Efficient and Portable Implementation of Java-style Exception Handling in C

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An important issue in implementing high-level programming languages for use by translators into C is how to support high-level language features not available in C. Java's exception handling is one such feature, and translating it into portable C, which only uses C-style control structures, involves some challenges. Previous studies have proposed ways of translating the Java-style try-catch construct into C. In this paper, we propose a new scheme for implementing it in an efficient and portable manner. We use our parallel language OPA, which is an extended Java language, and its translator. In our scheme, we do not use troublesome setjmp/longjmp routines for non-local jumps. Instead, we check the occurrences of exceptions using functions' return values. Java also has the try-finally construct, mainly used for cleaning up, which cannot be translated directly into C-style control structures. To implement it, we developed a new scheme with integer values corresponding to continuation targets. Compared with other techniques, ours has advantages in both the runtime overhead and the generated code size. For these two features, using some benchmark programs, we measured the performance of our scheme and compared it with those of some other schemes.

1. Introduction

Java⁶⁾ is an object-oriented language designed by Sun Microsystems that supports portable code, i.e., bytecode that runs on a variety of platforms. Java's portability is achieved by compiling its source programs into a distribution format called a class file. A class file contains information about the Java class, including bytecode that is an architecturally independent representation of the instructions associated with the class's methods. A class file can be executed on any computer supporting the Java Virtual Machine (JVM). Java's code mobility, therefore, depends on both architectureindependent class files and the implicit assumption that the JVM is supported on every client machine.

Most JVM implementations execute bytecode through interpretation or Just-In-Time (JIT) compilation, which compiles the bytecode into machine code at run time. Thus, Java's portability comes at a price, namely, the cost of interpreting or JIT-compiling the bytecode every time the program is executed. This incurs a significant runtime overhead, which is unnecessary in applications running many times without change. To overcome these inherent performance penalties, there are many Java implementations that pre-compile Java programs (or bytecode) into machine $\operatorname{code}^{7),8),12),13}$. Some of these systems first translate Java programs (or bytecode) into C code, then compile the C code into machine code, using existing C compilers. These systems compile Java programs into machine code during program development, eliminating the need for interpretation or JIT compilation of bytecode.

Java-to-C translators have several advantages over interpretation or JIT-compilation. First, because they can employ optimizing C compilers as their backend, highly efficient executables can be generated at a low development cost. Moreover, because they create a C-equivalent to the Java program, the standard C debugging and profiling tools can be used for these executables. Second, because such an executable usually includes all the information about the class files it uses, there is no possibility of an application suddenly ceasing to execute because of a change in available class files. For these reasons, we believe that ahead-of-time translation into C is valuable for the development of efficient Java programs.

In this paper, we use our language OPA ^{15),16)} (Object-oriented language for PArallel processing), which is an extended Java language. In order to write parallel programs easily, we remove specifications about threads from Java,

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Fig. 1 A sample try-catch-finally method.

then add new features such as structured multithreading constructs for OPA. OPA is intended for high-performance parallel processing, and therefore our OPA implementation translates OPA programs into C for efficiency.

Java's exception handling is one of the features that does not directly correspond to Cstyle control structures; thus, translating it involves some challenges. In Java, exception handling is described by a try-catch-finally construct (whose syntax is shown in Fig. 1). In Java Language Specification⁶, it is defined as follows:

When an exception is thrown, control is transferred from the code that caused the exception to the nearest dynamically-enclosing catch clause of a try statement that handles the exception.

A statement or expression is *dynamically enclosed* by a catch clause if it appears within the try block of the try statement of which the catch clause is a part, or if the caller of the statement or expression is dynamically enclosed by the catch clause.

. . .

In situations where it is desirable to ensure that one block of code is always executed after another, even if that other block of code completes abruptly, a try statement with a finally clause may be used.

Java's try-catch-finally construct: try{

```
...
}catch(Excep e){
```

. . .

```
...
```

```
}finally{ ... }
```

can be separated into two constructs like:
 try{ try{

}catch(Excep e){ ... }
}finally{ ... }

Therefore, we discuss only the implementation of try-catch and try-finally in the remainder of this paper.

To implement the try-catch construct in C, we do not use non-local jumps such as setjmp/longjmp, since this would incur some undesirable overhead. Furthermore, we implement the try-finally construct neither by using an independent subroutine nor by inlining the finally body at all points where execution of the finally body is needed. The former has some overhead for each function call. while the latter may result in a huge amount of code, and therefore we propose other schemes for implementing them. Our schemes do not incur unnecessary runtime overhead and do not increase its code size superfluously. They also have portability; that is, the generated C code can be compiled and executed in environments where the standard C language is supported.

The rest of this paper is organized as follows. Section 2 describes our implementation of the try-catch construct and Section 3 describes our implementation of the try-finally construct. In Section 4, we describe the results of benchmark tests for some programs.

2. Implementation of try-catch

In this section, we explain how our OPA compiler translates try-catch statements and throw statements into C. In our scheme, we do not use troublesome setjmp/longjmp routines for non-local jumps, and the generated C code fully confirms to the ANSI C standard.

Exceptions are handled through the following steps:

- (1) If an exception can be caught within the current method, control is transferred to the corresponding handler code.
- (2) Otherwise, the method throws the uncaught exception toward its caller.
- (3) The above steps are repeated until the exception is caught.

We explain how case (1) is implemented in Section 2.1 and case (2) in Section 2.2. Related work is discussed in Section 2.3.

2.1 Exceptions within a Method

Within the C function that is generated for a method, the control is transferred to exception handlers simply by using **goto** statements when an exception is raised. For example, the following code:

```
...;
ex = \langle code for creating Excep1 object \rangle;
goto CATCH_0;
...;
if(0){
    CATCH_0:
    if(instanceof(ex, c__Excep1)){
        \langle code for handler 1 \rangle
    }else if(instanceof(ex, c__Excep2)){
        \langle code for handler 2 \rangle
    }else{
        \langle code for rethrowing the exception \rangle
    }
}
```

Fig. 2 The C code generated for try-catch in our scheme.

is translated into the code shown in Fig.2. The local variable ex is used for saving the exception. c__Excep1 is the class descriptor for the class Excep1, and instanceof() checks whether ex can be caught by the corresponding catch clause. If no handler can catch the exception, the exception is rethrown in the same manner.

If a throw statement is in the try body of a try-finally statement, not of a try-catch statement, its goto target becomes the label corresponding to the finally clause. Implementation details of try-finally are explained later, in Section 3.4.

2.2 Exceptions across Method Call

As mentioned previously, OPA provides simple and efficient multithreading features. To achieve efficiency, each thread in OPA normally executes on the C stack. When the current thread running on the stack is suspended, its method frames are allocated in the heap area and the current states of the stack frames are saved there. To realize this, each method on the stack must inform its parent method (caller) that it has been suspended and its state is saved into a certain frame in the heap; here, the address of the callee's frame must be known to the caller, so that method frames within one thread are organized as a linked list in the heap. Therefore, a callee must return a pointer to its own heap frame (if it exists; otherwise it returns 0) along with the method's return value. In OPA, the pointer may be returned as a return value of a C function call, and the method's return value may be stored in another place:

Here, pr points to the per-processor data area and the method's return value is stored in it (pr->ret). This means that each method call must be followed by this memory access. To reduce this overhead, the actual OPA system returns ¹⁶) the method's return value as a return value of the C function call, and the special value SUSPEND (which is selected from rarely used values, e.g., -5) indicates the suspension of the callee. In such a case, the system checks further to determine whether a pointer to the callee frame is stored in a fixed place (pr->child_fr):

Our scheme involves quite a small overhead, because the return value of a C function is usually in a register and, in most cases, the caller executes only one additional branch instruction.

The above technique can also be applied for propagating an exception accross a method call. That is, if a callee completes abruptly because of an exception, it returns SUSPEND (and pr->child_fr is set to any non-zero value), and the uncaught exception is stored in pr->ex:

2.3 Related Work

There are three existing schemes for implementing try-catch statements:

- use static try block tables and search these tables at runtime,
- dynamically create a linked list of try block data structures using setjmp/longjmp,

• use functions with two return values.

The first scheme is used in most JVM interpreters and C++ compilers ⁹⁾. In this scheme, each exception handler is recorded as a single entry of the table, specifying the range of offsets into the JVM code implementing the method for which the exception handler is active, describing the type of exception that the exception handler is able to handle, and specifying the location of the code that is to handle that exception. Here, offsets and locations are specified as program counters, and JVM can easily interpret these tables and transfer control to the corresponding handler code. However, since the program counter is not visible in C, this scheme is not suitable for Java-to-C translators. Another approach is to realize our compiler as a Java-to-C++ translator. In Section 4, we measure the performance of C++'s exception programs and compare it with those of our scheme in C.

The second scheme is used in $C++^{1)}$ and Ada⁵⁾. A linked try-catch data structure is created when entering a try block, and the structure is discarded when leaving the try block. When an exception is raised during the time that some method is called in a try block, the callee-save registers must be restored to their original values. The data structure can be used to store such information. This scheme can be applied to Java-to-C translators, but its disadvantage is that creating and discarding the data structure incurs some runtime overhead, even if an exception is never raised.

The third scheme is used in Java $2C^{2}$ and the early implementation of CACAO¹⁰. In this scheme, a function has two return values: one for the method's return value and the other for an exception, as in our scheme. In CACAO, an register is exclusively dedicated to storing an exception. After each method call, the exception register is checked and, if it is nonzero, the exception handling code is executed. In Java2C, instead of reserving the proprietary register for exception handling, a raised exception is stored in the thread object of the current thread. In both cases, unlike our OPA implementation, the caller always checks the return value for an exception: CACAO always reserves one register (which is impossible in portable C) and Java2C requires some memory accesses even if an exception is never raised. In contrast, in our OPA implementation, the caller checks only the callee's return value and performs further checking (memory accesses) only when the callee might throw an exception.

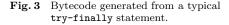
3. Implementation of try-finally

In this section, we explain four schemes used for compiling Java's try-finally statements into C. Since all of these schemes except for ours emulate the JVM's bytecode implementation of try-finally statements, we first review the JVM's scheme.

The Java Virtual Machine Specification¹¹⁾ includes the jsr (Jump SubRoutine) instruction and the ret (RETurn from subroutine) instruction for the implementation of try-finally statements. When 'jsr $\langle offset \rangle$ ' is executed, control is transferred to that $\langle offset \rangle$ from the address of this jsr instruction. At the same time, the address of the instruction immediately following this jsr instruction is pushed onto the operand stack. Note that the target address specified by the $\langle offset \rangle$ must be within the method that contains this jsr instruction. When 'ret $\langle i \rangle$ ' is executed, the contents of the local variable $\langle i \rangle$ are used for the return address; that is, they are written into the JVM's program counter register and execution continues there.

For example, a typical try-finally statement is compiled to the code shown in Fig. 3. The control flow of this code is shown in Fig. 4. There are four ways for control to pass outside of (or escape from) the try statement: by falling through the bottom of that block, by raising an exception, by returning, or by executing a break or continue statement. (In this example, only the former two cases are described, but the others can be compiled in a similar manner.)

1	$\langle try-body \ code \rangle$	
2	jsr FIN_BODY	; call finally block
3	return	; method return
4	astore_1	; rethrow handler
		; save thrown value
5	jsr FIN_BODY	; call finally block
6	aload_1	; restore thrown value
7	athrow	; rethrow the value
8	FIN_BODY:	
9	astore_2	; save return address
10	$\langle finally-body \ code$	
11	ret 2	; return from FIN_BODY



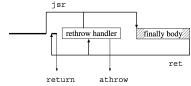


Fig. 4 Control flow of try-catch-finally code.

When the try body completes normally, a subroutine call for the finally body is executed at line 2. At line 2, the jsr instruction pushes the address of the following instruction (return at line 3) onto the operand stack before jumping. The astore_2 instruction at line 9 saves the address on the operand stack into local variable 2. The code for the finally block (line 10) is executed. Assuming that execution of that code completes normally, the ret instruction retrieves the address from local variable 2 and resumes execution at that address. The method then returns normally at line 3.

If an exception is thrown during the execution of the try body, since there is no exception handler inside this try-finally statement, control is transferred to the *rethrow handler* at lines 4– 7. In this case, the finally body is also called from line 5 before rethrowing the exception at line 7. Local variable 1 is used for saving the exception during the execution of the finally body.

3.1 Function Call

The first scheme is based on the straightforward observation that the jsr and ret instructions of JVM are almost the same as the machine instructions used by C compilers to translate C's function calls (e.g., call and ret instructions in the IA-32 architecture). (The only difference between them is that, in JVM, the target addresses of an jsr instruction must be within the method that contains this instruction.) Thus, in Java-to-C translators, it seems to be natural to realize a try-finally statement with the function definition which contains the corresponding finally body code (in C) and with calls to this function.

The drawbacks of this scheme arise from the difference between the jsr instruction and C function calls. First, a C function call contains a certain amount of runtime overhead: some register values must be saved into the C stack at the call and restored at its return, the stack pointer (and the frame pointer) may be adjusted, and so on. In addition to these overheads, if try-catch statements are imple-

```
void sample(int p) {
    int a = 0;
    try {
        try {
            if (p == 0) return;
            f(); // may throw an exception
        } finally { // at level 1
            a += 2;
        }
    } finally { // at level 0
        a += 1;
    }
    return;
}
```

Fig. 5 Nested try-finally code.

mented with the two return value schemes described in Section 2.3 or our scheme in Section 2.2, an exception check must be performed after each function call, since the function may throw an exception. Second, in order to access local variables of the caller from inside the callee, their addresses must be passed as parameters to it. This may prevent these variables from remaining in registers, thus causing performance degradation.

3.2 Inline Expansion

To overcome the drawbacks of the function call scheme described in the previous section, simply inlining **finally** bodies at **jsr**'s call sites is effective, especially since:

- it can eliminate the function call overhead,
- local variables can be directly accessed within finally bodies.

This scheme is used in the Java2C $^{2)}$ translator.

As a simple example, the Java code in **Fig. 5** is translated as in **Fig. 6** (a). Clearly, the inline expansion scheme has a considerable disadvantage in terms of code size. Moreover, if a certain **finally** body contains several escape points, all the outer finally bodies are expanded into these points. For instance, if **finally** body 1 contains a **throw** (or **return**, **break**, **continue**) statement in its middle, the generated code expands further, as shown in Fig. 6 (b). At a rough estimate, assuming that the nested level of **try-finally** statements is N and that every **finally** block contains k escape points, $O(k^N)$ pieces of the expanded **finally** bodies are included in the generated code.

3.3 GCC's Label Value

The third scheme employs one of the GCC's C extensions ³: labels as values. We can obtain

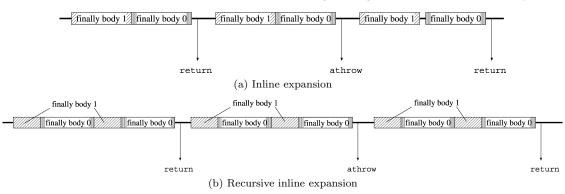


Fig. 6 Control flow of nested try-finally code.

the address of a label defined in the current function by using the unary operator '&&', and we can use label values with the computed goto statement, 'goto (label val)'.

Using this extension, GCJ^{14} emulates JVM's jsr and ret instructions; the control flow of the code generated by GCJ is the same as JVM's. More precisely, 'jsr $\langle target address \rangle$ ' is translated as follows:

void *ra0 = &&CONT_0; goto (target label);

CONT_O: ...

where CONT_0 is the return address of this code, i.e., the continuation of jsr. The variable ra0 is prepared by the compiler for each finally block. The ret instruction is more straightforward:

goto *ra0;

This scheme is simple and efficient. However, it has two disadvantages. First, the generated C code is not portable: it relies on the GCC's extension. Consequently, many other optimizing compilers seem to be unable to compile it.

Second, because the target addresses of computed goto statements cannot be decided at compile-time, the compiler must assume that each computed goto statement may jump to all labels in the current function. Thus, if multiple finally blocks exist in the same method, their sets of return addresses are indistinguishable from one another. This can have negative effects on the compiler's control flow analyses, such as liveness analysis, register allocation, and so on.

3.4 Our Scheme

The GCJ's problem is that continuation targets after the execution of finally blocks cannot be hard coded into label identifiers (constants). We solved this problem by representing continuation targets not as label values but as integer values. Furthermore, after executing a finally block, we know that the execution does not need to be resumed at its caller site (escape point); instead, control is directly transferred outside of the try-finally statement, where the exact target statement is determined according to the way of escape from the try block.

For each of the four ways of escape, our scheme generates the C code as follows:

(1) If the try statement completes normally, the finally block is executed and control is simply transferred to the next C code of the try-finally where the Java statement following the try-finally is translated. That is,

(2) If a return statement is performed inside the try block, the return value is saved, and then control is transferred to FIN_BEGIN. After the execution of the finally block, the function returns with the saved value.

Note that there is a single **ret** variable in each function, since it cannot have multiple return values.

(3)If a break (or continue) statement is performed inside the try block and if there are any try-finally statements within the break (or continue) target whose try blocks contain the break (or continue) statement, then any finally blocks of those try-finally statements must be executed before control is transferred to the break (or continue) target. In our OPA implementation, break and continue statements are implemented with labels and gotos. We change these gotos' target to the innermost finally's FIN_BEGIN. The original target label is saved (precisely speaking, a non-negative integer value is assigned for each label) and used after the execution of all finally blocks. For instance,

```
while(true) {
     try {
        ...; break; ...
     } finally {
        \langle finally-body \rangle
     }
  }
is translated as
  while(TRUE) {
     ...;
     gt = 3; goto FIN_BEGIN;
     ...;
    FIN_BEGIN:
     \langle C \ code \ for \ finally-body \rangle
     if(gt == 3)
        goto L3;
  }
 L3: ...
```

where the next statement of the while loop is labeled as L3.

(4) If an exception is thrown during the execution of the try block, the exception is saved, and then control is transferred to FIN_BEGIN. After the execution of the finally block, the exception is rethrown. For instance, void f() throws Excep {

```
void I() throws Excep {
   try {
      ...; throw new Excep(); ...
   } finally {
```

The integer values mentioned above are used to distinguish all these cases, and also for the gtvariable in the case of break (or continue). An integer value i is set in the fin_flag variable before jumping to FIN_BEGIN, and its meaning is interpreted as follows (NORMAL, RETURN, EXCEP are defined as negative constants):

- i) if i = NORMAL, it means that the try block completes normally.
- ii) if i = RETURN, it means that a return statement is performed.
- iii) if i = EXCEP, it means that an exception is thrown.
- iv) if $i \ge 0$, a break or continue is performed. Its target is labeled as 'Li:'.

Putting all this together, the sample code in Fig. 5 is translated into the code shown in Fig. 7, and its control flow is shown in Fig. 8. It is conceivable that try statements usually complete normally. Thus, at the end of each finally block in the generated code, fin_flag is first compared with NORMAL before being checked with switch statements in order to avoid the overhead of executing switch statements.

4. Performance

In this section, we give measurement results for some programs that are influenced by implementation schemes of the try-catch and try-finally constructs. The configuration of the shared-memory parallel computer used for these measurements is shown in Table 1.

First, we measured the overhead caused by exception checks, which are necessary for implementing the try-catch construct in OPA. For comparison, we use two OPA implementations, one employing our proposed scheme, i.e., checking with SUSPEND ("fast"), the other always checking pr->ex ("slow"). For benchmark programs, we used four Cilk⁴ multithreaded

```
void f__sample(penv *pr, int p){
  int a = 0; int fin_flag;
  void *ex0, *ex1;
   if (p == 0){
    fin_flag = RETURN;
    goto FIN_BEGIN1:
  }
  ret = f():
  if(ret==SUSPEND && pr->child_fr)
    if(pr->ex){
      fin_flag = EXCEP;
      ex1 = pr -> ex;
      goto FIN_BEGIN1;
    }
  fin_flag = NORMAL;
 FIN_BEGIN1:
  a += 2;
  if(fin_flag!=NORMAL){
    switch(fin_flag){
      case RETURN:
        goto FIN_BEGINO;
      case EXCEP:
        ex0 = ex1;
        goto FIN_BEGINO;
    }
  }
 FIN_BEGINO:
  a += 1;
  if(fin_flag!=NORMAL){
    switch(fin_flag){
      case RETURN:
        return;
      case EXCEP:
        pr \rightarrow ex = ex0;
        return SUSPEND;
    }
  }
  return;
}
           Code generated by our scheme for
     Fig. 7
           try-finally.
```

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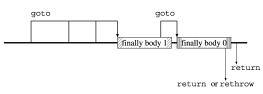


Fig. 8 Control flow of try-finally code generated by using our scheme.

programs (fib, knapsack, cilksort, matmul) by porting them into OPA. The results are shown in the upper part of **Table 2**. The results show that our scheme is able to reduce the overhead for exception checks considerably.

Even in other languages where no suspension check is carried out after each method call, our techniques (both "fast" and "slow") may be used to implement the try-catch con-

Table 1Computer settings.

Machine	Sun Fire 3800
CPU	Ultra SPARC III 750 MHz,
	8 MB L2 cache
Main memory	$6\mathrm{GB}$
Compiler	gcc 3.0.3
-	(with -O3 -mcpu=ultrasparc option)

Table 2Execution times of try-catch benchmarks
(sec).

	fib	knapsack	cilksort	matmul
fast	1.42	7.13	2.35	9.26
slow	1.58	7.26	2.52	9.44
nochk	1.41	5.92	2.31	9.15
fastchk	1.42	6.10	2.37	9.17
slowchk	1.48	6.30	2.43	9.30

Table 3Comparison of try-catch with C++ (msec).

		1	2	4
throw	fast C++	$4.48 \\ 1730$	$5.16 \\ 2750$	$6.24 \\ 4750$
no throw	fast C++	$0.88 \\ 0.72$	$1.18 \\ 0.99$	$1.77 \\ 1.52$

struct. To estimate the overhead of exception checks in those languages, we prepared the sequential OPA implementation, which does not generate multithreaded code (e.g., suspension checks). Using this sequential implementation, we also measured the overhead caused by exception checks. The lower part of Table 2 shows the results: "nochk" without exception checks, "fastchk" with fast version exception checks, and "slowchk" with slow version exception checks. From the results, we can show that the overhead of slow version exception checks in the sequential implementation is greater than that of fast version ("exception checks").

Second, we compared the performance of our try-catch implementation in C (fast version) with C++'s try-catch implementation. The C++ compiler is g++ (gcc) 3.0.3. It uses the first scheme described in Section 2.3; that is, an exception table and a hardware PC are employed. We used a simple try-catch program that repeatedly throws and catches exceptions ("throw"). We also used a program which is almost the same except that it does not throw any exception ("no throw"). The results are shown in **Table 3** (1, 2, and 4 indicate the number of try-catch nestings). In the case of "no throw," our scheme is slightly slower than C++ because of the time spent on exception checks. However, in the case of "throw", the C++ program requires an amount of time about three orders of magnitude larger than that needed for "no

Table 4 Execution times for three try-finally programs (µsec).

1 8 6 6 (1000)					
	А	В	С		
func	5.57	3.00	427.4		
inline	0.49	3.04	86.5		
lvalue	1.23	3.01	55.1		
intval	1.55	2.95	52.3		

throw", while in our scheme the cost of throwing an exception is relatively low.

Third, we measured the execution time of three try-finally programs. The behavior of these programs can be summarized as follows:

- **prog. A:** it does no useful work in the finally block.
- **prog. B:** it calls a method in the finally block.
- prog. C: it performs a while loop in the finally block.

We use four OPA implementations: function call ("func"), inline expansion ("inline"), gcc's label value ("lvalue"), and our proposed scheme ("intval"). The results are shown in **Table 4**. From the results, we can show that, in terms of execution time, the function call scheme clearly has some disadvantages, especially for programs A and C. It seems that the cost of accessing local variables via the pointers to them from inside the called function is relatively high. In contrast, the inline expansion scheme achieves good execution time results. However, it has some disadvantages in terms of code size. Our scheme achieves relatively good performance results in both contexts.

5. Conclusions

In this paper, we have proposed efficient and portable implementation techniques for Javastyle exception handling in Java-to-C translators. A Java-style try-catch construct has been implemented efficiently; it incurs no overhead except for simple checks, which are already included in our multithreading implementation, if an exception is never raised. A Java-style try-finally construct can be implemented in several ways, and our proposed scheme has various benefits in terms of its portability, runtime overhead, and generated code size.

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