

## 安全な放送通信プロトコル

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グループウェアのような分散型応用では、複数エンティティ間でのグループ通信が要求される。本論文では、エンティティのグループを群とする。ローカルエリア網(LAN)や無線網では、媒体アクセス制御(MAC)層において放送通信が提供されている。放送通信網では、安全な群通信を提供する必要がある。本論文では、安全な群通信を提供するための方式を論じる。本プロトコルでは、非安全で高信頼な放送通信と公開鍵を利用して、群内の全エンティティだけが同一の秘密鍵を獲得できる。さらに、群内の一部のエンティティは、群内の他のエンティティが参加できないような(例えば、内証話など)安全通信を提供するための部分群の確立方式を論じる。

## Secure Broadcast Communication Protocol

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In distributed applications like groupware, group communication among multiple entities is required. The local area networks (LANs) and radio networks provide broadcast communication at the media access control (MAC) layer. The group communication among multiple entities can be easily realized by these networks. One problem in the broadcast network is how to provide secure communication for the group. In this paper, we discuss how to provide the secure group communication based on the distributed scheme by using less-secure broadcast networks. A group of entities is named a *cluster*. In the protocol, only and all the entities in the cluster agree on a same secret key by exchanging the nonce enciphered by the public key. Sometime, a subset of the cluster may require such secure communication that the other entities in the cluster cannot join the communication. In this paper, we discuss how to establish the secure subcluster communication in the cluster.

# 1 Introduction

In distributed applications like tele-conferencing and cooperative work [6], group communication [1, 11, 12, 13, 16, 17] among multiple communication entities is required. These applications may require secure group communication. In this paper, we would like to discuss how to support the secure group communication.

Since various kinds of communication networks have been widely used on the basis of the open systems architecture [7, 8], various kinds of computer systems can be easily connected by the communication networks. One problem in these systems is how to protect the system from attacks of malicious entities. Malicious entities can easily send and receive data units by using the broadcast networks. One solution is to encipher the data units by using a secret key and then transmit it to the destination entities which know the key. *Public key* systems [3] are familiar to realize the secure communication among two entities. If the secret key is neither hidden nor inferred, *secrecy* and *authenticity* of *secure* communication are held. [14, 15] discuss how to distribute the secret key to only entities which would like to have secure communication by using the public key system and one-to-one communication.

In networks like local area networks (LANs), broadcast communication is supported by the media access control (MAC) layer [7]. In the *group* communication, data units are delivered to multiple entities in the group. A group of entities is named a *cluster* in this paper. In the group communication protocols [11, 12, 13, 16, 17], properties of broadcast communication, i.e. *receipt atomicity* and *receipt ordering* of data units in the cluster are discussed. In this paper, we discuss how to provide the secure broadcast communication for a cluster of multiple entities by using a less-secure broadcast network. We present a protocol to make agreement of the common secure key among only and all the entities in the cluster.

In the teleconferencing, only some members may like to have secure communication in order to have the private talk among them. A *subcluster* is a subset of the cluster. Thus, it is important to provide such secure subcluster communication that some entities other than ones in the subcluster can neither listen to the communication nor send PDUs to the entities in the subcluster. We discuss how to establish a secure subcluster in the

cluster.

In section 2, we present a communication model. In section 3, a secure cluster concept is defined. In section 4, a procedure to establish a secure cluster is discussed. In section 5, we discuss how to make a secure subcluster in a cluster.

# 2 Communication Model

A communication system is composed of three layers, i.e. *application*, *system* and *network* ones. The system layer is composed of system entities [Figure 1]. Each system entity  $E$  takes a network service through a network *service access point* (SAP)  $NS$  with which  $E$  is attached.  $NS$  has a unique network address  $NA$ . Let  $Address(E)$  denote  $NA$ . The system layer provides some service for application entities through system SAPs.  $E$  supports the system service for application entity  $A$  through a system SAP,  $SS$ , whose address is  $SA$ . Here, let  $Name(E)$  denote  $SA$ .

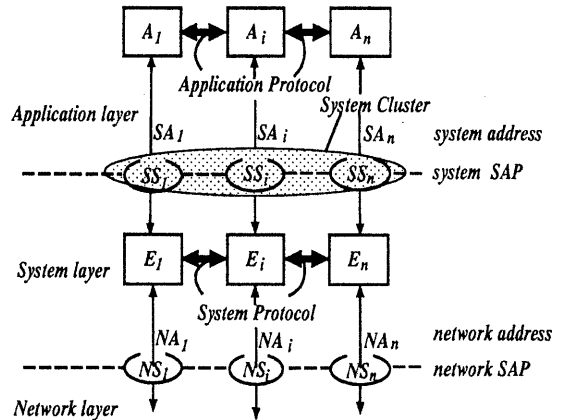


Figure 1: System model

A system *cluster*  $C$  is a tuple of system SAPs  $\langle SS_1, \dots, SS_n \rangle (n \geq 2)$ . Each  $SS_j$  is supported by  $E_j$ , i.e.  $Name(E_j) = SA_j$  ( $j = 1, \dots, n$ ).  $C$  is written as  $\langle E_1, \dots, E_n \rangle$ . A data unit sent at  $SS_j$  in  $C$  by each  $A_j$  is surely delivered to all the system SAPs in  $C$  [11, 12, 13]. A group  $\{A_1, \dots, A_n\}$  can communicate through  $C$  where each  $A_j$  takes the service at  $SS_j$  ( $j = 1, \dots, n$ ).  $C$  is referred to as *supported* by  $E_1, \dots, E_n$ , and *support*  $A_1, \dots, A_n$ .  $E_1, \dots, E_n$  are referred to as *included* in  $C$ . We assume that all the data units sent at each  $NS_j$  are delivered to all the network SAPs in the sending order without any loss and duplicate. In this paper, we would like to discuss how the sys-

tem layer provides secure cluster communication for the application layer by using a reliable but less-secure broadcast communication network.

A data unit exchanged among system entities is named a system *protocol data unit* (PDU). Each PDU  $p$  sent by  $E_j$  to establish a cluster  $C = \langle E_1, \dots, E_n \rangle$  includes the following attributes.

- $p.SRC$  = system address of  $SS_j$ , i.e.  $Name(E_j)$ .
- $p.ADDR$  = network address of  $NS_j$ , i.e.  $Address(E_j)$ .
- $p.DEST$  =  $\{Name(E_i) \mid E_i \text{ is the destination of } p (i = 1, \dots, n)\}$ .
- $p.DATA$  = data.

$p$  is broadcast to all the network SAPs by using the network service. On receipt of  $p$ , each  $E_j$  accepts  $p$  if  $Name(E_j) \in p.DEST$ . We make the following assumptions.

[Assumptions] Let  $p$  be a system PDU which is sent by  $E_j$ .

1.  $p$  is delivered to all the network SAPs.
2.  $p.SRC$  and  $p.DEST$  can be written by  $E_j$ .
3.  $E_j$  cannot write  $p.ADDR$ .  $\square$

The first assumption means that the network service provides reliable broadcast communication [11, 12, 13, 16, 17]. That is, some system entity  $E_k$  ( $k \neq j$ ) can receive  $p$  although  $E_k$  is not the destination of  $p$ . *Secrecy* may be violated. The second means that the source network address is attached automatically to  $p$  at the network layer and system entities cannot change  $p.ADDR$ . On receipt of  $p$ , every  $E_k$  understands at which network SAP  $p$  is transmitted. The third means that every  $E_j$  can write  $p.DEST$  and  $p.SRC$  when  $E_j$  broadcasts  $p$ . That is, some  $E_m$  ( $m \neq j$ ) can pretend to be  $E_j$  by sending  $p$  and using  $Name(E_j)$  in  $p.SRC$ . *Authenticity* may be violated. According to the second assumption, when  $E_j$  receives  $p$ , although  $E_j$  is not sure whether  $p$  is broadcast by a system entity denoted by  $p.SRC$ , it is sure that  $p$  is transmitted at  $p.ADDR$ .

If only and all the system entities  $E_1, \dots, E_n$  in  $C$  use the same secret key  $K$  to encipher PDUs,  $C$  can be secure.  $K$  is named a *cluster key* of  $C$ . In this paper, we discuss how to distribute  $K$  to only and all proper system entities by using the public key system [3] and using the less-secure broadcast service supported by the network layer.

### 3 Secure Cluster

For every PDU  $p$ , let  $p.IDATA$  denote data which the source system entity intends to transmit to the destinations.  $p.DATA$  may not be the same as  $p.IDATA$  if  $p.IDATA$  is enciphered to  $p.DATA$ . A system entity  $E_j$  is referred to as *securely receive*  $p$  iff  $E_j$  receives  $p$  and  $E_j$  can get  $p.IDATA$  from  $p.DATA$ . Only system entities which know the deciphering key can get  $IDATA$ . Let us consider the secure communication in a system cluster  $C = \langle E_1, \dots, E_n \rangle$ .

[Definition]  $C$  is *secret* iff every PDU broadcast is securely received by only entities in  $C$ .  $\square$

Any entity not in  $C$  cannot pretend to be a member in  $C$ . Every PDU broadcast by some entity in  $C$  is surely received by only entities in  $C$ .

[Definition]  $C$  is *authentic* iff PDUs broadcast by only entities can be securely received by entities in  $C$ .  $\square$

Any entity not in  $C$  cannot broadcast PDUs to entities in  $C$ . Every PDU received by each entity in  $C$  is surely one broadcast by some entity in  $C$ .

[Definition]  $C$  is *secure* iff  $C$  is both secret and authentic.  $\square$

A secure  $C$  can be *established* if only and all the entities in  $C$  obtain a common cluster key  $K$ . An algorithm by which  $C$  is securely established is a *secure cluster establishment (SCE)* procedure. After  $C$  is securely established, it is clear that  $C$  is secure because PDUs in  $C$  are enciphered by using  $K$  and only and all the entities in  $C$  know  $K$ . Problem is how to establish  $C$  among multiple entities in the presence of malicious entities. System entities are *malicious* if they are not in  $C$  but pretend to be entities in  $C$  and listen to communication in  $C$ .

Let  $EE$  be a set of all the system entities,  $SA$  be a set of all the system addresses, and  $NA$  be a set of all the network addresses in the system layer.  $C$  is a subset of  $EE$ . For  $E$  in  $EE$ ,  $PName(C, E)$  gives a system address which  $E$  uses as its system address in  $C$ . That is, when  $E$  sends  $p$ ,  $E$  writes  $PName(C, E)$  in  $p.SRC$ .  $PName(C, E)$  is not the same as  $Name(E)$  if  $E$  pretends to be another entity in  $C$ .

[Definition]  $E$  is *proper* in  $C$  iff  $Name(E) = PName(C, E)$ .  $E$  is *malicious* in  $C$  iff  $E$  is not proper in  $C$ .  $\square$

If there exists a malicious entity  $M$  which pretends to be a proper entity  $E_j$  in  $C$ ,  $E_j$  is referred to as *pretended* by  $M$ . Note that  $E_j$  can receive PDUs broadcast by  $M$  pretending  $E_j$ , because the underlying network layer provides reliable broadcast communication. Let  $Dom(C) = \{ E_j \mid Name(C, E_j) \in C \}$  be a domain of  $C$ , which is a set of system entities which try to be a member of  $C$ . There are two kinds of entities in  $Dom(C)$ , i.e. *active* and *passive* ones. *Active* entities require entities to establish  $C$ . *Passive* ones wait for the establishment request from the active ones. Let  $Active(C)$  and  $Passive(C)$  be sets of active and passive entities in  $C$ , respectively. Every entity is either *passive* or *active* in  $C$ . A *proper domain* of  $C$ ,  $PDom(C)$ , is a set of proper system entities in  $C$ , i.e.  $PDom(C) = \{ E_j \mid Name(E_j) = PName(C, E_j) \}$ .  $Dom(C)$  may include malicious entities but  $PDom(C)$  includes only proper entities.

[Definition]  $Dom(C)$  is *secure* iff  $Dom(C) = PDom(C)$ .  $Dom(C)$  is *establishable* iff  $Dom(C) \subseteq PDom(C)$  and  $Active(C) \cap PDom(C) \neq \emptyset$ .  $Dom(C)$  is *unestablishable* iff it is not establishable.  $\square$

[Definition] A *secure cluster establishment (SCE)* procedure is *complete* iff it can establish a secure cluster on only and all establishable domain.  $\square$

If there are all the proper entities in  $Dom(C)$  and at least one proper entity is active, i.e.  $Active(C) \cap PDom(C) \neq \emptyset$ , the complete *secure cluster establishment (SCE)* procedure can securely establish  $C$  on  $Dom(C)$ . If all the active entities are malicious, some complete cluster establishment procedure never establishes some secure cluster. If some proper entity would not like to join  $C$ , i.e.  $Dom(C) \not\subseteq PDom(C)$ ,  $C$  is not established.

## 4 Cluster Establishment

We present a complete *secure cluster establishment (SCE)* procedure to establish a secure cluster  $C = \langle E_1, \dots, E_n \rangle$ .

### 4.1 Basic procedure

We make the following assumptions on each system entity  $E_j$ .

#### [Assumptions]

1.  $E_j$  has a secret key  $SK_j$  and a public key  $PK_j$  [4].
2.  $E_j$  does not know the network address  $NA_k$  of every  $E_k$ ,  $Address(E_k)$  ( $k \neq j$ ).
3.  $E_j$  can know a system address  $SA_k = Name(E_k)$ .
4.  $E_j$  can know the public keys of all the system entities.
5.  $E_j$  is always operational.  $\square$

Here, for each system address  $SA$ , let  $PK_{SA}$  be a public key of a system entity whose system address is  $SA$ . Each entity  $E_j$  does not know the network addresses of the other entities.  $E_j$  sends a request  $r$  to system entities to join  $C$  by using their system addresses. In turn, on receipt of  $r$ , passive entities know the network address of  $E_j$ . Problem is that some malicious entity may use a system address of another proper entity. Hence, in the cluster establishment procedure, each entity has to identify the network addresses of the proper entities.

For every public or private key  $Y$ , we assume that  $Y(\langle v_1, \dots, v_n \rangle) = \langle Y(v_1), \dots, Y(v_n) \rangle$ . We assume that every entity has a one-way function  $F$  such that for some tuple  $t$  of values,  $F(t)$  gives some key  $K$ . Every  $E_j$  has  $n$  variables  $t_1, \dots, t_n$ , which are initially 0. A *nonce*, e.g. random number of each  $E_i$  which  $E_j$  knows is stored in  $t_i$  ( $i = 1, \dots, n$ ).

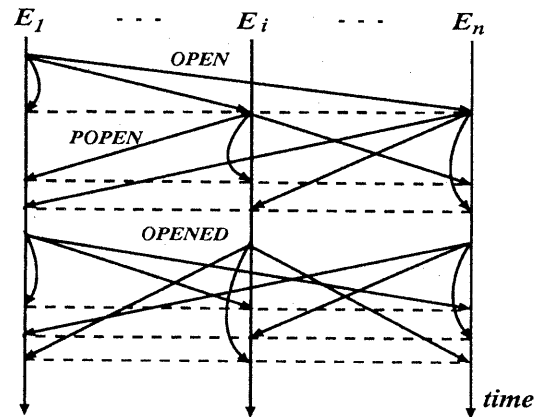


Figure 2: Basic procedure

## [Basic Procedure]

1. An active entity  $E_j$  broadcasts an *OPEN* PDU  $p$  where  $p.DATA = SK_j(\langle PK_1(t_j), \dots, PK_n(t_j) \rangle)$ ,  $p.SRC = T_j$ , and  $p.DEST = \{T_1, \dots, T_n\}$  where  $T_i = PName(C, E_j)$  ( $i = 1, \dots, n$ ), and  $t_j$  is a nonce of  $E_j$ .
2. When an *active* or *passive* entity  $E_k$  receives the *OPEN*  $p$  from  $E_j$ , where  $p.DATA = \langle a_1, \dots, a_n \rangle$ , if  $Name(E_k) \in p.DEST$ , then  $E_k$  accepts  $p$  and  $t_j = SK_k(PK_j(a_k))$ . The nonce of  $E_k$  is stored in  $t_k$ .  $E_k$  broadcasts a *POPEN* PDU  $p$  where  $p.DATA = SK_k(\langle PK_1(t_k), \dots, PK_n(t_k) \rangle)$ . On receipt of the *POPEN* from another entities,  $E_k$  executes this step.
3. On receipt of the *OPEN* or *POPEN* PDUs from all the entities,  $E_k$  broadcasts an *OPENED* PDU  $p$  where  $p.DATA = SK_k(\langle PK_1(t), \dots, PK_n(t) \rangle)$  where  $t = \langle t_1, \dots, t_n \rangle$ .
4. On receipt of the *OPENED*s from all the entities,  $E_k$  obtains a cluster key  $K = F(\langle t_1, \dots, t_n \rangle)$ . Here,  $C$  is securely established.  $\square$

At the step 4, every entity surely knows that every entity in  $C$  has the same tuple of the nonces  $\langle t_1, \dots, t_n \rangle$ . However, each proper entity cannot identify malicious ones. Hence, we need a more complicated procedure to be presented in the following subsection.

## 4.2 Procedure in the presence of attackers

Every system entity  $E_j$  has the following variables.

1.  $t_k$  = nonce of  $E_k$  which  $E_j$  receives from  $E_k$  ( $k = 1, \dots, n$ ).
2.  $R_{SA_k}$  = set of tuples  $\langle NA, t, type \rangle$  where  $NA$  is a network address of  $E_h$  whose  $PName(C, E_h)$  is  $Name(E_k)$ ,  $t$  is the nonce of  $E_h$ , and  $type$  is  $A$  (*active*) if  $E_h$  is active, otherwise  $P$  (*passive*) ( $k = 1, \dots, n$ ).
3.  $TYPE = A$  if  $E_j$  is active, otherwise  $P$ .

Let  $R_{SA}$  be a tuple  $\langle R_{SA_1}, \dots, R_{SA_n} \rangle$ . Initially, each  $t_k$  is 0 and  $R_{SA_k} = \emptyset$  ( $k = 1, \dots, n$ ). Each time when  $E_j$  receives a nonce  $t$  from  $E_h$  which

uses  $Name(E_k)$ ,  $\langle Address(E_h), t, A \text{ or } P \rangle$  is appended into  $R_{SA_k}$ . For a tuple  $t = \langle v_1, \dots, v_n \rangle$ , let  $t[j]$  denote the  $j$ -th element  $v_j$  of  $t$ .

A *secure cluster establishment (SCE)* procedure to establish a secure cluster  $C = \langle E_1, \dots, E_n \rangle$  is presented as follows. Each entity has variables  $t_j$  to store the nonces sent from the entities  $E_j$  ( $j = 1, \dots, n$ ),  $TYPE$  to store a type of  $E_i$ , i.e. *passive* or *active*, and  $R = \{R_{SA} \mid SA = Name(E_j) \wedge E_j \in C\}$ .

## [SCE Procedure]

1.  $E_j$  stores its nonce in  $t_j$ , 0 in  $t_k$  ( $k = 1, \dots, n, k \neq j$ ), and  $A$  in  $TYPE$ .  $E_j$  broadcasts an *OPEN* PDU  $p$  where  $p.DATA = SK_j(\langle PK_1(t_j), \dots, PK_n(t_j) \rangle)$ .  $E_j$  waits for *OPEN*s or *POPEN*s.
2. [Passive  $E_k$ ]  $TYPE = P$ . On receipt of the *OPEN*  $p$  where  $p.SRC = SA_j$ ,  $E_k$  gets the nonce  $u_j = SK_j(PK_k(p.DATA)[j])$ .  $R_{SA_j} = R_{SA_j} \cup \{(p.ADDR, u_j, A)\}$ .  $E_k$  broadcasts a *POPEN*  $p$  where  $p.DATA = SK_k(\langle PK_1(t_k), \dots, PK_n(t_k) \rangle)$  and  $p.SRC = SA_j$ .
3. [Passive or Active  $E_h$ ] On receipt of the *OPEN* or *POPEN*  $p$  where  $p.SRC = SA_j$ , if  $SA_j \neq Name(E_h)$ ,  $E_h$  gets  $u_j = SK_h(PK_j(p.DATA)[h])$ .  $R_{SA_j} = R_{SA_j} \cup \{(p.ADDR, u_j, type)\}$  where  $type = A$  if  $SA_j$  is active, otherwise  $P$ . If  $SA_j = Name(E_h)$ , then  $p$  is neglected.
4. [ $E_h$  receives all *OPEN*s or *POPEN*s] On receipt of *OPEN*s or *POPEN*s from all the entities in  $C$ ,  $E_h$  broadcasts an *OPENED*  $p$  where  $p.DATA = SK_h(\langle PK_1(R_{SA}), \dots, PK_n(R_{SA}) \rangle)$  after waiting for some time.
5.  $E_h$  receives an *OPENED*  $p$  where  $p.SRC$  is  $SA_j$  and  $p.ADDR$  is  $NA_j$ , and  $RR_{SA_j} = SK_h(PK_{SA_j}(p.DATA)[h])[j]$  ( $j = 1, \dots, n$ ).
  - (1) If  $RR_{SA_j}$  does not include  $\langle Name(E_h), t_h, TYPE \rangle$ ,  $E_h$  neglects  $p$  and removes from  $RR_{SA_1}, \dots, RR_{SA_n}$  every tuple whose system address is  $p.ADDR$ , because the entity denoted by  $p.ADDR$  is not proper.
  - (2) If  $R_{SA_j} \cap RR_{SA_j} \neq \emptyset$ ,  $p$  is neglected. For every  $i$ ,  $R_{SA_i} = R_{SA_i} \cap RR_{SA_i}$ . If some  $R_{SA_i}$  is empty,  $E_h$  aborts  $C$ .
6. On receipt of all the *OPENED* PDUs, every  $R_{SA_j}$  is a singleton in  $E_h$  ( $j = 1, \dots, n$ ). If

all the tuples in  $R_{SA}$  are of *passive* type,  $E_h$  aborts  $C$ . Otherwise, let  $K$  be  $F((t_1, \dots, t_n))$  where  $t_j$  is in  $R_{SA_j}$  ( $j = 1, \dots, n$ ).  $\square$

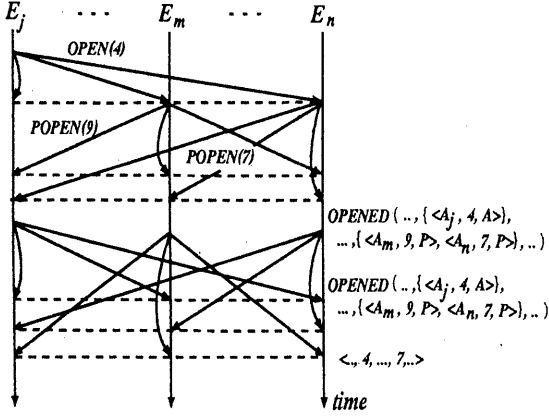


Figure 3: Passive attacker  $E_m$

### 4.3 Completeness

We show that the *SCE* procedure is complete for  $C$ . First, suppose that there is some malicious passive entity  $E_m$  who pretends to be a proper  $E_j$ . Some active  $E_a$  broadcasts an *OPEN* PDU  $p$  with the nonce  $t_a$ . Since  $E_j$  knows the secret key  $SK_j$ ,  $E_j$  can get  $t_a$  from  $p$ . However,  $E_m$  cannot get it.  $E_j$  broadcasts  $t_j$ , and  $E_m$  broadcasts  $t_m$ . Another proper  $E_k$  receives  $t_j$  and  $t_m$  from  $E_j$  and  $E_m$ , respectively. It cannot decide which entity  $E_j$  or  $E_m$  is proper.  $RR_{SA_j}$  includes  $\{(NA_j, t_j, \text{Passive}), (NA_m, t_m, \text{Passive})\}$ . After receiving the *OPEN* or *POPEN* PDUs from all the entities who have system addresses in  $C$ , every entity broadcasts an *OPENED* PDU.  $E_k$  receives the *OPEN* PDU  $p$  from  $E_m$ . Since  $RR_{SA_j}$  carried by  $p$  includes  $t_k$ ,  $E_k$  finds that  $E_m$  whose system address is  $p.ADDR$  is malicious. Thus, malicious passive entities can never join  $C$ .

Figure 3 shows an example of passive attack by malicious entity  $E_m$  pretending to be  $E_n$ .  $E_j$  broadcasts an *OPEN* PDU  $p$  with a nonce 4 in order to establish a secure cluster  $C$ . The proper entities in  $C$  can get the nonce 4 by deciphering  $p$  by their secret keys. They broadcast a *POPEN* with their nonces, e.g.  $E_n$  sends the nonce 7.  $E_m$  broadcasts a *POPEN*  $q$  with some nonce on receipt of  $p$ . Suppose that proper entities, e.g.  $E_j$  and  $E_n$  get a nonce 9 from  $q$ . Since  $E_j$  and  $E_n$  have two nonces 7 and 9 for  $Name(E_n)$ , they

broadcast *OPENED*s with  $(\langle A_m, 9, P \rangle, \langle A_n, 7, P \rangle)$ . Since  $E_m$  cannot get the nonce 4 for  $E_j$ ,  $E_m$  broadcasts an *OPENED* which has a different tuple of nonces from the other entities, the proper entities know that  $E_m$  is malicious and get the same tuple of nonces  $\langle \dots, 4, \dots, 7, \dots \rangle$ . Then, only and all the proper entities in  $C$  get the same cluster key from the same tuple of nonces.

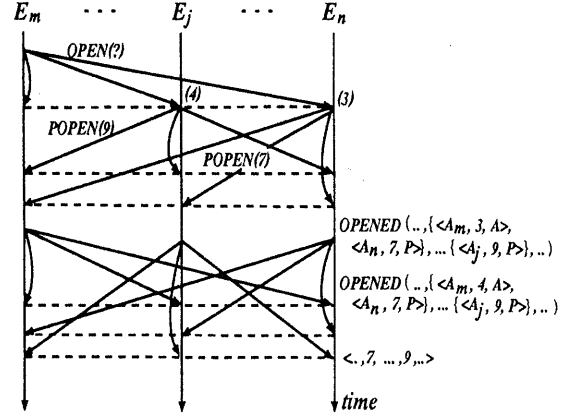


Figure 4: Active attacker  $E_m$

Next, suppose that there exists some active attacker  $E_m$  which pretends to be a proper  $E_k$ . First,  $E_m$  broadcasts an *OPEN*  $p$ . Every  $E_j$  receives  $p$  and obtains some nonce  $tt_k$  by deciphering  $p.DATA$ . Since  $E_m$  broadcasts an arbitrary nonce, each entity may obtain different nonce for  $Name(E_k)$ . Since  $E_m$  does not know the secret key of  $E_k$ ,  $E_m$  does not know the nonce which  $E_j$  obtains from the PDU sent by  $E_m$ .  $E_j$  and  $E_k$  broadcast  $t_j$  and  $t_k$ , respectively. Thus,  $E_j$  receives two nonces  $tt_k$  and  $t_k$  for  $E_m$  and  $E_k$  for  $Name(E_k)$ , respectively, on receipt of the *OPEN* or *POPEN* PDUs from all the entities in  $C$ , every entity broadcasts the *OPENED*. If  $E_m$  broadcasts an *OPENED*  $p$ , every  $E_j$  finds that  $E_m$  is malicious because  $E_m$  does not send back the same nonce sent by  $E_j$ . Every entity agrees that  $E_k$  is proper. If  $E_m$  broadcasts no PDU, every entity times out and finds that  $E_m$  is malicious. In both cases,  $E_m$  cannot obtain the cluster key  $K$  since  $E_m$  cannot know any nonce of the proper entities. Thus, every proper  $E_j$  ( $j = 1, \dots, n$ ) can find which entity  $E_m$  or  $E_k$  is proper. Here, if all the active entities are malicious, the cluster is not established by the step 6 of the *SCE* procedure.  $C$  cannot be established unless there is at least one active proper entity. This means that  $C$  is

never maliciously established where no entity in the cluster want to join it.

In Figure 4, a malicious entity  $E_m$  pretends to be  $E_n$ .  $E_m$  broadcasts an *OPEN* PDU  $p$  with some nonce  $t$ . On receipt of  $p$ , proper entities get the nonce by deciphering  $p$ . Suppose that  $E_j$  gets 4 and  $E_n$  gets 3 as the nonce of  $Name(E_n)$ . Proper entities broadcast *POPEN* PDUs with their nonces, e.g.  $E_j$  and  $E_n$  send the nonces 9 and 7, respectively. After broadcasting *OPEN* and *POPEN* PDUs,  $E_j$  broadcasts a tuple including  $\{(A_m, 4, A), (A_n, 7, P)\}$ , and  $E_h$  broadcasts  $\{(A_m, 3, A), (A_n, 7, P)\}$ . So, the proper entities find that  $E_m$  is malicious. Since  $E_m$  is only one active entity, the cluster is not opened.

As stated above, it is clear for the following theorem to hold.

[Theorem] The *SCE* procedure is complete.  $\square$

#### 4.4 Performance

First, we consider the PDU complexity. In the *SCE* procedure, every entity broadcasts two PDUs, i.e. either *OPEN* or *POPEN*, and *OPENED* PDUs. Let  $n$  be the number of proper entities in  $C$ , and  $m (\leq n)$  be the number of malicious entities. The maximum number of PDUs broadcast to establish  $C$  is  $2 \times (n + m)$ , i.e. all the malicious entities try to join  $C$  by pretending to be some proper entities. On the other hand, the minimum number is  $2 \times n$  i.e. there is no malicious entity.

Next, let us consider the time complexity. Here, we define a *round* to be a maximum delay time to deliver a PDU from one network SAP to all the network SAPs. In the best case, the cluster can be established by 3 rounds when *POPEN* and *OPENED* PDUs are broadcast in parallel. At worst, it takes  $2 \times (n+m)$  rounds where at most one PDU are broadcast simultaneously in the network layer.

The length of the *OPEN* and *POPEN* PDU is  $O(n)$ . The length of the *OPENED* PDU is  $O((n+m) \times n)$ .

### 5 Secure Subcluster

In the group communication like *tele-conferencing* [6], some members of a cluster  $C = \langle E_1, \dots, E_n \rangle$  would rather have more secure communication while having secure cluster communication in  $C$ . For example, it is usual that we would like

to have a private talk with our neighbors in a meeting. Suppose that only  $E_{s_1}, \dots, E_{s_m}$  ( $m \leq n$ ) in  $C$  would like to have secure *private* communication. There are two ways to realize such secure communication. One way is to establish a secure cluster  $D$  for  $E_{s_1}, \dots, E_{s_m}$  independently of  $C$  by using the *SCE* procedure. The other way is to establish a secure *subcluster*  $C_h$  for  $E_{s_1}, \dots, E_{s_m}$  in  $C$ . In this case,  $C_h$  is established by using the secure cluster communication provided by  $C$ . Although every PDU  $p$  broadcast by some  $E_{s_i}$  can be delivered to all the entities in  $C$ ,  $p$  can be understood by only entities  $E_{s_1}, \dots, E_{s_m}$  in  $C_h$ .  $C_h$  is securely established by exchanging the nonces enciphered by the cluster key  $K$  in the same procedure as the *SCE* procedure. In result, only and all  $E_{s_1}, \dots, E_{s_m}$  can obtain the common secret key  $K_h$  for  $C_h$ . If each  $E_{s_i}$  would like to send a PDU  $p$  to only the members of  $C_h$ ,  $E_{s_i}$  enciphers  $p$  by  $K_h$ . In the former approach, the cluster  $D$  for  $E_{s_1}, \dots, E_{s_m}$  has to be established for all the system entities. On the other hand, in the latter *subcluster* approach, the subcluster  $C_h$  is established for  $C$ . In this sense, the subcluster approach has less possibility of having malicious attacks and requires less PDUs to be broadcast to establish  $C_h$  than the former one.

## 6 Concluding Remarks

In this paper, we have presented a protocol which supports the secure group communication among multiple entities by using a less-secure broadcast communication service like the Ethernet. In the broadcast network, every entity can receive PDUs broadcast by every entity. Malicious entities can receive PDUs broadcast and can broadcast PDUs as another entity. In the presence of these attacks, we have shown a complete *secure cluster establishment (SCE)* procedure which can establish a secure cluster for an *establishable* domain based on the public key system. In addition, we have discussed how to establish a secure subcluster in the cluster. At present, we are implementing the secure cluster on the top of the reliable broadcast communication system [11, 12, 13, 16, 17].

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