Recovery Protocol for Hybrid Checkpointing

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Information systems consist of multiple mobile stations and fixed stations communicating with each other. Mission critical applications are required to be executed fault-tolerantly in these systems. However, mobile stations support neither enough volume of storage and processing power nor enough capacity of battery to do reliable communication for a long period. Moreover, wireless communication channels are less reliable. Hence, the communication channels with the mobile stations are often disconnected. Therefore, it is difficult for multiple mobile stations to take checkpoints synchronously since the communication channels with the mobile stations may be disconnected even during taking the checkpoints. We have proposed hybrid checkpointing where checkpoints are taken asynchronously by the mobile stations and synchronously by the fixed stations. In addition, the mobile stations record messages for getting local states consistent with the checkpoints taken by the fixed stations. In this paper, we popose the method how the mobile stations record the messages, gather the messages stored in the stable storages distributed in multiple mobile stations, and recompute the messages in the consistent order.

複合チェックポイントのためのリカバリプロトコル

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移動端末と固定端末がネットワークによって相互接続されて構成される移動体システム環境が一般的になりつつあり、このような環境でアプリケーションが高信頼に実行される要求が高まっている。これまで、固定端末のみからなるネットワーク環境を対象に、チェックポイント・リスタートによってこれを実現する手法が提案されてきた。ところが、チェックポイントデータを格納するための安定記憶を十分に持つことができない、通信チャネルが切断されることがある、といった移動端末の特性のために、これらの手法を適用することは困難であった。我々はこれまでに、固定端末は同期式チェックポイントプロトコル、移動端末は非同期式チェックポイントプロトコルをそれぞれ用いる複合チェックポイントプロトコルを投入でいる。本事では、このを有手生のある状態を獲得するために、1)必要なメッセージを通信ログに記録する方法、2)リカバリが要求されたときに、複数の固定端末に分散記録されたメッセージを集約する方法、3)記録されたメッセージを正しい順番に再実行する方法、を提案する。

1 Introduction

According to the advances of communication and computer technologies, many kinds of mobile stations like notebook computers and personal data assistants (PDAs) are widely available. Intelligent Transport Systems (ITSs) with mobile communications are now being developed. New computation paradigms like nomadic computing [3] are also proposed.

A mobile system is composed of fixed stations and mobile stations interconnected by communication networks. The fixed stations are located at the fixed locations in the network. The mobile stations move from one location to another in the network. The network is divided into a number of cells, i.e. mobile stations move from one cell to another. There is a mobile support station (MSS) in each cell. A mobile station communicates with another station only through the MSS. The MSSs and the fixed stations are interconnected by the high-speed network. The network addresses of the mobile stations are automatically assigned by using DHCP (Dynamic Host Configuration Protocol) [7]. The connections with the mobile stations can be automatically maintained by the mobile protocols [14, 18, 19] even if the mobile stations move among the cells.

The mobile stations sometimes move out of the cells and do not have so much capacity of battery that the communication with the other stations can be continued for a long period. Hence, the communication channels with the mobile stations may be disconnected. However, some applications are computed on mobile and fixed stations and are required to be continued even while the communication channel is disconnected. Many papers [4, 9, 11] discuss how to handle the disconnected operations.

The checkpoint-restart [5, 6, 10, 12, 17, 20-22] is one of the well-known methods to realize reliable distributed systems. Every station s_i takes a checkpoint c_i where the local state information of s_i is stored in the stable storage. If some station fails, s_i restarts the computation from c_i . A set of checkpoints taken by all the stations is required to be consistent [6]. A fixed station F_i can easily take checkpoints consistent with the others by using synchronous distributed checkpointing protocols [6, 8, 12, 17, 20] since F_i can communicate with each other by using the high-speed network and have enough volume of stable storage to store the state information. Papers [13, 16] discuss how the mobile stations M_i take the checkpoint c_{M_i} .

synchronously in the stable storage. However, it is difficult for M_i to take c_{M_i} due to the lack of stable storage and battery capacity. Moreover, it gets more difficult for F_i and M_i to take checkpoints synchronously if the communication channels between M_i and the MSSs are often disconnected.

We assume that every MSS S_i is equipped with enough volume of stable storage to store the local state information of all the mobile stations in the cell of S_i . M_i takes c_{M_i} by storing the local state information in S_i . M_i may fail to take c_{M_i} due to the lack of battery capacity or the movement to the outside of the cell. If the checkpoints are taken synchronously, all the stations have to give up to take the checkpoints if some mobile station fails to take the checkpoint. Hence, asynchronous checkpointing protocols [5, 10, 21, 22] are preferable for the mobile stations. Papers [1,15] propose the mobile asynchronous checkpointing protocols. Here, the protocol overhead is high since S_i is required to take a new checkpoint of M_i each time a message is transmitted between them. In this paper, we newly propose a hybrid checkpointing protocol where the checkpoints are asynchronously taken by the mobile stations while synchronously by the fixed stations. Here, a checkpoint c_{M_i} of M_i is taken only when M_i sends a checkpointing request to S_i . Hence, the number of accesses to the stable storages of the MSSs can be reduced. Therefore, the hybrid checkpointing protocol makes the mo-bile systems so reliable that the mission critical applications can be computed with less overhead.

The rest of this paper is organized as follows. In section 2, we show the system model. In section 3, we overview the hybrid checkpointing protocol. In section 4, the recovery protocol for the hybrid checkpointing protocol is discussed.

2 System Model

A distributed system $\mathcal{S}=\langle \mathcal{V},\mathcal{L}\rangle$ is composed of multiple stations $\mathcal{V}=\{s_1,\ldots,s_n\}$ interconnected by communication channels $\mathcal{L}\subseteq\mathcal{V}^2$. The computation is realized by cooperation of multiple stations communicating with each other by exchanging messages through the channels. $\langle s_i,s_j\rangle\in\mathcal{L}$ indicates a channel from s_i to s_j . We assume that each channel $\langle s_i,s_j\rangle$ is reliable and bidirectional. In s_i , two kinds of events occur: communication events and local events. A local state of s_i is assumed to be changed when a communication event, i.e. a message-sending event s(m) or a message-receipt event r(m) of a message m, occurs. Hence, a local state of s_i is determined by the initial state and the sequence of communication events occurring in s_i .

In a mobile computing system \mathcal{MS} , there are three kinds of stations: fixed stations F_1,\ldots,F_f , mobile stations M_1,\ldots,M_m and mobile support stations (MSSs) S_1,\ldots,S_s as shown in Figure 1. Every F_i is connected at the fixed location of the network. Each M_i moves from one location to another. If M_i is in a cell supported by S_j, M_i communicates with S_j by using the wireless or cable communication channel. S_j forwards messages from M_i to the destination stations and delivers the messages from the other stations to M_i . The connection between M_i and another station is automatically maintained by the cooperation of the

MSSs even if M_i moves among the cells [14,18,19]. The fixed stations and the MSSs are interconnected by the high-speed network.

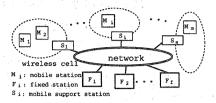


Figure 1: Mobile computing system.

Each M_i does not have so much capacity of battery that M_i can continue to communicate with an S_j for a long period. Hence, M_i often disconnects the connection with another station in order to reduce the power consumption of the battery while the applications are being computed in M_i . Furthermore, since M_i has neither enough computation power nor enough volume of storage like hard-disks, it is difficult for M_i to take checkpoints by itself. In this paper, we discuss a way where a mobile station stores the local state information in a stable storage of an MSS at a checkpoint and messages sent and received by the mobile station after the checkpoint are also stored in the MSS to realize consistent recovery.

3 Hybrid Checkpointing

3.1 Overview

The computation in \mathcal{MS} is realized by cooperation of mobile stations M_1, \ldots, M_m and fixed stations F_1, \ldots, F_f . Each M_i is in one of the cells supported by MSSs S_1, \ldots, S_s . Here, M_i is supported by S_j and S_j is a current MSS of S_j . The stations exchange messages by using the mobile communication protocol [14, 18, 19]. That is, each stations can communicate with the others without being conscious of the locations of the stations.

The advantage of the synchronous checkpointing protocols is that the computation can be restarted without domino effect. However, it is difficult for M_i to take c_{M_i} synchronously. Here, we propose a hybrid checkpointing protocol which has the following properties:

 The fixed stations take local checkpoints by using the synchronous checkpointing protocol. A collection of the checkpoints taken by the fixed stations is referred to as a coordinated checkpoint.

 The mobile stations take local checkpoints by using the asynchronous checkpointing protocol.

The state information of M_i at c_{M_i} is stored in the stable storage of S_j which is the current MSS of M_i . In addition, the messages sent and received by M_i are also stored in the stable storage of S_j . M_i fails to take c_{M_i} if the channel between M_i and S_j is disconnected owing that M_i moves out of the cell or the battery of M_i is exhausted during taking c_{M_i} . Thus, M_i takes c_{M_i} when M_i surely could take c_{M_i} . That is, M_i takes c_{M_i} only if M_i does not move out of the cell and has enough capacity of battery to take c_{M_i} . Therefore, M_i

asynchronously takes c_{M_i} , i.e. independently of the other stations.

3.2 Checkpointing protocol

In the hybrid checkpointing protocol, the fixed stations F_1,\ldots,F_f synchronously take a coordinated checkpoint $\mathcal{CC}=\langle c_{F_1},\ldots,c_{F_f}\rangle$ while the mobile stations M_1,\ldots,M_m asynchronously take local checkpoints c_{M_1},\ldots,c_{M_m} . Each M_i has to restart the computation from a state consistent with \mathcal{CC} . However, c_{M_i} is not always consistent with \mathcal{CC} because M_i takes c_{M_i} independently of the other stations. Hence, M_i restarts the computation by using the message log [2]. Here, the messages sent and received after c_{M_i} by M_i are stored in the message log in the stable storage of the current MSS S_j . If M_i restarts the computation, M_i recomputes the messages stored in the message log to get the state consistent with \mathcal{CC} .

Suppose M_i is supported by S_i^j . Since every message sent and received by M_i is transmitted via S_i^j , the message can be stored in the stable storage of S_i^j even if M_i has no stable storage. A checkpoint agent process A_i^j in S_i^j records messages sent and received by M_i in the message log m_i^j on behalf of M_i . Moreover, A_i^j takes the local checkpoint c_{M_i} of M_i by recording the state information in the state log sl_i^j if M_i requests A_i^j to take c_{M_i} .

 F_1, \ldots, F_f take CC by using the following protocol proposed in [12]:

[Coordinated Checkpoint CC]

1) A coordinator station CS sends a request message Creq to F_1, \ldots, F_f and S_1, \ldots, S_s .

2) On receipt of Creq, each F_i and S_j take a tentative checkpoint tc_{F_i} and tc_{S_j} , respectively, and send back a reply message Crep to CS.

If CS receives Creps from all the stations, CS sends a final message Cfin to F₁,..., F_f and S₁..., S_r.

On receipt of Cfin, each F_i and S_j makes tc_{Fi} and tc_{Sj} permanent, i.e. c_{Fi} and c_{Sj}, respectively.

In order for \mathcal{CC} to be consistent, each station suspends the computation and the transmission of application messages while the station has a tentative checkpoint.

Next, we discuss how M_i takes c_{M_i} . Here, suppose M_i is supported by S_i^j . The checkpoint agent A_i^j in S_i^j takes a tentative local checkpoint tc_{M_i} independently of the other stations. The state information required for M_i to restart the computation from tc_{M_i} is carried by a tentative checkpoint request message TCreq. On receipt of TCreq, A_i^j stores the state information of M_i in the tentative state log tsl_i^j in the volatile storage of S_i^j .

[Tentative checkpoint tc_{M_i} in A_i^j]

1) M_i sends TCreq to A_i^j . TCreq carries the state information SI_i of M_i .

 On receipt of TCreq, A_i^j takes tc_{Mi} of M_i by storing SI_i in tsl_i^j. If some checkpoint agent $A_i^k \ (k < j)$ has taken another tentative checkpoint tc'_{M_i} of M_i , A_i^j requests A_i^k to discard tc'_{M_i} .

Let (A_i^1,\ldots,A_i^c) be a sequence of checkpoint agents where A_i^1 has tc_{M_i} and A_i^c is the current checkpoint agent of M_i . If S_i^c receives Creq, A_i^1 makes tc_{M_i} a permanent checkpoint c_{M_i} by moving the state information from tsl_i^1 in the volatile storage to sl_i^1 in the stable storage of S_i^1 . In addition, A_i^k $(1 \leq k \leq c)$ moves the messages from tml_i^k in the volatile storage to ml_i^k in the stable storage of S_i^k .

[Permanent checkpoint c_{M_i} in A_i^j]

If S_i¹ receives Creq, A_i¹ moves the state information from tsl_i¹ to sl_i¹ before S_i¹ sends back Crep.

If S_i^k (k ≠ 1) receives Creq, A_i^k moves the
messages from tml_i^k to ml_i^k before S_i^k sends
back Crep.

If there is another permanent checkpoint c'_{M_i} when c_{M_i} is taken, c'_{M_i} and the messages for the recovery can be discarded from the stable storage after taking c_{M_i} .

There are three cases with respect to in which order A_i^j receives Creq and TCreq messages:

- 1) If A_i^j receives TCreq before Creq, i.e. A_i^j takes tc_{M_i} before receipt of Creq, tc_{M_i} is changed to c_{M_i} . That is, the messages in tml_i^j and the state information in tsl_i^j in the volatile storage are stored in ml_i^j and sl_i^j in the stable storage, respectively [Figure 2].
- 2) If A_i^j receives TCreq and TCreq' successively, i.e. A_i^j takes tc_{M_i} on receipt of TCreq and receives TCreq' without receiving Creq, tc_{M_i} is discarded and A_i^j takes another tentative checkpoint tc'_{M_i} . The messages in tml_i^j recorded between tc_{M_i} and tc'_{M_i} are discarded [Figure 3].
- 3) If A_i² receives Creq and Creq' successively, i.e. A_i^j takes c_{M_i} on receipt of Creq and receives Creq' without receiving TCreq, c_{M_i} is still a permanent checkpoint. The messages in tml_i^j are stored in ml_i^j [Figure 4].

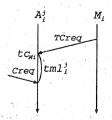


Figure 2: TCreq and Creq (1).

The hybrid checkpointing protocol has the fol-

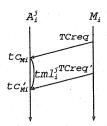


Figure 3: TCreq and Creq (2).

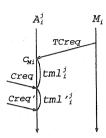


Figure 4: TCreq and Creq (3).

lowing properties:

Each M_i has one permanent checkpoint c_{Mi}
consistent with the most recent CC.

 Each M_i has at most one tentative checkpoint tc_M.

4 Recovery Protocol

4.1 Message ordering for recovery

Suppose A_i^j receives two messages m and m'destined to M_i in this order. M_i receives m and m' forwarded by A_i^j in the same order. Next, suppose M_i sends m and m' in this order. A_i^j forwards m and m' to the destinations in the same order as M_i sends. Then, suppose M_i sends m'after receipt of m. A_i^j forwards m to M_i before receipt of m'. In these cases, A_i^j can keep the sequence of the messages exchanged with M_i in ml_i^j . However, if M_i sends m' before receipt of m, A_i^j may receive m' after sending m as shown in Figure 5. This means that A_i^j cannot know the occurrence sequence of the communication events in M_i . Hence, if M_i restarts the computation from c_{M_i} and recomputes the messages in ml_i^j , the state of M_i may be inconsistent with CC. In order that A_i^j records the messages in the same order as handled in Mi, each message m carries two sequence numbers m.seq and m.ack. Here, let m.sender and m.receiver mean the sender and receiver of m, respectively.

• m has a unique sequence number m.seq. If m is sent after a message m', m.seq > m'.seq.

m.ack means that m.s receives every message m' where $m'.seq \leq m.ack$. That is, m.ack is the same as m'.seq of a message m' that is the

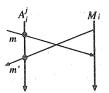


Figure 5: Crossing messages.

most recently received message by m.sender.

If A_i^j sends or receives messages m and m' in this order, m and m' are ordered in ml_i^j according to the following ordering rules:

[Ordering rules]

 If m and m' are sent by the same sender, i.e. m.sender = m'.sender, m precedes m'.

If m and m' are sent by different senders, i.e. m.sender ≠ m'.sender, m precedes m' if m.seq ≤ m'.ack. Otherwise, m' precedes m.

In Figref 5, m.seq > m'.ack because M_i sends m' before receipt of m. Hence, m' precedes m although A_i^j sends m before m'. Thus, A_i^j stores m' before m in mt_i^j and a sequence of messages in mt_i^j is the same as M_i handles the messages.

Suppose that M_i is initially supported by S_i^l and moves from S_i^k to S_i^{k+1} ($1 \le k < c$). S_i^c is the current MSS of M_i . In each S_i^k , there exists a checkpoint agent A_i^k of M_i . $\langle A_i^1, \ldots, A_i^c \rangle$ is a sequence of checkpoint agents and A_i^c is a current checkpoint agent of M_i . Each A_i^k stores the messages exchanged with M_i in a tentative message log tml_i^k in the volatile storage of S_i^k . Hence, a sequence of messages that M_i has sent and received are stored in a sequence of the message logs $\langle tml_i^1, \ldots, tml_i^c \rangle$.

4.2 Message logging for recovery

In $\langle A_i^1,\ldots,A_i^c\rangle$, suppose A_i^1 and A_i^t $(1 < t \le c)$ have c_{M_i} and tc_{M_i} , respectively. That is, A_i^1 and A_i^t receive TCreq from M_i and some A_i^u (1 < u < t) receives Creq. Since A_i^v $(1 \le v \le u)$ stores the messages exchanged with M_i in ml_i^v , M_i gets a state consistent with CC by computing the messages in ml_i^v from c_{M_i} at which the state information is in sl_i^1 . The messages forwarded by A_i^k $(u < k \le c)$ are stored in tml_i^k . When tc_{M_i} taken by A_i^t is changed to c_{M_i} on receipt of Creq, some messages in tml_i^k (u < k < t) can be discarded since these messages never be recomputed for restarting the computation of M_i from c_{M_i} . Here, we discuss which messages have to be stored in the stable storage of A_i^j .

Suppose A_i^j sends a messages m to M_i while receiving TCreq and Creq. There are the following four cases:

1) A_i^j sends m after receipt of TCreq and before receipt of Creq like m_1 in Figure 6. M_i recom-

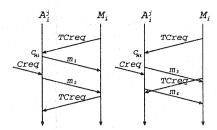


Figure 6: Logging m from A_i^j to M_i .

putes m if M_i is restarted from c_{M_i} . Hence, m is stored in ml_i^j in the stable storage on receipt of Creq.

- A_i^j sends m after receipt of Creq and before receipt of TCreq, and M_i receives m before sending TCreq like m₂ in Figure 6. Since M_i restarts the computation from a state consistent with CC without m, m is discarded.
- 3) A_i^j sends m before receipt of Creq, and M_i receives m after sending TCreq like m₃ in Figure 6. M_i recomputes m if M_i is restarted from c_{M_i}. Hence, m is stored in ml_i^j in the stable storage on receipt of Creq. In addition, m has to be recorded for M_i to restart the computation from tc_{M_i} if tc_{M_i} is changed to be permanent. Thus, m is still in tml_{ij} in the volatile storage even after receipt of TCreq.
- 4) A_i^j sends m after receipt of Creq and before receipt of TCreq, and M_i receives m after sending TCreq like m₄ in Figure 6. Though M_i restarts the computation from a state consistent with CC without m, m has to be recorded for M_i to restart the computation from tc_{Mi} if tc_{Mi} is changed to be permanent. Thus, m is recorded in tml_i^j even after receipt of TCreq.

A messages which can be discarded is referred to as insignificant. When A_i^j forwards m to M_i , A_i^j cannot identify which case from 1) to 4) m shows. Thus, A_i^j records every message in tml_i^j in the volatile storage of S_i^j . If A_i^j receives TCreq and m is insignificant, A_i^j discards m from tml_i^j .

Next, suppose A_i^j receives a messages m from M_i while receiving TCreq and Creq. There are following two cases:

- A_i^j receives m after receipt of TCreq and before receipt of Creq like m₁ in Figure 7.
 M_i recomputes m for restarting from c_{Mi}.
 Hence, A_i^j stores m in ml_i^j in the stable storage on receipt of Creq.
- A_i^l receives m after receipt of Creq and before receipt of TCreq like m₂ in Figure 7. If m.ack < m_i.seq where m_i is the message most recently sent by A_i^l to M_i before receipt of Creq, M_i recomputes m for restarting from c_{Mi}. Hence, A_i^l stores m in m_i^l in the stable storage on receipt of Creq. Otherwise, m is

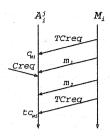


Figure 7: Logging m from M_i to A_i^j .

discarded.

The procedure for logging the messages in A_i^j is as follows:

[Message logging in A_i^j]

- On sending m to M_i , m is stored in tml_i^j .
- On receipt of m from M_i , m is stored in tml_i^j if some A_i^k (k < j) has tc_{M_i} . If no A_i^k has tc_{M_i} and $m.ack < m_l.seq$ where m_l is the message most recently transmitted from A_i^j to M_i before receipt of the most recent Creq, m is stored in ml_i^j . Otherwise, m is discarded.
- On receipt of TCreq, m ∈ tmlⁱ_i transmitted from Aⁱ_i to M_i is removed from tml_{ij} and discarded if m.seq ≥ TCreq.ack.
- On receipt of Creq, all the messages in tml^j are stored in ml^j.

4.3 Restart protocol for recovery

We discuss how to restart the fixed stations and the mobile stations if some station is faulty. F_1, \ldots, F_f restart the computation from CC by using the restart protocol in [12].

[Restarting F_i from $c_{F_i} \in CC$]

- 1) A coordinator station CS sends a request messages Rreq to F_1, \ldots, F_f and S_1, \ldots, S_s .
- On receipt of Rreq, each F_i and S_j send back a reply message Rrep to CS.
- 3) If CS receives Rreps from all the stations, CS sends a final messages Rfin to F_1, \ldots, F_f and S.
- On receipt of Rfin, each F_i and S_j restart the computation from c_{Fi} and c_{Sj}, respectively.

In order to restart M_1,\ldots,M_m from states consistent with \mathcal{CC} , the mobile agents have to cooperate. Let $\langle A_i^1,\ldots,A_i^c\rangle$ be a sequence of checkpoint agents of M_i where A_i^1 has c_{M_i} , some A_i^t $(1 < t \le c)$ has tc_{M_i} , and A_i^c is the current agent. That is, A_i^1 and A_i^t receive TCreq and some A_i^u $(1 \le u \le t)$ receives Creq. The messages transmitted between M_i and A_i^v $(1 \le v \le u)$ are stored in ml_i^v in the stable storage and recomputed by M_i to get a state consistent with \mathcal{CC} . Here, the following restart protocol is used:

[Restarting M_i from c_{M_i}]

1) If S_i^c receives Rreq, A_i^c sends a state log request message SLreq to A_i^1 and a message log

request message MLreq to every A_i^v (1 < v < u).

 On receipt of SLreq, A_i¹ sends A_i^c back a state log reply message SLrep containing the state information at c_i stored in sl_i^1 .

3) On receipt of MLreq, each A_i^v sends A_i^c back a message log reply message MLrep containing the messages stored in ml_i^v .

4) A; sends a tentative state log cancellation request message SLCreq to A_i^t .

5) On receipt of SLCreq, A_i^t discards tc_{M_i} , i.e. discards the messages in tsl_i^t , and sends A_i^c back a tentative state log cancellation reply message SLCrep.

6) A; sends a message log cancellation request message MLCreq to every A_i^k $(u \le k < c)$.

7) On receipt of MLCreg, Ak discards the messages in tml_i^k and sends A_i^c back a message log cancellation reply message MLCrep.

8) On receipt of SLrep, MLreps, SLCrep and *MLCreps* sent at steps 2), 3), 5) and 7), respectively, A_i^c forwards them to M_i .

9) On receipt of the messages sent at step 8), M; gets a state consistent with CC by using the state information at cm. carried by SLrep and recomputing the messages carried by MLreps in the order discussed in subsection 4.1.

Concluding Remarks

It is significant to discuss how to make the mobile systems more reliable and available. In order to realize the reliable mobile computation, we have discussed how to take the checkpoints and restart the computation in the mobile stations and the fixed ones. In this paper, we have proposed the recovery protocol for the hybrid checkpointing protocol where the mobile stations asynchronously take the local checkpoints and the fixed ones synchronously take the local checkpoints. We will evaluate the proposed protocols in a simulation and an implementation of a prototype system.

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