinement functions on  $\mathcal{LS}_{Act}$ . In subsection 4.1, we state our aim and mention some problems concerning action refinement in  $\mathcal{LS}_{Act}$ . In subsection 4.2, refinement functions on  $\mathcal{LS}_{Act}$  and differential equivalence “ $\sim_d$ ” are introduced. “differential equivalence” is one of the conditions on that a pair of pre-refined statements are refined to a pair of statements which are bisimulation equivalent.

### 4.1 The objective and problems in refining $\mathcal{LS}_{Act}$

We have been investigating incremental design methodology [IIKTS91]. The advantage of incremental design methodology is that new functions can be added easily after the systems have been put into use. Therefore throughout life cycle, programs can be extended. Such extend-ability is necessary to cope with the diverse requirements that are caused by increasing the number of users.

Computer systems, especially distributed systems are becoming larger. Large systems are usually designed step by step. At first they are designed abstractly, then stepwise designed in detailed. The increase in complexity of specifications makes specification modification more difficult. The motivation for our study of action refinement in  $\mathcal{LS}_{Act}$  is the need to create a good design methodology for large systems that supports the addition of new functions after the systems has been put into use.

At the beginning, the relationship between refinement and addition of new functions is considered. A fundamental requirement is to preserve equivalence. So we investigated what pair of specifications preserve bisimulation equivalence by restricting the method of refining statements. Conditions for specifications and conditions for refinement depend on each other. This article takes up this problem and a pair of sufficient conditions of specifications and refinement are shown in Section 5.

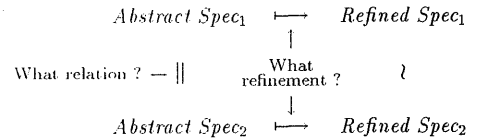


Figure 1: The issue investigated in this article.

Next, two problems in refining statements are listed. First example is a problem derived from action atomicity [Itoh90] [Acet92].

**Example 1** Let  $S_1 \equiv (a; b; \text{stop}) \parallel (b; a; \text{stop})$  and  $S_2 \equiv (a; \text{stop}) \parallel (b; \text{stop})$ .

$S_1$  and  $S_2$  are bisimulation equivalent.

Suppose that refinement by which action “ $a$ ” is replaced with “ $a_1; a_2$ ” and “ $b$ ” with “ $b_1; b_2$ ” is applied to  $S_1$  and  $S_2$ .

$S_1$  is refined to

$(a_1; a_2; b_1; b_2; \mathbf{stop}) \parallel (b_1; b_2; a_1; a_2; \mathbf{stop})$

and  $S_2$  is refined to  $(a_1; a_2; \mathbf{stop}) \parallel (b_1; b_2; \mathbf{stop})$ .

After refinement is applied to these statements, they are not bisimulation equivalent. Refinement does not preserve bisimulation equivalence relation because actions are considered as atomic, instantaneous.

The next example occurs only in  $\mathcal{LS}_{Act}$ .

**Example 2** Let  $S_1 \equiv (a; \mathbf{stop}) \parallel (b; \mathbf{stop})$ ,  $S_2 \equiv (a; \mathbf{stop}) \parallel (b; \mathbf{stop})$ . And let refinement by which action “ $a$ ” is replaced with “ $a_1; a_2$ ” and “ $b$ ” with “ $a_1; b_2$ ” be applied to  $S_1$  and  $S_2$ .

$S_1$  is refined to  $(a_1; a_2; \mathbf{stop}) \parallel (a_1; b_2; \mathbf{stop})$  and  $S_2$  is refined to  $(a_1; a_2; \mathbf{stop}) \parallel (a_1; b_2; \mathbf{stop})$ .

Concerning refined  $S_1$ , the axiom of choice operator in Definition 3.3 cannot be applied to  $S_1$  because  $out(a_1; a_2; \mathbf{stop}) \cap out(a_1; b_2; \mathbf{stop}) \neq \emptyset$ . So there is no axiom that can be applied to refined  $S_1$ . Therefore refined  $S_1$  cannot translate.

Concerning refined  $S_2$ , the axiom of parallel operator in Definition 3.3 cannot be applied to  $S_2$  because  $out(a_1; a_2; \mathbf{stop}) \cap out(a_1; b_2; \mathbf{stop}) \not\subseteq \emptyset$ .

So the LTS of a statement obtained by some refinement may be impossible to evolve into final state, although the its pre-refined statement’s LTS evolves into final state without fail.

Taking these examples into consideration, we define action refinement in  $\mathcal{LS}_{Act}$ .

## 4.2 Refinement function of $\mathcal{LS}_{Act}$

**Definition 4.1** Let  $S$  be a statement in  $\mathcal{LS}_{Act}$  and  $\gamma \in (Act \rightarrow Act^*)$ ,  $Refin[\gamma]$  is in  $(\mathcal{LS}_{Act} \rightarrow \mathcal{LS}_{Act})$  such that

$Refin[\gamma](S) = S[\widehat{\gamma(a)}/a]$  for each action  $a$  occurs in  $S$ , where

$$\widehat{\gamma(a)} = \beta(1, \gamma(a)); \dots; \beta(\text{length}(\gamma(a)), \gamma(a)). \blacksquare$$

**Definition 4.2**  $NumOc^S(a, A)$  is the number of  $a$ ’s occurrence in statement  $A$ .  $NumOc^L(a, T)$  is the number of  $a$ ’s occurrence in LTS  $T$ .  $\blacksquare$

Next we introduce “*differential equivalence*” that is defined in [Itoh90]. This equivalence comes from the idea that all actions are not atomic, but they have a start and end. In differential equivalence, each action is divided into two parts indicating its start and end.

**Definition 4.3** Let  $S, S_1, S_2$  be a statement in  $\mathcal{LS}_{Act}$ .

$d(S)$  denotes statement in  $\mathcal{LS}_{(Act, \{S, E\})}$  that each occurrence  $a$  of all actions in  $A$  is replaced with  $\langle a, S \rangle; \langle a, E \rangle$ .

$S_1$  and  $S_2$  are differential equivalent iff  $d(S_1) \sim d(S_2)$ .

$A \sim_d B$  denotes  $S_1$  and  $S_2$  are differential equivalent.  $\blacksquare$

**Notion 1** Occurrences of  $\langle a, S \rangle$  and  $\langle a, E \rangle$  partitioned from the same element are said to correspond.  $\blacksquare$

**Proposition 2** Let  $S_1, S_2$  be statements of  $\mathcal{LS}_{Act}$ .

If  $S_1 \sim_d S_2$  then  $S_1 \sim S_2$ .  $\blacksquare$

**Proof.** Omitted( see [Itoh90]).  $\blacksquare$

## 5 The conditions of refinement that preserve bisimulation equivalence

In this section, a pair of sufficient conditions to preserve bisimulation equivalence after refinement is presented. The conditions consist of a condition for pair of statements and a condition on refinement functions.

Refinement is divided into two step: the first step is only replacing each action with an sequence in  $\langle A, \omega \rangle^*$ ; the second step relabels each  $\langle a, i \rangle$  in  $\langle A, \omega \rangle$  to an action in  $Act$ .

In subsection 5.1, it is shown that if two statements are differential equivalent, action-partitioned statements are also bisimulation equivalent.

In subsection 5.2, we define a set of refinement functions  $\mathcal{FS}_{Act}(\mathcal{SP})$  for refining statements that preserve bisimulation equivalence, where  $\mathcal{SP}$  is a subset of  $\mathcal{S}^{\mathcal{D}}_{Act}$ . And it is shown that if  $S_1, S_2 \in \mathcal{SP}$  and  $S_1 \sim_d S_2$ , then  $S_1$  and  $S_2$  are refined to statements that are bisimulation equivalent by any function in  $\mathcal{FS}_{Act}(\mathcal{SP})$ .

### 5.1 First step: partitioning actions

In this section, replacement of each action in statements with a sequence in  $\langle Act, \omega \rangle^*$  is shown.

First, functions in  $(Act \rightarrow \langle Act, \omega \rangle^*)$  is defined.

**Definition 5.1** Let  $A$  be in  $\mathcal{LS}$  and  $\Gamma_P$  be a function in  $(Act \rightarrow \omega \setminus \{1\})$ .

$Part[\Gamma_P]$  is a function in  $(\mathcal{LS}_{Act} - \mathcal{LS}_{(Act,\omega)})$  as follows.

In  $Part[\Gamma_P](A)$ , each occurrence of all actions in  $A$  is replaced with expressions such that

- if  $\Gamma_P(a) = 0$ , action  $a$  is replaced with  $\langle a, 0 \rangle$ ,
- if  $\Gamma_P(a) \geq 2$ , action  $a$  is replaced with  $\langle a, 1 \rangle; \dots; \langle a, \Gamma_P(a) \rangle$ . ■

**Definition 5.2** Let  $\mathcal{E}_1$  be a statement and  $\mathcal{E}_2 = Part[\Gamma_P](\mathcal{E}_1)$ .

Occurrences of  $\langle a, i \rangle$  in  $\mathcal{E}_2$  are called

$Part[\Gamma_P]$ -descendant( $a^{\mathcal{E}_1(k)}$ ) in  $\mathcal{E}_2$  if their occurrences correspond to the occurrence  $a^{\mathcal{E}_1(k)}$  in  $\mathcal{E}_1$ . ■

An important operator for labeled transition systems is defined. In the rest of this subsection, all lemmas and propositions concern this operator.

**Definition 5.3** Let  $\langle a, i \rangle$  be a transition label in  $lts(S)$ ,  $S$  be in  $\mathcal{LS}_{(Act,\omega)}$  and  $1 \leq k \leq NumOc^L(\langle a, i \rangle, S)$ .

$CorOc(\langle a, i \rangle, k, S)$  is a smallest set of natural numbers such that :

if  $k$ -th occurrence of  $\langle a, i \rangle$  in  $lts(S)$  indicates the transition by  $m$ -th occurrence of  $\langle a, i \rangle$  in  $A$ ,  $m \in CorOc(\langle a, i \rangle, k, S)$ . ■

By synthesis operator, several actions are synthesized to one transition in labeled transition systems. Therefore  $CorOc$  is defined as a set.

**Definition 5.4** Let  $S$  be a statement of  $\mathcal{S}^{\mathcal{D}}_{(Act,\omega)}$ .  $op[\langle a_1, i_1 \rangle, \dots, \langle a_n, i_n \rangle](S)$  is defined as follows<sup>1</sup>,

- i)  $op[\langle a_1, 2 \rangle, \dots, \langle a_n, 2 \rangle](S) \equiv lts(Part[\Gamma_P](S))$ , where  $\Gamma_P \in (Act \rightarrow \omega \setminus \{1\})$  such that

$$\Gamma_P(x) = \begin{cases} 2 & \text{if } x = a_m (1 \leq m \leq n), \\ 0 & \text{otherwise.} \end{cases}$$

- ii) Let  $i_j \geq 2 (1 \leq j \leq n)$ .

$op[\langle a_1, i_1 \rangle, \dots, \langle a_s, i_s + 1 \rangle, \dots, \langle a_n, i_n \rangle](S)$  be determined from every occurrences of  $\langle a_s, i_s \rangle$  in  $op[\langle a_1, i_1 \rangle, \dots, \langle a_s, i_s \rangle, \dots, \langle a_n, i_n \rangle](S)$  as follows:

Let  $1 \leq j \leq NumOc^L(\langle a_s, i_s \rangle, op[\langle a, \vec{i} \rangle](S))$ .

For the  $j$ -th occurrence of  $\langle a_s, i_s \rangle$ , there is a  $\sigma$  in  $\mathcal{LS}_{(Act,\omega)}$  such that

<sup>1</sup>We sometimes use the expression  $op[\langle a, \vec{i} \rangle](S)$  as an abbreviation for  $op[\langle a_1, i_1 \rangle, \dots, \langle a_n, i_n \rangle](S)$ .

$$op[\langle a_1, i_1 \rangle, \dots, \langle a_s, i_s \rangle, \dots, \langle a_n, i_n \rangle](S) \\ - \sigma - (\langle a_s, i_s \rangle^{op[\langle a, \vec{i} \rangle](S)}(j); Y) \mid Z,$$

where “ $\mid$ ” denotes the branch of  $LTS$  and “ $;$ ” denotes concatenation of  $LTS$ .

Let  $W \equiv (\langle a_s, i_s \rangle^{op[\langle a, \vec{i} \rangle](S)}(j); Y) \mid Z$ .

To obtain  $op[\langle a_1, i_1 \rangle, \dots, \langle a_s, i_s + 1 \rangle, \dots, \langle a_n, i_n \rangle](S)$ ,  $W$  is replaced with  $W'$  that is constructed as follow.

Let  $Z' \equiv Z[\langle a_s, i_s + 1 \rangle / \langle a_s, i_s \rangle^{op[\langle a, \vec{i} \rangle](S)}(k)]$ , for all  $k$  that hold  $CorOc(\langle a_s, i_s \rangle, k, S) \subseteq CorOc(\langle a_s, i_s \rangle, j, S)$ .

$W' \equiv Z \mid (\langle a_s, i_s \rangle^{op[\langle a, \vec{i} \rangle](S)}(j); (Z' \mid \langle a_s, i_s + 1 \rangle; Y))$ . ■

**Lemma 1** Let  $a_i \in Act (1 \leq i \leq j)$  and  $A, B \in \mathcal{S}^{\mathcal{D}}_{Act}$ .

$$A \sim_d B \Rightarrow$$

$$op[\langle a_1, 2 \rangle \dots \langle a_j, 2 \rangle](A) \sim op[\langle a_1, 2 \rangle \dots \langle a_j, 2 \rangle](B). \blacksquare$$

**Proof.** This is obvious from Definition 5.4. ■

**Lemma 2** Let  $n_i > 1 (1 \leq i \leq j)$  and  $A, B \in \mathcal{S}^{\mathcal{D}}_{Act}$ .

For all  $1 \leq s \leq j$

$$op[\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle](A)$$

$$\sim op[\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle](B)$$

$\Rightarrow$

$$op[\langle a_1, n_1 \rangle, \dots, \langle a_s, n_s + 1 \rangle, \dots, \langle a_j, n_j \rangle](A)$$

$$\sim op[\langle a_1, n_1 \rangle, \dots, \langle a_s, n_s + 1 \rangle, \dots, \langle a_j, n_j \rangle](B). \blacksquare$$

**Proof.**

From Definition 5.4,  $op[\dots \langle a, i \rangle \dots](X)$  is such that

$$op[\langle a, i \rangle](X) - \sigma - W_X$$

and  $W_X \equiv Z_X \mid \langle a, i \rangle^{op[\langle a, \vec{i} \rangle](X)}(kx) (X = A, B)$ .

In  $op[\langle a, i + 1 \rangle](X)$ ,  $W_X$  is replaced with  $W'$  as described below.

$$W'_X \equiv Z_X \mid \langle a, i \rangle; (Z'_X \mid \langle a, i + 1 \rangle; Y_X)$$

$$Z'_X \equiv Z_X[\langle a, i + 1 \rangle / \langle a, i \rangle^{op[\langle a, \vec{i} \rangle](X)}(kx)].$$

From the hypothesis,  $W_A \sim W_B$ .

So  $Z_A \sim Z_B$  and  $Y_A \sim Y_B$ .

When  $i \geq 2$ , from  $A, B \in \mathcal{S}^{\mathcal{D}}_{Act}$ , there is a  $\langle a, i \rangle$  in each  $Path_{(Act,\omega)}(Z_X)$  and first  $\langle a, i \rangle$ 's occurrences in each path in  $Path(Z_X)_{(Act,\omega)}(Z_X)$  correspond to  $a^X(m)$  in  $X$ , where  $m \in CorOc(\langle a, i \rangle, k, X)$ . Moreover only these occurrences correspond to  $a^X(m)$  in  $X$  for all  $m \in CorOc(\langle a, i \rangle, k, X)$ .

So  $Z'_A \sim Z'_B$ .

Therefore

$$op[\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle](A)$$

$$\sim op[\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle](B)$$

$\Rightarrow$   
 $op\{\langle a_1, n_1 \rangle, \dots, \langle a_s, n_s + 1 \rangle, \dots, \langle a_j, n_j \rangle\}(A)$   
 $\sim op\{\langle a_1, n_1 \rangle, \dots, \langle a_s, n_s + 1 \rangle, \dots, \langle a_j, n_j \rangle\}(B). \blacksquare$

From the above lemmas, following proposition is proved.

**Proposition 3** Let  $A$  and  $B$  be in  $\mathcal{S}^{\mathcal{D}}_{Act}$ .

$A \sim_d B \Rightarrow$   
 $op\{\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle\}(A)$   
 $\sim op\{\langle a_1, n_1 \rangle, \dots, \langle a_j, n_j \rangle\}(B). \blacksquare$

The rest of this subsection, relationship between “op-operator” and “Part[ $\Gamma_P$ ]” is stated.

**Lemma 3** Let  $S$  be a statement in  $\mathcal{S}^{\mathcal{D}}_{Act}$ ,

$\Gamma_P \in (Act \rightarrow \omega)$ , and  $i \in \omega$ .

If in  $lts(d(S))$ , transitions that occur just after any occurrence of  $\langle a, \mathbf{S} \rangle$  that corresponds to  $a^{S(k)}$  in  $S$  are only transitions by  $\langle a, \mathbf{E} \rangle$ , then in  $lts(S_{(Act, \omega)})$  transitions that occur just after any occurrence of  $\langle a, i \rangle$  that correspond to  $a$  in  $S$  are only transitions by  $\langle a, i + 1 \rangle$ .  $\blacksquare$

**Proof.**

In  $lts(Part[\Gamma_P](S))$ , a transition by  $\langle b, j \rangle$  occurs after transitions by  $\langle a, i \rangle$

$(1 \leq i < \Gamma_P(a))$  iff there are occurrences of  $a$  and  $b$  that both within the scope of some “[ ]” in  $S$ . Although applying “Part[ $\Gamma_P$ ]” to  $S$ , in each scope of “[ ]” in  $lts(Part[\Gamma_P](S))$  there is no Part[ $\Gamma_P$ ]-descendant of actions that is out of scope of the “[ ]” in  $S$ . So this lemma holds.  $\blacksquare$

When statements are refined, some attention should pay to the influence of the synthesis operator.

**Example 3**

Let  $S \equiv (a; \mathbf{stop}) \uparrow ((b; \mathbf{stop}) \uparrow \uparrow (c; \mathbf{stop}))$ .

In  $lts(d(S))$  there is no transition except by  $\langle a, \mathbf{E} \rangle$  after transition by  $\langle a, \mathbf{S} \rangle$ .

Suppose  $\gamma \in (Act \rightarrow Act^+)$  is as follows,

$$Refin[\gamma](x) = \begin{cases} d_1 d_2 & \text{if } x = a, \\ d_1 d_3 & \text{if } x = b, \\ e_1 e_2 & \text{if } x = c. \end{cases}$$

By synthesis operator, there are transitions by  $d_2$ ,  $d_3$  and  $e_1$  just after  $d_1$ . Among them only  $d_2$  is come out from  $a$  by the refinement. It is caused because  $Refin[\gamma](a)$  and  $Refin[\gamma](b)$  have same prefix. On

applying  $Part[\Gamma_P]$  ( $\Gamma_P \in (Act \rightarrow \omega)$ ), if  $a \neq b$  then  $Part[\Gamma_P](a)$  and  $Part[\Gamma_P](b)$  have not same prefix. So this cannot be a counter-example for Lemma 3.

**Proposition 4** Let  $S$  be a statement in  $\mathcal{L}\mathcal{S}_{Act}$ ,  $i_j \geq 2$  ( $1 \leq j \leq n$ ) and  $\Gamma_P$  be a function in  $(Act \rightarrow \omega \setminus \{1\})$  such that

$$\Gamma_P(x) = \begin{cases} i_j & \text{if } x = a_j \ (1 \leq j \leq n), \\ 0 & \text{otherwise.} \end{cases}$$

Then

$op\{\langle a_1, i_1 \rangle, \dots, \langle a_n, i_n \rangle\}(S) \sim lts(Part[\Gamma_P](S)). \blacksquare$

**Proof.** This proof is Omitted.  $\blacksquare$

From the above two propositions,  $S_1 \sim_d S_2 \Rightarrow Part[\Gamma_P](S_1) \sim Part[\Gamma_P](S_2)$  is derived.

## 5.2 Second step: relabel action fragments, and the theorem

In this subsection relabeling from  $\langle a, i \rangle$  to  $a$  is discussed.

**Definition 5.5** Let  $S$  be a statement in  $\mathcal{L}\mathcal{S}_{(Act, \omega)}$  and  $\Gamma_R$  be a function in  $((Act, \omega) \rightarrow Act)$ .

$Relab[\Gamma_R]$  is a function in  $(\mathcal{L}\mathcal{S}_{(Act, \omega)} \rightarrow \mathcal{L}\mathcal{S}_{Act})$  such that  $Relab[\Gamma_R](S) \equiv S[\Gamma_R(\langle a, i \rangle) / \langle a, i \rangle]$  for all  $\langle a, i \rangle$ .  $\blacksquare$

**Definition 5.6**

Let  $\mathcal{S}^{\mathcal{D}}$  be a subset of  $\mathcal{S}^{\mathcal{D}}_{(Act, \omega)}$ .  $\mathcal{F}\mathcal{S}_{(Act, \omega)}(\mathcal{S}^{\mathcal{D}})$  is the smallest subset of  $(\langle Act, \omega \rangle \rightarrow Act)$  that includes every function  $\Gamma_R$  which satisfies the below.

For all  $A \in \bigcup_{S \in \mathcal{S}^{\mathcal{D}}} \mathcal{D}_{(Act, \omega)}(S)$

- i) if  $A \equiv A_1 \uparrow A_2$ ,  $\Gamma_R[out(A_1)] \cap \Gamma_R[out(A_2)] = \emptyset$ .
- ii) if  $A \equiv A_1 \uparrow [G]A_2$ ,  $\forall \langle a, i \rangle \in G[\forall \langle b, j \rangle \in \langle Act, \omega \rangle [a \neq b \Rightarrow \Gamma_R(\langle a, i \rangle) \neq \Gamma_R(\langle a, j \rangle)]]$ .  $\blacksquare$

Let  $\sigma$  be a sequence of elements in the domain of function  $f$ .  $f[\sigma]$  is a sequence of elements in the range of  $f$  such that  $f[\sigma] = f(\beta(1, \sigma)) \dots f(\beta(\text{length}(\sigma), \sigma))$ .

**Lemma 4** If  $S$  is a statement in  $\mathcal{S}^{\mathcal{D}}_{(Act, \omega)}$  and  $\Gamma_R \in \mathcal{F}\mathcal{S}_{(Act, \omega)}$ , then  $Tr(Relab[\Gamma_R](S)) = \{\Gamma_R[\sigma] \mid \sigma \in Tr(S)\}$ .  $\blacksquare$

**Proof.** We prove this by induction on structure of statements.

- 1) If  $S$  is **stop** or **exit**, the proof is obvious. Assume that  $A_1$  and  $A_2$  hold  $Tr(Relab[\Gamma_R](X)) = \{\Gamma_R[\sigma] \mid \sigma \in Tr(X)\}$  ( $X = A_1, A_2$ ).

- 2) We omit cases “;”, “[ ]”, “{+}” and show “[G]”:  
 $\sigma \in Tr(A_1[[G]]A_2)$  is a sequence as below. For  
 $A_1[[G]]A_2 \in \mathcal{S}^D$ ,  $Its(A_1[[G]]A_2)$  is translated by

$\langle a, l \rangle$ , when  $A_1$  can be translated and  $A_2$  cannot be translated by it.

$\langle a, l \rangle$ , when  $A_2$  can be translated and  $A_1$  cannot be translated by it.

$\langle a, l \rangle$  when both  $A_1$  and  $A_2$  can be translated synchronously by it.

So transition sequences of  $A_1$  and  $A_2$  can be extracted from  $\sigma$ . These sequences are denoted by  $proj(\sigma, A_k)$ .  $proj(\sigma, A_k) \in Tr(A_k)$  ( $k = 1, 2$ ).

This lemma is proven by showing below ,

$$\begin{aligned} Tr(Relab[\Gamma_R](A_1[[G]]A_2)) \\ \subseteq \{ \Gamma_R[\sigma] \mid \sigma \in Tr(A_1[[G]]A_2) \} \\ \text{and } \{ \Gamma_R[\sigma] \mid \sigma \in Tr(A_2[[G]]A_1) \} \\ \subseteq Tr(Relab[\Gamma_R](A_2[[G]]A_1)). \end{aligned}$$

Rest of this proof is omitted. ■

**Proposition 5** If  $S_1, S_2 \in \mathcal{SP}$ ,  $S_1 \sim S_2$ ,  $\Gamma_R \in$  and  $\mathcal{FS}_{(Act, \omega)}$ , then  $Relab[\Gamma_R](S_1) \sim Relab[\Gamma_R](S_2)$ . ■

• **Proof.**

From Corollary 1,  $S_1 \sim S_2 \Leftrightarrow Tr(S_1) = Tr(S_2)$ .

From Lemma 4,  $Tr(Relab[\Gamma_R](S_i))$

$$= \{ \Gamma_R[\sigma] \mid \sigma \in Tr(S_i) \} (i = 1, 2).$$

So  $Tr(Relab[\Gamma_R](S_1)) = Tr(Relab[\Gamma_R](S_2))$ .

Then using Corollary 1 again,

$$Relab[\Gamma_R](S_1) \sim Relab[\Gamma_R](S_2). \blacksquare$$

**Definition 5.7** Let  $\gamma \in (Act - Act^*)$  and  $\mathcal{SP}_{Act} \subseteq \mathcal{S}^D$ .

$$\mathcal{FS}_{Act}(\mathcal{SP}_{Act}) = \{ \gamma \mid \exists \Gamma_P \in (Act - \omega) [\exists \Gamma_R \in \mathcal{FS}_{(Act, \omega)}(Part[\Gamma_P][\mathcal{SP}_{Act}])[\gamma = \Gamma_R \circ \Gamma_P]] \}. \blacksquare$$

From above propositions, lemmas and a corollary, follow theorem are derived.

**Theorem 1** Let  $S_1, S_2$  be statements in  $\mathcal{S}^D_{Act}$  and  $\mathcal{SP}$  be a subset of  $\mathcal{S}^D_{Act}$  that includes  $S_1$  and  $S_2$ .

For any  $\gamma \in \mathcal{FS}_{Act}(\mathcal{SP})$ ,

$$S_1 \sim_d S_2 \Rightarrow Refin[\gamma](S_1) \sim Refin[\gamma](S_2). \blacksquare$$

With synthesis operator, turning points in LTSs of refined statements may differ from the positions just before corresponding actions in pre-refined ones. Turning points in LTSs of refined statements may occur in the middle of actions in pre-refined statements. But if a pair of pre-refined statements are *differential equivalent*, a pair of bisimulation equivalent statements are obtained by any  $\gamma \in \mathcal{FS}_{\mathcal{SP}}$ .

## 6 Conclusion

This article discusses foundations of two concepts of design: action refinement and specification synthesis, that are important for complex systems design.

Proposed is a pair of sufficient conditions for preserving bisimulation equivalence after refinement.

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