

# On the Three-Dimensional Channel Routing

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**Abstract**—The 3-D channel routing is a fundamental problem on the physical design of 3-D integrated circuits. The 3-D channel is a 3-D grid  $G$  and the terminals are vertices of  $G$  located in the top and bottom layers. A net is a set of terminals to be connected. The object of the 3-D channel routing problem is to connect the terminals in each net with a tree (wire) in  $G$  using as few layers as possible and as short wires as possible in such a way that wires for distinct nets are disjoint. This paper shows that any set of  $n$  2-terminal nets can be routed in a 3-D channel with  $O(\sqrt{n})$  layers using wires of length  $O(\sqrt{n})$ . We also show that there exists a set of  $n$  2-terminal nets that requires a 3-D channel with  $\Omega(\sqrt{n})$  layers to be routed.

## I. INTRODUCTION

The three-dimensional (3-D) integration is an emerging technology to implement large circuits, and currently being extensively investigated. (See [1]–[6], [8], for example.) In this paper, we consider a problem on the physical design of 3-D integrated circuits.

The 3-D channel routing is a fundamental problem on the physical design of 3-D integrated circuits. In the 3-D channel routing, the channel is a 3-D grid  $G$  consisting of columns, rows, and layers which are planes defined by fixing  $x$ -,  $y$ -, and  $z$ -coordinates, respectively. (See Fig. 1.) A terminal is a vertex of  $G$  located in the top or bottom layer. A net is a set of terminals to be connected. A net containing  $k$  terminals is called a  $k$ -net. A tree connecting the terminals in a net is called a wire. The object of the 3-D channel routing problem is to connect the terminals in each net with a wire in  $G$  using as few layers as possible and as short wires as possible in such a way that wires for distinct nets are disjoint. The number of layers is called the height of the 3-D channel. The purpose of this paper is to show the following two theorems.

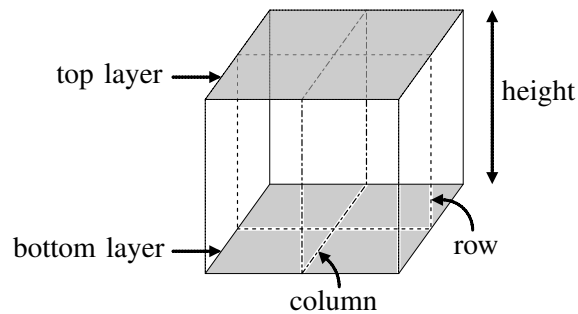


Fig. 1. The three-dimensional channel.

**Theorem 1:** If the layers are square 2-D grids, the terminals are located on vertices with even  $x$ - and  $y$ -coordinates, and each net has terminals both in top and bottom layers, then any set of  $n$  2-nets can be routed in a 3-D channel of height  $O(\sqrt{n})$  using wires of length  $O(\sqrt{n})$ .

**Theorem 2:** There exists a set of  $n$  2-nets that requires a 3-D channel of height  $\Omega(\sqrt{n})$  to be routed.

Theorem 1 implies that any set of  $n$  2-nets can be routed in a 3-D channel of volume  $O(n^{3/2})$ . It should be noted that for the ordinary 2-D channel routing there exists a set of  $n$  2-nets requiring a 2-D channel of area  $\Omega(n^2)$  to be routed [7].

Other models for the 3-D channel routing can be found in the literature [3], [5], [8].

## II. PRELIMINARIES

We consider a 3-D channel of height  $h$ , which is a  $2\sqrt{n} \times 2\sqrt{n} \times h$  3-D grid. Each grid point is denoted by  $(x, y, z)$  with  $0 \leq x, y \leq 2\sqrt{n} - 1$  and  $0 \leq z \leq h - 1$ . The column, row, and layer defined by  $x = i$ ,  $y = j$ , and  $z = k$  are called the  $i$ -column,  $j$ -row, and  $k$ -layer, respectively. The  $(h - 1)$ -layer and 0-layer are corresponding to the top and bottom layers, respectively. Let

$\mathcal{N} = \{N_i | 0 \leq i \leq n-1\}$  be a set of  $n$  2-nets, and let  $(X_i^{(h-1)}, Y_i^{(h-1)}, h-1)$  and  $(X_i^{(0)}, Y_i^{(0)}, 0)$  be the terminals of  $N_i$  ( $0 \leq i \leq n-1$ ), where  $X_i^{(h-1)}$ ,  $Y_i^{(h-1)}$ ,  $X_i^{(0)}$ , and  $Y_i^{(0)}$  are even, and  $(X_i^{(h-1)}, Y_i^{(h-1)}, h-1) \neq (X_j^{(h-1)}, Y_j^{(h-1)}, h-1)$  and  $(X_i^{(0)}, Y_i^{(0)}, 0) \neq (X_j^{(0)}, Y_j^{(0)}, 0)$  if  $i \neq j$ .

If  $f : A \rightarrow B$  is a mapping,  $f(A') = \{f(a) | a \in A'\}$  is the image of  $A' \subseteq A$  and  $f^{-1}(B') = \{a | f(a) = B'\}$  is the pre-image of  $B' \subseteq B$ . We denote by  $f|_{A'}$  the restriction of  $f$  to  $A'$ . That is,  $f|_{A'} : A' \rightarrow B$  and  $f|_{A'}(a') = f(a')$  for  $\forall a' \in A'$ . If  $g : B \rightarrow C$  is also a mapping,  $g \circ f$  is a composite mapping from  $A$  to  $C$  defined as  $g \circ f(a) = g(f(a))$  for  $\forall a \in A$ . A bijection  $\pi : A \rightarrow A$  is called a *permutation on A*.

For a positive integer  $I$ , let  $[I] = \{0, 1, \dots, I-1\}$ .

### III. 2-D CHANNEL ROUTING

We consider in this section a 2-D channel of height  $m$ , which is a  $2m \times 2 \times m$  3-D grid  $G'$ . Let  $\mathcal{N}' = \{N'_i | 0 \leq i \leq m-1\}$  be a set of  $m$  2-nets, and let  $(X_i^{(m-1)}, 0, m-1)$  and  $(X_i^{(0)}, 0, 0)$  be the terminals of  $N'_i$  ( $0 \leq i \leq m-1$ ), where  $X_i^{(m-1)}$  and  $X_i^{(0)}$  are even, and  $(X_i^{(m-1)}, 0, m-1) \neq (X_j^{(m-1)}, 0, m-1)$  and  $(X_i^{(0)}, 0, 0) \neq (X_j^{(0)}, 0, 0)$  if  $i \neq j$ .

*Lemma 1:*  $\mathcal{N}'$  can be routed in  $G'$ .

*Proof:* Let  $p_0, p_1, \dots, p_k$  be grid points of  $G'$  such that  $p_i$  and  $p_{i+1}$  differ in just one coordinate,  $0 \leq i \leq k-1$ . Then, we denote by  $[p_0, p_1, \dots, p_k]$  a wire connecting  $p_0$  and  $p_k$  obtained by connecting  $p_i$  and  $p_{i+1}$  by an axis-parallel line segment,  $0 \leq i \leq k-1$ . Suppose without loss of generality that  $X_0^{(m-1)} = X_1^{(0)}$ . Then, if  $m \geq 3$ ,  $\mathcal{N}'$  can be routed in  $G'$  using a wire defined by (1) for  $N'_0$ , a wire defined by (2) for  $N'_1$ , and wires defined by (3) for  $N'_i$ ,  $2 \leq i \leq m-1$ . It is not difficult to see that the wires defined above are disjoint. If  $m = 2$ ,  $\mathcal{N}'$  can be routed in  $G'$  as shown in Fig. 2. ■

The routing defined in the proof of Lemma 1 is called a  $\tau$ -routing for  $\mathcal{N}'$ . An example of  $\tau$ -routing is shown in Fig. 3.

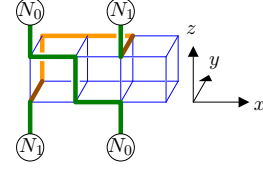


Fig. 2. A routing for a set of two 2-nets.

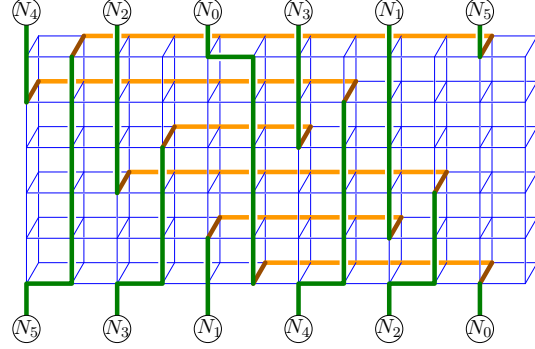


Fig. 3. A  $\tau$ -routing for a set of six 2-nets.

### IV. PROOF OF THEOREM 1

#### A. Technical Lemmas

For positive integers  $I$  and  $J$ , we define that  $M = \{m_{i,j} | i \in [I], j \in [J]\}$ , and  $M_{*j} = \{m_{i,j} | i \in [I]\}$ , and  $M_{i*} = \{m_{i,j} | j \in [J]\}$ . Let  $D$  be a set with  $|D| = J$  and  $f : M \rightarrow D$  be a mapping such that

$$|f^{-1}(d)| = I \text{ for } \forall d \in D. \quad (4)$$

Let  $\pi_j$  be a permutation on  $M_{*j}$  for  $\forall j \in [J]$ , and  $\Pi = \{\pi_j | j \in [J]\}$ . Define that  $R_\Pi(i) = \bigcup_{j \in [J]} \pi_j^{-1}(m_{i,j})$ .  $|R_\Pi(i)| = J$ , by definition. For such  $\Pi$  and each  $i \in [I]$ , we define that

$$W_\Pi(d, i) = \begin{cases} 1 & \text{if } d \in f(R_\Pi(i)), \\ 0 & \text{if } d \notin f(R_\Pi(i)), \end{cases}$$

$$W_\Pi(i) = \sum_{d \in D} W_\Pi(d, i), \text{ and}$$

$$W(\Pi) = \sum_{i=0}^{I-1} W_\Pi(i).$$

By definition,  $1 \leq W_\Pi(i) \leq J$  and  $W_\Pi(i) = J$  if and only if  $f|_{R_\Pi(i)}$  is a bijection, that is,  $|f(R_\Pi(i))| = J$ . Thus we have the following lemma.

*Lemma 2:* If  $W_\Pi(i) < J$ , there exists  $d \in D$  such that  $|f|_{R_\Pi(i)}^{-1}(d)| \geq 2$ , and there exists an integer  $i' \in [I]$  such that  $d \notin f(R_\Pi(i'))$ .

$$\left[ \left( X_0^{(m-1)}, 0, m-1 \right), \left( X_0^{(m-1)} + 1, 0, m-1 \right), \left( X_0^{(m-1)} + 1, 0, 0 \right), \left( X_0^{(m-1)} + 1, 1, 0 \right), \right. \\ \left. \left( X_0^{(0)}, 1, 0 \right), \left( X_0^{(0)}, 0, 0 \right) \right] \quad (1)$$

$$\left[ \left( X_1^{(m-1)}, 0, m-1 \right), \left( X_1^{(m-1)}, 0, 1 \right), \left( X_1^{(m-1)}, 1, 1 \right), \left( X_1^{(0)}, 1, 1 \right), \left( X_1^{(0)}, 0, 1 \right), \left( X_1^{(0)}, 0, 0 \right) \right] \quad (2)$$

$$\left[ \left( X_i^{(m-1)}, 0, m-1 \right), \left( X_i^{(m-1)}, 0, i \right), \left( X_i^{(m-1)}, 1, i \right), \left( X_i^{(0)} + 1, 1, i \right), \left( X_i^{(0)} + 1, 1, 0 \right), \right. \\ \left. \left( X_i^{(0)} + 1, 0, 0 \right), \left( X_i^{(0)}, 0, 0 \right) \right] \quad (3)$$

*Proof:* If  $W_\Pi(i) < J$  then  $f|_{R_\Pi(i)}$  is not a bijection, and so  $|f|_{R_\Pi(i)}| \geq 2$  for some  $d \in D$ . It follows that  $d \notin f(R_\Pi(i'))$  for some  $i' \in [I]$  by (4). ■

We need the following easy lemma on directed multi-graphs.

**Lemma 3:** For a directed multi-graph  $H$  with the vertex set  $D$ , if there exists a vertex  $d_0 \in D$  with  $\deg_{\text{out}}(d_0) \geq \deg_{\text{in}}(d_0) + 1$  then there exists a vertex  $d_p \in D$  such that  $\deg_{\text{in}}(d_p) \geq \deg_{\text{out}}(d_p) + 1$  and there exists a directed path  $(d_0, d_1, \dots, d_p)$  in  $H$ , where  $\deg_{\text{in}}(d)$  and  $\deg_{\text{out}}(d)$  is the in- and out-degrees of  $d$  in  $H$ .

*Proof:* Let  $D' \subseteq D$  be a set of vertices  $d'$  such that there exists a directed path from  $d_0$  to  $d'$  in  $H$ , and let  $H[D']$  be the induced subgraph of  $H$  on  $D'$ . Let  $\deg'_{\text{in}}(d')$  and  $\deg'_{\text{out}}(d')$  be the in- and out-degrees of  $d' \in D'$  in  $H[D']$ , respectively. Notice that  $\deg'_{\text{out}}(d') = \deg_{\text{out}}(d')$  and  $\deg'_{\text{in}}(d') \leq \deg_{\text{in}}(d')$  for every  $d' \in D'$ . Since  $\deg'_{\text{out}}(d_0) = \deg_{\text{out}}(d_0) \geq \deg_{\text{in}}(d_0) + 1 \geq \deg'_{\text{in}}(d_0) + 1$ , there exists a vertex  $d' \in D'$  such that  $\deg'_{\text{out}}(d') \leq \deg'_{\text{in}}(d') - 1$ , which follows from the fact that  $\sum_{d' \in D'} \deg_{\text{out}}(d') = \sum_{d' \in D'} \deg_{\text{in}}(d')$ . Since  $\deg'_{\text{out}}(d') = \deg_{\text{out}}(d')$  and  $\deg'_{\text{in}}(d') \leq \deg_{\text{in}}(d')$ , we have  $\deg_{\text{out}}(d') \leq \deg_{\text{in}}(d') - 1$ . By the definition of  $D'$ , there exists a directed path from  $d_0$  to  $d'$ . Thus, we have the lemma. ■

**Lemma 4:** There exists a set  $\Pi$  of permutations  $\pi_j$  on  $M_{*j}$  ( $j \in [J]$ ) such that for every  $i \in [I]$ ,  $f|_{R_\Pi(i)} \circ \pi_j^{-1}(m_{i,j}) \neq f|_{R_\Pi(i)} \circ \pi_{j'}^{-1}(m_{i,j'})$  if  $j \neq j'$ .

*Proof:* By definition,  $J \leq W(\Pi) \leq IJ$ , and  $W(\Pi) = IJ$  if and only if  $\Pi$  satisfies the condition in the lemma. In order to prove the lemma, it suffices to show the following.

**Claim 1:** Let  $\Sigma$  be a set of permutations  $\sigma_j$  on  $M_{*j}$  ( $j \in [J]$ ) with  $W(\Sigma) \leq IJ - 1$ . Then,

there exists a set  $\Pi$  of permutations  $\pi_j$  on  $M_{*j}$  ( $j \in [J]$ ) such that  $W(\Pi) \geq W(\Sigma) + 1$ .

*Proof of Claim 1:* Since  $W(\Sigma) \leq IJ - 1$ , there exists  $i_0 \in [I]$  such that  $W_\Sigma(i_0) \leq J - 1$ . By Lemma 2, there exists  $d_0 \in D$  such that

$$|f|_{R_\Sigma(i_0)}^{-1}(d_0)| \geq 2 \quad (5)$$

and there exists an integer  $i_1 \in [I]$  with

$$d_0 \notin f(R_\Sigma(i_1)). \quad (6)$$

Consider a directed multi-graph  $H$  with vertex set  $D$  which has an arc  $a_j = (f(\sigma_j(m_{i_0,j})), f(\sigma_j(m_{i_1,j})))$  for each  $j \in [J]$ . From (5) and (6), we have

$$\deg_{\text{out}}(d_0) \geq 2, \quad (7)$$

$$\deg_{\text{in}}(d_0) = 0, \quad (8)$$

respectively, where  $\deg_{\text{in}}(d)$  and  $\deg_{\text{out}}(d)$  is the in- and out-degrees of  $d$  in  $H$ , respectively. From Lemma 3, there exists a vertex  $d_p \in D$  with

$$\deg_{\text{in}}(d_p) \geq \deg_{\text{out}}(d_p) + 1, \quad (9)$$

and there exists a directed path  $P = (d_0, d_1, \dots, d_p)$ . Let  $a_{j_l}$  be an arc  $(d_l, d_{l+1})$ ,  $l \in [p]$ . Notice that  $f(\sigma_{j_l}(m_{i_0,j_l})) = d_l$  and  $f(\sigma_{j_l}(m_{i_1,j_l})) = d_{l+1}$  for  $\forall l \in [p]$ . Therefore,  $f(\sigma_{j_l}(m_{i_1,j_l})) = f(\sigma_{j_0}(m_{i_0,j_{l+1}}))$  for  $l \in [p]$ . Let  $\mathcal{J}' = \{j_0, j_1, \dots, j_{p-1}\}$ , and  $D' = \{d_0, d_1, \dots, d_p\}$ . For each  $j \in [J]$ , define that

$$\rho_j(m_{i,j}) = \begin{cases} m_{i,j} & \text{if } i \notin \{i_0, i_1\} \text{ or } j \notin \mathcal{J}', \\ m_{i_1,j} & \text{if } i = i_0 \text{ and } j \in \mathcal{J}', \\ m_{i_0,j} & \text{if } i = i_1 \text{ and } j \in \mathcal{J}'. \end{cases}$$

Let  $\pi_j = \sigma_j \circ \rho_j$ , and  $\Pi = \{\pi_j | j \in [J]\}$ . By definition,  $R_\Sigma(i) = R_\Pi(i)$  if  $i \notin \{i_0, i_1\}$  and so

$$W_\Sigma(j) = W_\Pi(j) \text{ if } i \notin \{i_0, i_1\}. \quad (10)$$

Also, for  $j \notin \mathcal{J}'$ ,  $f(\sigma_j(m_{i,j})) = f(\pi_j(m_{i,j}))$ , i.e.,

$$W_\Sigma(d, i) = W_\Pi(d, i) \text{ for } \forall d \notin D'. \quad (11)$$

For  $\forall l \in [p]$ ,  $W_\Sigma(d_l, i_0) = 1$ , since  $f(\sigma_{j_0}(m_{i_0, j_l})) = d_l$ . Thus,

$$\begin{aligned} \sum_{d \in D'} W_\Sigma(d, i_0) &= \sum_{l=0}^{p-1} W_\Sigma(d_l, i_0) + W_\Sigma(d_p, i_0) \\ &= p + W_\Sigma(d_p, i_0). \end{aligned} \quad (12)$$

For  $\forall l \in [p]$ ,  $W_\Sigma(d_{l+1}, i_1) = 1$ , since  $f(\sigma_{i_l}(m_{i_l, i_1})) = d_{l+1}$ . On the other hand,  $W_\Sigma(d_0, i_1) = 0$  from (6). Therefore,

$$\sum_{d \in D'} W_\Sigma(d, i_1) = p. \quad (13)$$

For  $\forall l \in [p]$ ,  $W_\Pi(d_{l+1}, i_0) = 1$ , since  $f(\pi_{j_{l+1}}(m_{i_{l+1}, j_{l+1}})) = f(\sigma_{j_{l+1}}(m_{i_0, j_{l+1}})) = d_{l+1}$  by the definitions of  $\pi_j$  and  $\rho_j$ . By the definition of  $d_0$ , there is an integer  $j \notin \mathcal{J}'$  such that  $f(\sigma_i(m_{i_0, j})) = d_0$ . Since  $f(\sigma_i(m_{i_0, j})) = f(\pi_j(m_{i_0, j}))$  for  $j \notin \mathcal{J}'$ ,  $W_\Pi(d_0, i_0) = 1$ . Thus,

$$\sum_{d \in D'} W_\Pi(d, i_0) = p + 1. \quad (14)$$

Since  $f(\pi_{j_l}(m_{i_l, j_l})) = f(\sigma_{j_l}(m_{i_0, j_l})) = d_l$  for  $\forall l \in [p]$ ,  $W_\Pi(d_l, i_1) = 1$ , and we have

$$\sum_{d \in D'} W_\Pi(d, i_1) = p + W_\Pi(d_p, i_1). \quad (15)$$

From (9), if  $W_\Sigma(d_p, i_0) = 1$  then there exists  $j \notin \mathcal{J}'$  such that  $f(\sigma_j(m_{i_1, j})) = f(\pi_j(m_{i_1, j})) = d_p$ . This implies that  $W_\Pi(d_p, i_1) = 1$  if  $W_\Sigma(d_p, i_0) = 1$ , i.e.,

$$W_\Pi(d_p, i_1) \geq W_\Sigma(d_p, i_0). \quad (16)$$

From (11)–(16),

$$W_\Pi(i_0) + W_\Pi(i_1) \geq W_\Sigma(i_0) + W_\Sigma(i_1) + 1.$$

Thus from (10), we have  $W(\Pi) \geq W(\Sigma) + 1$ .

This completes the proof of the claim and the lemma.  $\blacksquare$

A set of permutations  $\Pi$  satisfying the condition in Lemma 4 is called a *set of shuffle permutations*. It is easy to see that a set of shuffle permutations can be found in  $O(|M|^2)$  time.

### B. 3-D Channel Routing Algorithm

In this section, we show a polynomial time algorithm for computing a routing of  $\mathcal{N}$  in a 3-D channel with  $h = 3\sqrt{n}$  layers. We use two virtual terminals  $(X_i^{(l)}, Y_i^{(l)}, l)$  and  $(X_i^{(m)}, Y_i^{(m)}, m)$  for each net  $N_i$  such that  $X_i^{(h-1)} = X_i^{(l)}$ ,  $Y_i^{(l)} = Y_i^{(m)}$ , and  $X_i^{(m)} = X_i^{(0)}$ , where  $l = 2\sqrt{n}$  and  $m = \sqrt{n}$ . The algorithm consists of three phases and each of which uses  $\sqrt{n}$  layers. For each net  $N_i$ , we connect  $(X_k^{(h-1)}, Y_k^{(h-1)}, h-1)$  with  $(X_k^{(l)}, Y_k^{(l)}, l)$  in the first phase,  $(X_k^{(l)}, Y_k^{(l)}, l-1)$  with  $(X_k^{(m)}, Y_k^{(m)}, m)$  in the second phase, and  $(X_k^{(m)}, Y_k^{(m)}, m-1)$  with  $(X_k^{(0)}, Y_k^{(0)}, 0)$  in the last phase.

The virtual terminals can be computed as follows. Let  $I = J = \sqrt{n}$  and let  $M = \{m_{i,j} | i \in [I], j \in [J]\}$  be the set defined as  $m_{i,j} = N_k$  if  $j = X_k^{(h-1)}/2$  and  $i = Y_k^{(h-1)}/2$ . Define that  $D = \bigcup_{i \in [I]} \{X_i^{(0)}\} = \{0, 2, \dots, 2\sqrt{n} - 2\}$  and  $f(N_k) = X_k^{(0)}/2$  for  $\forall k \in [n]$ . It is easy to see that  $f$  satisfies (4). By Lemma 4, we can obtain in polynomial time permutations  $\pi_j$  such that if  $j \neq j'$  then  $f \circ \pi_j^{-1}(m_{i,j}) \neq f \circ \pi_{j'}^{-1}(m_{i,j'})$ . We define virtual terminals  $(X_k^{(l)}, Y_k^{(l)}, l)$ ,  $k \in [n]$ , as  $X_k^{(l)} = X_k^{(h-1)}$  and  $Y_k^{(l)} = 2i$ , where  $i$  is such an integer that  $\pi_j(N_k) = m_{i,j}$  for  $j = X_k^{(h-1)}/2$ . It should be noted that if  $Y_k^{(l)} = Y_{k'}^{(l)}$  then  $X_k^{(0)} \neq X_{k'}^{(0)}$ , since  $f \circ \pi_j^{-1}(m_{i,j}) \neq f \circ \pi_{j'}^{-1}(m_{i,j'})$  if  $j \neq j'$ .

We define  $(X_k^{(m)}, Y_k^{(m)}, m)$  as  $X_k^{(m)} = X_k^{(0)}$  and  $Y_k^{(m)} = Y_k^{(l)}$  for  $\forall k \in [n]$ .

Our 3-D channel routing algorithm is shown in Fig. 4. Since each of Steps 1–3 uses  $\sqrt{n}$  layers, we can obtain a 3-D routing of  $\mathcal{N}$  in a 3-D channel with height  $3\sqrt{n}$ . Since the length of every wire of a  $\tau$ -routing is at most  $2\sqrt{n} + O(1)$ , the maximum length of a wire is at most  $6\sqrt{n} + O(1)$ . This completes the proof of Theorem 1.

### V. PROOF OF THEOREM 2

Let  $\mathcal{N} = \{N_i | 0 \leq i \leq n-1\}$  be a set of  $n$  2-nets such that  $X_i^{(h-1)} \leq \sqrt{n} - 2$  and  $X_i^{(0)} \geq \sqrt{n}$  if  $i \leq n/2$ , and  $X_i^{(h-1)} \geq \sqrt{n}$  and  $X_i^{(0)} \leq \sqrt{n} - 2$  if  $i \geq n/2 + 1$ . Consider an arbitrary routing of  $\mathcal{N}$  on a 3-D channel  $G$  and let  $h$  be the height of  $G$ . Then a path for every net in  $\mathcal{N}$  must go through

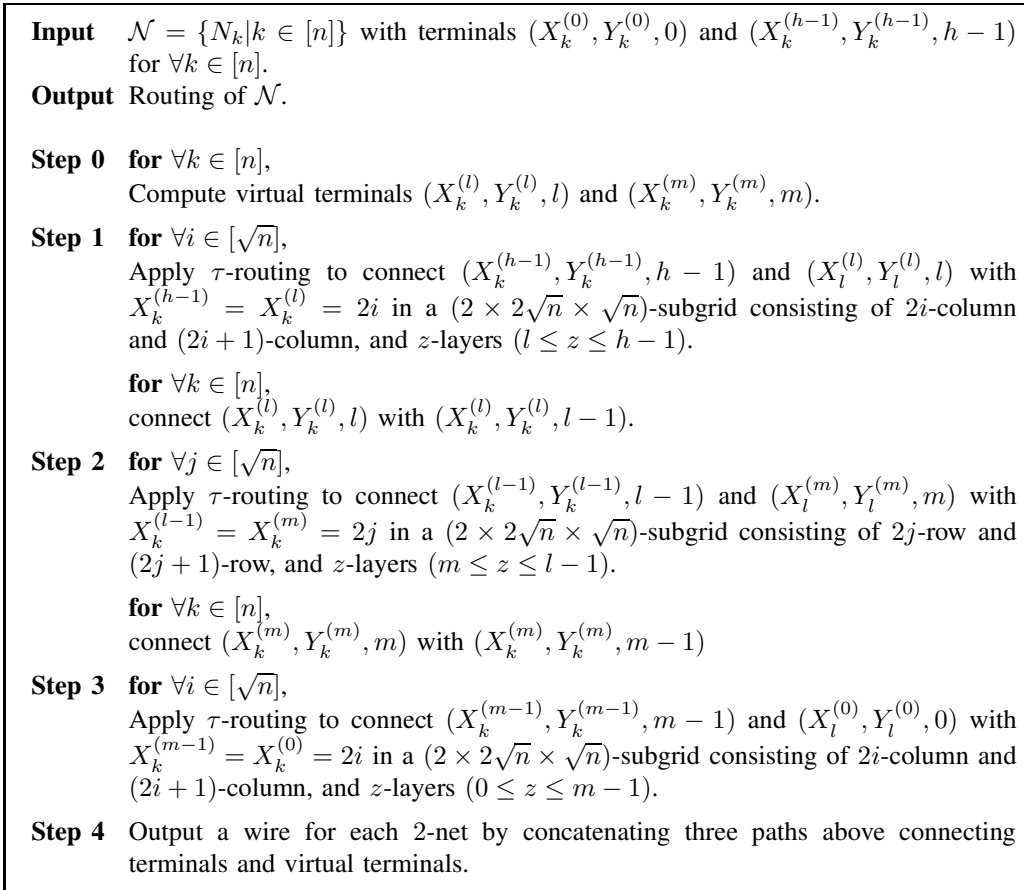


Fig. 4. 3-D Channel Routing Algorithm

the column defined by  $x = \sqrt{n} - 1$ . Since the area of every column is  $2\sqrt{n}h$ , we have  $2h\sqrt{n} \geq |\mathcal{N}| = n$ . Thus,  $h = \Omega(\sqrt{n})$ .

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