# 順序がない木の間の類似度問題

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順序がない木について,木の間の距離を計算する問題,木の中に他の木とよく類似している部分を抽出する問題,木の間の最大共通類似部分を抽出する問題がある。最後の問題は他の二つの問題を含んでいる。本論文では,共通類似部分間の距離を用いて順序がない木の間の最大共通類似部分 (LCSS) を抽出する問題を論じ,共通類似部分間の距離の計算法を提案する。計算法の時間計算量は,根があり順序がない木の場合は  $O(m_aN_aN_b)$  であり,根がなく順序がない木の場合は  $O(m_am_bN_aN_b)$  である。ここで, $m_a(m_b)$  と  $N_a(N_b)$  はそれぞれ木  $T_a(T_b)$  の最大頂点次数と頂点数を表す。距離の計算法を用いて一つの LCSS,或は全ての LCSSs を抽出するアルゴリズムを作ることは容易である。これらのアルゴリズムは構造・活性問題や構造比較問題等に応用できる。

# Several Similarity Problems between Unordered Trees

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There are three similarity problems for unordered trees. That is, to compute the distance between trees, to find one of the largest similar substructures in a tree to a specific tree, and to find one of the largest common similar substructures (in short, LCSS) for trees. The third problem includes the first and the second ones. In this paper we define a distance which determines the LCSS and discuss the LCSS problem using the distance. We give a computing method of this distance between rooted and unordered trees (in short R-trees) and that between unrooted and unordered trees (in short trees). The time complexity of the computing method for R-trees is  $O(m_a N_a N_b)$ , and that for trees is  $O(m_a m_b N_a N_b)$ , where,  $m_a(m_b)$  and  $N_a(N_b)$  are the largest degree of a vertex of tree  $T_a(T_b)$  and the number of vertices of  $T_a(T_b)$ , respectively. It is easy to make an algorithm for finding one of the LCSSs largest common similar substructures or enumerating all of the largest common similar substructures between two trees. Those computing methods can be applied to structure-activity studies and structure comparison problems.

## 1 Introduction

The study of relationship between the structures of chemical compounds and their properties is one of the most important problems in chemistry [1],[2], and several substructure search systems have already been constructed [3]. For these purposes methods for extracting similar substructures and common similar substructures for plural graphs must be studied. If we replace a ring or a set of rings with a superatom, a comparatively simple graph can be expressed as a tree [4]. In relation to those problems, the largest common substructure(in short, LCS) problem has been studied [5]–[7]. As the first step to study similarity measures between graphs, several tree distances for rooted and ordered trees(in short RO-tree) [8]–[11], those for trees embedded in a plane [12],[13], those for unordered trees [14]–[16] have already been proposed. The largest similar substructure(in short, LCSS) problem [17],[18] were discussed. Recently, the largest common similar substructure(in short, LCSS) problem between trees embedded in a plane has been reported [19]. Obviously, the LCSS problem is a generalization of both the LCS problem and the LSS problem, and has wider applications. In this paper we will define a distance between unordered trees and define the LCSS problem using this distance. This distance is a general similarity measure between unordered trees, and can be applied to structure-activity studies and structure comparison problems.

### 2 Definitions

Let T=(V,E) be an unrooted and unordered tree(in short, tree), where V is the set of vertices and E is the set of edges. In this paper all of the vertices in a tree are numbered and labeled. If a tree has the root, we can make a rooted and unordered tree(in short, R-tree). Let T(u)=(V(u),E(u)) denote an R-tree with the root u. Consider an R-tree. Let An(x) be the set of proper ancestors of x. Note that  $x \notin An(x)$ . Let  $\widehat{An}(x,x') = An(x') - An(x)$  for  $x \in An(x')$ , where "A - B" denotes that removing all of the elements in set B from set A. Let Ch(x) denote the set of children of x. Let T(u,x) be the subtree of T(u) with the root x. If there is no confusion, we use notation T(x) = (V(x), E(x)) instead of T(u,x). Let  $x_i(i=1,\ldots,|Ch(x)|)$  denote a child of x, and  $F(\bar{x}) = (V(\bar{x}), E(\bar{x}))$  denote the forest that consists of trees  $T(x_1),\ldots,T(x_{|Ch(x)|})$ , where |A| indicates the number of elements of set A.

Consider a mapping from vertices of  $T_a$  to those of  $T_b$ . If vertex x of  $T_a$  maps to vertex y of  $T_b$ , we write (x,y). We call a set of (x,y)s a mapping from  $T_a$  to  $T_b$ , and denote it by M. If vertex x of  $T_a$  maps to vertex y of  $T_b$  and the label of x is different from that of y, we say label of x is substituted to that of y. If a vertex x of  $T_a$  does not map to any vertex y of  $T_b$ , we say x is deleted. If a vertex y of  $T_b$  does not be mapped from any vertex x of  $T_a$ , we say y is inserted. By this interpretation, we can see that a mapping defines a transformation from  $T_a$  to  $T_b$ . A substitution, an insertion and a deletion are called transformational operations. The weight of a transformation is the total of all of the weights of edit operations. Furthermore, the weight of a transformation determined by a mapping M is called the weight of M.

Tanaka [10] and Tanaka [15] defined a strongly structure preserving mapping (in short, SSP-mapping) between RO-trees and that between unodered trees, respectively. Muguruma, Tanaka and Masuda [14] defined a subclass of the SSP-mapping between unordered trees, which is called a closest common ancestor mapping (in short, C-mapping). To express the largest similar substructure, Liu, Tanaka and Masuda [17] defined a maximal closest common ancestor mapping (in short, maximal C-mapping) between RO-trees and that between trees embedded in a plane, and Liu and Tanaka [16] defined a maximal C-mapping between R-trees and that between trees. In Reference [15] Tanaka showed that the SSP-mapping can make more suitable correspondences between the similar substructures of  $T_a$  and those of  $T_b$  than Tai mapping [8]. To express a similar substructure and a common similar substructure, the maximal C-mapping is better than the C-mapping and the SSP-mapping, since the number of corresponding vertices between trees by a maximal C-mapping is larger than or equal to that by a C-mapping or an SSP-mapping.

# 3 Several Similarity Problems

In this section we will define a distance between two trees. Using this distance we can define the LCSS problem, the LSS problem and the distance problem for trees. That is, this distance which will be defined in the following is a general measure to express the similarity between two trees.

## 3.1 A Distance and the LCSS Problem

Consider a maximal C-mapping from  $T_a$  to  $T_b$ . The smallest connected part of  $T_a$  that includes all of the mapping vertices and that of  $T_b$  includes all of the image vertices are considered to be a common similar substructure between  $T_a$  and  $T_b$ . That is, one maximal C-mapping between  $T_a$  and  $T_b$  determines one common similar substructure between  $T_a$  and  $T_b$ . In general, there are many common similar substructures between  $T_a$  and  $T_b$ , say  $\left[T_a^1, T_b^1\right], \ldots, \left[T_a^n, T_b^n\right]$ . If the weight to transform  $T_a^k$  to  $T_b^k$  is the smallest among those paris of substructures, we can say  $\left[T_a^k, T_b^k\right]$  is the most similar common substructure between  $T_a$  and  $T_b$ .

#### 3.1.1 The LCSS Problem for R-Trees

To define the LCSS problem for R-trees mathematically, we first consider the inserted vertices and the deleted vertices that determined by a maximal C-mapping. Consider a maximal C-mapping  $M_{xy}$  from  $T_a(x)$  to  $T_b(y)$ . Let  $\mathcal{I}(M_{xy})$  denote the set of all of the mapping vertices of  $T_a(x)$ , and  $\mathcal{I}(M_{xy})$  denote the set of all of the image vertices of  $T_b(y)$ . Vertex  $r_a(r_b)$  is the root of subtree of  $T_a(x)(T_b(y))$  that includes  $\mathcal{I}(M_{xy})(\mathcal{I}(M_{xy}))$  and the number of its vertices is smallest. If all of the vertices of a subtree of  $T_a(x)$  (or  $T_b(y)$ ) are deleted(or inserted), it is called that the subtree is deleted(or inserted). Let  $Del(M_{xy})$  and  $Ins(M_{xy})$  denote "the set of vertices of all of the deleted subtrees of  $T_a(x)$ " and "the set of vertices of all of the inserted subtrees of  $T_b(y)$ " determined by  $M_{xy}$ , respectively. We can express them as follows.

$$Del(M_{xy}) = \{ i \mid V_a(i) \subset (V_a(x) - \mathcal{I}(M_{xy})) \}, \tag{1}$$

$$Ins(M_{xy}) = \{ j \mid V_b(j) \subset (V_b(y) - \mathcal{J}(M_{xy})) \}. \tag{2}$$

The part of  $T_a(x)$  obtained by removing the vertices of  $\left(Del(M_{xy}) \cup \widehat{A}n(x,r_a)\right)$  from  $T_a(x)$  and the part of  $T_b(y)$  obtained by removing the vertices of  $\left(Ins(M_{xy}) \cup \widehat{A}n(y,r_b)\right)$  from  $T_b(y)$  is defined as the common similar substructure determined by  $M_{xy}$ . We denote it by  $\left[\mathbf{S}^a_{M_{xy}}, \mathbf{S}^b_{M_{xy}}\right]$ . Let  $S^a_{M_{xy}}$  and  $S^b_{M_{xy}}$  be the set of vertices of  $\mathbf{S}^a_{M_{xy}}$  and that of  $\mathbf{S}^b_{M_{xy}}$ , respectively. Obviously,  $S^a_{M_{xy}}$  and  $S^b_{M_{xy}}$  can be expressed as follows.

$$S_{M_{\text{min}}}^a = V_a(x) - Del(M_{xy}) - \widehat{A}n(x, r_a), \tag{3}$$

$$S_{M_{xy}}^b = V_b(y) - Ins(M_{xy}) - \widehat{A}n(y, r_b). \tag{4}$$

One mapping  $M_{xy}$  determines one common similar substructure  $\left[\mathbf{S}_{M_{xy}}^{a}, \mathbf{S}_{M_{xy}}^{b}\right]$ . Let  $p, q_i$  and  $r_i$  be the weights of a substitution, an insertion in  $\mathbf{S}_{M_{xy}}^{b}$ , and a deletion in  $\mathbf{S}_{M_{xy}}^{a}$ , respectively. Let  $q_o$  and  $r_o$  be the weights of an insertion in  $T_b(y)$  but not in  $\mathbf{S}_{M_{xy}}^{b}$ , and a deletion in  $T_a(x)$  but not in  $\mathbf{S}_{M_{xy}}^{a}$ , respectively. Let  $q_i = r_i > q_o = r_o \ge 1$  and  $q_o + r_o > p \ge 1$ . The reason of this setting will be explained in Subsection 3.4. Assume that a mapping  $M_{xy}$  determines  $n_d$  deleted vertices in  $\mathbf{S}_{M_{xy}}^{a}$ , and  $n_s$  substituted vertices and  $n_i$  inserted vertices in  $\mathbf{S}_{M_{xy}}^{b}$ . The weight to transform  $\mathbf{S}_{M_{xy}}^{a}$  to  $\mathbf{S}_{M_{xy}}^{b}$ , denoted by  $W(M_{xy})$ , is defined as  $W(M_{xy}) = r_i n_d + p n_s + q_i n_i$ .

**Example 1**: Consider a maximal C-mapping  $M_{xy} = \{(3, y), (5, 5'), (6, 6'), (7, 8'), (8, 1'), (9, 2')\}$  shown in Fig.1(a). We have  $Del(M_{xy}) = \{1, 4, 10\}$ ,  $Ins(M_{xy}) = \{3', 4', 7', 9'\}$ ,  $r_a = 3$ ,  $r_b = y$ ,  $\widehat{An}(x, r_a) = \widehat{An}(x, 3) = \{x, 2\}$  and  $\widehat{An}(y, r_b) = \widehat{An}(y, y) = \emptyset$  (the empty set). The common similar substructure  $\begin{bmatrix} \mathbf{S}_{M_{xy}}^{b}, \mathbf{S}_{M_{xy}}^{b} \end{bmatrix}$  determined by  $M_{xy}$  is shown in Fig.1(b). The weight  $W(M_{xy})$  is  $\delta(3, y) + \delta(5, 5') + \delta(6, 6') + \delta(7, 8') + \delta(8, 1') + \delta(9, 2')$ , where

$$\delta(x,y) \stackrel{\triangle}{=} \left\{ \begin{array}{l} 0 : \ the \ label \ of \ x = the \ label \ of \ y, \\ p : \ otherwise. \end{array} \right.$$

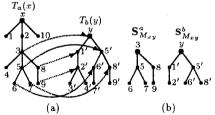


Fig.1 (a) A maximal C-mapping  $M_{xy}$ , and (b) the common similar substructure  $\left[\mathbf{S}^a_{M_{xy}}, \mathbf{S}^b_{M_{xy}}\right]$  determined by the mapping  $M_{xy}$ .

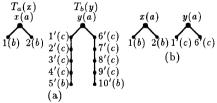


Fig.2 (a) Two *R*-trees and a largest common similar substructure determined by D, and (b) a largest common similar substructure determined by  $D_C$ , where x(a) indicades that vertex x has label a.

The distance between two parts of a common similar substructure of  $T_a(x)$  and  $T_b(y)$ , denoted by  $D_C(T_a(x), T_b(y))$ , is defined as follows.

$$D_C(T_a(x), T_b(y)) = \min_{M_{xy}} \left\{ W(M_{xy}) + \left( |V_a(x)| - |S^a_{M_{xy}}| \right) \cdot r_o + \left( |V_b(y)| - |S^b_{M_{xy}}| \right) \cdot q_o \right\}. \tag{5}$$

Note that there is at least one maximal C-mapping that determines  $D_C(T_a(x), T_b(y))$ . Let the collection of such mappings be  $\{M^1, \ldots, M^d\}$ . Those mappings determin d' common similar substructures between two subtrees, where  $d' \leq d$ . If  $|S^a_{M_k}| + |S^b_{M_k}|$  is the largest among those pairs of substructures,  $[\mathbf{S}^a_{M_k}, \mathbf{S}^b_{M_k}]$  is called an LCSS of  $T_a(x)$  and  $T_b(y)$ , and denoted by  $[\mathbf{S}^a_{xy}, \mathbf{S}^b_{xy}]$ .

#### 3.1.2 The LCSS Problem for Trees

We use  $D_C(T_a(u), T_b(v))$  to indicate the distance between two parts of a common similar substructure between  $T_a(u)$  and  $T_b(v)$ . The distance between two parts of a common similar substructure of  $T_a$  and  $T_b$ , denoted by  $D_C(T_a, T_b)$ , is defined as follows.

$$D_C(T_a, T_b) = \min_{u \in V_a, v \in V_b} \{ D_C(T_a(u), T_b(v)) \}.$$
(6)

Note that there is at least one maximal C-mapping that determines  $D_C(T_a, T_b)$ . Let the collection of such mappings be  $\{M^1, \ldots, M^d\}$ . Those mappings determin d' common similar substructures between two trees, where  $d' \leq d$ . If  $|S_{M_k}^a| + |S_{M_k}^b|$  is the largest among those pairs of substructures,  $[\mathbf{S}_{M_k}^a, \mathbf{S}_{M_k}^b]$  is called an LCSS of  $T_a$  and  $T_b$ , and denoted by  $[\mathbf{S}^a, \mathbf{S}^b]$ .

### 3.2 The LSS Problem

In Reference [18] we defined a distance from  $T_a$  to "a similar substructure in  $T_b$  to  $T_a$ ", denoted by  $D_S(T_a, T_b)$ , and defined the LSS problem using  $D_S(T_a, T_b)$ . Using the notations defined in this paper, we can express  $D_S(T_a(x), T_b(y))$  in the following.

$$D_S(T_a(x), T_b(y)) = \min_{M_{xy}} \left\{ W(M_{xy}) + \left( |V_a(x)| - \left| S_{M_{xy}}^a \right| \right) \cdot r_i \right\}. \tag{7}$$

Compare formulae (5) and (7). We can see that the distance  $D_S(T_a(x), T_b(y))$  does not contain all of the weights of inserted subtrees, and the weight  $r_i$  is equal to  $r_o$ . Therefore, setting  $r_i = r_o$ ,  $q_o = 0$  and  $r_i > p \ge 1$  for formula (5), we can get formula (7) from formula (5). We can say that the distance  $D_S(T_a(x), T_b(y))$  is a special case of the distance  $D_C(T_a(x), T_b(y))$  which is described in Subsection 3.1. As described in Reference [18], an LSS for R-trees is  $S_{xy}^b$  and that for trees is  $S_{xy}^b$  in this paper.

#### 3.3 The Distance Problem

Many papers discussed the distances between trees and their computing methods. In this subsection we will show that the distance which is described in Subsection 3.1 can express the distance between unordered trees based on a maximal C-mapping. Using the notations defined in this paper, we can express the distance between  $T_a(x)$  and  $T_b(y)$  based on a maximal C-mapping which is defined in Reference [16] in the following.

$$D(T_a(x), T_b(y)) = \min_{M_{xy}} \left\{ W(M_{xy}) + \left( |V_a(x)| - |S_{M_{xy}}^a| \right) \cdot r_i + \left( |V_b(y)| - |S_{M_{xy}}^b| \right) \cdot q_i \right\}. \tag{8}$$

Compare formulae (5) and (8). We can see that the weight  $r_i$  is equal to  $r_o$  and the weight  $q_i$  is equal to  $q_o$  in formula (8). Therefore, setting  $r_i = r_o = q_i = q_o$  for formula (5), we can get formula (8) from formula (5). We can say that the distance  $D(T_a(x), T_b(y))$  is a special case of the distance  $D_C(T_a(x), T_b(y))$  which is described in Subsection 3.1.

## 3.4 The Weights of Transformational Operations

In this subsection, we will explain the reason of setting " $q_i = r_i > q_o = r_o$  and  $q_o + r_o > p$ " for expressing the similarity measure between two parts of a common similar substructure.

- (i) Consider the distance  $D_C$ . Since  $q_i = r_i$  and  $q_o = r_o$ , we have  $D_C(T_a(x), T_b(y)) = D_C(T_b(y), T_a(x))$ . That is, the distance  $D_C$  is symmetric.
- (ii) Consider two R-trees  $T_a(x)$  and  $T_b(y)$  such that the labels of all of the vertices of  $T_a(x)$  are different from those of  $T_b(y)$ . If we set  $q_o + r_o \leq p$ , from the definition of the distance  $D_C$ , we have  $D_C(T_a(x), T_b(y)) = |V_a(x)| \cdot r_o + |V_b(y)| \cdot q_o$ . This means that all of the vertices of  $T_a(x)$  are deleted and all of the vertices of  $T_b(y)$  are inserted. Therefore, we can only obtain a pair of empty trees as their LCSS. This is unsuitable. This is why we set  $q_o + r_o > p$ .
- (iii) Set  $q_i = r_i = q_o = r_o$ . As described in Subsection 3.3, the distance  $D_C$  denotes the distance between two trees. Consider the two R-trees shown in Fig.2(a). We have  $D(T_a(x), T_b(y)) = 8q_i$ , where one of the maximal C-mappings determines  $D(T_a(x), T_b(y))$  is  $\{(x, y), (1, 5'), (2, 10')\}$ . An LCSS determined by  $D(T_a(x), T_b(y))$  is  $[T_a(x), T_b(y)]$ . If we set  $q_i = r_i > q_o = r_o$  as described in Subsection 3.1, we can get an LCSS which is shown in Fig.2(b). We think the LCSS shown in Fig.2(b) is more suitable than  $[T_a(x), T_b(y)]$  shown in Fig.2(a). This is why we set  $q_i = r_i > q_o = r_o$ .

# 4 Computing Methods of the Distances

In this section we will show three types of the maximal C-mapping between R-trees, and show a computing method of the distance between R-trees and that between trees.

## 4.1 Types of the Maximal C-mappings

Consider two R-trees  $T_a(x)$  and  $T_b(y)$ . From the definition of the maximal C-mapping, the maximal C-mapping satisfies the mapping conditions of Tai mapping. Tai mapping from  $T_a(x)$  to  $T_b(y)$  can be classified into the following four types [11].

- (a1) Vertex x maps to vertex y, and forest  $F_a(\bar{x})$  maps to forest  $F_b(\bar{y})$ .
- (a2) Vertex x' of  $F_a(\bar{x})$  maps to vertex y, and forest  $F_a(\bar{x}')$  maps to forest  $F_b(\bar{y})$ . The vertices of  $T_a(x)$  except those of  $T_a(x')$  are deleted.
- (a3) Vertex x maps to vertex y' of  $F_b(\bar{y})$ , and forest  $F_a(\bar{x})$  maps to forest  $F_b(\bar{y}')$ . The vertices of  $T_b(y)$  except those of  $T_b(y')$  are inserted.
- (a4) Vertex x is deleted, vertex y is inserted, and forest  $F_a(\bar{x})$  maps to forest  $F_b(\bar{y})$ .

Assume that a mapping from  $F_a(\bar{x})$  to  $F_b(\bar{y})$ , that from  $F_a(\bar{x}')$  to  $F_b(\bar{y})$  and that from  $F_a(\bar{x})$  to  $F_b(\bar{y}')$  are the maximal C-mappings. Then, the mappings of types (a1)-(a3) satisfy the conditionds of the maximal C-mapping. Let M be a maximal C-mapping from  $F_a(\bar{x})$  to  $F_b(\bar{y})$ . Since  $M \cup \{(x,y)\}$  is a C-mapping from  $T_a(x)$  to  $T_b(y)$ , a mapping of type (a4) is not a maximal C-mapping. Therefore, the maximal C-mappings from  $T_a(x)$  to  $T_b(y)$  can be classified into (a1)-(a3) types.

## 4.2 A Computing Method of the Distance between R-Trees

Let  $\Delta 1_{xy}$ ,  $\Delta 2_{xy}$  and  $\Delta 3_{xy}$  be the minimum value of " $W(M_{xy}) + (|V_a(x)| - |S^a_{M_{xy}}|) \cdot r_o + (|V_b(y)| - |S^b_{M_{xy}}|) \cdot q_o$ " for all of the maximal C-mappings  $M_{xy}$  of type (a1), that of type (a2) and that of type (a3), respectively. Note that as described in the previous section, the value  $W(M_{xy})$  denotes the weight to transform  $S^a_{M_{xy}}$  to  $S^b_{M_{xy}}$ . From the definition of the distance  $D_C$ , we have the following formula.

$$D_C(T_a(x), T_b(y)) = \min\left\{\Delta 1_{xy}, \ \Delta 2_{xy}, \ \Delta 3_{xy}\right\}. \tag{9}$$

A common similar substructure  $\left[\mathbf{S}_{M_{xy}}^{a}, \mathbf{S}_{M_{xy}}^{b}\right]$  determined by a maximal C-mapping  $M_{xy}$  of type (a2) is shown in Fig.3(a). In this case we have

$$\Delta 2_{xy} = \min_{x' \in V_a(x)} \left\{ \Delta 1_{x'y} + (|V_a(x)| - |V_a(x')|) \cdot r_o \right\}. \tag{10}$$

Consider a maximal C-mapping  $M_{xy}$  of type (a3). A common similar substructure  $\left[\mathbf{S}_{M_{xy}}^{a}, \mathbf{S}_{M_{xy}}^{b}\right]$  determined by this mapping is shown in Fig.3(b). Therefore, we have

$$\Delta 3_{xy} = \min_{y' \in V_c(\bar{g})} \left\{ \Delta 1_{xy'} + (|V_b(y)| - |V_b(y')|) \cdot q_o \right\}. \tag{11}$$

Consider two R-trees  $T_a(x)$  and  $T_b(y)$ . If x maps to y, the SSP-mapping from  $F_a(\bar{x})$  to  $F_b(\bar{y})$  has the following characteristics.

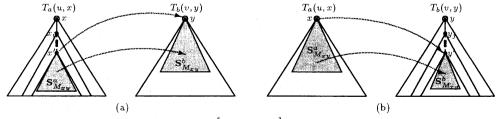


Fig.3 (a) A common similar substructure  $\left[\mathbf{S}^a_{M_{xy}}, \mathbf{S}^b_{M_{xy}}\right]$  determined by a maximal C-mapping  $M_{xy}$  of type (a2), and (b) that determined by a maximal C-mapping  $M_{xy}$  of type (a3).

"For any vertices  $x_{i_1}$ ,  $x_{i_2}$ ,  $y_{j_1}$  and  $y_{j_2}(i_1 \neq i_2, x_{i_1}, x_{i_2} \in Ch(x), j_1 \neq j_2, y_{j_1}, y_{j_2} \in Ch(y))$ , both  $T_a(x_{i_1})$  and  $T_a(x_{i_2})$  cannot map to  $T_b(y_{j_1})$  at the same time, and  $T_a(x_{i_1})$  cannot map to both  $T_b(y_{j_1})$  and  $T_b(y_{j_2})$  at the same time. [10]" (\*1)

Since the maximal C-mapping is a subclass of the SSP-mapping, the maximal C-mapping holds the characteristics (\*1). Let  $M_{\bar{x}\bar{y}}$  denote a maximal C-mapping from  $F_a(\bar{x})$  to  $F_b(\bar{y})$  in the case that x maps to y. If x maps to y, we have  $M_{\bar{x}\bar{y}} = M_{xy} - \{(x,y)\}$ . Let  $(x_i,y_j)$  denote that  $T_a(x_i)$  maps to  $T_b(y_j)$ . From the characteristics (\*1), we can express  $M_{\bar{x}\bar{y}}$  using a set  $C_{xy}$  of  $(x_i,y_j)$ , that is,  $C_{xy} = \{(x_{i_1},y_{j_1}),\ldots,(x_{i_k},y_{j_k})\}(x_{i_k} \in Ch(x), y_{j_k} \in Ch(y), 1 \leq h \leq k)$ . Then, a maximal C-mapping  $M_{xy}$  can be expressed as follows.

$$M_{xy} = \{(x,y)\} \cup M_{xy} = \{(x,y)\} \cup \left(\bigcup_{(x_i,y_j) \in C_{xy}} M_{x_iy_j}\right). \tag{12}$$

Consider the set  $C_{xy}$  and the maximal C-mapping  $M_{xy}$  in the case that x maps to y. Assume that "subtree  $T_a(x_s)(x_s \in Ch(x))$  is deleted and subtree  $T_b(y_t)(y_t \in Ch(y))$  is inserted" (\*2). Since  $M_{xy} \cup M_{x,y_t}$  is a C-mapping from  $T_a(x)$  to  $T_b(y)$ ,  $M_{xy}$  is not a maximal C-mapping. Therefore, the assumption (\*2) is incorrect. Then, we have

$$|C_{xy}| = \min\{|Ch(x)|, |Ch(y)|\}. \tag{13}$$

**Lemma 1**: Assume that  $\Delta 1_{xy}$ , all of the  $\Delta 1_{x,y}$  and  $\Delta 1_{xy,j}(x_i \in Ch(x), y_j \in Ch(y))$  have been computed. The distance  $D_C(T_a(x), T_b(y))$  can be computed by the following formula.

$$D_{C}(T_{a}(x), T_{b}(y)) = \min \begin{cases} \Delta 1_{xy}, \\ \min_{x_{i} \in Ch(x)} \left\{ D_{C}(T_{a}(x_{i}), T_{b}(y)) + (|V_{a}(x)| - |V_{a}(x_{i})|) \cdot r_{o} \right\}, \\ \min_{y_{i} \in Ch(y)} \left\{ D_{C}(T_{a}(x), T_{b}(y_{j})) + (|V_{b}(v, y)| - |V_{b}(v, y')|) \cdot q_{o} \right\}. \end{cases}$$
(14)

Hereafter we will describe a computing method of  $\Delta 1_{xy}$ . Consider the weight  $W(M_{xy})$  in the case that x maps to y. Assume that  $T_a(x_i)(x_i \in Ch(x))$  maps to  $T_b(y_j)(y_j \in Ch(y))$  shown in Fig.4. Since x maps to y, the vertices of  $\widehat{A}n(x_i, r_a)$  belong to  $\mathbf{S}^a_{M_{xy}}(\mathrm{Fig.4(a)})$  and that of  $\widehat{A}n(y_j, r_b)$  belong to  $\mathbf{S}^b_{M_{xy}}(\mathrm{Fig.4(b)})$ . From the characteristics (\*1) and formula (12), we can express  $W(M_{xy})$  in the case that x maps to y, and  $\Delta 1_{xy}$  as follows.

$$W(M_{xy}) = \delta(x, y) + \sum_{(x_i, y_i) \in C_{xy}} \left( W(M_{x_i y_j}) + \left| \widehat{A}n(x_i, r_a) \right| \cdot r_i + \left| \widehat{A}n(y_j, r_b) \right| \cdot q_i \right), \tag{15}$$

$$\Delta 1_{xy} = \min_{C_{xy}} \left\{ \sum_{(x_i, y_j) \in C_{xy}} \widehat{D}_C(T_a(x_i), T_b(y_j)) + \sum_{(x_i, y_j) \notin C_{xy}} |V_a(x_i)| \cdot r_o + \sum_{(x_i, y_j) \notin C_{xy}} |V_b(y_j)| \cdot q_o \right\}$$

$$+ \delta(x, y), \tag{16}$$

where

$$\widehat{D}_{C}(T_{a}(x_{i}), T_{b}(y_{j})) \triangleq \min_{\boldsymbol{M}_{x_{i}, y_{j}}} \left\{ W(\boldsymbol{M}_{x, y_{j}}) + \left| \widehat{A}n(x_{i}, r_{a}) \right| \cdot (r_{i} - r_{o}) + \left| \widehat{A}n(y_{j}, r_{b}) \right| \cdot (q_{i} - q_{o}) \right.$$

$$\left. + \left( |V_{a}(x_{i})| - |S_{\boldsymbol{M}_{x_{i}, y_{j}}}^{a}| \right) \cdot r_{o} + \left( |V_{b}(y_{j})| - |S_{\boldsymbol{M}_{x_{i}, y_{j}}}^{b}| \right) \cdot q_{o} \right\}. \tag{17}$$

$$T_{a}(x) \qquad T_{b}(y) \qquad T_{b}$$

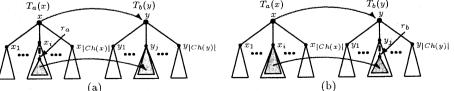


Fig.4 Illustration of the weight  $M_{xy}$  in the case that x maps to y.

Note that either  $\widehat{A}n(x_i, r_a)$  or  $\widehat{A}n(y_j, r_b)$  is the empty set. If both of them are not the empty sets, from the definition of the maximal C-mapping the vertices of  $\widehat{A}n(x_i, r_a)$  must map to those of  $\widehat{A}n(y_j, r_b)$ . Before discussing a computing method of  $\Delta 1_{xy}$ , we show a computing method of  $\widehat{D}_C(T_a(x), T_b(y))$ . Similar to Lemma 1 we have Lemma 2. We omit the proof for the limitation of pages.

**Lemma 2**: Assume that  $\Delta 1_{xy}$ , all of the  $\Delta 1_{x,y}$  and  $\Delta 1_{xy,j}(x_i \in Ch(x), y_j \in Ch(y))$  have been computed.  $\widehat{D}_C(T_a(x), T_b(y))$  can be computed by the following formula.

$$\widehat{D}_{C}(T_{a}(x), T_{b}(y)) = \min \left\{ \begin{array}{l} \Delta 1_{xy}, \\ \min_{x_{i} \in Ch(x)} \left\{ \widehat{D}_{C}(T_{a}(x_{i}), T_{b}(y)) + (|V_{a}(x)| - |V_{a}(x_{i})| - 1) \cdot r_{o} + r_{i} \right\}, \\ \min_{y_{i} \in Ch(y)} \left\{ \widehat{D}_{C}(T_{a}(x), T_{b}(y_{j})) + (|V_{b}(y)| - |V_{b}(y')| - 1) \cdot q_{o} + q_{i} \right\}. \end{array} \right.$$

$$(18)$$

Consider a computing method of  $\Delta 1_{xy}$  based on formula (16). Create a bipartite graph G(A,B,E) from  $T_a(x)$  and  $T_b(y)$ . A and B are the sets of vertices of G, E is the set of edges of G,  $A = \{\alpha_1, \ldots, \alpha_{|Ch(x)|}\}$  and  $B = \{\beta_1, \ldots, \beta_{|Ch(y)|}\}$ .  $\alpha_i(\beta_j)$  denotes subtree  $T_a(x_i)(T_b(y_j))(x_i \in Ch(x), y_j \in Ch(y))$ . Let  $e_{ij}$  denote an edge between  $\alpha_i$  and  $\beta_j$ , and  $E = \{e_{ij}|i=1,\ldots,|A|,\ j=1,\ldots,|B|\}$ . The weight of edge  $e_{ij}$ , denoted by  $w(e_{ij})$ , is defined as follows.

$$w(e_{ij}) = |V_a(x_i)| \cdot r_o + |V_b(y_j)| \cdot q_o - \widehat{D}_C(T_a(x_i), T_b(y_j)). \tag{19}$$

From the definition of  $\widehat{D}_C$  and the assumption  $q_o + r_o > p$ , we have  $\widehat{D}_C(T_a(x_i), T_b(y_j)) \le |V_a(x_i)| \cdot r_o + |V_b(y_j)| \cdot q_o$ . That is,  $w(e_{ij})$  is nonnegative. Let  $\mathcal{M}$  denote one of the weight matchings of G. If vertex  $\alpha_i$  of G maps to vertex  $\beta_j$ , it denotes  $T_a(x_i)$  maps to  $T_b(y_j)$ . From formula (12), we can see that a matching of G expresses a  $C_{xy}$ . Let  $\mathcal{M}_{max}$  denote one of the maximal weight matchings of G, and  $W(\mathcal{M}_{max})$  denote its weight. We have Lemma 3 for computing  $\Delta 1_{xy}$ .

**Lemma 3**: Assume that all of  $\widehat{D}_C(T_a(x_i), T_b(y_j))(x_i \in Ch(x), y_j \in Ch(y))$  have been computed. We can compute  $\Delta 1_{xy}$  by the following formula.

$$\Delta 1_{xy} = \sum_{x_i \in Ch(x)} |V_a(x_i)| \cdot r_o + \sum_{y_j \in Ch(y)} |V_b(y_j)| \cdot q_o + \delta(x, y) - W(\mathcal{M}_{max}). \tag{20}$$

Let  $m_a(m_b)$  and  $N_a(N_b)$  be the largest degree of a vertex of  $T_a(T_b)$  and the number of vertices of  $T_a(T_b)$ , respectively. Using Lemma 1-Lemma 3, we can get a procedure Dist-subtree and an algorithm Dist-R-tree for computing the distance between two subtrees and that between two R-trees, respectively. Since the time complexity for computing  $\Delta 1_{xy}$  is  $O(m_a^2 m_b)$ , the time complexity of the procedure Dist-subtree and that of the algorithm Dist-R-tree are  $O(m_a^2 m_b)$  and  $O(m_a N_a N_b)$ , respectively.

### 4.3 A Computing Method of the Distance for Trees

If all of the distances  $D_C(T_a(u), T_b(v))$  are given, we can compute  $D_C(T_a, T_b)$  by formula (6). In Reference [16], an efficient algorithm for computing the distance  $D(T_a, T_b)$  was proposed. Modifing this algorithm in the following way, we can obtain an algorithm Dist-tree for computing  $D_C(T_a, T_b)$ . The time complexity of the algorithm is  $O(m_a m_b N_a N_b)$ .

- (b1) Replace the notations concerning the distances based on the maximal C-mapping with those concerning the distances described in this paper.
- (b2) Replace the procedure for computing the distance between subtrees with the procedure Dist-subtree.

## 5 Conclusions

In this paper we defined the LCSS problem for unordered trees and gave a computing method of the distance which determines the LCSS between R-trees and that between trees. The time complexity of the computing method for R-trees is  $O(m_a N_a N_b)$ , and that for trees is  $O(m_a m_b N_a N_b)$ . Using the maximal C-mappings that determine the distance, it is easy to make an algorithm for finding one of the LCSSs(or LSSs) or enumerating all of the LCSSs(or LSSs) between two unordered trees. The computing methods can be applied to structure-activity studies and structure comparison problems. One of the future problems is to make a file for similar structure search using the proposed metrics.

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