

ML のモデルとしての internal ccc

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概要

プログラミング言語 ML はポリモルフィズムを実現している言語としてその重要性が認識されてきている。本稿では ML がポリモルフィズムの中でも単純な型体系を持ち、ccc と緊密な関係を持っていることを示す。つまり、ML はポリモルフィズムと typed λ -calculus との中間に位置するのである。そのために internal ccc を導入し、その基本的な性質を示す。internal ccc の等号による表現を与える。また、通常の typed λ -calculus と ccc とに對の対応が成立するのと同様の方針で、ML と internal ccc には、對の対応のあることを証明する。

An ML-theory is an INTERNAL CCC.

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Abstract

Today, the programming language ML increases its significance in computer science, as a language implementing polymorphism. In this article, we show that ML is a "simple" polymorphism and is closely related to the theory of cartesian closed category(ccc) which is familiar to every computer scientist. Internal ccc thory is defined. Its basic properties are proved. Its equational presentation is given. We show that an ML-theory and an internal ccc theory are translated to each other by \mathcal{C} and \mathcal{L} . Using these translations, they are proved to represent the same concept. In other words, an ML-theory is a predicative polymorphism over a cartesian closed categorical structure.

1 Introduction

The programming language ML [15] is one of the most successful system implementing *polymorphism*. Although, there are a number of other stronger polymorphic systems than ML such as Girard's \mathcal{F} [5], or equivalently Reynold's system [12]. and Coquand's theory of constructions [2], their implementations are, if exist, less popular than ML. Therefore, in computer science, it is as important to study properties specific to ML, as to study general properties of polymorphism.

Today, in computer science, the categorical approach is increasing both in popularity and significance. We are here interested in approaches in theoretical computer science. Theoretically, the categorical approach has a number of results in the polymorphism. One approach is to develop category theory using polymorphism. For example, Coquand suggested in [3] the possibility to interpret category theory polymorphically. (Hereafter, we call it "Coquand's approach.") Another approach is to interpret polymorphism in categorical terms. Seely gives in [14], a model of \mathcal{F} as *PL-category* which utilize the indexed category theory. Ehrhard gives the categorical semantics of the Coquand's construction in [4]. He developos it using the theory of fibration. However, these approaches are too general to serve as a model of ML. They have no counterpart to the simpleness mentioned in [3].

In this article, we distinguish ML-style-polymorphism from \mathcal{F} . We consider, as its model, the *internal* cartesian closed category theory. Internal products, internal exponentials, internal terminal are defined. The equational presentation of the internal ccc theory is also given. Equational presentation is important in the sense that if we can give it, the system can be handled *algebraically*. This presentation indicates that the internal ccc theory is essentially the internalization of the usual (external) ccc theory. From an internal ccc, we construct an ML-theory via the translation \mathcal{L} . Conversely, from an ML-theory, we construct an internal ccc using the translation \mathcal{C} . The construction of these translations are proved to imply that ML is, in its essence, the language expressing the ccc theory — internally. In particular, The translation \mathcal{L} is the precision of the Coquand's approach. It is natural to conclude that ML is the polymorphism enough to develop the ccc theory. The translations reveal this point in a simple and natural way. In fact, ML is a *predicative* subsystem of \mathcal{F} . The popularity of ML owes partially to this simpleness.

2 ML-style-polymorphism

We consider, in this article, the polymorphic system which is the basis of the type system of ML. It is a subsystem of \mathcal{F} [5] and presented as:

definition 2.1 An ML-theory consists of the following data.

TYPES:

1. A set of primitive types is given.
2. $()$ is a type.
3. A denumerable set of type variables is given.
4. If A and B are types, then $A \times B$ is a type.
5. If A and B are types, then $A \rightarrow B$ is a type.

We use a lower case greek letter (α, β, \dots) for a type variable. We assume that type variables of each type are linearly ordered. A problem arises: in the construction (4) and (5), we must fix the order type variables appearing in the constructed type. However, we do not discuss this problem in detail and simple say that it is (can be, indeed) appropriately taken.

TERMS:

We use the notation *term* : *type* to denote both the fact that the term *term* has type *type* and the term itself which has type *type*. Moreover, $A[\alpha]$ denotes that the type A may contain a type variable α . $A[B/\alpha]$ denotes the type A with the type B substituted for every occurrence of its type variable α

1. $*$: $()$.
2. To each type is assigned a denumerable set of variables.
3. If $a : A$ and $b : B$, then $\langle a, b \rangle : A \times B$.
4. If $t : A \times B$, then $proj_0^{A,B} t : A$ and $proj_1^{A,B} t : B$.
5. If $b : B$ under the assumption $x : A$, then $\lambda x : A. b : A \rightarrow B$ without the assumption $x : A$.
6. If $f : A \rightarrow B$ and $a : A$, then $apply^{A,B}(f, a) : B$.
7. If $t : A[\alpha]$ and α does not appear in the types of free variables of t , then given a type B , $t\{B/\alpha\} : A[B/\alpha]$.

AXIOMS:

1. $t = *$ where $t : ()$.
2. $proj_0^{A,B} \langle a : A, b : B \rangle = a$
 $proj_1^{A,B} \langle a : A, b : B \rangle = b$
3. $t = \langle proj_0^{A,B} t, proj_1^{A,B} t \rangle$.
4. $apply^{A,B}(\lambda x. a, b) = a[b/x]$.
5. $\lambda x. (apply^{A,B}(a, x)) = a$

$$6. \iota\{A/\alpha\} = \iota_{(A/\alpha)}$$

In the above axioms, $\iota_{(A/\alpha)}$ denotes the term ι , in which the type A is substituted for every occurrence of the type variable α . For example, $(proj_0^{\alpha, B} a)_{(A/\alpha)}$ is the term $proj_0^{A, B} a_{(A/\alpha)}$.

The axiom 6 is essential in polymorphism. If we do not require it, the type system will be called rather *overloading* than polymorphism.

The type system presented above is a variant of *explicitly-typed ML*, or *Core-XML* of [7]. In [7], the *Core-XML* is proved to be equivalent to *Core-ML*, or *implicitly-typed ML* which is a usual definition of the language ML.

Our system is defined so that it can be clear that ML is a subsystem of \mathcal{F} of Girard.

proposition 2.2 The system ML presented above is a subsystem of \mathcal{F} .

Proof

ML is embedded in \mathcal{F} as $\widetilde{\text{ML}}$ in the following way.

1. Types of ML are also those of $\widetilde{\text{ML}}$.
2. Terms of ML are clearly those of $\widetilde{\text{ML}}$. As for $\{\cdot\}$, a term $a\{B/\alpha\}$ in ML is translated into $\widetilde{\text{ML}}$ as $(\Lambda\alpha.a)\{B\}$.

This translation clearly gives the embedding of ML into \mathcal{F} . ■

Lastly, we define an inconsistent ML theory.

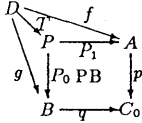
definition 2.3 An ML theory is *inconsistent* if it has a closed term $\perp : \alpha$.

3 Internal ccc theory

Preliminaries

To express the internal category theory, we use a variant of notations of [8]. In the subsequent sections, we fix an arbitrary category \mathcal{E} closed under finite limits.

notation 3.1 We use the notations $\pi_j^{p,q}$ ($j = 0, 1$) and $\langle f, g \rangle_{p,q}$ to denote the morphisms P_0 , P_1 and T respectively in the diagram below.



Other notation: if the diagram is explicitly labelled D , we sometimes write π_0^D , π_1^D , $\langle f, g \rangle_D$ to denote the above morphisms. The same notations apply to equalizer diagrams.

We sometimes omit suffixes if they are clear from context.

definition 3.2 An internal category $\mathbf{C} = (C_0, C_1)$ in a category \mathcal{E} consists of:

1. a pair of objects C_0 (the object of objects) and C_1 (the object of morphisms).
2. distinguished morphisms $C_1 \xrightarrow{\text{dom}} C_0$, $C_1 \xrightarrow{\text{cod}} C_0$, $C_0 \xrightarrow{\iota} C_1$, and $C_2 \xrightarrow{\bullet} C_1$, where C_2 satisfies

$$\begin{array}{ccc} C_2 & \xrightarrow{\pi_0} & C_1 \\ \pi_1 \downarrow & \text{PB} & \downarrow \text{dom} \\ C_1 & \xrightarrow{\text{cod}} & C_0 \end{array} \quad (C_2)$$

3. $\text{dom} \cdot \iota = \text{cod} \cdot \iota = \text{id}_{C_0}$,
 $\text{dom} \cdot \bullet = \text{dom} \cdot \pi_0$,
 $\text{cod} \cdot \bullet = \text{cod} \cdot \pi_1$,
 $\bullet(\text{id} \times \bullet)_{C_2} = \bullet(\bullet \times \text{id})_{C_2}$,
 $\bullet(\text{id} \times \iota) = \pi_0^{C_2}$,
 $\bullet(\iota \times \text{id}) = \pi_1^{C_2}$

remark 3.3 The arrow ι corresponds to the identity morphism and ' \bullet ' to the composition of morphisms in the internal category.

Internal product

definition 3.4 An internal category $\mathbf{C} = (C_0, C_1, \langle \langle -, - \rangle \rangle)$ is said to have the internal product if there exist the internal product operator $C_0^2 \xrightarrow{\wedge} C_0$ and the pairing operator $\Delta C_1 \xrightarrow{\langle \langle -, - \rangle \rangle} C_1$ which satisfy:

1. ΔC_1 is the equalizer of the diagram below.

$$\begin{array}{ccc} \Delta C_1 & \xrightarrow{e} & C_1^2 \xrightarrow[\text{dom} \cdot \pi_1]{\text{dom} \cdot \pi_0} C_0 \\ & & (E_\Delta) \end{array}$$

- 2.

$$\begin{array}{ccccc} \Delta C_1 \xrightarrow{\langle \langle -, - \rangle \rangle} C_1 & & \Delta C_1 \xrightarrow{\text{cod} \cdot \pi_0 \cdot e, \text{cod} \cdot \pi_1 \cdot e} C_0^2 & & \\ \text{dom} \cdot \pi_0 \cdot e \searrow & \downarrow \text{dom} \langle \langle -, - \rangle \rangle & \downarrow \text{PB} & \downarrow \wedge & \\ C_0 & & C_1 & \xrightarrow{\text{cod}} & C_0 \end{array} \quad (D_\Delta)$$

3. The following diagram commutes, where $s = \langle \bullet \langle \pi_0 \cdot \pi_0, \pi_1 \rangle, \bullet \langle \pi_1 \cdot \pi_0, \pi_1 \rangle \rangle$.

$$\begin{array}{ccc} \Delta C_1 \times_{C_0} C_1 & \xrightarrow{s} & \Delta C_1 \\ \langle \langle -, - \rangle \rangle \times \text{id} \downarrow & & \downarrow \langle \langle -, - \rangle \rangle \\ C_2 & \xrightarrow{\bullet} & C_1 \end{array}$$

definition 3.5 (projection) Consider a morphism $F: \text{Dom} F \rightarrow C_0^2$ with codomain C_0^2 . Let the morphism $T = \langle F, \iota \cdot \wedge \cdot F \rangle_{D_\Delta}: \text{Dom} F \rightarrow \Delta C_1$ be defined as in the diagram below.

$$\begin{array}{ccc} \text{Dom} F & \xrightarrow{F} & C_0^2 \\ \downarrow T & \searrow & \downarrow \wedge \\ \Delta C_1 & \xrightarrow{\text{PB}} & C_0 \\ \downarrow \iota \cdot \wedge \cdot F & & \downarrow \text{cod} \\ C_1 & \xrightarrow{\text{cod}} & C_0 \end{array}$$

We define $\text{Proj}_j^F: \text{Dom} F \rightarrow C_1$ as $\pi_j \cdot e \cdot T (j = 0, 1)$.

The arrows Proj_j^F correspond to the j -th projection in the sense of the following example ($j = 0, 1$).

example 3.6 Let $\mathcal{E} = \text{Set}$. Consider a small category \mathbf{C} with finite products. We define its *internalization* $I(\mathbf{C}) = (I(\mathbf{C})_0, I(\mathbf{C})_1)$ as follows:

$$\begin{aligned} I(\mathbf{C})_0 &= \text{the set of objects of } \mathbf{C} \\ I(\mathbf{C})_1 &= \text{the set of morphisms of } \mathbf{C} \end{aligned}$$

The morphisms dom , cod , ι and \bullet are defined in the usual sense. Its internal product operator is $a, b \mapsto a \times b$, with pairing operator $f, g \mapsto \langle f, g \rangle$. Proj_j is identical to the function $a, b \mapsto \pi_j^{a,b} (j = 0, 1)$.

More precisely, to two objects a and b in \mathbf{C} , there correspond morphisms $\tilde{a}, \tilde{b}: 1 \rightarrow I(\mathbf{C})_0$. Consider a morphism $1 \xrightarrow{(\tilde{a}, \tilde{b})} I(\mathbf{C})_0$. $\text{Proj}_0^{(\tilde{a}, \tilde{b})}: 1 \rightarrow I(\mathbf{C})_1$ corresponds to the first projection $\pi_0: a \times b \rightarrow a$ in \mathbf{C} . In the same manner, $\text{Proj}_1^{(\tilde{a}, \tilde{b})}: 1 \rightarrow I(\mathbf{C})_1$ corresponds to the second projection $\pi_1: a \times b \rightarrow b$.

lemma 3.7 For every $F: \text{Dom} F \rightarrow C_0^2$ and $G: \text{Dom} G \rightarrow \text{Dom} F$, $\text{Proj}_j^{F \cdot G} = \text{Proj}_j^F \cdot G (j = 0, 1)$.

Proof $\langle \text{Proj}_0^{F \cdot G}, \text{Proj}_1^{F \cdot G} \rangle_{E_\Delta} = \langle F \cdot G, \iota \cdot \wedge \cdot F \cdot G \rangle_{D_\Delta} = \langle F, \iota \cdot \wedge \cdot F \rangle_{D_\Delta} \cdot G$. The last morphism is by definition $\langle \text{Proj}_0^F, \text{Proj}_1^F \rangle_{E_\Delta} \cdot G$. Therefore, $\text{Proj}_j^{F \cdot G} = \text{Proj}_j^F \cdot G (j = 0, 1)$. ■

We show some properties of Proj 's.

proposition 3.8 For every F with C_0^2 its codomain, $\langle \langle -, - \rangle \rangle \cdot \langle \text{Proj}_0^F, \text{Proj}_1^F \rangle = \iota \cdot \wedge \cdot F$. (This equality is the internal representation of the external equality $\langle \pi_0, \pi_1 \rangle = \text{id}$.)

Proof

Immediate from 3.5. ■

proposition 3.9 For every F with C_0^2 its codomain,

$$\text{dom} \cdot \text{Proj}_j^F = \wedge \cdot F \quad (1)$$

$$\text{cod} \cdot \text{Proj}_j^F = \pi_j \cdot F \quad (2)$$

for $j = 0, 1$.

Proof

We may prove only the case $F = id_{C_0^2}$.

The proof of (1):

$$\begin{aligned}
 \wedge &= dom \cdot \iota \cdot \wedge \\
 &= dom \cdot \langle \langle -, - \rangle \rangle (Proj_0, Proj_1) \\
 &= dom \cdot \pi_0 \cdot e \cdot \langle Proj_0, Proj_1 \rangle \\
 &= dom \cdot Proj_0 = dom \cdot Proj_1
 \end{aligned}$$

(2) is trivial from the definition of *Proj*. ■

proposition 3.10 For every F with C_0^2 its codomain,

$$\begin{aligned}
 \langle \langle -, - \rangle \rangle \cdot \langle \bullet (Proj_0^F \times id), \bullet (Proj_1^F \times id) \rangle &= \pi_1^{\wedge, cod} \\
 \bullet (Proj_j^F \times \langle \langle -, - \rangle \rangle) &= \pi_j \cdot \pi_1^{\wedge, cod}
 \end{aligned}$$

for $j = 0, 1$. In the external sense, these equalities correspond to the following ones:

$$\begin{aligned}
 \langle \pi_0 \cdot h, \pi_1 \cdot h \rangle &= h \\
 \pi_j \cdot \langle h_0, h_1 \rangle &= h_j \quad (j = 0, 1)
 \end{aligned}$$

for relevant h, h_0 and h_1 .

Proof

We prove the case $F = id_{C_0^2}$. As for the first one,

$$\begin{aligned}
 \langle \langle -, - \rangle \rangle \cdot \langle Proj_0 \bullet id, Proj_1 \bullet id \rangle &= \bullet \cdot \langle \langle \langle -, - \rangle \rangle \times id \rangle \cdot \langle \langle Proj_0, Proj_1 \rangle \times id \rangle \\
 &= \bullet \cdot \langle \iota \times id \rangle = \pi_1^{\wedge, cod}
 \end{aligned}$$

As for the second equation, consider the following commutative diagram:

$$\begin{array}{ccc}
 A & \xrightarrow{\pi_0} & C_0^2 \\
 \downarrow \langle \langle -, - \rangle \rangle \cdot \iota \cdot \pi_1 & \text{PB} & \downarrow \wedge \\
 C_1 & \xrightarrow{cod} & C_0
 \end{array}
 \quad , \text{ where } A \text{ is defined as the pullback of: }
 \begin{array}{ccc}
 A & \xrightarrow{\pi_1} & C_0^2 \\
 \downarrow \pi_0 & \text{PB} & \downarrow id \\
 \Delta C_1 & \xrightarrow{cod \cdot \pi_0 \cdot e, cod \cdot \pi_1 \cdot e} & C_0^2
 \end{array}$$

In the above diagram, $T = \langle \bullet (Proj_0 \times \langle \langle -, - \rangle \rangle), \bullet (Proj_1 \times \langle \langle -, - \rangle \rangle) \rangle \cdot \pi_1$ because

$$\langle \langle -, - \rangle \rangle \cdot \langle \bullet (Proj_0 \times \langle \langle -, - \rangle \rangle), \bullet (Proj_1 \times \langle \langle -, - \rangle \rangle) \rangle \cdot \pi_1 = \langle \langle -, - \rangle \rangle$$

and,

$$\begin{aligned}
 \langle cod \cdot \pi_0 \cdot e, cod \cdot \pi_1 \cdot e \rangle \langle \bullet (Proj_0 \times \langle \langle -, - \rangle \rangle), \bullet (Proj_1 \times \langle \langle -, - \rangle \rangle) \rangle \cdot \pi_1 &= \langle cod \cdot Proj_0 \cdot \pi_0, cod \cdot Proj_1 \cdot \pi_0 \rangle \\
 &= \langle \pi_0 \cdot \pi_0, \pi_1 \cdot \pi_0 \rangle = \pi_0
 \end{aligned}$$

On the other hand, $\langle cod \cdot \pi_0 \cdot e, cod \cdot \pi_1 \cdot e \rangle \cdot \pi_1 = \pi_0$ by the very definition. Therefore $T = \pi_1$, hence $\pi_1 = \langle \bullet (Proj_0 \times \langle \langle -, - \rangle \rangle), \bullet (Proj_1 \times \langle \langle -, - \rangle \rangle) \rangle \cdot \pi_1$. This implies that $\bullet (Proj_j \times \langle \langle -, - \rangle \rangle) = \pi_j \cdot \pi_1$ for $j = 0, 1$.

Exponentiation

In the same way as product, *exponentiation* can be defined as:

definition 3.11 The internal category $C = (C_0, C_1)$ is said to have the *internal exponential* if there exist *internal exponentiation operator* $C_0^2 \xrightarrow{\Rightarrow} C_0$ together with the currying operator $*C_1 \xrightarrow{(-)^*} C_1$ which satisfy:

1. $*C_1$ is the pullback of the diagram below.

$$\begin{array}{ccc}
 *C_1 & \xrightarrow{\quad} & C_0^2 \\
 \downarrow & \text{PB} & \downarrow \wedge \\
 C_1 & \xrightarrow{dom} & C_0
 \end{array}
 \quad (E_*)$$

2.

$$\begin{array}{ccc}
 C_1 \xrightarrow{(-)^} C_1 & \xrightarrow{\pi_1 \cdot \pi_0^{E_*} \cdot cod \cdot \pi_1^{E_*}} & C_0^2 \\
 \pi_0 \cdot \pi_0^{E_*} \searrow & \text{PB} & \downarrow \Rightarrow \\
 C_0 & \xrightarrow{cod} & C_0
 \end{array}
 \quad (D_*)$$

3. The following diagram commutes, where $t = \langle \wedge \cdot \text{dom} \pi_1, \pi_0 \bullet \langle \langle -, - \rangle \rangle \cdot \langle \pi_1 \bullet \text{Proj}_0, \text{Proj}_1 \rangle \rangle$.

$$\begin{array}{ccc} *C_1 \times C_1 & \xrightarrow{t} & C_1 \\ (-)^* \times \text{id} \downarrow & & \downarrow (-)^* \\ C_2 & \xrightarrow{\quad \bullet \quad} & C_1 \end{array}$$

definition 3.12 (Eval) Consider a morphism $F: \text{Dom} F \longrightarrow C_0^2$. We define Eval^F as $\langle F, \iota \Rightarrow \cdot F \rangle_{D_\bullet}$.

$$\begin{array}{ccc} \text{Dom} F & \xrightarrow{F} & C_0^2 \\ \downarrow \iota \Rightarrow \cdot F & \searrow \text{Eval}^F & \downarrow \text{PB} \\ C_1 & \xrightarrow{\text{cod}} & C_0 \end{array}$$

lemma 3.13 $\text{Eval}^{F \cdot G} = \text{Eval}^F \cdot G$
for every $F: \text{Dom} F \rightarrow C_0^2$ and $G: \text{Dom} G \rightarrow \text{Dom} F$.

Proof

In the same manner as 3.7. ■

proposition 3.14 The following diagrams commute.

$$\begin{array}{ccc} & & C_0 \\ & \nearrow \pi_1 \cdot F & \uparrow \text{cod} \\ \text{Dom} F & \xrightarrow{\text{Eval}^F} C_1 & \xrightarrow{\pi_1^{E_\bullet}} C_1 \\ & \searrow \wedge \cdot \langle \Rightarrow, \pi_0 \rangle \cdot F & \downarrow \text{dom} \\ & & C_0 \end{array}$$

Proof

We have only to prove the case $F = \text{id}$. As for the upper triangle, $\text{cod} \cdot \pi_1^{E_\bullet} \cdot \text{Eval}^F$ is trivially equal to π_1 from 3.11(2). As for the lower one, we first show $\pi_0 \cdot \text{Eval} = \langle \Rightarrow, \pi_0 \rangle$.

$$\begin{aligned} \pi_1 \cdot \pi_0 \cdot \text{Eval} &= \pi_0 \\ \pi_0 \cdot \pi_0 \cdot \text{Eval} &= \text{dom} \cdot (-)^* \cdot \text{Eval} \\ &= \text{dom} \cdot \iota \Rightarrow \\ &= \Rightarrow \end{aligned}$$

Therefore, $\pi_0 \cdot \text{Eval} = \langle \Rightarrow, \pi_0 \rangle$. Then from $\text{dom} \cdot \pi_1^{\wedge, \text{dom}} = \wedge \cdot \pi_0^{\wedge, \text{dom}}$, we obtain $\text{dom} \cdot \pi_1 \cdot \text{Eval} = \wedge \cdot \pi_0 \cdot \text{Eval} = \wedge \cdot \langle \Rightarrow, \pi_0 \rangle$. ■

In the same manner as products, we obtain the following propositions.

proposition 3.15 For every F whose codomain is C_0^2 ,
 $(-)^* \cdot \langle \langle \text{dom} \cdot \pi_1, \pi_0 \cdot F \cdot \pi_0^{\Rightarrow \cdot F, \text{cod}} \rangle, \pi_1^{E_\bullet} \cdot \text{Eval}^F \cdot \pi_0, \langle \langle -, - \rangle \rangle \bullet \langle \pi_1, \text{Proj}_0^{\langle \Rightarrow \cdot F \cdot \pi_0, \pi_0 \cdot F \cdot \pi_0 \rangle}, \text{Proj}_1^{\langle \Rightarrow \cdot F \cdot \pi_0, \pi_0 \cdot F \cdot \pi_0 \rangle} \rangle \rangle$
 $= \pi_1^{\Rightarrow \cdot F, \text{cod}}: \text{Dom} F \times' C_1 \longrightarrow C_1$.

$$\begin{array}{ccc} \text{Dom} F \times' C_1 & \xrightarrow{\quad} & \text{Dom} F \\ \downarrow & \text{PB} & \downarrow \Rightarrow \cdot F \\ C_1 & \xrightarrow{\text{cod}} & C_0 \end{array}$$

where $\text{Dom} F \times' C_1$ denotes the pullback of the above diagram.

This equality represents the following (external) equality.

$$(\text{Eval} \cdot \langle \langle - \rangle \cdot \pi_0, \pi_1 \rangle)^* = (-).$$

proposition 3.16 For every F whose codomain is C_0^2 ,

$$\bullet \langle \pi_1^{E_\bullet} \cdot \text{Eval}^{\pi_0^{E_\bullet}}, \langle \langle -, - \rangle \rangle \bullet \langle \pi_1, \text{Proj}_0^{\langle \text{cod} \cdot \pi_1, \pi_0 \cdot \pi_0 \rangle}, \text{Proj}_1^{\langle \text{cod} \cdot \pi_1, \pi_0 \cdot \pi_0 \rangle} \rangle \cdot \langle \pi_0^{E_\bullet}, (-)^* \rangle \rangle = \pi_1^{E_\bullet}: *C_1 \longrightarrow C_1.$$

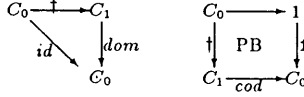
Externally, this corresponds to the equality:

$$\text{Eval} \cdot \langle \langle h \rangle^* \cdot \pi_0, \pi_1 \rangle = h.$$

Terminal

definition 3.17 The internal category $\mathbf{C} = (C_0, C_1)$ is said to have the *internal terminal operator* $1 \xrightarrow{!} C_0$ and the *!-operator* $C_0 \xrightarrow{!} C_1$ if they satisfy the following conditions:

1. .



2. $\dagger \cdot \text{dom} = \bullet(\dagger \cdot \text{dom}, \text{id})$.

proposition 3.18

$$\begin{aligned} \text{dom} \cdot \dagger &= \text{id} \\ 1 \cdot ! &= \text{cod} \cdot \dagger \\ \bullet(\dagger \cdot \text{dom}, \text{id}) &= \dagger \cdot \text{dom} \end{aligned}$$

Proof

Trivial from the definition. ■

proposition 3.19 For every f such that $\text{cod} \cdot f = \text{dom} \cdot f = 1 \cdot !$, $f = \dagger \cdot 1 \cdot !$. In particular, $\dagger \cdot 1 = \iota \cdot 1$.

Proof

Since $\text{cod} \cdot f = 1 \cdot !$, f factors through \dagger of the second diagram of 3.17-1. Let $f = \dagger \cdot h$. $h = \text{dom} \cdot \dagger \cdot h = \text{dom} \cdot f = 1 \cdot !$. Therefore $f = \dagger \cdot 1 \cdot !$. ■

Internal ccc

definition 3.20 An internal category \mathbf{C} is called an *internal ccc* if it has the internal terminal, the internal product and the internal exponential.

We show some typical examples of internal ccc's.

example 3.21 In \mathbf{Set} ,

1. Let \mathbf{C} be a small ccc. Then its internalization $I(\mathbf{C})$ is an internal ccc. Its internal product operator, pairing operator is given in the example 3.6. Its exponential operator is $a, b \mapsto a^b$ with currying operator the function $f \mapsto f^*$. Its terminal operator is $() \mapsto 1$. This internalization accounts for the name, "internal ccc."
2. $\mathbf{1} = (1, 1)$ is also an internal ccc. In $\mathbf{1}$, terminal, products and exponentials are degenerated ones: $1 \rightarrow 1$.
3. Let Ω be the set of propositions constructed by
 - (a) atomic formulas including \top and
 - (b) \wedge and \supset as logical connectives and
 - (c) their related axioms

We assume that two propositions are identical if they are equivalent.

Let Ω_1 be the equalizer of the following diagram:

$$\Omega_1 \xrightarrow{\quad} \Omega^2 \xrightleftharpoons[\wedge]{\pi_1} \Omega$$

$\Omega = (\Omega, \Omega_1)$ is an internal ccc. Its internal product operator is \wedge together with the internal exponential operator \supset and the terminal operator \top . Other operators are trivially defined.

4. Let Ω^H be Ω added with \perp , the absurdity as an atomic formula. $\Omega^H = (\Omega^H, \Omega_1^H)$ is the heyting algebra on Ω^H in which Ω_1^H defines its order relation.

Cases (3) and (4) are important. In topos theory or PL-category [14], they are used as the representing object: the property that Ω has the internal ccc structure is intensively used to classify the structure of the category in question. Furthermore, Ω_1 can be viewed as defining a partial order on Ω , such that Ω is a \wedge -semi-lattice. Moreover, in case (4), Ω^H defines a heyting algebra.

definition 3.22 In the internal category \mathbf{C} , a morphism $t_n: C_0^n \rightarrow C_1$ is called an *internal operator* of arity n ($n = 0, 1, 2, \dots$).

Furthermore, if $\text{dom} \cdot t_n = 1 \cdot !$, it is also called an *internal element* of type $\text{cod} \cdot t_n$.

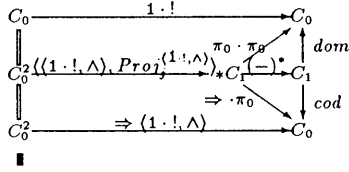
example 3.23 1. $\text{eval}: C_0^2 \rightarrow C_1$ is an internal operator of arity 2.

2. For $\Delta: C_0 \xrightarrow{(id, id)} C_0^2$, $\text{Proj}_j^{\Delta}: C_0 \rightarrow C_1$ is an internal operator of arity 1.

3. In the notation of 3.6, $Proj_j^{(\bar{a}, \bar{b})}: 1 \rightarrow C_1$ is also an internal operator of arity 0.
4. The term $(-)^* \cdot \langle (1 \cdot !, id), Proj_j^{(1 \cdot !, id)} \rangle$ is an internal element of type $\Rightarrow (1 \cdot !, id)$.

Proof

We can easily show that every component of the diagram below commutes.



■

In general, this construction corresponds to “naming” in the (external)ccc theory. Through this naming, every operator is translated to an element. (for example, see [9]).

definition 3.24 (naming) We call the morphism

$[-] = (-)^* \cdot \langle (1 \cdot !, dom), \bullet(id, Proj_1^{(1 \cdot !, dom)}) \rangle: C_1 \rightarrow *C_1 \rightarrow C_1$ the *naming operator*.

For a morphism $Domf \xrightarrow{f} C_1$, we denote the composition $[-] \cdot f$ by $[f]$. As in the (external) ccc-theory, the internal composition of internal operators can be represented in terms of *internal elements*.

proposition 3.25 Given operators $C_0^n \xrightarrow{f} C_1$ and $C_0^n \xrightarrow{a} C_1$ such that $cod \cdot a = dom \cdot f$, $[f]$ is an internal element which satisfies:

$$\bullet(\pi_1^{F*} \cdot Eval, \langle \langle -, - \rangle \rangle \bullet \langle [f], \dagger \cdot dom \cdot a \rangle) = \bullet \langle f, a \rangle.$$

Proof

By routine calculations, $dom \cdot [-] = 1 \cdot !$. Therefore, $dom \cdot [f] = 1 \cdot !$, an internal element. The equality can be proved by easy calculations. ■

theorem 3.26 (equational presentation of internal ccc) Equalities 3.7, 3.8, 3.9, 3.10, 3.13, 3.15, 3.16, 3.18 give the equational presentation of the internal ccc.

Precisely, given the following data:

$$\iota, dom, cod, \bullet, \wedge, \langle \langle -, - \rangle \rangle, \Rightarrow, (-)^*, 1, \dagger$$

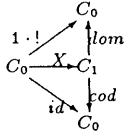
and if for each morphism F whose codomain is C_0^2 , there are given $Proj_0^F, Proj_1^F, eval^F$, and equalities listed as above, we have an internal ccc structure.

Proof

This theorem is the translation of the equational presentation of (external) ccc to that of the internal ccc. The proof is completely analogous to that of the case of external ccc. (see, for example, [9]) ■

Using the equational presentation of internal ccc, we can translate theorems on external ccc into those on internal ccc. Among them, we present here the functional completeness of internal ccc.

definition 3.27 Let X be given in the diagram below. Given an internal ccc $C = (C_0, C_1)$, its polynomial $C[X]$ on a variable X is the internal ccc which is freely generated from C and X .



Given a morphism $F: DomF \rightarrow C_0$, a variable X^F of type F is defined as $X \cdot F$. a variable of type id is simply written as X .

The following theorem is the straightforward translation of the corresponding theorem on the external ccc.

proposition 3.28 (functional completeness of internal ccc) Given an n -ary operator $C_0^n \xrightarrow{\varphi[X^F]} C_1$ of $C[X]$ ($n = 0, 1, \dots$), there is a unique operator $C_0^n \xrightarrow{f} C_1$ of C such that $\varphi[X^F] = \bullet \langle f, X^F \cdot dom \cdot f \rangle$.

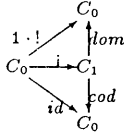
notation 3.29 By $(X^F) \cdot \varphi[X^F]$, we denote the operator f in the above proposition.

example 3.30 $(X) \cdot X = \iota$ since $X = \bullet \langle \iota, X \rangle$.

$$(X^A) \cdot X^A = \iota \cdot A.$$

The following definition is also the translation of the corresponding definition on the external ccc.

definition 3.31 An internal ccc $\mathbf{C} = (C_0, C_1)$ is *degenerated* if there exists an operator $C_0 \xrightarrow{i} C_1$ such that $\bullet \langle i, i \rangle = \iota$. Note that this equality implies that i is an internal element of type id .



Externally, this means that every $a \xrightarrow{i} 1$ has its inverse, that is, that every object is isomorphic to 1.

For example, $1 = (1, 1)$ is degenerated.

Suppose that an (external) ccc \mathbf{C} has the initial object 0. It is well known that it is degenerated when there exists a morphism $1 \rightarrow 0$. The similar situation also appears in the relation between an inconsistent ML-theory and a degenerated internal ccc.

4 Relation between internal ccc and ML

In this section, we investigate the relation between internal ccc and ML.

theorem 4.1 From an internal ccc $\mathbf{C} = (C_0, C_1)$, We can construct an ML-theory $\mathcal{L}(\mathbf{C})$.

construction

Types of $\mathcal{L}(\mathbf{C})$ are morphisms $C_0^n \rightarrow C_0 (n = 0, 1, 2, \dots)$ with

1. Primitive types of $\mathcal{L}(\mathbf{C})$ are those morphisms $1 \rightarrow C_0$ ($n = 0, 1, 2, \dots$).
2. The j -th projection $C_0^n \xrightarrow{\pi_j} C_0$ ($0 \leq j < n$) is translated to the j -th variable.
3. As for type constructors,
 \times is interpreted as $C_0^2 \xrightarrow{\wedge} C_0$,
 \rightarrow is interpreted as $C_0^2 \xrightarrow{\Rightarrow} C_0$,
and $()$ is interpreted as $1 \xrightarrow{\cdot} C_0$.

Terms of $\mathcal{L}(\mathbf{C})$ are internal elements of the polynomial $\mathbf{C}[X]$ with X a variable. In particular,

1. Primitive terms are those morphisms $1 \rightarrow C_1$.
2. A variable is $X: C_0 \rightarrow C_1$.
3. $*$ is $1 \xrightarrow{i} C_0 \xrightarrow{i} C_1 = \iota \cdot 1$.
4. Given two internal elements a, b of arity n , $\langle a, b \rangle$ is $C_0^{(a,b)} \xrightarrow{\Delta} C_1 \langle \langle -, - \rangle \rangle_{C_1}$.
5. Given types $A, B: C_0^n \rightarrow C_0$ and an internal element c of type $A \times B = \wedge \cdot \langle A, B \rangle$, $proj_j^{A,B} c$ is interpreted as $\bullet \langle Proj_j^{(A,B)}, c \rangle$ for $j = 0, 1$.
6. Given an internal element $C_0^{b[X^A]} \xrightarrow{\cdot} C_1$, $\lambda X: A. b[X^A]$ is interpreted as $\lceil (X^A). b[X^A] \rceil$.
7. Given types $A, B: C_0^n \rightarrow C_0$ and internal elements f with type $\Rightarrow \cdot \langle A, B \rangle$ and a with type A , $apply^{A,B}(f, a)$ is interpreted as $\bullet \langle \pi_1^{E \cdot} \cdot Eval^{(A,B)}, \langle \langle -, - \rangle \cdot \langle f, a \rangle \rangle \rangle$.
8. Given a type $T: C_0^n \rightarrow C_0$ and an internal element $C_0^m \xrightarrow{f} C_1$, if α denotes the j -th type variable, $f\{T/\alpha\}$ is $f \cdot \hat{T}$, where \hat{T} satisfies that $\pi_j \cdot \hat{T} = T$ and $\pi_{j'} \cdot \hat{T} = \pi_{j'}$ for $j' \neq j$.¹

The type of a given term $f: C_0^n \rightarrow C_1$ is defined as $cod \cdot f$.

This construction is completely the internal version of the translation from ccc to the typed λ -calculus. Therefore, the above construction clearly satisfies the axioms of ML except AXIOM 6 of the definition 2.1. Explicitly, AXIOM 1 is the immediate result of 3.24, AXIOM 2 and 3 are also the immediate results of 3.13. AXIOM 4 and 5 can be proved with routine calculations using 3.15, 3.16 and 3.28.

AXIOM 6 is easily proved by the induction on the construction of terms using 3.7 and 3.13.

definition 4.2 Given an ML-theory \mathbf{L} , its associated internal category $\mathcal{C}(\mathbf{L})$ in \mathbf{Set} is given as:

$\mathcal{C}(\mathbf{L})_0 =$ the set of types which do not depend on type variables.

$\mathcal{C}(\mathbf{L})_1 = \{(X: A, b[X]: B) \mid A \text{ and } B \text{ do not depend on type variables.}\}$

dom is the function $(X: A, b[X]: B) \mapsto A$, together with cod the function $(X: A, b[X]: B) \mapsto B$, ι the function $A \mapsto (X: A, X: A)$ etc.

proposition 4.3 $\mathcal{C}(\mathbf{L})$ is an internal ccc in \mathbf{Set} .

Proof

Immediate ■

The following proposition is an immediate consequence of the definitions of \mathcal{C} and \mathcal{L} .

¹The problem of the linearization of type variables arises here. We do not discuss its details.

proposition 4.4 Assume that an ML-theory \mathbf{L} satisfies that any primitive term does not depend on type variables. In this case, $\mathcal{L}(\mathcal{C}(\mathbf{L}))$ has the one-to-one correspondence to \mathbf{L} .

The above results imply that the universe of types that an ML-theory handles is the cartesian closure of primitive types under \rightarrow and \times . In this sense, an ML-theory can be said *predicative*.

remark 4.5 Note that the assumption in 4.4 is not essential at least when we work in **Set**. Given a constant term p_α which depends on a type variable α , there is a corresponding function $\tilde{p}: \mathcal{C}(\mathbf{L})_0 \longrightarrow \mathcal{C}(\mathbf{L})_1$ such that $\tilde{p}(A) = (*, p\{A/\alpha\})$.

The following corollary is an immediate result from the construction of \mathcal{C} and \mathcal{L} .

corollary 4.6 ML is conservative over typed λ -calculus.

The stronger form is shown in [1]. Our \mathcal{C} and \mathcal{L} give a natural proof to the corollary.

We clarify the relation between degenerated internal ccc and inconsistent ML in the following proposition.

proposition 4.7 If an internal ccc \mathbf{C} is degenerated, then $\mathcal{L}(\mathbf{C})$ is inconsistent.

Proof

The term j has certainly type id . This means $\perp : \alpha$ if \perp is interpreted as j . ■

We are mainly concerned with consistent ML theory. Also in the coherent semantics of \mathcal{F} [6], the type $\Lambda\alpha.\alpha$ is interpreted as \emptyset . This corresponds to our usual manner that we deal with only non-degenerated ccc's.

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