

Group Protocol for Inter-Object Communications

Youhei Timura, Katsuya Tanaka, and Makoto Takizawa

Tokyo Denki University

Email {timura, katsu, taki}@takilab.k.dendai.ac.jp

Distributed applications are realized by cooperation of a group of multiple objects. In the group cooperation, objects send and receive messages in various ways. A message is multicast to objects in the group. In addition, multiple types of messages are in parallel sent to multiple destinations. Then, an object waits for messages from all and some of the source objects. i.e. conjunctive and disjunctive ways. In this paper, we newly define a novel precedent relation on request and response messages exchanged among objects in presence of the transmission and receipt ways. We present a communication protocol for supporting a group of processes with the ordered delivery of messages in the precedent relation. By using the protocol, it is easy to realize distributed object-based applications like database replications.

1 Introduction

In a distributed application, a *group* of multiple processes are cooperating. A process sends a messages to multiple processes and receives messages for multiple processes in the *group*. Many papers [2, 3, 8, 10, 11] discuss how to support a group of multiple processes with the causally / totally ordered delivery of messages transmitted at a network level. Group protocol is using the vector clock [3, 7] implies totally $O(n^2)$ computation and communication overheads for the number n of processes in the group. The overheads can be reduced if only messages required to be ordered by the applications are causally and atomically delivered.

An application is realized by a collection of processes each of which manipulates data like files and exchanges messages with other processes, i.e. *process-based* application. On the other hand, in object-based applications like CORBA [9], data and methods are encapsulated in an object and methods are invoked by a message-passing mechanism. A transaction in an application sends a *request message* with a method to an object. The method is performed on the object. A *response message* is sent back to the sender of the request. In addition, the method may invoke other methods, i.e. *nested invocation*. Here, each of request and response messages is sent to one destination.

A transaction may simultaneously invoke multiple methods on objects. This is a *parallel invocation*. In an example, a transaction invokes a *book-car* method on a *rent-a-car* object and *book-room* on a *hotel* object. The transaction can in parallel invoke both the methods. Here, different types of request messages are simultaneously sent to multiple objects. This is referred to as *parallel-cast* (paracast). At the network level, a pair of request messages *book-car* and *book-room* may be serially transmitted. There is no precedent relation between the messages from the application point of view. Objects wait for multiple responses after multiple methods are invoked in parallel. There are conjunctive and disjunctive ways to receive multiple messages. In the conjunctive receipt, the object

waits for all the messages. Hence, even if the object sends a message while receiving these messages, there is no causally precedent relation between the messages. In the disjunctive receipt, the object waits for only a message which arrives at the computer earlier than the others and is not required to receive all the other messages. In this paper, we discuss a new type of causally precedent (*significantly precedent*) relation among messages in a network system, where messages are unicast, multicast, and paracast, and received by single-message and multi-message conjunctive and disjunctive receipts at application level. We also discuss a protocol which supports the significantly precedent delivery in the object-based system.

In section 2, we present a system model. In section 3, we discuss how messages are exchanged among objects. In sections 4 and 5, we discuss the *significantly precedent* relation of messages and a protocol. In section 6, we show how many messages are ordered.

2 System Model

Objects are encapsulations of data and methods for manipulating the data. A transaction invokes a method on an object by sending a request to the object. A *thread* for the method is created and is performed on the object. Here, other methods may be invoked by the method, i.e. nested invocation. Then, on completion of the method, the response is sent back to the transaction.

Objects are distributed in computers interconnected with reliable networks. A *computer* does not necessarily mean a physical computer. A database server is an example of a computer where objects are tables and records. Each computer p_i has a *transaction* object *tran* which supports an *init-tran* method. An application initiates a transaction by invoking *init-tran* on the *tran* object. Transactions are realized by threads of the *init-tran* method on the *tran* object. There is another specific type of object, *communication* object *com* which supports objects in the computer p_i with communication methods. The *com* object supports communication methods for sending and receiving messages. In order to send and receive messages, methods on

the *com* object are invoked. The *com* object forwards the messages to *com* objects which support the destination objects in the network. The *com* object supporting objects cooperate to deliver messages to the objects in G . The cooperation of the *com* objects is coordinated by the group protocol.

In the traditional group protocols [3], a group is composed of processes where messages are causally/totally delivered independently of what kinds of data are carried by the messages. In this paper, a group is composed of objects and transaction *tran* objects. Only methods on objects in G are assumed to be invoked in each transaction.

There are ways to invoke multiple methods. In the *serial* invocation, at most one method is invoked at a time. On the other hand, multiple methods can be simultaneously invoked in the *parallel* invocation. Here, request messages are sent to multiple objects. The transaction waits for responses from the objects. There are *conjunctive* and *disjunctive* ways to receive the responses. In the conjunctive receipt, the transaction blocks until both of the responses are received. In the disjunctive receipt, the transaction blocks until at least one response is received. The transaction does not receive the other response. In the conjunctive receipt, the requests are required to be atomically delivered to the transaction. On the other hand, at least one request can be required to be delivered in the disjunctive receipt.

According to the traditional theories [2], a method t_1 *conflicts* with another method t_2 on an object if the result obtained by performing the methods t_1 and t_2 on the object depends on the computation order of t_1 and t_2 . Otherwise, t_1 is *compatible* with t_2 . For example, *deposit* and *withdraw* are compatible on a *Bank* object. By using the locking mechanism [2], a pair of conflicting methods t_1 and t_2 are serially performed. In this paper, we assume the conflicting relation is symmetric and transitive.

3 Inter-Object Communication

3.1 Transmission

A communication object *com* in each computer supports objects with following communication methods for transmitting message m :

[Transmission methods]

1. **ucast**(m, o_t); m is unicast to o_t .
2. **mcast**($m: \langle o_1, \dots, o_k \rangle$); m is multicast to o_1, \dots, o_k
3. **pcast**($m_1: \langle o_{11}, \dots, o_{1l_1} \rangle; \dots; m_k: \langle o_{k1}, \dots, o_{kl_k} \rangle$); messages m_1, \dots, m_k are paracast, i.e. each message m_i is multicast to objects $o_{i1} \dots, o_{il_i}$ ($l_i \geq 1$) ($i = 1, \dots, k$).

3.2 Receipt

Suppose a thread t performed on an object in parallel invokes multiple methods. The thread t waits for response messages from multiple objects after sending the requests to the objects. There are multiple ways to receive messages; *single-message* and *multi-message* receipts where an invoker thread waits for only one message and multiple messages, respectively. A *com* object supports objects in a

computer with following types of primitive methods for receiving messages:

[Receipt methods]

1. **srec**(o_1); one message is received from an object o_1 , i.e. single-message receipt.
2. **crec**(o_1, \dots, o_k) ($k \geq 1$); messages from all the objects o_1, \dots, o_k are received.
3. **drec**(o_1, \dots, o_k) ($k \geq 1$); a message from one of the objects o_1, \dots, o_k is received.

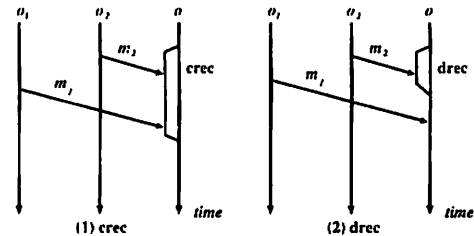


Figure 1: crec and drec

Figure 2 shows how messages are exchanged through *com* objects. Here, there are two computers p_u and p_t . A thread t_s on an object o_s multicasts a message m to multiple destination. t_s invokes **mcast**($m, \langle \dots, o_d, \dots \rangle$) on the *com* object com_s . A thread t_d on an object o_d receives the message m by invoking **srec**(o_s).

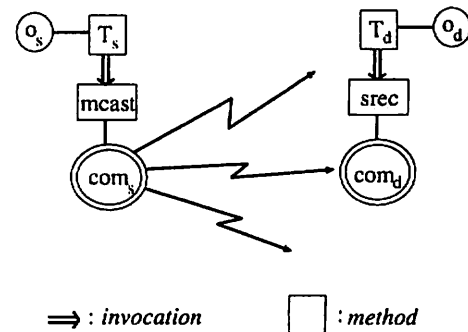


Figure 2: Inter-object communication.

4 Delivery of Messages in Objects

4.1 Transmission

In the object-based system, *request* and *response* messages sent and received by objects are exchanged among *com* objects in computers which support the objects. The *com* object sends a message m sent by a thread of a method on an object in a computer p_s to the *com* object in a computer p_t which supports the destination object of m . Here, it is referred to as " p_s sends m to p_t ." If the *com* object in p_t receives m from p_s , " p_t receives m from p_s ." The *com* object in p_t receives messages from multiple computers while sending messages to multiple computers. The messages are ordered and then

delivered to the objects by the *com* object in the computer p_t .

A message m_1 *causally precedes* another message m_2 if the sending event of m_1 happens before the sending event of m_2 [3, 6]. A message m_1 *totally precedes* another message m_2 iff m_1 and m_2 are delivered to every common destination object in the same order. In addition, m_1 totally precedes m_2 if m_1 causally precedes m_2 .

A thread on an object sends messages to objects by invoking *ucast*, *mcast*, and *pcast* on the *com* object. The *com* object delivers messages to destination *com* objects in a network. For example, if a thread t in p_s multicasts a message m to objects o_t and o_u in computers p_t and p_u by *mcast*(m , $\langle o_t, o_u \rangle$), *com* in p_s sends a pair of instances m_1 and m_2 of the message m to p_t and p_u by taking usage of TCP, respectively. We discuss how these message instances transmitted in the network to be ordered. Suppose a pair of message instances m_1 and m_2 are sent in p_s . The message instances m_1 and m_2 transmitted in the network are related according to the following relations depending on through which transmission method *ucast*, *mcast*, or *pcast* the messages m_1 and m_2 are transmitted:

1. m_1 and m_2 are *mcast* instances of m ($m_1 \approx m_2$) iff m_1 and m_2 are different instances of a same message m which are sent by *mcast*.
2. m_1 and m_2 are *pcast* instances of m ($m_1 \equiv m_2$) iff m_1 and m_2 are paracast by *pcast*.
3. m_1 and m_2 are serially sent ($m_1 \prec m_2$) iff m_1 is sent before m_2 by different transmission methods t_1 and t_2 , respectively, and t_2 is invoked after t_1 completes.

It is trivial that neither $m_1 \approx m_2$ nor $m_1 \equiv m_2$ iff $m_1 \prec m_2$. Let us consider an example that a transaction T_1 in a computer p_s sends a request message r_1 to some object o_1 and another transaction T_2 in p_s sends a request message r_2 to an object o_2 . The requests r_1 and r_2 can be independently delivered since different objects o_1 and o_2 are manipulated by r_1 and r_2 , respectively. We now define a precedent relation " \rightarrow " among a pair of message m_1 and m_2 sent by a computer p_s . Here, let " $m_1 \prec m_2$ " show that a computer sends a message instance m_1 before m_2 in the network.

[Definition 1] Let m_1 and m_2 be message instances sent by objects p_s . m_1 *precedes* m_2 in p_s ($m_1 \rightarrow m_2$) if m_1 is sent before m_2 in p_s ($m_1 \prec m_2$) and one of the following conditions holds:

1. m_1 and m_2 are sent by a same thread, and ($m_1 \prec m_2$).
2. m_1 and m_2 are sent by different conflicting threads.
3. $m_1 \rightarrow m_3 \rightarrow m_2$ for some m_3 . \square

A pair of messages m_1 and m_2 are *independent* ($m_1 \mid m_2$) iff neither $m_1 \rightarrow m_2$, $m_2 \rightarrow m_1$, $m_1 \approx m_2$, nor $m_1 \equiv m_2$. For the request messages r_1 and r_2 presented in the example, $r_1 \mid r_2$ because r_1 and r_2 do not conflict. Each message m is assigned an unique identifier $m.id$. For every pair of instances m' and m'' of m , $m'.id = m''.id$.

In *pcast* and *mcast*, multiple message instances m_1, \dots, m_k are transmitted. Let $M(m_i)$ be a set

$\{m_1, \dots, m_k\}$ of the message instances to be sent with a message m_i . $M(m_i)$ is referred to as a *message group*. At the network level, the message instances are serially transmitted by using a protocol like TCP. Suppose the message instances are sent in an order of m_1, \dots, m_k . Here, let m_1 be the first message *first*(m_1) and m_k be the last message *last*(m_i) in the message group.

Messages to be multicast or parallel-cast at the application level may not be simultaneously sent at the network level. Suppose that three computers p_s , p_t , and p_u are exchanging message instances m_1 , m_2 , and m_3 at the network level as shown in Figure 3. According to the traditional causality theory, m_1 causally precedes m_3 because m_1 causally precedes m_2 at the network level in Figure 3 (1). However, m_1 and m_3 are causally concurrent while m_1 causally precedes m_2 in Figure 3 (2). If $m_1.id = m_2.id$, m_1 and m_2 are *mcast* instances of a same message ($m_1 \approx m_2$). Otherwise, m_1 and m_2 are *pcast* instances ($m_1 \equiv m_2$). If $m_1 \equiv m_3$ or $m_1 \approx m_2$, m_1 must causally precede m_3 in Figure 3 (2). $m_1 \Rightarrow m_3$ and $m_2 \Rightarrow m_3$ if $m_1 \approx m_2$ or $m_1 \equiv m_2$ in Figure 3.

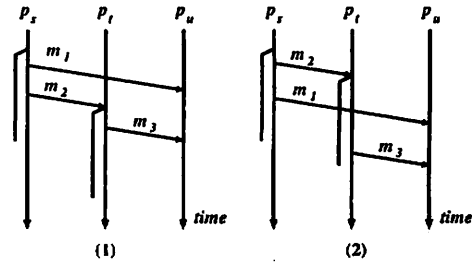


Figure 3: Message ordering.

4.2 Receipt

A thread t on an object o invokes a *crec* or *drec* method to receive messages m_1, \dots, m_k from multiple objects o_1, \dots, o_k , respectively [Figure 4]. The objects o_1, \dots, o_k are referred to as *sources* of *crec* or *drec*. Let $M(m_i)$ be a collection $\{m_1, \dots, m_k\}$ of messages to be received with a message m_i at a multi-message receipt, named *message group*. For every message m_j in $M(m_i)$, $M(m_j) = M(m_i)$. The conjunctive receipt method *crec*(o_1, \dots, o_k) means that messages are received from all the source objects o_1, \dots, o_k . Suppose a thread in a computer p_t finishes receiving messages in $M(m_i)$ on time when t receives a message m_k after receiving all the other messages in $M(m_i)$. Here, m_k is *most significant* for the messages m_1, \dots, m_k in $M(m_i)$ for *crec*.

Let *msg*(m_i) be a most significant message m_k in $M(m_i)$. A method instance invoking *drec* blocks until at least one message is received from the source objects. Suppose p_t receives a message m_1 before all the other messages m_1, \dots, m_k in $M(m_1)$. The message m_1 is the *first message* in $M(m_1)$. In *drec*, the object finishes receiving the messages m_1, \dots, m_k , only if the first message m_1 is received before all the other messages. The first message m_1 is

the *most significant* for the messages in $M(m_1)$ for **drec**. Here, the other messages m_2, \dots, m_k are not so significant that the messages are not required to be received. Let $msg(m_i)$ be the most significant message m_i .

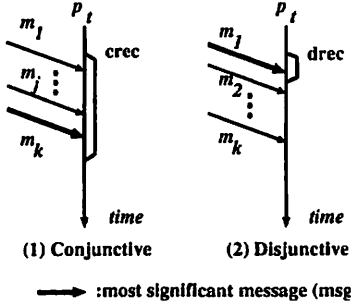


Figure 4: Multi-message receipt.

Suppose that a computer p_t receives a pair of message instances m_1 and m_2 in a network. Let " $m_1 \prec m_2$ " show that p_t receives m_1 before m_2 at the network level. A message m is referred to as *single-received*, *conjunctive-received*, and *disjunctive-received* iff m is received by invoking **srec**, **crec**, and **drec**, respectively, on the *com* object. Table 1 shows conditions that " $m_1 \rightarrow m_2$ " holds in case m_1 and m_2 are received by a computer. For example, an entry (**srec**, **crec**) shows a condition " $m_1 \prec msg(m_2)$ " for a case that m_1 and m_2 are received by **srec** and **crec**, respectively. This means, m_1 is received before the most significant message of m_2 if $m_1 \rightarrow m_2$.

[Definition 2] Let m_1 and m_2 be message instances received by objects in a computer p_t . m_1 *precedes* m_2 in p_t ($m_1 \rightarrow m_2$) if the condition shown in Table 1 is satisfied for m_1 and m_2 . \square

Table 1: Receipt-receipt conditions.

m_2 m_1	srec	crec	drec
srec	$m_1 \prec m_2$	$m_1 \prec msg(m_2)$	$m_1 \prec m_2$ and $m_2 = msg(m_2)$
crec	$msg(m_1) \prec m_2$	$msg(m_1) \prec msg(m_2)$	$msg(m_1) \prec m_2$ and $m_2 = msg(m_2)$
drec	$m_1 \prec m_2$ and $m_1 = msg(m_1)$	$m_1 \prec msg(m_2)$ and $m_1 = msg(m_1)$	$m_1 \prec m_2$ and $m_1 = msg(m_1)$ and $m_2 = msg(m_2)$

Here, m_1 and m_2 are *independent* ($m_1 \mid m_2$) iff neither $m_1 \rightarrow m_2$ nor $m_2 \rightarrow m_1$.

4.3 Receipt and transmission

If a computer p_i sends a message instance m_2 after receiving another message m_1 at the network level, " $m_1 \prec m_2$ ". Table 2 shows conditions that " $m_1 \rightarrow m_2$ " holds for case m_1 is sent and m_2 is received by a computer.

[Definition 3] Let m_1 and m_2 be message instances received and sent by a computer p_s . m_1 *precedes* m_2 in p_s ($m_1 \rightarrow m_2$) if the condition shown in Table 2 are satisfied. \square

Table 2: Receipt and transmission conditions.

m_2 m_1	ucast	mcast, pcast
srec	$m_1 \prec m_2$	$m_1 \prec first(m_2)$
crec	$msg(m_1) \prec m_2$	$msg(m_1) \prec first(m_2)$
drec	$m_1 \prec m_2$ and $m_1 = msg(m_1)$	$msg(m_1) \prec first(m_2)$

The relation " $m_1 \prec m_2$ " shows " m_1 causally precedes m_2 " which holds at the network level. The precedent relation " \rightarrow " is referred to as *significantly precedent* relation among messages. In a system where messages are sent by **mcast** or **pcast** and received by **crec** or **drec**, messages are required to be delivered in the significantly precedent relation " \rightarrow ". That is, a message m_1 is required to be delivered before another message m_2 if $m_1 \rightarrow m_2$.

[Theorem 1] If every set of **mcast**/**pcast** message instances are atomically sent at network level, m_1 causally precedes m_2 if $m_1 \rightarrow m_2$ for every pair of messages m_1 and m_2 . \square

If **mcast** and **pcast** are not realized to be atomic, " $m_1 \rightarrow m_2$ " may hold even if m_1 does not causally precede m_2 . For example, m_1 and m_3 are parallel-cast. Here, $m_1 \rightarrow m_3$ but m_3 does not causally precede m_2 .

5 Protocol

A *com* object supports inter-object communication facilities in each computer. Here, "object" means not only an object but also a transaction object in a computer. If a method is invoked on an object, a thread of the method is created. The thread sends messages to other objects, e.g. invokes methods on the objects and receives responses. The thread invokes communication methods on the *com* object in a computer to exchange messages with other objects. For example, if **mcast** is invoked, a message is multicast to multiple objects.

In the object-based computation, a thread t is created on an object o . The thread t exchanges messages with other objects by invoking the communication methods. Each thread t has a unique identifier $id(t)$ in the system.

A transaction is realized as a thread of the *init-tran* on the *tran* object. The transaction identifier is incremented by one each time a transaction is initiated. Hence, $tid(T_1) < tid(T_2)$ if T_1 is initiated before T_2 in a computer. Each thread has a variable *iseg* named *invocation sequence number*. *iseg* = 0 when the thread is created. *iseg* is incremented by one each time the thread invokes **ucast**, **mcast**, or **pcast**.

For ordering a pair of message instances m_1 and m_2 in the significant precedent relation \rightarrow , it is significant to decide whether m_1 and m_2 conflict or

not. Each thread t is assigned a *compatibility identifier* $cid(t)$. There is a variable c , initially 0, for each object o . Suppose a thread t is initiated. Here, if no method is performed on the object o , $cid(t) := c$. Next, suppose t commits. If any other method is not being performed on the object o , c is incremented by one. If $cid(t_1) = cid(t_2)$, t_1 and t_2 are compatible. Otherwise, t_1 and t_2 conflict or one of t_1 and t_2 is started before the other finishes.

Suppose a message m is sent by a thread t on an object o . The message m has an identifier $m.id$ which is a concatenation of id_1 , id_2 , and id_3 where $id_1 = cid(t)$, $id_2 = id(o)$, and id_3 is an invocation sequence number ($iseq$) in t , i.e. $id = id_1: id_2: id_3$.

For a pair of identifiers $a (= a_1: a_2: a_3)$ and $b (= b_1: b_2: b_3)$, $a < b$ iff $a_1 < b_1$, $a_2 < b_2$ if $a_1 = b_1$, $a_3 < b_3$ if $a_1 = b_1$ and $a_2 = b_2$.

$a = b$ iff $a_1 = b_1$, $a_2 = b_2$, and $a_3 = b_3$. If a pair of messages m_1 and m_2 are sent by **mcast** or **pcast**, $m_1.id = m_2.id$. If a thread sends m_1 before m_2 by different transmission invocations, $m_1.id_1 = m_2.id_1$ and $m_1.id_2 = m_2.id_2$ but $m_1.id_3 < m_2.id_3$.

For a pair of messages m_1 and m_2 sent in a computer p_i , m_1 is sent before m_2 if $m_1.id_1 < m_2.id_1$, or $m_1.id_3 < m_2.id_3$ if $m_1.id_2 = m_2.id_2$. If $m_1.id_1 = m_2.id_2$, m_1 and m_2 are sent by threads which are compatible. The *com* object of a computer p_i maintains an *object vector* $V = \langle v_1, \dots, v_n \rangle$ where each element v_i takes a message identifier and is used for an object o_i ($i = 1, \dots, n$) in the group G . Suppose that a thread t on o_i in p_i invokes a transmission method, i.e. **ucast**, **mcast**, and **pcast**. Then, message instances are sent by the transmission method and the messages carry the vector V . Here, $m.V$ shows the object vector $\langle V_1, \dots, V_n \rangle$ carried by a message m .

Next, suppose a thread t on an object o_i invokes **srec**, **crec**, or **drec** to receive messages. The receipt method terminates if the most significant message is received. On completion of the receipt method, the object vector V is updated as $v_j := \max(v_j, m.V_j)$ for $j = 1, \dots, n$ and $j \neq i$. If **crec** is invoked, V is updated when the last message is received. If **drec** is invoked, V is updated when the first message is received. The thread invokes **srec**, **crec**, or **drec** in order to receive the responses after invoking **ucast**, **mcast**, and **pcast**. In the receipt method, the messages whose $id_3 = m.iseq$ are received as the response. On receipt of a request message m , m is performed and the response m' is sent back. The response message m' carries $m'.id_3 = m.id_3$. **crec/drec** receives only messages whose id_3 , i.e. $iseq$ is the $iseq$ of **mcast/pcast**.

On receipt of a request message m , the request m is performed as a thread on an object o_i in a computer p_i . If the thread commits, the object vector V is changed as $v_j := \max(v_j, m.v_j)$ for $j = 1, \dots, n$ and $j \neq i$ in a computer p_i .

[Ordering rule] A message m_1 *precedes* another message m_2 ($m_1 \Rightarrow m_2$) iff one of the following conditions holds:

1. m_1 and m_2 are sent by an object o_i ;
 - $m_1.V_i < m_2.V_i$.
2. m_1 is sent by o_i and m_2 is sent by o_j ($i \neq j$);

- a pair of messages m_1 and m_2 are conflicting requests, and $m_1.V \leq m_2.V$, or
- m_1 is a response message and m_2 is a request message. \square

[Theorem 2] For every pair of messages m_1 and m_2 , $m_1 \rightarrow m_2$ if $m_1 \Rightarrow m_2$. \square

[Example] Suppose there are three computers p_s , p_t , and p_u [Figure 5]. In each computer, the object vector V is initially $\langle 0, 0, 0 \rangle$. A transaction T sends a pair of requests m_1 and m_2 to p_t and p_u by invoking a communication method **mcast** or **pcast** on the *com* object in p_s . Here, $m_2.id (= 011) = m_1.id$ and $m_1.V (= \langle 011, 0, 0 \rangle) = m_2.V$. On receipt of a request message m_2 , the thread s m_2 is initiated in the computer p_t and is assigned with the object vector of p_t . "011" means that $cid(T)=0$, $id(T)=1$, and the event number of the invocation of the communication method is 1. The object vector of s is $\langle 011, 0, 0 \rangle$ when s is initiated but the object vector of p_t is still $\langle 0, 0, 0 \rangle$. Suppose the thread s sends m_3 . The value of $m_3.id$ is "011" and $m_3.V = \langle 011, 011, 0 \rangle$. In the ordering rule, m_1 precedes m_3 ($m_1 \Rightarrow m_3$) because $m_1.V = \langle 011, 0, 0 \rangle < m_3.V = \langle 011, 011, 0 \rangle$. According to the traditional definitions, there is no precedent relation among m_1 and m_3 ($m_1 \nmid m_3$). \square

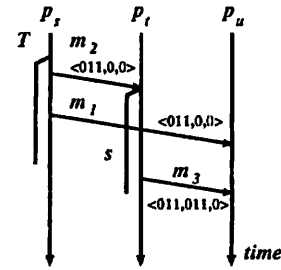


Figure 5: Example.

6 Evaluation

As discussed in this paper, even if a pair of message instances are causally ordered according to the traditional definition, some of the message instances are not required to be causally delivered in this protocol. We show how many request messages are ordered in the protocol. The protocol is implemented as Unix processes in Sun workstations. In the evaluation, a computer means a workstation and these computers are interconnected with a 100 base-T Ethernet. Each workstation has one or two objects and each object supports four types of methods. Transactions are initiated in each computer. Each transaction invokes some methods and the methods are invoked in a nested manner. In this evaluation, every method is invoked at three levels.

It is significant to consider how many types of methods conflict. Each object supports four types of methods, say, t_1 , t_2 , t_3 , and t_4 . A conflict ratio C of methods on an object is defined to be $|\{(t_i, t_j) \mid t_i \text{ conflicts with } t_j\}| / |\{(t_i, t_j)\}|$. Figure

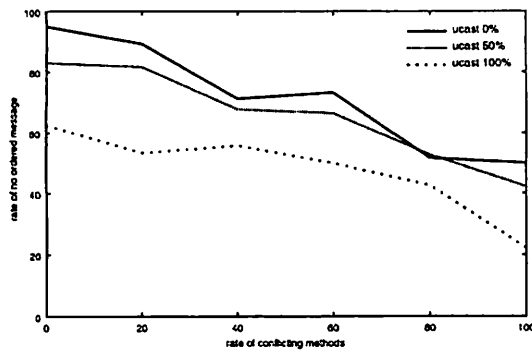


Figure 6: Evaluation.

6 shows how many messages are not ordered for confliction ratio C . Here, a message ratio(M) means a ration of the number of messages ordered by the protocol to the number of messages ordered by traditional group protocols. The horizontal axis of Figure 6 shows the confliction ratios from 0% to 100%. 100% means every pair of methods conflict. 0% means every pair of methods are compatible. The vertical axis indicates the message ratio(M)[%], i.e. how many percentages of message instances are not ordered according to the ordering rule in the protocol. For example, 60% means that 40% of message instances transmitted at the network are ordered and 60% are not ordered. 100% shows a traditional protocol where message instances are ordered at a network level independently of what each message carries.

Messages are transmitted by **ucast**, **mcast**, and **pcast**. In the evaluation, messages are received by the conjunctive receipt method **crec**. We consider following cases:

1. All the requests are transmitted by **ucast**
2. Half of the requests are transmitted by **ucast** and the other half are transmitted by **mcast** or **pcast**.
3. All the requests are transmitted by **mcast** or **pcast**.

Each line shows one of the cases. Figure 6 shows the more messages are invoked by **mcast** or **pcast**, the fewer number of messages are required to be ordered. For example, in case confliction ratio is 60%, 50.0% of messages are ordered for case 1, 66.3% for case 2, and 73.2% for case 3. Thus the number of messages to be ordered can be reduced by using the protocol.

7 Concluding Remarks

In the object-based system, methods are not only serially but also in parallel invoked and multiple responses are received in various ways. One message is multicast to multiple destinations and different types of messages are *parallel-cast* to multiple destinations. Multiple messages are received in conjunctive and disjunctive receipt ways. We defined new types of causally precedent relations among messages transmitted by multicast **mcast** and parallel-cast **pcast** and received by conjunctive receipt **crec** and disjunctive receipt **drec** in addition to **ucast**

and single-message receipt **srec**. We presented the protocol for ordering message instances transmitted at the network according to the precedent relation.

References

- [1] American National Standards Institute, "Database Language SQL," Document ANSI X3.135, 1986,
- [2] Bernstein, P. A., Hadzilacos, V., Goodman, N., "Concurrency Control and Recovery in Database Systems," Addison-Wesley, 1987.
- [3] Birman, K., Schiper, A., and Stephenson, P., "Lightweight Causal and Atomic Group Multicast," *ACM Trans. on Computer Systems*, Vol.9, No.3, 1991, pp.272-314.
- [4] Defense Communications Agency, "DDN Protocol Handbook," Vol.1-3, NIC 50004-50005, 1985.
- [5] Enokido, T., Higaki, H., and Takizawa, M., "Object-Based Ordered Delivery of Messages in Object-Based Systems," *Proc of ICPP'99*, 1999, pp.380-387.
- [6] Lamport, L., "Time, Clocks, and the Ordering of Events in a Distributed System," *CACM*, Vol.21, No.7, 1978, pp.558-565.
- [7] Mattern, F., "Virtual Time and Global States of Distributed Systems," *Parallel and Distributed Algorithms* (Cosnard, M. and , P. eds.), North-Holland, 1989, pp.215-226.
- [8] Nakamura, A. and Takizawa, M., "Causally Ordering Broadcast Protocol," *Proc. of IEEE ICDCS-14*, 1994, pp.48-55.
- [9] Object Management Group Inc., "The Common Object Request Broker : Architecture and Specification," Rev.2.1, 1997.
- [10] Tachikawa, T., Higaki, H., and Takizawa, M., "Significantly Ordered Delivery of Messages in Group Communication," *Computer Communications Journal*, Vol. 20, No.9, 1997, pp. 724-731.
- [11] Tachikawa, T., Higaki, H., and Takizawa, M., "Group Communication Protocol for Realtime Applications," *Proc. of IEEE ICDCS-18*, 1998, pp.40-47.
- [12] Timura, Y., Tanaka, K., and Takizawa, M., "Group Protocol for Supporting Object-based Ordered Delivery," *Proc. of IEEE ICDCS-2000 Workshop*, 2000, pp.C-7-C-14.
- [13] Yavatkar, R., "A Protocol for Coordination and Temporal Synchronization in Multimedia Collaborative Applications," *Proc. of IEEE ICDCS-12*, 1992, pp.606-613.