# 3点上の最適なオンラインページ移動

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ページ移動問題とは,辺重みを持つ無向グラフG = (V, E),正整数D,点列  $s_0, r_1, \ldots, r_k \in V$ が与えられ, $\sum_{i=1}^k (d_{s_{i-1}r_i} + D \cdot d_{s_{i-1}s_i})$ を最小化するような 点列 $s_1, \ldots, s_k \in V$ を求める問題である.ただし, $d_{uv}$ は点uと点vのG上の距離である.この問題は長年研究されているが,|V| = 3という極端に単純な場合です ら,D = 1, 2の場合を除き,決定的オンラインアルゴリズムの厳密な競合比は知られ ていない.本報告では3点上のページ移動問題に対する決定的なオンラインアルゴリ ズムの競合比が一般のDに対して $3 + \Theta(1/D)$ であることを示す.

## **Optimal Online Page Migration on Three Points**

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The page migration problem is as follows: given a sequence of requests from nodes on a network to access a page stored in a node, to compute a sequence of migrations of the page so that the total sum of service costs and the migration costs is minimized, where a service cost is the distance of the request node and the page, and a migration cost is the distance of the migration multiplied by the page size  $D \ge 1$ . No tight competitive ratio of a deterministic online algorithm has been known even for an extreme case of three nodes, except for D = 1, 2. In this report, we prove that the tight competitive ratio of a deterministic online algorithm for the page migration problem on three nodes is  $3 + \Theta(1/D)$ .

## 1. Introduction

The problem of computing an efficient dynamic allocation of data objects stored in nodes of a network so that the cost to serve requests for the data objects and to re-

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allocate the data objects is minimized commonly arises in network applications such as memory management in a shared memory multiprocessor system and Peer-to-Peer applications on the Internet. In this paper, we study one of the classical variations of the problem, called *the page migration problem*, in which requests are to be served using unicast communication, and we are allowed to migrate data objects, i.e, no replication is allowed. Serving a request costs the distance of communication, and migrating a data object costs the distance of migration multiplied by the data size  $D \ge 1$ . The objective function to be minimized is the total sum of the service costs and the migration costs. The page migration problem has been generalized to several settings such as *k*-page migration<sup>3</sup>, file allocation problem<sup>2),4),9), and data management on dynamic networks<sup>1),5)</sup>.</sup>

We consider deterministic online page migration algorithms. Black and Sleator<sup>6)</sup> first studied competitive analysis of the page migration problem and presented optimal 3competitive deterministic online algorithms on trees, uniform networks, and products of those networks, including grids and hypercubes. Currently best deterministic algorithm on general networks that achieves a competitive ratio of 4.086 was proposed by Bartal, Charikar, and Indvk<sup>3)</sup>. This upper bound was improved in 10 to  $2 + \sqrt{2}$  for the specific case that D = 1. Moreover, an optimal 3-competitive deterministic algorithm on three nodes for D = 1 was presented in 8). In 11), a 3-competitive deterministic algorithm on three nodes for D = 2 and a lower bound of  $3 + \Omega(1/D^2)$  for D > 3, were presented. The lower bound greater than 3, specifically  $85/27 \approx 3.148$ , for deterministic algorithms was first presented by Chrobak, Larmore, Reingold, and Westbrook<sup>8)</sup>. This bound was proved for D = 1 on an arbitrarily large tree-of-rings network, i.e., a network whose blocks are rings, and was improved in 10) to 3.1639 by refining this technique. It was also mentioned in<sup>8)</sup> that the lower bound is greater than 3 even on four nodes, although neither explicit value nor proof was given. An explicit lower bound of 3.1213 on five nodes was proved in 10).

Randomized algorithms have been investigated in e.g., 4), 8), 9), 12). The bestknown randomized algorithms on general networks were presented by Westbrook<sup>12)</sup>. The algorithms achieve an asymptotic competitive ratio of  $(3 + \sqrt{5})/2 \approx 2.6180$  as  $D \to \infty$  and a tight competitive ratio of 3 against oblivious and adaptive online adver-

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saries, respectively.

In this report, we provide a tight deterministic competitive ratio on three nodes. Specifically, we first prove that a typical work function algorithm achieves a competitive ratio of 3 + 1/D on three nodes. We then provide a lower bound of  $3 + \Omega(1/D)$ , which is greater than 3 for any  $D \geq 3$ , for three node networks.

## 2. Preliminaries

The page migration problem can be formulated as follows: given an undirected graph G = (V, E) with edge weights,  $s_0, r_1, \ldots, r_k \in V$ , and a positive integer D, to compute  $s_1, \ldots, s_k \in V$  so that the cost function  $\sum_{i=1}^k (d_{s_{i-1}r_i} + Dd_{s_{i-1}s_i})$  is minimized, where  $d_{uv}$  is the distance between nodes u and v on G. The terms  $d_{s_{i-1}r_i}$  and  $Dd_{s_{i-1}s_i}$  represent the cost to serve the request from  $r_i$  by the node  $s_{i-1}$  holding the page and the cost to migrate the page from  $s_{i-1}$  to  $s_i$ , respectively. We call  $s_i$  and  $r_i$  a server and a *client*, respectively. An *online* page migration algorithm determines  $s_i$  without information of  $r_{i+1}, \ldots, r_k$ . We denote by  $A(\sigma)$  the cost of a page migration algorithm ALG is  $\rho$ -competitive if there exists a constant value  $\alpha$  such that  $ALG(\sigma) \leq \rho \cdot OPT(\sigma) + \alpha$  for any  $\sigma$ , where OPT is an optimal offline algorithm. We denote by  $OPT_u(\sigma)$  the minimum (offline) cost to process  $\sigma$  so that  $s_k = u$ . For a node u and  $k \geq 1$ , we write a sequence consisting of k repetitions of u as  $u^k$ .

We suppose that graphs considered here have a node set  $V = \{a, b, c\}$  and edge weights  $x = d_{ab}$ ,  $y = d_{ac}$ , and  $z = d_{bc}$  for edges (a, b), (a, c), and (b, c), respectively. We denote L := x + y + z and assume that  $\max\{x, y, z\} < L/2$ .

## **3.** 3 + 1/D-Competitive Algorithm

An online algorithm that determines the output after processing  $\sigma$  using the information of  $OPT_u(\sigma)$  for all possible outputs u is called a *work function algorithm* and has extensively been studied for related online problems<sup>7)-9)</sup>.  $OPT_u(\sigma)$  is called a *work* function in this context. A work function algorithm is well-defined because  $OPT_u(\sigma)$  can be computed by dynamic programming<sup>8)</sup>, i.e., for a request issued from r after  $\sigma$ ,

 $OPT_u(\sigma r) = \min_{v \in V} \{OPT_v(\sigma) + d_{rv} + Dd_{uv}\}, \text{ and } OPT_u(\emptyset) = Dd_{s_0u},$ 

where  $\emptyset$  denotes an empty sequence. We consider a quite common work function algorithm denoted by WFA, which moves the server s to a nearest node among nodes v minimizing  $OPT_v(\sigma) + d_{rv} + Dd_{sv}$  after servicing the request from r. We prove the following theorem:

**Theorem 1** WFA is 3 + 1/D-competitive on 3-node networks.

We suppose that WFA locates the server on s after processing  $\sigma$ , and that a request is issued from  $r \in V$  after  $\sigma$ . In the rest of this section, for a function f of  $\sigma$ , we use the notation  $f = f(\sigma)$  and  $f' = f(\sigma r)$  for simplicity. For  $u \in V$ , let  $\hat{u}$  be a nearest node to u among nodes v minimizing  $OPT_v + d_{rv} + Dd_{uv}$ . Then,

$$\operatorname{OPT}'_{s} = \operatorname{OPT}_{\hat{s}} + d_{r\hat{s}} + Dd_{s\hat{s}} \ge \operatorname{OPT}_{s} + d_{r\hat{s}}, \text{ and}$$
(1)

$$\operatorname{OPT}'_{s} \le \operatorname{OPT}'_{\hat{s}} + Dd_{s\hat{s}}.$$
(2)

These follow from  $|OPT_u - OPT_v| \leq Dd_{uv}$  for any  $u, v \in V^{(8)}$ . It follows from (1) and (2) that  $d_{r\hat{s}} \leq OPT'_{\hat{s}} - OPT_s + Dd_{s\hat{s}}$ . Therefore, we have

WFA' – WFA =  $d_{rs} + Dd_{s\hat{s}} \leq d_{r\hat{s}} + (D+1)d_{s\hat{s}} \leq OPT'_{\hat{s}} - OPT_{s} + (2D+1)d_{s\hat{s}}$ . (3) By summing up (3) overall requests in  $\sigma r$ , we obtain WFA'  $\leq OPT'_{\hat{s}} + (2+1/D)M'$ , where  $M' = M(\sigma r)$  is D times the total sum of migration distances of WFA in processing  $\sigma r$ . Hence, if

$$Dd_{\hat{s}u} + M' \le \operatorname{OPT}'_u$$
 for any  $u \in V$ , (4)

then by choosing u minimizing  $OPT'_u$ , we have  $WFA' \leq OPT'_{\hat{s}} + (2+1/D)OPT' - (2D+1)d_{\hat{s}u} \leq (3+1/D)OPT' - (D+1)d_{\hat{s}u}$ , which completes the proof of Theorem 1.

The rest of this section is devoted to prove (4). For this purpose, we accurately analyze the potential function, and therefore, generalize the network to a continuous ring R of length L containing a, b, and c with the preserved distances. Specifically, we define R as an interval  $\{p \mid 0 \leq p < L\}$  modulo L, i.e., any real number p is equivalent to  $p - \lfloor p/L \rfloor \cdot L$ . We define an extended work function at a point  $p \in R$  as

$$w'_p = \min_{q \in V \cup \{p\}} \{w_q + d_{rq} + Dd_{pq}\}, \text{ and}$$
$$w_p = Dd_{s_0p} \text{ if } \sigma = \emptyset.$$

It should be noted that  $w_u = OPT_u$  for any  $u \in V$ . For a point  $p \in R$ ,  $\hat{p}$  is a nearest

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図 1 R上で  $\hat{q}$  が存在する範囲.上下の矢印はそれぞれ  $d_{q\hat{q}} \leq d_{q\hat{p}}$  と  $d_{p\hat{p}} \leq d_{p\hat{q}}$  を表す. Fig. 1 Range in which  $\hat{q}$  exists on R. Upper and lower arrows represent  $d_{q\hat{q}} \leq d_{q\hat{p}}$  and  $d_{p\hat{p}} \leq d_{p\hat{q}}$ , respectively

point to p among points  $q \in V \cup \{p\}$  minimizing  $w_q + d_{rq} + Dd_{pq}$ . The farthest point of p on R is denoted by  $\bar{p}$ . For  $p, q \in R$ , we define [p, q] as the closed interval of length  $d_{pq}$  between p and q on R if  $d_{pq} < L/2$ , R otherwise. Notations (p,q], [p,q), and (p,q)are used to denote the intervals obtained from [p,q] by excluding p, q, and both p and q, respectively. Lemmas 1–3 below state basic properties of  $w_n$ .

**Lemma 1** For any  $p, q \in R$ , it follows that  $w_p - w_q \leq Dd_{pq}$ . *Proof* The lemma clearly holds if  $\sigma = \emptyset$ . Otherwise, it follows from the minimality of  $w'_{q}$  that  $w'_{q} \leq w_{\hat{p}} + d_{r\hat{p}} + Dd_{q\hat{p}} = w'_{p} - Dd_{p\hat{p}} + Dd_{q\hat{p}} \leq w'_{p} + Dd_{pq}$ .

**Lemma 2** For any  $p \in R$  and  $q \in (p, \hat{p}]$ , it follows that  $\hat{q} = \hat{p}$ .

*Proof* It follows from the minimality of  $w'_n$  that

$$w'_{p} = w_{\hat{p}} + d_{r\hat{p}} + Dd_{p\hat{p}} \le w_{\hat{q}} + d_{r\hat{q}} + Dd_{p\hat{q}}.$$
(5)

Applying  $d_{p\hat{p}} = d_{pq} + d_{q\hat{p}}$  to the inequality, we obtain

 $w_{\hat{p}} + d_{r\hat{p}} + Dd_{a\hat{p}} \le w_{\hat{q}} + d_{r\hat{q}} + D(d_{p\hat{q}} - d_{pq}) \le w_{\hat{q}} + d_{r\hat{q}} + Dd_{a\hat{q}} = w'_{a}.$ (6)By the minimality of  $w'_q$ , (6) holds with equality. This means that (5) also holds with equality. Therefore,  $\hat{p}$  minimizes  $w_{\hat{p}} + d_{r\hat{p}} + Dd_{q\hat{p}}$ , and  $\hat{q}$  minimizes  $w_{\hat{q}} + d_{r\hat{q}} + Dd_{p\hat{q}}$ . By the minimalities of  $d_{q\hat{q}}$  and  $d_{p\hat{p}}$ , it follows that  $d_{q\hat{q}} \leq d_{q\hat{p}}$  and  $d_{p\hat{p}} \leq d_{p\hat{q}}$ . Because  $q \in (p, \hat{p}], \hat{q}$  exists only at  $\hat{p}$  (Fig 1). Π

**Lemma 3** For any  $p \in R$  and  $q \in [p, \hat{p})$ , it follows that  $w_q - w_{\hat{p}} > (D-1)d_{\hat{p}q}$ . *Proof* Because q is nearer to p than  $\hat{p}$  is, it follows that  $w_{\hat{p}} + d_{r\hat{p}} + Dd_{p\hat{p}} < w_q + d_{rq} + d_{rq}$  $Dd_{pq}$ . Thus, because  $d_{p\hat{p}} = d_{pq} + d_{q\hat{p}}$ , we have  $w_q - w_{\hat{p}} > d_{r\hat{p}} - d_{rq} + D(d_{p\hat{p}} - d_{pq}) \geq$  $(D-1)d_{\hat{p}a}$ . 

To prove (4), we utilize connection between the increased amount of the work function and its one-sided derivatives, which are defined as  $m_{p-0} := \lim_{q \to p-0} \frac{w_q - w_p}{d_{pq}}$  and  $m_{p+0} := \lim_{q \to p+0} \frac{w_q - w_p}{d_{pq}}$  for any  $p \in R$ . The following lemma guarantees that these derivatives exist and are integers.

**Lemma 4** For any  $p \in R$ ,  $m_{p-0}$  and  $m_{p+0}$  are integers with  $-D \leq m_{p\pm 0} \leq D$ . *Proof* We prove the lemma by induction on  $\sigma$ . If  $\sigma = \emptyset$ , then  $\{m_{p-0}, m_{p+0}\} \subseteq \{-D, D\}$ by the definition of  $w_n$ . Assume that the lemma holds for a sequence  $\sigma$ . By Lemma 2, if  $p \neq \hat{p}$ , then any point  $q \in (p, \hat{p})$  has  $\hat{q}$  with  $q \neq \hat{q} = \hat{p}$ . Therefore,  $I := \{q \in R \mid q \neq \hat{q}\}$  is a union of disjoint intervals  $(i, \hat{i})$  such that any point  $q \in (i, \hat{i})$  has  $\hat{q} = \hat{i}$ . This means that  $w'_q = w_{\hat{i}} + d_{r\hat{i}} + Dd_{q\hat{i}}$ . Moreover,  $w'_{\hat{i}} = w_{\hat{i}} + d_{r\hat{i}}$  because  $\hat{\hat{i}} = \hat{i}$  by Lemma 2. Therefore, for any  $p \in [i, \hat{i}]$  and  $q \neq p$  in  $(i, \hat{i})$ , it follows that  $(w'_q - w'_p)/d_{pq} = D(d_{q\hat{i}} - d_{p\hat{i}})/d_{pq} = \pm D$ .

The set  $R \setminus I$  is a union of disjoint intervals [i, j] (with not necessarily distinct endpoints i and j) such that any  $p \in [i, j]$  has  $\hat{p} = p$ . Therefore, for  $q \neq p$  in (i, j), it follows that

$$\frac{w_q' - w_p'}{d_{pq}} = \frac{(w_q + d_{rq}) - (w_p + d_{rp})}{d_{pq}} = \frac{w_q - w_p}{d_{pq}} + \frac{d_{rq} - d_{rp}}{d_{pq}}.$$
 (7)

This approaches an integer as  $q \to p$  because the first term approaches an integer by induction hypothesis, and because the second term approaches  $\pm 1$ . By Lemma 1, the absolute value of (7) is at most D. Because  $\hat{q} \in V$  for any  $q \in R$ , I consists of finite disjoint intervals. Therefore, an end-point of an interval of I is an end-point of an interval of  $R \setminus I$ , and vice versa. Thus, we have the lemma.  $\square$ 

**Lemma 5** For any  $p \in R \setminus V$ , it follows that  $m_{p-0} + m_{p+0} \leq 0$ , i.e.,  $w_p$  is convex only in the region containing a node in V.

*Proof* We prove the lemma by induction on  $\sigma$ . If  $\sigma = \emptyset$ , then  $m_{p-0} = m_{p+0} = -D$ for  $p = \overline{s}_0$ , and  $\{m_{p-0}, m_{p+0}\} = \{-D, D\}$  for  $p \in R \setminus \{s_0, \overline{s}_0\}$ . Assume that the lemma holds for a sequence  $\sigma$ . If  $m'_{p-0} \leq m_{p-0}$  and  $m'_{p+0} \leq m_{p+0}$ , then the lemma holds by the hypothesis. We assume without loss of generality that  $m'_{p-0} > m_{p-0}$ . There are two such cases from the proof of Lemma 4.

One case is that  $m'_{p-0}$  becomes D, i.e., for some interval  $(i, \hat{i})$  in I with  $i < \hat{i}, p \in (i, \hat{i})$ and  $D(d_{q\hat{i}} - d_{p\hat{i}})/d_{pq} = D$  for any q with i < q < p. It should be noted that  $p \neq \hat{i}$ because  $p \notin V$ . Then, for any  $q \in (p, \hat{i})$ , it follows that  $D(d_{a\hat{i}} - d_{p\hat{i}})/d_{pq} = -D$ , and hence  $m'_{n+0} = -D$ .

The other case is that  $m'_{n=0} = m_{n=0} + 1$ , i.e., for some interval [i, j] in  $R \setminus I$  with i < j. p is contained in (i, j] and  $(d_{rq_1} - d_{rp})/d_{pq_1} \to 1$  as  $q_1 \to p$  with  $i < q_1 < p \le r < p + L/2$ . Because  $p \neq r$  by  $p \notin V$ , it follows that p < r, and hence, we have  $(d_{rq_2} - d_{rp})/d_{pq_2} \rightarrow -1$ 

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as  $q_2 \to p$  with  $p < q_2 < \min\{j, r\}$ . This means that  $m'_{p+0} = m_{p+0} - 1$ . Thus, we have the lemma.

For  $u \in V \setminus \{s\}$ , let  $m_{s \to u} := \lim_{q \to u, q \in [s,u]} \frac{w_q - w_u}{d_{uq}}$  and  $m_s := \min\{m_{s \to u} \mid u \in V \setminus \{s\}\}$ . We prove (4) together with two other claims in the following lemma:

**Lemma 6** The following claims hold.

- (1) For  $\{p,q\} := V \setminus \{s\}, w_p \ge D(L d_{sp}) + M$ , or  $w_q \ge D(L d_{sq}) + M$ , or  $w_p + w_q \ge m_s d_{pq} + DL + 2M$ .
- (2) For any  $u \in V$ ,  $w_u + w_{\bar{u}} \ge w_s + \frac{DL}{2} + M$ .
- (3) For any  $u \in V$ ,  $w_u \ge Dd_{su} + M$ .

*Proof* Claim 3 follows from Claim 2. This is because  $w_s - w_{\bar{u}} \ge -Dd_{s\bar{u}}$  by Lemma 1, and therefore, for any  $u \in V$ ,  $w_u \ge w_s - w_{\bar{u}} + \frac{DL}{2} + M \ge -Dd_{s\bar{u}} + \frac{DL}{2} + M = -D(\frac{L}{2} - d_{su}) + \frac{DL}{2} + M = Dd_{su} + M$ .

We prove Claims 1 and 2 by induction on events of service and migration of WFA for requests in  $\sigma$ . We suppose that w and m are updated to w' and m' in the event of WFA's service, respectively, and that M is updated to M' in the event of WFA's migration. If  $\sigma = \emptyset$ , then the claims hold. This is because  $w_p + w_q - m_s d_{pq} - 2M = D(d_{sp} + d_{sq}) + Dd_{pq} = DL$ , and because  $w_u + w_{\bar{u}} - w_s - M = D(d_{su} + d_{s\bar{u}}) = \frac{DL}{2}$  for any  $u \in V$ . Assume that the claims hold for all events in  $\sigma$ , and that a request is issued from r after  $\sigma$ .

We first prove Claim 1 for the event of WFA's service for r. If  $w_p \ge D(L - d_{sp}) + M$ or  $w_q \ge D(L - d_{sq}) + M$ , then  $w'_p \ge w_p \ge D(L - d_{sp}) + M$  or  $w'_q \ge w_q \ge D(L - d_{sq}) + M$ follows, and hence, Claim 1 holds for the event. Therefore, we assume that  $w_p + w_q \ge m_s d_{pq} + DL + 2M$ .

**Case 1.1:**  $\hat{p} = s$ . Then,  $m'_{s \to p} = -D$ , and hence  $m'_s = -D \le m_s$ . This means that  $w'_p + w'_q - m'_s d_{pq} \ge w_p + w_q - m_s d_{pq} \ge DL + 2M$  by induction hypothesis.

**Case 1.2:**  $\hat{p} = q$ . Then, it follows from Claim 3 of induction hypothesis that  $w'_p \ge w_q + Dd_{pq} \ge Dd_{sq} + M + Dd_{pq} = D(L - d_{sp}) + M$ .

**Case 1.3:**  $\hat{q} \in \{s, p\}$ . Similar to the case  $\hat{p} \in \{s, q\}$ .

**Case 1.4:**  $\hat{p} = p$  and  $\hat{q} = q$ . If  $m'_s \leq m_s + 1$ , then  $w'_p + w'_q - m'_s d_{pq} \geq w_p + d_{rp} + w_q + d_{rq} - (m_s + 1)d_{pq} \geq w_p + w_q - m_s d_{pq} \geq DL + 2M$  by induction hypothesis. If  $m'_s > m_s + 1$ , then  $m_{s \to p}$  or  $m_{s \to q}$ , say,  $m_{s \to p}$  increases by more than

1. By (the proof of) Lemma 4, this means that  $m_{s \to p} < D - 1$ , and that there exists  $i \in (s, p)$  with  $p \in (i, \hat{i}]$ . It follows from Lemma 2 that  $p = \hat{p} = \hat{i}$ . Therefore, it follows from Lemma 3 that  $w_j - w_p > (D - 1)d_{pj}$  for any  $j \in (i, p)$ , which contradicts  $m_{s \to p} < D - 1$ .

Second, we prove Claim 2 for the event of WFA's service for r. Because  $w_{\bar{s}} = w_s + w_{\bar{s}} - w_s \geq \frac{DL}{2} + M$  by induction hypothesis, it follows that  $w'_s + w'_{\bar{s}} - w'_s \geq w_{\bar{s}} \geq \frac{DL}{2} + M$ . Therefore, without loss of generality, it suffices to prove that  $w'_p + w'_{\bar{p}} \geq w'_{\bar{s}} + \frac{DL}{2} + M$ . **Case 2.1:**  $\hat{p} = s$ . Then,  $\hat{s} = \hat{p} = s$  by Lemma 2. Therefore, it follows that  $w'_s = w_s + d_{rs}$ . Moreover,  $w'_p = w_s + d_{rs} + Dd_{sp} \geq w_p + d_{rs}$  by Lemma 1. Thus, we have  $w'_p + w'_{\bar{p}} - w'_s \geq w_p + d_{rs} + w_{\bar{p}} - (w_s + d_{rs}) \geq \frac{DL}{2} + M$  by induction hypothesis. **Case 2.2:**  $\hat{p} = q$ . Then,  $w'_p \geq D(L - d_{sp}) + M$  as shown in Case 1.2. Moreover,  $w'_{\bar{p}} \geq w'_s - D(\frac{L}{2} - d_{sp})$  by Lemma 1. Thus, we have  $w'_p + w'_{\bar{p}} \geq D(L - d_{sp}) + M + w'_s - D(\frac{L}{2} - d_{sp}) = w'_s + \frac{DL}{2} + M$ .

**Case 2.3:**  $\hat{p} = p$ . The proof for the case  $\hat{p} = s$  is similar to that for the case  $\hat{p} = s$ . If  $\hat{p} = p$ , then it follows from Claim 3 of induction hypothesis that  $w_{\bar{p}} = w_p + d_{rp} + Dd_{p\bar{p}} \ge Dd_{sp} + M + \frac{DL}{2}$ . Moreover,  $w'_p \ge w'_s - Dd_{sp}$  by Lemma 3. Thus, we have  $w'_p + w'_{\bar{p}} \ge w'_s + M + \frac{DL}{2}$ . Assume the remaining case  $\hat{p} = q$ . Then,  $w_{\bar{p}} - w_q > (D-1)d_{\bar{p}q}$  by Lemma 3. This means  $m_{s\to q} = D$  because  $m_{s\to q}$  is an integer at most D by Lemma 4, and because there is no node of V between  $\bar{p}$  and q, and therefore, no convex point in  $(\bar{p}, q)$  by Lemma 5.

- **Case 2.3.1:**  $m_{s \to p} = D$ . Then, it follows from Claim 1 of induction hypothesis that  $w_p \geq D(L d_{sp}) + M$ , or  $w_q \geq D(L d_{sq}) + M$ , or  $w_p + w_q \geq Dd_{pq} + DL + 2M$ . The third inequality implies the first or second inequality. Therefore, it follows that  $w'_p \geq w_p \geq D(L d_{sp}) + M$ , or that  $w'_{\bar{p}} = w_q + d_{rq} + Dd_{q\bar{p}} \geq D(L d_{sq}) + M + d_{rq} + Dd_{q\bar{p}} \geq M + D(L d_{s\bar{p}})$ . Both cases can be proved using similar arguments for Case 2.2.
- **Case 2.3.2:**  $m_{s \to p} \leq D-1$ . This means  $w_{\bar{q}} w_p \leq (D-1)d_{p\bar{q}}$  because there is no node of V between  $\bar{q}$  and p, and therefore, no convex point in  $(\bar{q}, p)$  by Lemma 5. Therefore, it follows that  $w'_p + w'_{\bar{p}} = w_p + d_{rp} + w_q + d_{rq} + Dd_{q\bar{p}} \geq w_q + w_{\bar{q}} + d_{p\bar{q}} + d_{rp} + d_{rq} \geq$  $w_s + \frac{DL}{2} + M + \frac{L}{2}$  by induction hypothesis. Because  $w'_s \leq w_s + d_{rs} \leq w_s + \frac{L}{2}$  by the minimality of  $w'_s$ , we have  $w'_p + w'_{\bar{p}} \geq w'_s + \frac{DL}{2} + M$ .

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Finally, we prove Claims 1 and 2 for the event of WFA's migration from s to another node, say, p after WFA services the request issued from r. After the service, it follows that

$$w_s' - w_p' = Dd_{sp}.\tag{8}$$

Therefore, it follows that  $m'_p = -D$ . Moreover, it follows from Claims 2 and 3 (for the event of WFA's service) that

$$w'_u + w'_{\bar{u}} \ge w'_s + \frac{DL}{2} + M \text{ for any } u \in V, \text{ and}$$
(9)

$$w_p' \ge Dd_{sp} + M. \tag{10}$$

Furthermore, because  $\bar{q} \in (s, p)$ , it follows that

$$w'_{s} - w'_{\bar{q}} = Dd_{s\bar{q}} = D(\frac{L}{2} - d_{sq}).$$
(11)

We obtain  $w'_{s} \geq 2Dd_{sp} + M$  from (8) and (10), and  $w'_{q} \geq D(L - d_{sq}) + M$  from (9) with u = q and (11). Thus, we have  $w'_{s} + w'_{q} - m'_{p}d_{sq} \geq 2Dd_{sp} + M + D(L - d_{sq}) + M + Dd_{sq} = DL + 2(Dd_{sp} + M) = DL + 2M'$ . Moreover, it follows from (8) and (9) that  $w'_{u} + w'_{\overline{u}} - w'_{p} \geq w'_{s} + \frac{DL}{2} + M + Dd_{sp} - w'_{s} = \frac{DL}{2} + M'$  for any  $u \in V$ .

Therefore, the proof of (4) is completed, and hence we have Theorem 1.

#### 4. Lower Bound

In this section we prove the following theorem:

**Theorem 2** There exists no deterministic  $\rho$ -competitive page migration algorithm on 3-node networks if  $\rho = 3 + o(1/D)$ . In particular, there exists no deterministic 3-competitive page migration algorithm on 3-node networks if  $D \ge 3$ .

In this section we assume without loss of generality that  $y \ge x \ge z$ . Let ALG be a deterministic online page migration algorithm. We denote  $\sigma$  also by  $\sigma_v$  if ALG leaves the last server on a node v after processing  $\sigma$ .

**Lemma 7** Let  $P \subseteq V$ ,  $Q := V \setminus P$ , and let  $p \in P$  and  $q \in Q$  be joined by an edge with the minimum weight w overall edges joining P and Q. If there exist  $\rho > 3$  and a sequence  $\sigma_q$  of clients such that  $(\rho-1)\operatorname{OPT}_p(\sigma_q) + \operatorname{OPT}_q(\sigma_q) - \operatorname{ALG}(\sigma_q) + (\rho-5)Dw < 0$ , then there exists a sequence  $\sigma' = \sigma'_p$  with  $\operatorname{ALG}(\sigma_q \sigma') > \rho \cdot \operatorname{OPT}_p(\sigma_q \sigma')$  or a sequence  $\sigma'' = \sigma''_q$  with  $\operatorname{ALG}(\sigma_q \sigma'') > \rho \cdot \operatorname{OPT}_q(\sigma_q \sigma'')$ .

*Proof* We prove that  $\sigma' := p^{k_1}q^{l_1}\cdots p^{k_{i-1}}q^{l_{i-1}}p^{k_i}$  or  $\sigma'' := p^{k_1}q^{l_1}\cdots p^{k_i}q^{l_i}$  is a desired sequence for some *i*. Here,  $k_j$  (resp.  $l_j$ )  $(1 \le j \le i)$  is the minimum positive integer

such that ALG moves the server from a node of Q (resp. P) to a node P (resp. Q) after processing  $\sigma_q p^{k_1} q^{l_1} \cdots p^{k_{j-1}} q^{l_{j-1}} p^{k_j}$  (resp.  $\sigma_q p^{k_1} q^{l_1} \cdots p^{k_j} q^{l_j}$ ).

Assume for contradiction that  $\operatorname{ALG}(\sigma_q \sigma') \leq \rho \cdot \operatorname{OPT}_p(\sigma_q \sigma')$  and  $\operatorname{ALG}(\sigma_q \sigma'') \leq \rho \cdot \operatorname{OPT}_q(\sigma_q \sigma'')$ . Because ALG incurs a cost at least w to serve a request in  $\sigma'$  or  $\sigma''$  and a cost at least Dw to migrate between P and Q, it follows that

$$ALG(\sigma_p \sigma'_p) \ge ALG(\sigma_q) + (K_i + Di + L_{i-1} + D(i-1))w, \text{ and} \\ ALG(\sigma_p \sigma''_q) \ge ALG(\sigma_q) + (K_i + Di + L_i + Di)w,$$

where  $K_j := \sum_{h=1}^{j} k_h$  and  $L_j := \sum_{h=1}^{j} l_h$  for  $1 \le j \le i$ . Moreover, an offline algorithm that locates and keeps the server on p (resp. q) after processing  $\sigma_q$  can process  $\sigma_q \sigma'$ (resp.  $\sigma_q \sigma''$ ) with a cost of  $\operatorname{OPT}_p + L_{i-1}w$  (resp.  $\operatorname{OPT}_p + K_iw$ ). Therefore, it follows that  $\operatorname{OPT}_p(\sigma_q \sigma'_p) \le \operatorname{OPT}_p(\sigma_q) + L_{i-1}w$ , and  $\operatorname{OPT}_q(\sigma_q \sigma'_q) \le \operatorname{OPT}_q(\sigma_q) + K_iw$ . By the inequalities above, we have

$$\operatorname{ALG}(\sigma_q) + (K_i + Di + L_{i-1} + D(i-1))w \le \rho(\operatorname{OPT}_p(\sigma_q) + L_{i-1}w), \text{ and}$$
$$\operatorname{ALG}(\sigma_q) + (K_i + Di + L_i + Di)w \le \rho(\operatorname{OPT}_q(\sigma_q) + K_iw),$$

which yield the recurrences

$$K_i \le (\rho - 1)L_{i-1} - D(2i - 1) + A$$
, and  
 $L_i \le (\rho - 1)K_{i-1} - 2Di + B$ ,

where  $A := (\rho \cdot \operatorname{OPT}_p(\sigma_q) - \operatorname{ALG}(\sigma_q))/w$  and  $B := (\rho \cdot \operatorname{OPT}_q(\sigma_q) - \operatorname{ALG}(\sigma_q))/w$ . From the recurrences, we have

$$K_{i} \leq (\rho - 1)^{2} K_{i-1} - 2\rho Di + (2\rho - 1)D + A + (\rho - 1)B$$
  
$$\leq \left(-\frac{\rho(\rho - 1)}{\rho - 2}D + (\rho - 1)A + B\right) \frac{(\rho - 1)^{2i-1}}{\rho(\rho - 2)} + \frac{2Di}{\rho - 2} + \frac{\frac{\rho}{\rho - 2}D - A - (\rho - 1)B}{\rho(\rho - 2)}$$
  
$$= \left(-\frac{\rho(\rho - 1)}{\rho - 2}D + (\rho - 1)A + B\right) \cdot \Theta((\rho - 1)^{2i}) + O(i).$$

The factor of  $\Theta((\rho - 1)^{2i})$  can be estimated as follows:

 $-\frac{\rho(\rho-1)}{\rho-2}D + (\rho-1)A + B = \frac{\rho}{w}\left((\rho-1)\operatorname{OPT}_p(\sigma_q) + \operatorname{OPT}_q(\sigma_q) - \operatorname{ALG}(\sigma_q) - \frac{\rho-1}{\rho-2}Dw\right),$ which is negative by  $-\frac{\rho-1}{\rho-2} \leq \rho-5$  for  $\rho \geq 3$  and by the assumption of the lemma. Therefore,  $K_i$  decreases as i grows sufficiently large, but it is impossible by definition.

**Lemma 8** Let p := a and q := b, or p := b and q := c. Let w be the weight of the edge (p,q). If there exist  $\rho > 3$ ,  $\beta > 0$ , and a sequence  $\sigma_q$  of clients such that  $ALG(\sigma_q) > \rho \cdot OPT_q(\sigma_q)$  and  $OPT_q(\sigma_q) \ge \beta Dw$ , then there exists a sequence  $\sigma'$  such that  $\sigma' = \sigma'_p$  and  $ALG(\sigma_q \sigma') > \rho' \cdot OPT_p(\sigma_q \sigma')$ , or that  $\sigma'$  is an arbitrarily long sequence

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with 
$$\operatorname{ALG}(\sigma_q \sigma') > \rho' \cdot \operatorname{OPT}(\sigma_q \sigma')$$
, where  $\rho' := \frac{\beta}{\beta+4}(\rho-3) + 3$   
*Proof* We define  $\sigma'$  as follows:

- (1) Let  $\tau^0$  be an empty sequence and j := 1.
- ALG have processed  $\sigma_q \tau^0 \cdots \tau^{j-1}$  and locates the server on q. Then, we generate (2)requests from p repeatedly until ALG locates the server on p. Let i be the number of the requests from p.
- (3) If  $i > ((\beta + 1)\rho' \beta\rho 1)D$ , then set  $\sigma' := \tau^0 \cdots \tau^{j-1}p^i$ , and guit the procedure.
- Otherwise, we consider costs of ALG and OPT for the clients  $p^i$  with the initial (4)server q. Wherever ALG moves the server between q and  $u \notin \{p,q\}$  during the requests, ALG incurs a cost at least (i + D)w. This is because w is at most the weight of (p, u) by  $y \ge x \ge z$ . An offline algorithm that keeps the server on q can process  $p^i$ with a cost of iw. Moreover, an offline algorithm that moves the server from q to pfirst and keeps the server on p can process  $p^i$  with a cost of Dw. Thus, we have  $\binom{p'-1}{p} OPT_{-}(n^i) + OPT_{-}(n^i) - AIC(n^i) + \binom{p'-5}{p} Dw$

$$\rho = -1) \operatorname{OPT}_{q}(p^{*}) + \operatorname{OPT}_{p}(p^{*}) - \operatorname{ALG}(p^{*}) + (\rho - 5) Dw$$

$$\leq (\rho' - 1)iw + Dw - (i + D)w + (\rho' - 5)Dw$$

$$< \{(\rho' - 2)((\beta + 1)\rho' - \beta\rho - 1) + \rho' - 5\}Dw$$

$$= \{(\beta + 1)\rho'^{2} - (\beta\rho + 2(\beta + 1))\rho' + 2\beta\rho - 3\}Dw$$

$$= (\beta + 1)(\rho' - A(\rho))(\rho' - B(\rho))Dw < 0,$$
(12)

where

$$A(\rho) := 1 + \frac{\beta \rho + \sqrt{\beta^2 \rho^2 - 4(\beta+1)(\beta \rho - \beta - 4)}}{2(\beta+1)}, \text{ and} \\ B(\rho) := 1 + \frac{\beta \rho - \sqrt{\beta^2 \rho^2 - 4(\beta+1)(\beta \rho - \beta - 4)}}{2(\beta+1)}.$$

The last inequality of (12) holds because  $B(\rho) < \rho' < A(\rho)$  for  $\rho > 3$ , which can be verified by  $A''(\rho) > 0$ ,  $\rho' = A'(3) \cdot (\rho - 3) + A(3) > 3$ , and  $B(\rho) < 2$ . Therefore, by applying Lemma 7 with  $P := \{p\}$  and  $Q := \{q, u\}$ , we can obtain a sequence  $\tau^j$ beginning with  $p^i$  such that  $\tau^j = \tau_p^j$  and  $ALG(\tau^j) > \rho' OPT_p(\tau^j)$ , or that  $\tau^j = \tau_q^j$  and  $\operatorname{ALG}(\tau^j) > \rho' \operatorname{OPT}_a(\tau^j).$ 

(5) If  $\tau^j = \tau^j_p$ , then set  $\sigma' := \tau^0 \cdots \tau^j$ , and quit the procedure. Otherwise, set j := j + 1, and repeat the process from Step 2.

By definition,  $\sigma'$  is  $\sigma'_p$  or arbitrarily long. If the procedure ends in Step 3, then it

follows that  $\operatorname{ALG}(\sigma_a \sigma') - \rho' \operatorname{OPT}(\sigma_a \sigma')$  $\geq \operatorname{ALG}(\sigma_q) + \sum_{j>0} \operatorname{ALG}(\tau^j) + \operatorname{ALG}(p^i) - \rho' \left\{ \operatorname{OPT}_q(\sigma_q) + \sum_{j>0} \operatorname{OPT}_q(\tau^j) + \operatorname{OPT}_p(p^i) \right\}$  $> (\rho - \rho') \operatorname{OPT}_{a}(\sigma_{a}) + ((\beta + 1)\rho' - \beta\rho) Dw - \rho' Dw$  $= (\rho - \rho')(\operatorname{OPT}_{a}(\sigma_{a}) - \beta Dw) > 0.$ Otherwise, we can prove similarly that  $ALG(\sigma_a \sigma') - \rho' \cdot OPT(\sigma_a \sigma') > (\rho - \rho')OPT_a(\sigma_a) >$ 0.

**Lemma 9** Let  $\{p, q\} := \{a, b\}$  and w be the weight of the edge (p, q). If there exist  $\rho > 3, \beta > 0$ , and a sequence  $\sigma_q$  of clients such that  $(\rho - 1) \operatorname{OPT}_p(\sigma_q) + \operatorname{OPT}_q(\sigma_q) - \rho$  $ALG(\sigma_a) + (\rho - 5)Dw < 0$  and  $OPT_a(\sigma_a) \geq \beta Dw$ , then there exists a sequence  $\sigma'$  such that  $\sigma' = \sigma'_a$  and  $ALG(\sigma_a \sigma') > \rho' \cdot OPT_a(\sigma_a \sigma')$ , or that  $\sigma'$  is an arbitrarily long sequence with  $\operatorname{ALG}(\sigma_q \sigma') > \rho' \cdot \operatorname{OPT}(\sigma_q \sigma')$ , where  $\rho' = \frac{\beta}{\beta+4}(\rho-3) + 3$ .

*Proof* Let  $P := \{a\}$  and  $Q := \{b, c\}$  if p = a,  $P := \{b, c\}$  and  $Q := \{a\}$  otherwise. By applying Lemma 7 with such P and Q, we can obtain a sequence  $\tau$  such that  $\tau = \tau_a$ and  $\operatorname{ALG}(\sigma_q \tau) > \rho \cdot \operatorname{OPT}_a(\sigma_q \tau)$ , or that  $\tau = \tau_b$  and  $\operatorname{ALG}(\sigma_q \tau) > \rho \cdot \operatorname{OPT}_b(\sigma_q \tau)$ . If  $\tau = \tau_a$ , then we have obtained a desired sequence. Otherwise, by Lemma 8, there exists a sequence  $\tau'$  such that  $\tau = \tau_a$  and  $\operatorname{ALG}(\sigma_a \tau_b \tau') > \rho' \cdot \operatorname{OPT}_a(\sigma_a \tau_b \tau')$ , or that  $\tau'$  is an arbitrarily long sequence with  $ALG(\sigma_a \tau_b \tau') > \rho' \cdot OPT(\sigma_a \tau_b \tau')$ . Therefore,  $\tau \tau'$  is a desired sequence.

Now we prove Theorem 2. Suppose that  $y = x + \delta$  and  $z = \gamma \delta$  with  $3 < \gamma < x/\delta$ . We carefully choose  $\gamma$  and  $\delta$ , and design a strategy to generate an arbitrarily long sequence  $\sigma$  with ALG( $\sigma$ ) >  $\rho \cdot OPT(\sigma)$  for some  $\rho = 3 + \Omega(1/D)$ . This proves that  $ALG(\sigma) > \rho \cdot OPT(\sigma) + \alpha$  for any  $\alpha$  independent of the number of clients.

We locate the initial server for  $\sigma$  on a. The strategy is defined using a state machine as shown in Fig. 2. In the state machine, a transition represents a server selected by ALG, together with optional conditions on the number of requests generated in the source state. A state with the form of  $u^k$  (i.e.,  $b^h$ ,  $a^j$ , and  $c^i$ ) represents a sequence of requests from u until one of the outgoing arcs from the state meets the server of ALG and the conditions on the number k of the requests. A state with the form of  $u^+$  (i.e.,  $a^+$  and  $c^+$ ) represents a sequence of requests from u until ALG locates the

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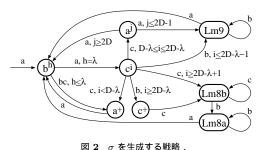


Fig. 2 Strategy to generate  $\sigma$ .

server on u. The states Lm8b and Lm8a represent sequences of requests obtained by applying Lemma 8 with p := b and q := c, and with p := a and q := b, respectively. The state Lm9 represents a sequence of requests obtained by applying Lemma 9 with  $p \in \{a, b\} \setminus \{s\}$  and q := s, where  $s \in \{a, b\}$  is the server of ALG at the beginning of the state.

We divide  $\sigma$  into phases so that entering the state  $b^h$  begins a new phase. ALG locates the server on a at the beginning of each phase. Therefore, Theorem 2 is proved if for each phase  $\phi = \phi_a$ , ALG( $\phi$ ) >  $\rho \cdot \text{OPT}_a(\phi)$  with the initial server on a, and if for a phase  $\phi \neq \phi_a$  (i.e., an arbitrarily long sequence), ALG( $\phi$ ) >  $\rho \cdot \text{OPT}(\phi)$  with the initial server on a.

**Case 1:**  $\phi = b_{bc}^{h}a^{+}$  with  $h \leq \lambda$ . It follows that  $ALG(\phi) > (h+2D)x$  and  $OPT_{a}(\phi) \leq hx$ (cost of keeping the server on *a*). Thus, we have  $\frac{ALG(\phi)}{OPT_{a}(\phi)} > \frac{h+2D}{h} \geq 1 + \frac{2D}{\lambda} > 4$ . **Case 2:**  $\phi = \tau \tau'$ , where  $\tau := b_{a}^{\lambda}c_{b}^{i}$  with  $i \leq 2D - \lambda - 1$ , and  $\tau'$  is the sequence of clients generated in State Lm3. It follows that  $ALG(\tau) = (\lambda + D)x + iy$ ,  $OPT_{a}(\tau) = \lambda x + iy$ (cost of keeping the server on *a*), and  $Dx \leq OPT_{b}(\tau) \leq Dx + iz$  (cost of moving the server to *b* first and keeping it on *b*). Thus, we have

$$\begin{split} (\rho-1)\mathrm{OPT}_a(\tau) + \mathrm{OPT}_b(\tau) - \mathrm{ALG}(\tau) + (\rho-5)Dx \\ &\leq (\rho-1)(\lambda x + iy) + Dx + iz - ((\lambda+D)x + iy) + (\rho-5)Dx \\ &\leq \rho\{(3D-1)x + (2D-\lambda-1)\delta\} - \{(9D-2)x + (2D-\lambda-1)(2-\gamma)\delta\}. \\ \mathrm{Therefore, if } (\rho-1)\mathrm{OPT}_a(\tau) + \mathrm{OPT}_b(\tau) - \mathrm{ALG}(\tau) + (\rho-5)Dx \geq 0, \text{ then we obtain} \\ &\rho \geq 3 + \frac{x - (2D-\lambda-1)(1+\gamma)\delta}{(3D-1)x + (2D-\lambda-1)\delta}, \end{split}$$

which is  $3 + \frac{\epsilon}{O(D)}$  with  $0 < \epsilon < 1$  by setting  $\gamma = O(1)$  and

$$\delta \le \frac{(1-\epsilon)x}{(2D-\lambda-1)(\gamma+1)} = O\left(\frac{x}{D}\right). \tag{13}$$

This means that there exists  $\rho = 3 + \Omega(1/D)$  such that  $(\rho - 1)\text{OPT}_a(\tau) + \text{OPT}_b(\tau) - \text{ALG}(\tau) + (\rho - 5)Dx < 0$ . Therefore, by Lemma 9, there exists  $\rho' = 3 + \Omega(1/D)$  such that  $\phi = \phi_a$  and  $\text{ALG}(\phi) > \rho' \cdot \text{OPT}_a(\phi)$ , or that  $\phi$  is an arbitrarily long sequence with  $\text{ALG}(\phi) > \rho' \cdot \text{OPT}(\phi)$ .

**Case 3:**  $\phi = \tau \tau'$ , where  $\tau = b_a^{\lambda} c_b^i c^+$  with  $i \ge 2D - \lambda$ , and  $\tau'$  is the sequence of clients generated in States Lm2b and Lm2a. It follows that  $ALG(\tau) \ge (\lambda + D)x + iy + (1+D)z$  and  $Dy \le OPT_c(\tau) \le Dy + \lambda z$  (cost of moving the server to *c* first and keeping it on *c*). Thus, we have

$$\frac{\dot{\mathrm{ALG}}(\tau)}{\mathrm{OPT}_{c}(\tau)} \geq \frac{(\lambda+D)x+iy+(1+D)z}{Dy+\lambda z} \geq \frac{3Dx+\{(2D-\lambda)+(1+D)\gamma\}\delta}{Dx+(D+\lambda\gamma)\delta}$$
$$= 3 + \frac{\{(\gamma-1)D+\gamma-\lambda(3\gamma+1)\}\delta}{Dx+(D+\lambda\gamma)\delta},$$

which is  $3 + \frac{\epsilon}{O(D)}$  with  $0 < \epsilon < 1$  by setting

$$\gamma := 4 + 3\epsilon = O(1), \tag{14}$$

$$\lambda := \left| \frac{(\gamma - 1 - \epsilon)D + \gamma}{3\gamma + 1} \right| = \Theta(D), \text{ and}$$
(15)

 $\delta = O(\frac{x}{D})$ . It should be noted that  $1 \leq \lambda < \frac{2D}{3}$  for  $D \geq 3$ . Therefore, by Lemma 8, there exists  $\rho' = 3 + \Omega(1/D)$  such that  $\phi = \phi_a$  and  $ALG(\phi) > \rho' \cdot OPT_a(\phi)$ , or that  $\phi$  is an arbitrarily long sequence with  $ALG(\phi) > \rho' \cdot OPT(\phi)$ .

**Case 4:**  $\phi = b_a^{\lambda} c_c^i a^+$  with  $i < D - \lambda$ . It follows that  $ALG(\phi) \ge \lambda x + (i + D + 1 + D)y = \lambda x + (i + 2D + 1)y$  and  $OPT_a(\phi) \le \lambda x + iy$  (cost of keeping the server on *a*). Thus, we have

$$\frac{\operatorname{ALG}(\phi)}{\operatorname{OPT}_a(\phi)} \geq \frac{\lambda x + (i+2D+1)y}{\lambda x + iy} \geq 1 + \frac{(2D+1)y}{\lambda x + iy} > 1 + \frac{2D+1}{D} = 3 + \frac{1}{D}.$$

**Case 5:**  $\phi = \tau \tau'$  where  $\tau = b_a^\lambda c_c^i a_a^j$  with  $D - \lambda \leq i \leq 2D - \lambda$  and  $j \leq 2D - 1$ , and  $\tau'$  is the sequence of clients generated in State Lm3. If ALG keeps the server on cduring  $a^j$ , then the cost for  $a^j$  is (j + D)y. If ALG moves the server from c to b after the j'th request of  $a^j$ , then the cost for  $a^j$  is at least j'y + Dz + (j - j' + D)x = $jy + D(\gamma\delta + x) - (j - j')\delta$ . Because  $\gamma \geq 3$  and j - j' < 2D, this is at least  $jy + D(3\delta + x) - 2D\delta = jy + D(\delta + x) = (j + D)y$ . Therefore, it follows that  $ALG(\tau) \geq \lambda x + (i + D + j + D)y = \lambda x + (i + j + 2D)y$ . Moreover,  $Dx < OPT_a(\tau) \leq \lambda x + iy$ 

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(cost of keeping the server on a), and  $OPT_b(\tau) \leq Dx + iz + jx = (j+D)x + iz$  (cost of moving the server to b and keeping it on b). Thus, we have

$$\begin{aligned} (\rho-1)\operatorname{OPT}_{b}(\tau) + \operatorname{OPT}_{a}(\tau) - \operatorname{ALG}(\tau) + (\rho-5)Dx \\ &\leq (\rho-1)((j+D)x + iz) + \lambda x + iy - (\lambda x + (i+j+2D)y) + (\rho-5)Dx \\ &\leq \rho\{(4D-1)x + (2D-\lambda)\gamma\delta\} - \{(12D-2)x + (4D-1+(2D-\lambda)\gamma)\delta\}. \end{aligned}$$
  
Therefore, if  $(\rho-1)\operatorname{OPT}_{b}(\tau) + \operatorname{OPT}_{a}(\tau) - \operatorname{ALG}(\tau) + (\rho-5)Dx \geq 0$ , then we obtain  $\rho \geq 3 + \frac{x + ((4D-1)-2(2D-\lambda)\gamma)\delta}{(4D-1)x + (2D-\lambda)\gamma\delta}, \end{aligned}$ 

which is  $3 + \frac{\epsilon}{O(D)}$  with  $0 < \epsilon < 1$  by setting  $\gamma = O(1)$  and

$$\delta \le \frac{(1-\epsilon)x}{2(2D-\lambda)\gamma - (4D-1)} = O\left(\frac{x}{D}\right). \tag{16}$$

Therefore, we can prove as in Case 2 that there exists  $\rho' = 3 + \Omega(1/D)$  such that  $\phi = \phi_a$  and  $\operatorname{ALG}(\phi) > \rho' \cdot \operatorname{OPT}_a(\phi)$ , or that  $\phi$  is an arbitrarily long sequence with  $\operatorname{ALG}(\phi) > \rho' \cdot \operatorname{OPT}(\phi)$ .

**Case 6:**  $\phi = b_a^{\lambda} c_c^i a_a^j$  with  $D - \lambda \leq i \leq 2D - \lambda$  and  $j \geq 2D$ . If ALG keeps the server on c during  $a^j$ , then the cost for  $a^j$  is  $(j + D)y \geq 3Dy$ . If ALG moves the server from c to b after the j'th request of  $a^j$ , then the cost for  $a^j$  is at least  $j'y + Dz + (j - j' + D)x \geq jx + D(\gamma\delta + x)$ . Because  $\gamma \geq 3$  and  $j \geq 2D$ , this is at least  $3D(\delta + x) = 3Dy$ . Therefore, it follows that  $ALG(\tau) \geq \lambda x + (i + D + 3D)y = \lambda x + (i + 4D)y$  and  $OPT_a(\tau) \leq \lambda x + iy$  (cost of keeping the server on a). Thus, we have

$$\frac{\overline{\operatorname{ALG}}(\tau)}{\operatorname{OPT}_{a}(\tau)} \geq \frac{\lambda x + (i + 4D)y}{\lambda x + iy} = 1 + \frac{4Dy}{\lambda x + iy}$$
$$\geq 1 + \frac{4D(x + \delta)}{2Dx + (2D - \lambda)\delta} = 3 + \frac{2\lambda\delta}{2Dx + (2D - \lambda)\delta}$$

which is  $3 + \Omega(1/D)$  by setting  $\lambda = \Theta(D)$  and  $\delta = \Theta(x/D)$ . **Case 7:**  $\phi = \tau \tau'$ , where  $\tau = b_a^{\lambda} c_c^i$  with  $i \ge 2D - \lambda + 1$ , and  $\tau'$  is the sequence of

clients generated in States Lm2b and Lm2a. It follows that  $ALG(\tau) \ge \lambda x + (i + D)y$ and  $Dy \le OPT_c(\tau) \le Dy + \lambda z$  (cost of moving the server to c first and keeping it on c). Thus, we have

$$\frac{\operatorname{ALG}(\tau)}{\operatorname{OPT}_{c}(\tau)} \geq \frac{\lambda x + (i+D)y}{Dy + \lambda z} \geq \frac{(3D+1)x + (3D-\lambda+1)\delta}{Dx + (D+\lambda\gamma)\delta}$$
$$= 3 + \frac{x - ((3\gamma+1)\lambda - 1)\delta}{Dx + (D+\lambda\gamma)\delta},$$
which is  $3 + \frac{\epsilon}{O(D)}$  with  $0 < \epsilon < 1$  by setting  $\gamma = O(1)$  and  
 $\delta \leq \frac{(1-\epsilon)x}{(3\gamma+1)\lambda - 1} = O\left(\frac{x}{D}\right).$  (17)

Therefore, by Lemma 8, there exists  $\rho' = 3 + \Omega(1/D)$  such that  $\phi = \phi_a$  and  $ALG(\phi) > \rho' \cdot OPT_a(\phi)$ , or that  $\phi$  is an arbitrarily long sequence with  $ALG(\phi) > \rho' \cdot OPT(\phi)$ .

By setting  $\gamma$  as in (14),  $\lambda$  as in (15), and  $\delta$  so that (13), (16), (17), and  $\delta \leq x/\gamma$  are satisfied, we can obtain a desired sequence  $\phi$ . Thus, the proof of Theorem 2 is completed.

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