

## Discrete HMMs for statistical pronunciation modeling

Rainer Gruhn, Konstantin Markov, Satoshi Nakamura  
ATR Spoken Language Translation Research Laboratories  
2-2-2 Keihanna Science City, Kyoto 619-0288, Japan  
{rainer.gruhn, konstantin.markov, satoshi.nakamura}@atr.jp

### ABSTRACT

Non-native speakers pronounce words in multiple different ways compared to native speakers. To model these deviations statistically, we propose discrete word HMMs as statistical lexicon. The initialization of the HMMs bases on a standard pronunciation dictionary. One HMM is generated per word in the dictionary, with one state per phoneme in the baseline pronunciation. Non-native training data is segmented into word chunks, on which phoneme recognition is performed. The probability distributions of the HMMs are trained on the phoneme sequences.

To apply the models, both an n-best word level recognition and a utterance-level phoneme recognition of the test data are required. A pronunciation score is calculated by performing a Viterbi alignment with the HMM dictionary as model and the phoneme sequence as input data. This score is a measure how well the phonemes match with the pronunciation of the word sequence. The hypothesis with the highest score is selected as recognition result. Experiments performed on the ATR SLT non-native English database resulted in a word error rate improvement from 45.88% to 42.14%.

**Keywords:** HMM, pronunciation dictionary, non-native speech recognition

## 離散 HMM を用いた統計的な発音辞書

グルーン・ライナー, マルコフ・コンスタンチン, 中村 哲  
ATR 音声言語コミュニケーション研究所  
〒 619-0288 京都府けいはんな学研都市二丁目 2 番地 2  
電話: (0774) 95-1366

### 摘 要

非母国語話者の発音には母国語話者に比べて種々の差異が見られる。本稿では、この差をモデル化するための手法として、HMM を用いた発音辞書を提案する。各単語に対する HMM は、まず通常辞書における音素列の各音素を各状態とする形で生成される。続いて、実際の非母国語話者の発声データを用い、それに含まれる単語の音素認識結果を用いて出力確率と遷移確率が学習される。

このモデルの使用にあたっては、通常の単語認識結果の N-best と音素認識結果が必要となる。各 N-best 単語系列に対し、HMM を用いた発音辞書を使い、音素認識結果の音素系列のビタビ・アライメントを得る。その時のスコアを対応する単語系列の発音スコアとする。最終的に、N-best の中で最も高いスコアを示すものが、認識結果として選ばれる。当研究所の非母国語英語データベースで行った実験で単語誤り率が 45.88% から 42.12% に下がった。

**キーワード:** HMM、発音辞書、非母国語音声認識

# 1 Introduction

There are several reports in literature about pronunciation modeling in general [1] and for the special case of non-native speakers [2]. Many approaches follow the similar basic scheme of comparing manually or automatically generated phoneme transcriptions to some baseline transcription. Variation information can be extracted from the differences. Typically it is represented in the form of rules, which can be weighted based on occurrence frequency, likelihood, confusability or other measures (e.g. [3]). These rules are applied to a baseline lexicon in order to generate some adapted lexicon or to optimize an acoustic model [4]. Unfortunately this approach usually achieves only limited improvement [5].

Other researches are based on the knowledge-based approach of inserting additional phonemes to the dictionary and acoustic model [6]. This multilingual approach assumes that non-native speakers use phonemes from their own language that are similar to the foreign language. Those phonemes can be included as pronunciation variants in the dictionary, resulting in some improvement in recognition accuracy. But rule-based approaches are less flexible than data-driven approaches and as more non-native databases become available (e.g. [7, 8]), automatic modeling of non-native pronunciation is the more promising approach.

In this research, we suggest a new data-driven approach to deal with pronunciation variations. It is based on word-level pronunciation HMMs.

The concept of generating HMMs to model pronunciation has been analyzed earlier for automatically generated acoustic subword units. This method has been applied to an isolated word task with one Norwegian speaker [9] to generate pronunciation dictionaries and for a database of 150 Korean speakers [10].

In this research, we focus on continuous speech recognition of non-native speakers. With their high pronunciation variability, they are a very promising target for such a statistical approach. The approach is phoneme-based, making the model capable of handling words that are in the dictionary but unseen in the training data, as baseline pronunciations can be retained. The pronunciation HMMs are applied by calculating a pronunciation score for each hypothesis of an n-best recognition with the Viterbi alignment algorithm.

Similar to the standard approach of extracting pronunciation confusion rules, we generate a phonetic transcription with a phoneme recognizer. These phoneme string sequences are used as training data for discrete word HMMs; one HMM for each word. There is no attempt to explicitly represent the phoneme variations. Even phoneme substitutions unseen in the training data are allowed, as a certain floor probability exists

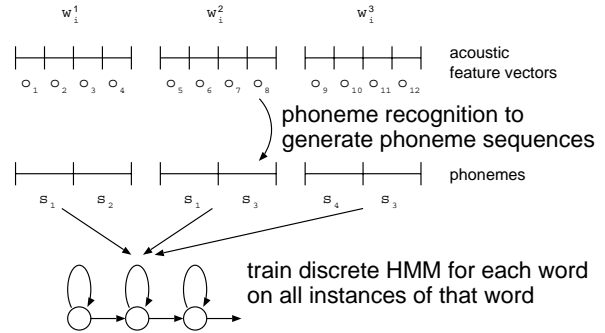


Figure 1: Two layers of processing are required to generate pronunciation models: an acoustic level for phoneme recognition and the phoneme label level for word model training.

for all possible phoneme sequences for each word. Insertions and deletions are also modeled implicitly. The HMM training process takes care of all variation- and likelihood issues, unlike in other approaches. E.g. rule firing frequencies, thresholds to determine whether a rule is applicable or not, do not have to be calculated.

## 2 Word HMMs

### 2.1 Generation

As illustrated in Figure 1, two levels of HMM-based recognition are involved in this approach:

- Acoustic level: phoneme recognition to generate the phoneme sequence  $S_i$  from the acoustic features  $O_i$
- Phoneme label level: For training, the phoneme sequences  $S_i$  are considered as input. For all words, a discrete word HMM is trained on all instances of that word in the training data. The models are applied for rescoring, generating a pronunciation score given the observed phoneme sequence  $S_i$  and the word sequence.

The first step requires a standard HMM acoustic model, and preferably some phoneme bigram language model as phonotactic constraint. The continuous training speech data is segmented to word chunks based on time information generated by Viterbi alignment. Acoustic feature vectors are decoded to an 1-best sequence of phonemes.

For each word in the vocabulary, one discrete untied HMM is generated. Figure 2 shows as an example the HMM for the word “and”.

The models are initialized on the phoneme sequence in some baseline pronunciation lexicon. The number of states for a word model is set to be the number of phonemes in the baseline pronunciation, plus enter and exit states. Each state has a

discrete probability distribution of all phonemes. The phoneme sequence(s) in the baseline dictionary are given a high probability and all other phonemes some low but non-zero value. Forward transition between all states is allowed, with initial transition probabilities favouring a path that hits each state once.

## 2.2 Training

The probability distribution as well as the transition probabilities are reestimated on the phoneme sequences of the training data. For each word, all instances in the training data are collected and analyzed. The number of states of each word model remains static. Phoneme deletions are covered by state skip transitions, phoneme insertions are modeled by state self-loop transitions.

Data sparseness is a common problem for automatically trained pronunciation modeling algorithms. In this approach, pronunciations for words that do appear sufficiently frequent in the training data, the pronunciations are generated in a data-driven manner. For rare words, the algorithm falls back on baseline phoneme sequences from a given lexicon. This combination should make it more robust than for example an application of phoneme confusion rules on a lexicon (as e.g. in [3]) could be.

## 2.3 Application

As Figure 3 shows, the pronunciation word models are applied by rescoring an n-best recognition result. On a non-native test utterance, both a 1-best phoneme recognition and a n-best (word-level) recognition step are performed.

In standard Viterbi alignment, a speech signal is aligned to a reference text transcription using an acoustic model, with an acoustic score as a by-product. In this approach, the time-aligned lattice is of no interest, although usually it is the main target of Viterbi alignment. Figure 4 gives a graphical explanation.

With the pronunciation HMMs as “acoustic model” and each n-best hypothesis as reference, a Viterbi alignment results in an “acoustic score”, which is in fact the pronunciation score. Together

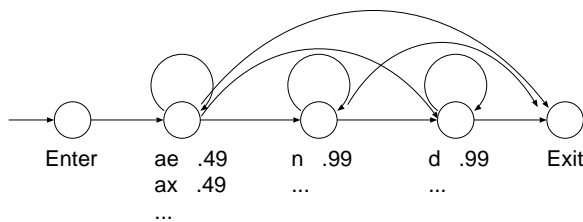


Figure 2: An example discrete word HMM for the word “and”, initialized with two pronunciation variations for the first phoneme.

with the language model score of that n-best hypothesis, a total score is calculated.

## 3 Experiments

### 3.1 Non-native database

The non-native database was collected at ATR and consists of 90 speakers of English. The first languages of the speakers are Chinese (mostly Mandarin) (CN), French (FR), German (GER), Indonesian (IN) and Japanese (JP). About 14 minutes of read speech are available per speaker. The sentences include six hotel reservation dialogs, TIMIT phonetically balanced sentences and credit-card style digit sequences. The text is uniform for all speakers. Two of the hotel reservation dialogs were chosen as a test set of about three minutes, the rest of about eleven minutes as training data. The number of speakers is shown in Table 1.

Table 1: Number of speakers per nation.

	CH	FR	GER	IN	JP
# speakers	17	15	15	15	28

Some experiments focus on a development set, which is a subset consisting of 11 Japanese speakers.

### 3.2 Word HMM initialization

The discrete probability distribution for each state is initialized depending on the “correct” phoneme sequence(s) as given in the lexicon. The correct phoneme has a probability of 0.99. If more than one pronunciation variant is included in the lexicon, the variations all have the same probability, totalling 0.99. All other phonemes are assigned some non-zero probability.

The transition probabilities depend on the number of succeeding phonemes in the baseline lexicon. The probability to skip  $k$  phonemes is initialized to  $0.05^k$ . Insertions are allowed with a chance of 0.05. The transition to the next state therefore has a probability of slightly below 0.9.

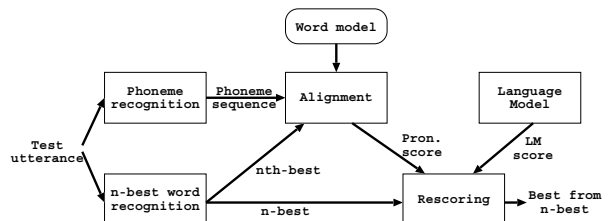


Figure 3: Rescoring an n-best recognition result with word pronunciation models.

### 3.3 Phoneme recognition

As a data-driven approach, the pronunciation modeling method proposed here includes a phoneme recognition step. For native speakers, context-dependent acoustic models achieve higher accuracy than monophone models. To examine the impact of context for non-native speakers, phoneme recognition was performed on full utterances with a monophone, right-context biphone and triphone model. All models are trained on more than 60 hours of native English speech data from the LDC Wall Street Journal (WSJ) read newspaper speech corpus [11]. The phoneme set consists of 43 phonemes plus silence. The three acoustic models have the following properties:

- the monophone HMM model has 132 states and 16 mixtures,
- the biphone model 3000 states and 10 mixtures,
- the triphone model 9600 states and 12 mixtures.

The word error rates of these models for the (native English) Hub2 5k task are 19.2%, 15.2% and 6.4%, respectively. The features are 12 MFCC coefficients, energy and the first and second level derivatives.

Table 2 shows the phoneme accuracy for monophone, biphone and triphone models on the non-native data. A phoneme bigram model trained on the result of a forced alignment of native speech (WSJ) provided some phonotactic constraint. The references for evaluation are generated automatically from a baseline lexicon. If a correct phoneme transcription was available, higher numbers could be expected. The monophone model performs best for all speaker groups. Obviously, the phonetic context for native English speakers is considerably different to non-native speakers.

For the rescoring step, the phoneme sequence of the whole utterance is recognized. For the

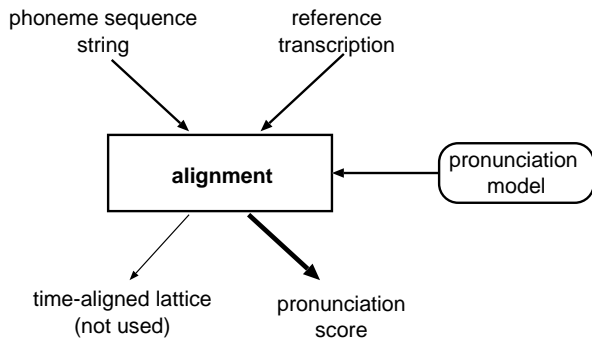


Figure 4: The Viterbi alignment algorithm is used to calculate the pronunciation score.

Table 2: Phoneme accuracy in %, compared to a canonic transcription.

	CH	FR	GER	IN	JP
monophone	39.21	45.41	48.85	43.31	37.74
biphone	29.54	37.87	41.15	33.84	29.24
triphone	30.07	41.57	45.45	27.08	29.46

training of the word models, the non-native training data set is segmented into single words based on time information acquired by Viterbi alignment. On these word chunks, phoneme recognition is performed.

The HTK toolkit [12] is used for all training and decoding steps.

### 3.4 N-best word recognition

The HMM pronunciation models are applied in the form of rescoring the n-best decoding result. The n-best recognition uses the monophone acoustic model introduced in Section 3.3 and a bigram language model. Two types of dictionaries have been the base of both pronunciation HMM creation and n-best recognition, a LVCSR dictionary with 8875 entries for 7311 words is used in the main experiment. Some experiments that focus on a development set consisting of a group of Japanese speakers of English were conducted with a task-specialized hotel reservation topic dictionary of 6650 entries for 2712 words.

We chose to examine 10-best recognition in this research.

### 3.5 Rescoring

On each utterance in the test data, both a 1-best phoneme recognition and a standard n-best recognition (on word level) is performed. For each of the n-best word sequences, we apply a forced alignment using the discrete pronunciation models, the phoneme sequence as input features and the word sequence as labels. The resulting score is the pronunciation score.

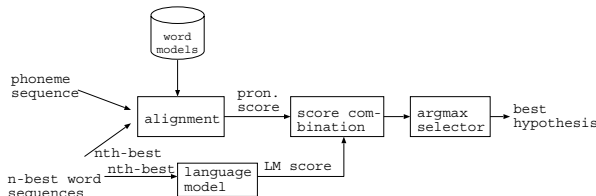


Figure 5: The rescoring process.

Figure 6 shows an example of calculating the pronunciation score for three recognition hypotheses of the utterance “and when would you like to stay”. On the phoneme sequence in the

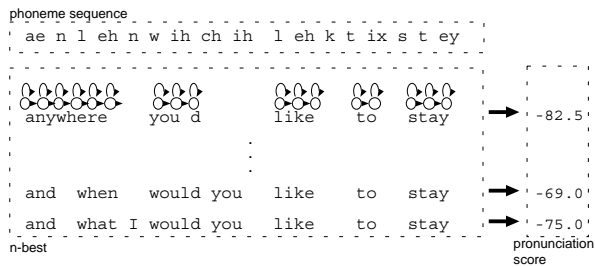


Figure 6: For each *n*-best hypothesis of an utterance (bottom three lines), a pronunciation score is calculated relative to the phoneme sequence (top line). The correct result is “and when would you like to stay”.

top line, an alignment is performed with each hypothesis as transcription. The score is highest for the correct word sequence. Because of mispronunciation and phoneme recognition errors, the phoneme sequence is only similar to the baseline pronunciations of the words.

This pronunciation score is combined with the weighted language model score for this hypothesis. The hypothesis achieving the highest total score among the *n*-best is selected as correct.

Table 3: Word error rates in % for non-native speech recognition without and with pronunciation rescoring.

	CH	FR	GER	IN	JP	avg
baseline	51.23	37.93	31.77	40.48	56.92	45.88
rescoring	45.12	34.80	29.88	38.31	52.36	42.14

Table 3 shows the word error rates for recognition of non-native speech of the five speaker groups. The larger LVCSR dictionary was used in this experiment. For all speaker groups, the recognition performance could be improved by rescoring the *n*-best. Averaging over all language groups while considering the number of speakers in each group, the word error rate dropped from 45.88% to 42.14%. Both the highest absolute gain (6.11%) as well as the best relative improvement (11.93%) was achieved for the Chinese speakers. As the size of the database is somewhat limited, it is possible that the Chinese speakers in this database incidentally have the most similar speaking style and English skill, therefore the modeling is most effective for them. An evaluation of their English skill can help analyzing this effect.

Figure 7 shows detailed results obtained on the development set with the smaller dictionary for various language model score weights. The baseline performance of 32.54% word error rate

can be improved to 29.04%. The correct choice of the language model score weight is very important, in this experiment a factor of 5 was the optimum.

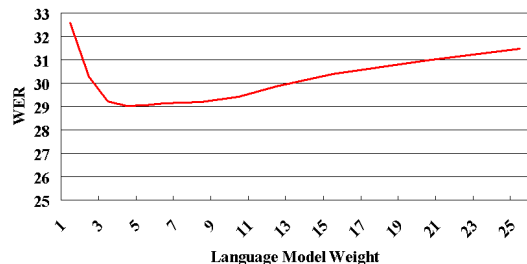


Figure 7: Word error rate for rescoring of *n*-best based on pronunciation score combined with weighted language model scores.

The pronunciation HMMs are initialized from the baseline pronunciation dictionary, then several reestimation iterations modify the probabilities. The effect of this training can be seen in Figure 8. Most improvement can be gained with the initial models already, from 32.54% to 29.88% WER. The first training iteration reduces the WER to 29.11%, further iterations bring only minor improvement. Limited coverage of the test data due to small training data may be the reason why the effect of increased training is limited.



Figure 8: Word error rate for rescoring of *n*-best based on pronunciation score combined with weighted language model scores.

### 3.6 Acoustic score

In the previous experiment, the pronunciation score was combined with a weighted language model score. Rescoring only on the basis of the pronunciation score did improve the word error rate. But the pronunciation information alone did not perform as well as when language model information was added.

Another possibility is to take the acoustic score into account as well. The acoustic score for each of the hypotheses is calculated at the *n*-best recognition step and therefore do not cause any additional computation cost. The acoustic

score can be weighted relative to the pronunciation (and language model) scores. But it turns out that considering the acoustic score for rescoring does not achieve any improvement. The results of an experiment conducted on the smaller set of Japanese speakers is shown in Figure 9. The baseline system considers only pronunciation and language model score, the language model weight is set to 5. Independent from the acoustic score weight, the baseline system always performs better.

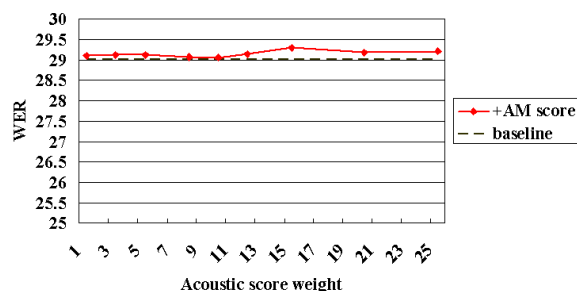


Figure 9: *Considering the acoustic score additionally to pronunciation and language model score does not lead to WER reduction.*

## 4 Conclusion

Word error rate could be improved in average from 45.88% to 42.14% with pronunciation rescoring, showing the effectiveness of the approach for non-native speech. The full strength of the approach may not be achieved in this evaluation because the non-native training data covers only a limited share of the total vocabulary. Many word models just default to the standard pronunciations. This will always be a problem in a large vocabulary scenario. It could be countered by extending the training data to other non-native databases, e.g. [7]. Alternatively, modeling pronunciation on other levels than words may be a solution, but as the English language has a high number of syllables, the coverage problem might worsen in case syllable-level pronunciation is modeled. Considering the acoustic score together with pronunciation and language model score did not improve the performance of rescoring.

Possible future work could include taking the speakers English skill into account by providing skill-dependent pronunciation models. It may also be helpful to initialize the transition probabilities in the pronunciation models based on an examination of typical insertion and deletion error frequencies.

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