

## ペトリネットの発火系列問題に対する近似アルゴリズム

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概要：本論文はペトリネットの発火系列問題（LFSと略記）に対する性能の良い近似アルゴリズム YWLFS を提案する。ペトリネットの発火系列問題とは、ペトリネットPN, 初期マーキングMと発火回数ベクトルX（その要素 $X(t)$ が各トランジション $t$ の発火回数を表す）が与えられたとき、各トランジション $t$ がその中に合計で丁度 $X(t)$ 回現れ、且つMから順に発火可能であるトランジションの系列が存在するか否かを判定し、存在するならばその様な一つの系列 $\delta$ を求める問題である。この問題は非常に簡単な構造を持つペトリネットに対してさえNP-完全であることが知られている。実験では、これまでの当研究室での関連研究において既に $\delta$ の存在が既知である2181個の入力例に対してYWLFSを適用した。その結果、YWLFSは2050個(94%)の入力例について実際に $\delta$ を見つけ、 $\delta$ の発見に不成功であった131個の入力例については既知の $\delta$ の長さの平均72%までの長さの発火可能な系列を求めている。このことからYWLFSは高い能力を持つものと思われる。

## An Approximation Algorithm for the Legal Firing Sequence Problem of Petri Nets

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**Abstract:** The paper proposes an approximation algorithm YWLFS for the *legal firing sequence problem*, LFS for short, of Petri nets: "Given a Petri net, an initial marking M and a firing count vector X (with each component  $X(t)$  denoting the prescribed total firing number of a transition  $t$ ), find a firing sequence  $\delta$  which is legal on M with respect to X, where  $\delta$  is a sequence of transitions and is called *legal on M with respect to X* if and only if the first transition of  $\delta$  is fireable on M, the rest can be fired one by one subsequently and each transition  $t$  appears exactly  $X(t)$  times in  $\delta$ ." Experimental results show that YWLFS is a promising algorithm: when it is applied to 2181 total test problems for each of which existence of a solution is guaranteed, it finds solutions to 2050 problems (94%), and, for each of 131 problems to which YWLFS failed to get solutions, average length of  $\delta$  found by YWLFS is 72% of the total length of a corresponding solution.

## 1. Introduction

An approximation algorithm *YWLFS* for the *legal firing sequence problem* (LFS for short) of Petri nets is proposed and experimental evaluation is given. LFS is defined as follows:

(LFS) Given a Petri net  $PN=(P,T,E,\alpha,\beta)$ , an initial marking  $M$  and a firing count vector  $X$ , with each component  $X(t)$  denoting the prescribed total firing number of a transition  $t$ , find a firing sequence  $\delta$  which is legal on  $M$  with respect to  $X$ , where  $\delta$  is a sequence of transitions and is called *legal on  $M$  with respect to  $X$*  if and only if the first transition of  $\delta$  is fireable on  $M$ , the rest can be fired one by one subsequently and each transition  $t$  appears exactly  $X(t)$  times in  $\delta$ .

LFS is fundamental in the sense that it is included, as a subproblem, in various basic problems of Petri net theory. For example,

- (1) classical scheduling problems [1,4,5] or cyclic scheduling problems that can be formulated as LFS for timed Petri nets (Petri nets in which transitions have delays that is integers) [16,18];
- (2) the minimum initial resource allocation problem (called the *minimum initial marking problem*, MIM for short) for Petri nets  $PN$  (see [12,13,14,17,19] for the definition and approximation algorithms with experimental results);
- (3) the well-known marking reachability problem, asking for a firing sequence  $\delta$  whose firing changes a given initial marking to a specified target marking [8,9].

In [12,13,15], LFS is introduced and its time complexity is formally analyzed. Unfortunately the recognition version (that is, asking "yes" or "no" on the existence of solutions) of LFS is shown to be intractable (NP-complete) for a restricted class of problems. Polynomial or pseudo-polynomial time solvability of LFS for some classes of Petri nets having simple structure is also given. The details will be explained in Section 3. Even though intractability of LFS has been shown, it does not seem that any approximation algorithm for LFS has ever been proposed. A firing sequence  $\delta$  which is legal on  $M$  with respect to  $X$  is called a *solution to LFS*, and let  $\bar{\delta}$  denote the firing count vector such that  $\bar{\delta}(t)$  is equal to the total occurrence of  $t$  in  $\delta$ . We consider a firing sequence  $\delta$  as an approximate solution to LFS in the following sense:

- (i)  $\delta$  is legal on  $M$ ;
- (ii)  $\bar{\delta}(t) \leq X(t)$  for any transition  $t \in T$  of  $PN$ ;
- (iii) the total length  $|\delta|$  of  $\delta$  is as large as possible among those firing sequences  $\delta'$  satisfying (i) and (ii).

The paper proposes an approximation algorithm *YWLFS* for LFS. Time complexity of *YWLFS* is  $O(\bar{X} \cdot |X| \cdot |T| \cdot |E|)$ , where  $\bar{X} = \max\{X(t) | t \in T\}$  and  $|X|$  denotes the total sum of  $X(t)$ ,  $\forall t \in T$ . Note that *YWLFS* is a pseudo-polynomial time algorithm. Experimental results show that *YWLFS* is a promising algorithm: when it is applied to 2181 test problems for each of which existence of a solution is guaranteed by the results given in [16-19], it finds solutions to 2050 problems (94%) of them, and, for each of 131 problems to which *YWLFS* failed to get solutions, average length of  $\delta$  found by

*YWLFS* is 72% of the total length of a corresponding solution. More precisely, *YWLFS* finds solutions to 1765 problems (98%) of 1800 test problems with Petri nets having  $5 \leq |P| \leq 90$ ,  $9 \leq |T| \leq 99$ ,  $34 \leq |E| \leq 554$ ,  $9 \leq |X| \leq 297$  and state machines as underlying Petri nets (see Section 2 for the definition); to 285 problems (75%) of 381 problems with those having  $15 \leq |P| \leq 97$ ,  $13 \leq |T| \leq 97$ ,  $67 \leq |E| \leq 536$ ,  $13 \leq |X| \leq 291$  and general Petri nets as underlying ones, where  $|P|$ ,  $|T|$  and  $|E|$  are the numbers of places, transitions and edges, respectively. For each of 35 problems having state machines (96 problems having general Petri nets, respectively) as underlying Petri nets such that *YWLFS* failed to get solutions, average length of  $\delta$  found by *YWLFS* is 94% (64%) of the total length of a corresponding solution.

## 2. Preliminaries

Technical terms or notations whose definitions are not given in this paper can be identified in [10,11]. We assume that the readers are familiar with graph theory terminologies (see [2] for example). A *Petri net* is a bipartite digraph  $PN=(P,T,E,\alpha,\beta)$ , where  $P$  is the set of *places*,  $T$  is that of *transitions* such that  $P \cap T = \emptyset$ , and  $E = E_{in} \cup E_{out}$  is an edge set such that  $E_{in} = \{\text{edges from } T \text{ to } P\}$  and  $E_{out} = \{\text{edges from } P \text{ to } T\}$  with weight functions  $\alpha: E_{out} \rightarrow \mathbb{Z}^+$  (non-negative integers) and  $\beta: E_{in} \rightarrow \mathbb{Z}^+$ . We always consider  $PN$  to be a simple directed digraph unless otherwise stated.  $PN$  is a *marked graph* if any  $p \in P$  has  $|p| \leq 1$  and  $|t^*| \leq 1$ .  $PN$  is a *state machine* if any  $t \in T$  has  $|t| \leq 1$  and  $|t^*| \leq 1$ .  $PN$  is a *free choice net* if, for each arc  $(p,t) \in E_{out}$ , either  $p^* = \{t\}$  or  $t^* = \{p\}$  holds.  $PN$  is a *marked graph* if any  $p \in P$  has  $|p| \leq 1$  and  $|p^*| \leq 1$ .  $PN$  is a *state machine* if  $(\forall t \in T) |t| \leq 1$ ,  $|t^*| \leq 1$ .  $PN$  is a *free choice net* if, for each arc  $(p,t) \in E_{out}$ , either  $p^* = \{t\}$  or  $t^* = \{p\}$  holds.  $PN$  is a *forward or backward conflict-free* Petri net if  $|p^*| = 1$  or  $|p| = 1$  for  $\forall p \in P$ , respectively.  $PN$  with an initial marking  $M$  is called *persistent* if, for any marking  $M'$  that is reachable from  $M$ ,  $t_1$  is fireable on  $M'$  [2] whenever both  $t_1$  and  $t_2$  are fireable on  $M'$ . It is shown in [7] that conflict-free Petri nets are persistent. (See [6] for the related results.)

Let  $A = A^+ - A^- = [a_{ij}^+; -a_{ij}^-]$  denote a  $|P| \times |T|$  matrix, called the *place-transition incidence matrix* of  $PN$ , which is defined by

$$a_{ij}^+ = \begin{cases} \beta(t_j, p_i) & \text{if } (t_j, p_i) \in E, \\ 0 & \text{otherwise,} \end{cases} \quad a_{ij}^- = \begin{cases} \alpha(p_i, t_j) & \text{if } (p_i, t_j) \in E, \\ 0 & \text{otherwise.} \end{cases}$$

A *marking*  $M$  for  $PN$  is a function  $M: P \rightarrow \mathbb{Z}^+$ , and  $|M|$  denotes the total sum of  $M(p)$  over all  $p \in P$ . A transition  $t$  is *fireable* on a marking  $M$  if  $M(p) \geq \alpha(p,t)$  for  $\forall p \in t^*$ . *Firing* such  $t$  on  $M$  is to define a marking  $M'$  such that, for  $\forall p \in P$ , we have  $M'(p) = M(p) + \beta(t,p)$  if  $p \in t^* - t^*$ ,  $M'(p) = M(p) - \alpha(p,t)$  if  $p \in t^* - t^*$ ,  $M'(p) = M(p) - \alpha(p,t) + \beta(t,p)$  if  $p \in t^* \cap t^*$  and  $M'(p) = M(p)$  otherwise. We denote as  $M' = M[t >]$ . If no transition is fireable on a marking  $M$  then  $M$  is called a *dead marking*. Let  $\delta = t_1 \dots t_k$  be a sequence of transitions, called a *firing sequence*, and  $\bar{\delta}(t)$  be the total number of occurrences of  $t$  in  $\delta$ .  $\bar{\delta} = [\bar{\delta}(t_1) \dots \bar{\delta}(t_n)]^T$  ( $n = |T|$ ) is called the *firing count vector* of  $\delta$ . Let  $|\delta|$  denote the

sum of  $\bar{\delta}(t)$  over all  $t \in T$ .  $\delta$  is called *single-round* if each transition  $t$  in  $\delta$  occurs  $\bar{\delta}(t)$  times consecutively, and is called *multi-round* otherwise. For a marking  $M$  and an  $n$ -dimensional vector  $X = [x_1 \dots x_n]^T$ ,  $\delta$  is *legal* on  $M$  if and only if  $t_{ij}$  is fireable on  $M_{j-1}$  for  $j=1, \dots, s$ , where  $M_0 = M$  and  $M_j = M_{j-1} [t_{ij}]$ . We denote  $M[\delta] = M_g$ .  $\delta$  is *legal* on  $M$  with respect to  $X$  if and only if  $\delta$  is legal on  $M$  and  $\bar{\delta} = X$ . An  $n$ -dimensional vector  $X$  with each component being a nonnegative integer is called a *T-invariant* of PN if and only if  $X \neq 0$  and  $AX = 0$ . Let  $m = |P|$ . An  $m$ -dimensional vector  $Y$  with each component being a nonnegative integer is called a *P-invariant* of PN if and only if  $Y \neq 0$  and  $Y^T A = 0$ . (A *T-invariant* or a *P-invariant* plays an important role in Petri net theory. See [10, 11].) Let  $|X|$  denotes the total sum of  $X(t)$ ,  $\forall t \in T$ , and let  $\bar{X} = \max\{X(t) | t \in T\}$ . The support  $\|X\|$  of a vector  $X$  is the set of transitions whose  $X$  components are nonzero. PN is *consistent* if PN has a *T-invariant*  $X$  with  $\|X\| = T$ . A *T-invariant*  $X$  of PN is *elementary* if there is no *T-invariant*  $X'$  of PN such that  $\|X'\| \subset \|X\|$  ( a proper inclusion ). See [3] for the details of *NP-completeness* or *NP-hardness*. For any nonnegative real number  $x$ , let  $\lfloor x \rfloor$  denote the maximum integer not greater than  $x$ .

Given a Petri net  $PN = (P, T, E, \alpha, \beta)$ , we may add a set  $L$  of places, called *processor pools*, and associate each  $p \in L$  with a set  $N(p) \subset T$  by adding two edges  $(p, t), (t, p)$  for any  $t \in N(p)$ , where  $N(p) \cap N(p')$  may be nonempty even if  $p \neq p'$ . This is often the case with timed Petri nets, where such a transition  $t \in N(p)$  represents a task to be processed by a processor denoted by a place  $p$ . (See [16-19] for timed Petri nets and related discussions concerning scheduling problems.) In this case the original Petri net PN is often called the *underlying Petri net* of the resulting one.

### 3. The Legal Firing Sequence Problem LFS

We explain intractability of LFS and the basic idea of the approximation algorithm *YWLFS*, by using an example. Also summarized are known results on LFS.

First a simple example of LFS is given.

**Example 1.** Consider the Petri net PN shown in Fig.1, and suppose that we are given a firing count vector  $X$  and an initial marking  $M_0$  as

$$X = [X(t_1), X(t_2), X(t_3), X(t_4)]^T = [1, 1, 1, 1]^T,$$

$$M_0 = [M_0(p_1), M_0(p_2), M_0(p_3), M_0(p_4)]^T = [1, 0, 0, 0]^T.$$

There is a firing sequence  $\delta = t_1 t_2 t_3 t_4$  which is legal on  $M_0$  with respect to  $X$ . There also exist a firing sequence  $\delta' = t_1 t_4$  which is legal on this marking  $M_0$ . Clearly  $\bar{\delta}$  is not equal to  $X$ . If we unfortunately select  $\delta'$  then backtracking is required. For example, if we choose  $\delta'$  then we reach

$$M_0' = M_0[\delta'] = [0, 0, 0, 1]^T,$$

which is a dead marking. Let  $M$  and  $X_{rest}$  denote the current marking and the current firing count vector, and initially we set  $M \leftarrow M_0$  and  $X_{rest} \leftarrow X$ . We also consider a set of transitions:

$$F = \{t \in T | X_{rest}(t) > 0 \text{ and } (\forall p \in *t) M(p) \geq \alpha(p, t)\}.$$

If  $F = \{t\}$  then the only possible choice is the transition  $t$ , and we concatenate  $t$  at the end of the current firing sequence  $\delta$  as

$\delta \leftarrow \delta \cdot t$ . The point is how we handle the case where  $|F| \geq 2$ . In this example consider the marking  $M = [0, 1, 0, 0]^T = M_0 [t_1]$ . Then we have  $F = \{t_2, t_4\}$ , and the one avoiding reachability to dead markings is to be selected. What we are requiring here is a certain measure showing that  $t_2$  is the one to be fired next. The approximation algorithm *YWLFS* to be proposed in this paper computes a value  $effect(t)$  for every transition  $t$  in  $F$ , and choose a transition  $t_f$  with  $effect(t_f) = \max\{effect(t) | t \in F\}$  as the one to be fired next. The details of computing  $effect(t)$  will be given later in Section 4. Here we give only the values  $effect(t)$ ,  $t \in \{t_2, t_4\}$ :

$$effect(t_2) = 3 \text{ and } effect(t_4) = 0.$$

Hence  $t_2$  is selected as desired. Intuitively speaking, these values in this example mean the following: if  $t_2$  is fired once then the produced token is used in making three transitions fireable, while firing  $t_4$  has no such transition. In fact,  $t_3$  is made fireable by firing  $t_2$ , and then both  $t_2$  and  $t_4$  become fireable after firing  $t_3$ . (It should be noted that  $effect(t)$  does not always denote the number of such transitions but shows possibility of their existence.) *YWLFS* repeats the three processes in this order: computing  $effect(t)$  for all  $t \in T$ , finding  $t_f$  with  $effect(t_f) = \max\{effect(t) | t \in T\}$  and then fire  $t_f$ . ♦

The known results concerning LFS are summarized in the following theorems.

**Theorem 1** [12,13,15]. LFS is *NP-complete* even if PN is a consistent free choice net with  $l^*p > 0$ ,  $0 < l^* \leq 2$  for  $\forall p \in P$  and  $l^*t > 0$ ,  $l^* > 0$  for  $\forall t \in T$ ,  $|M| = 1$  and  $X = 1$  is a *T-invariant* with  $\|X\| = T$ . ♦

**Theorem 2** [12,13,15]. LFS is *NP-complete* even if PN,  $X$  and  $M$  are restricted to a consistent free choice net, an elementary *T-invariant* with  $\|X\| = T$  and a marking with  $|M| = 1$ , respectively, satisfying one of (i) through (iii) for  $\forall p \in P$  and  $\forall t \in T$ :

(i)  $l^*p > 0$ ,  $0 < l^* \leq 2$ ,  $l^*t > 0$ ,  $l^* > 0$  and  $X = 1$ .

(ii) All edge weights are equal to 1,  $l^*p > 0$ ,  $l^*t > 0$ ,  $l^* > 0$ ,  $l^*t > 0$  and  $X = 1$ .

(iii) All edge weights are equal to 1,  $l^*p > 0$ ,  $l^*t > 0$ ,  $l^*p + l^*t \leq 3$ ,  $l^*t > 0$ ,  $l^* > 0$ . ♦

**Theorem 3** [12,13,15]. LFS is *NP-complete* even if PN,  $X$  and  $M$  are restricted to a consistent state machine, *T-invariant* and a marking with  $|M| = 1$ , respectively, satisfying either (i) or (ii) for  $\forall p \in P$ :

(i)  $l^*p > 0$ ,  $l^*t > 0$ , some edge weights are greater than 1 and  $X = 1$ ;

(ii)  $l^*p > 0$ ,  $l^*t > 0$ ,  $l^*t + l^*p \leq 3$  and all edge weights are equal to 1. ♦

For polynomial or pseudo-polynomial time solvability of LFS, we have the following theorems. The results on persistent nets by [6] is essentially used.

**Theorem 4** [12,13,15]. LFS for a persistent Petri net in the multi-round firing (for a conflict-free PN in the single-round firing, respectively) can be solved in  $O(|P||X|)$  ( $O(|P||T|)$ ) time, where  $X$  is a *T-invariant* of PN if PN is backward conflict-free. ♦

**Theorem 5** [15]. Given a state machine PN with all edge weights equal to 1, an initial marking M and a firing vector X, the recognition version of LFS can be answered in  $O(|X|)$  time, and if the answer is "yes" then there is an  $O(|X|^2)$  algorithm for finding a solution to LFS. ♦

**Remark 1.** It should be noted that, with the above notations of time complexities using  $|X|$  in Theorems 4 and 5, they appear to be bounded by a polynomial function of  $|X|$ . However this is not the case. Since each  $X(t)$  takes size proportional to  $\log_2 X(t)$  bits in the input,  $|X|$  has size proportional to  $\Delta = \sum_{t \in T} \log_2 X(t)$  bits. We have

$$|X|/\Delta \geq |X|/(\sum_{t \in T} \log_2 X(t)) \geq |X|/(\sum_{t \in T} |X|),$$

and the last term is not bounded by any polynomial function of  $\sum_{t \in T} \log_2 X(t)$ . That is,  $|X|$  is not polynomially bounded by the size  $\Delta$  of input. Nevertheless we use such representation as above for notational simplicity. Clearly if  $|X|$  is bounded by  $|P|, |T|, |E|$  or a constant then  $|X|/\Delta$  is bounded by a polynomial function of such one of them.

#### 4. Approximation Algorithm YWLFS

The algorithm YWLFS consists of three procedures, SEARCH\_LFS( $t_f, M_v, \text{visit}, \text{max}$ ), COMP\_EFFECT( $M, X_{\text{rest}}, F, \text{effect}$ ), FIRE( $M, X_{\text{rest}}$ ).

YWLFS constructs a firing sequence  $\delta$  such that  $\bar{\delta}$  is as close to  $X_{\text{rest}}$  (which is initially set to X) as possible by repeating procedure FIRE( $M, X_{\text{rest}}$ ): the procedure finds a transition  $t_f$  such that firing  $t_f$  once has possibility of making many other transitions fireable (that is, making occurrence of subsequent dead markings less possible).

The value effect( $t$ ) is a measure to be used in FIRE( $M, X_{\text{rest}}$ ), that is, a transition  $t$  with the maximum effect( $t$ ) is selected as the one to be fired next. Intuitively speaking, if effect( $t$ ) is large then the tokens produced by firing  $t$  once will necessarily be used in making many other transitions fireable (that is, these transitions cannot fire without them). Hence we may expect that firing such  $t$  will avoid occurrence of subsequent dead markings. For each  $t \in T$ , the value effect( $t$ ) is computed in COMP\_EFFECT( $M, X_{\text{rest}}, F, \text{effect}$ ). The procedure first computes two values max( $p$ ) for each  $p \in P$  and visit( $t$ ) for the transition  $t$  in SEARCH\_LFS( $t, M_v, \text{visit}, \text{max}$ ), where  $M_v = M[t >]$  and SEARCH\_LFS( $t, M_v, \text{visit}, \text{max}$ ) is a depth-first search tracing edges in their direction. It also gives a maximal set of transitions  $t$  such that tokens produced by firing  $t_f$  and subsequent firing of other transitions are used in making  $t$  fireable. The value visit( $t$ ) denotes maximum possible number of firing of  $t$  starting from  $M_v$  if  $t = t_f$  or from  $M$  if  $t = t_f$ . The value max( $p$ ) denotes maximum possible number of tokens that can be brought into  $p$  after firing of  $t_f$  starting from  $M$ . Computing effect( $t$ ) requires two more values supply( $p$ ) for  $p \in P$  and rate( $t'$ ) for  $t' \in T$ . The value supply( $p$ ) is given by

$$\text{supply}(p) = \left( \sum_{t \in *p} \beta(t, p) \cdot \text{visit}(t) \right) / \left( \sum_{t \in *p} \beta(t, p) \cdot X_{\text{rest}}(t) \right),$$

where both the numerator and the denominator denote the

number of tokens brought into  $p$  through firing each  $t \in *p$  with  $X_{\text{rest}}(t) > 0$  by visit( $t$ ) times (which is maximum possible from  $M_v$ ) in the former and  $X_{\text{rest}}(t')$  times in the latter. The other value rate( $t'$ ) for  $t' \in T$  with  $X_{\text{rest}}(t') > 0$  is given by

$$\text{rate}(t') = \left( \sum_{p \in *t'} \alpha(p, t') \cdot \text{count}' \cdot \text{supply}(p) \right) / \left( \sum_{p \in *t'} \alpha(p, t') \right),$$

where  $\text{count}' = \min\{L(\max(p) + M_v(p)) / \alpha(p, t'), \text{visit}(t')\}$ , both the numerator and the denominator are the total number of tokens to be deleted by firing  $t'$  count' times in the former and once in the latter, and the numerator is expected to represent the number of tokens deleted among those brought into places  $p \in *t'$  after created by firing  $t'$  count' times. Now the value effect( $t$ ) is defined by

$$\text{effect}(t) = \sum_{t' \in T} \text{rate}(t').$$

We can expect that if  $t$  has large effect( $t$ ) then firing  $t$  creates tokens that will be necessary in making many other transitions fireable subsequently. Hence FIRE( $M, X_{\text{rest}}$ ) selects  $t$  having maximum effect( $t$ ) as the one to be fired next.

We give Example 2 showing computation of these values in the problem of Example 1.

**Example 2.** If  $M = M_0$  and  $X_{\text{rest}} = X$  then  $F = \{t_1\}$  and we immediately obtain

$$M = [0, 1, 0, 0]^T \text{ and } \delta = t_1.$$

In the next step,

$$F = \{t_2, t_4\}, X_{\text{rest}} = [0, 1, 1, 1]^T, M_v = [0, 0, 0, 0]^T$$

and we get the following values as given below. If  $t_f = t_2$  then

	P1	P2	P3	P4		t1	t2	t3	t4
max	0	1	1	1	visit	0	1	1	1
$\beta_{\text{sum}}$	0	1	1	1	$\alpha_{\text{sum}}$	0	1	1	1
$\beta'$	0	1	1	1	$\alpha'$	0	1	1	1
supply	0	1	1	1	rate	0	1	1	1
					count'	0	1	1	1

and

$$\text{effect}(t_2) = 3.$$

On the other hand if  $t_f = t_4$  then

	P1	P2	P3	P4		t1	t2	t3	t4
max	0	0	0	1	visit	0	0	0	1
$\beta_{\text{sum}}$	0	1	1	1	$\alpha_{\text{sum}}$	0	1	1	1
$\beta'$	0	0	0	1	$\alpha'$	0	0	0	0
supply	0	0	0	1	rate	0	0	0	0
					count'	0	0	0	0

and

$$\text{effect}(t_4) = 0.$$

Hence  $t_2$  is fired next and we obtain  $\delta = t_1 t_2$ . Similarly the desired firing sequence  $\delta = t_1 t_2 t_3 t_4$  is obtained. ♦

The formal description of YWLFS is as follows.

procedure SEARCH\_LFS( $t_f, M_v, \text{visit}, \text{max}$ );

/\* computes  $\max(p)$  for each  $p$  and  $\text{visit}(t_f)$ :  $\max(p)$  denotes maximum possible number of tokens that can be brought into  $p$  after firing of  $t_f$  on  $M$ ;  $\text{visit}(t)$  does maximum possible number of firing of  $t$  starting from  $M_v$  if  $t=t_f$  or from  $M$  if  $t \neq t_f$  \*/

```

begin
1. for each  $p' \in t_f^*$  do
  begin
2.  $\text{temp} \leftarrow \text{visit}(t_f) \cdot \beta(t_f, p')$ ;
   /* computing the value  $\max(p')$  */
3. if  $(\max(p') < \text{temp})$  then  $\max(p') \leftarrow \text{temp}$ ;
   /* #tokens brought into  $p'$  */
4. for each  $t' \in p'^*$  do
  begin
5.  $\text{temp}' \leftarrow \alpha(p', t') \cdot \text{visit}(t')$ ;
   /* #tokens deleted from  $p'$  */
6.  $\text{count} \leftarrow X_{\text{rest}}(t') - \text{visit}(t')$ ;
   /*  $\text{count} > 0$  if and only if  $X_{\text{rest}}(t') > 0$  and
    $\text{visit}(t') < X_{\text{rest}}(t')$  */
7. if  $(\text{count} > 0) \wedge$ 
    $(M_v(p') < \text{temp}' + \alpha(p', t') \leq \max(p') + M_v(p'))$ 
   /* tokens produced by firing  $t_f$   $\text{visit}(t_f)$  times are
   required in making  $t'$  firable */
   then
   begin /* updating  $\text{visit}(t')$  and repeat
   SEARCH_LFS starting from  $t'$  */
8.  $k \leftarrow \lfloor (\max(p') + M_v(p') - \text{temp}') / \alpha(p', t') \rfloor$ ;
9. if  $(\text{count} > k)$  then  $\text{count} \leftarrow k$ ;
10.  $\text{visit}(t') \leftarrow \text{visit}(t') + \text{count}$ ;
11. if  $(\text{count} > 0)$  then
   SEARCH_LFS( $t', M_v, \text{visit}, \max$ )
   end
   end
end
end;

```

procedure *COMP\_EFFECT*( $M, X_{\text{rest}}, F, \text{effect}$ );  
/\* computes  $\text{effect}(t)$  \*/

```

begin
1. for each  $t_f \in F$  do
  begin
2. for each  $p \in P$  do
  begin
3.  $\max(p) \leftarrow 0$ ;  $\text{supply}(p) \leftarrow 0$ ;  $\beta_{\text{sum}}(p) \leftarrow 0$ ;  $\beta'(p) \leftarrow 0$ 
  end;
4. for each  $t \in T$  do
  begin
5.  $\text{visit}(t) \leftarrow 0$ ;  $\text{rate}(t) \leftarrow 0$ ;
    $\alpha_{\text{sum}}(t) \leftarrow 0$ ;  $\alpha'(t) \leftarrow 0$ 
  end;
6.  $\text{count} \leftarrow X_{\text{rest}}(t_f)$ ;
7. for each  $p \in P$  do
  begin
8. if  $(\text{count} > \lfloor M(p) / \alpha(p, t_f) \rfloor)$  then
    $\text{count} \leftarrow \lfloor M(p) / \alpha(p, t_f) \rfloor$ ;
9.  $\text{visit}(t_f) \leftarrow \text{count}$ ; /* maximum possible number
   of firing of  $t_f$  starting from  $M$  */
10. for each  $p \in P$  do /* defining  $M_v$  */
  begin
11. if  $p \in t_f^*$  then  $M_v(p) \leftarrow M'(p) - \alpha(p, t_f)$ 
  else  $M_v(p) \leftarrow M'(p)$ ;
12. SEARCH_LFS( $t_f, M_v, \text{visit}, \max$ );
13. for each  $p \in P$  do /* computing  $\text{supply}(p)$  */

```

```

begin
10. for each  $t \in t_f^*$  with  $X_{\text{rest}}(t) > 0$  do
  begin
11.  $\beta_{\text{sum}}(p) \leftarrow \beta_{\text{sum}}(p) + \beta(t, p) \cdot X_{\text{rest}}(t)$ ;
12.  $\beta'(p) \leftarrow \beta'(p) + \beta(t, p) \cdot \text{visit}(t)$ 
  end;
13.  $\text{supply}(p) \leftarrow \beta'(p) / \beta_{\text{sum}}(p)$ 
  end;
14. for each  $t \in T$  with  $X_{\text{rest}}(t) > 0$  do
  /* computing  $\text{rate}(t)$  */
  begin
15. for each  $p \in t^*$  do
  begin
16.  $\text{count}' \leftarrow \max(\lfloor (\max(p) + M_v(p)) / \alpha(p, t) \rfloor,$ 
    $\text{visit}(t))$ ;
17.  $\alpha_{\text{sum}}(t) \leftarrow \alpha_{\text{sum}}(t) + \alpha(p, t)$ ;
18.  $\alpha'(t) \leftarrow \alpha'(t) + \alpha(p, t) \cdot \text{count}' \cdot \text{supply}(p)$ 
  end;
19.  $\text{rate}(t) \leftarrow \alpha'(t) / \alpha_{\text{sum}}(t)$ 
  end;
20. for each  $t \in T$  do  $\text{effect}(t) \leftarrow \text{effect}(t) + \text{rate}(t)$ 
  end
end;

```

procedure *FIRE*( $M, X_{\text{rest}}$ );

/\* repeats finding a transition  $t$  with  $\text{effect}(t) = \max\{\text{effect}(t) | t \in T\}$ , and executes firing of  $t$  on a current marking  $M$  \*/

```

begin
1.  $F \leftarrow \{t \in T | X_{\text{rest}}(t) > 0 \text{ and } (\forall p \in t^*) M(p) \geq \alpha(p, t)\}$ ;
2. while  $(|F| \neq 0)$  do
  begin
3. if  $|F| = 1$  then  $\text{next}_t \leftarrow t \in F$ ;
  else
  begin
4. for each  $t \in T$  do  $\text{effect}(t) \leftarrow 0$ ;
5. COMP_EFFECT( $M, X_{\text{rest}}, F, \text{effect}$ );
   /* computes  $\text{effect}(t)$  for all  $t \in T$  */
6.  $\text{effect\_max} \leftarrow 0$ ;
   /* finding  $\max\{\text{effect}(t) | t \in T\}$  */
7. for each  $t \in T$  do
  begin
8. if  $(\text{effect\_max} < \text{effect}(t))$  then
  begin
9.  $\text{effect\_max} \leftarrow \text{effect}(t)$ ;  $\text{next}_t \leftarrow t$ 
  end
  end;
   /*  $\text{next}_t$  has  $\text{effect\_max} = \max\{\text{effect}(t) | t \in T\}$  */
10.  $X_{\text{rest}}(\text{next}_t) \leftarrow X_{\text{rest}}(\text{next}_t) - 1$ ;
11.  $M \leftarrow M[\text{next}_t^-]$ ;  $\delta \leftarrow \delta[\text{next}_t^-]$ ; /* concatenation */
12.  $F \leftarrow \{t \in T | X_{\text{rest}}(t) > 0 \text{ and } (\forall p \in t^*) M(p) \geq \alpha(p, t)\}$ 
  end
  end;
end;

```

algorithm *YWLFS*;

/\* input:  $PN, M_0$  and  $X$  \*/

/\* output:  $\delta$  and  $X_{\text{rest}}$  \*/

```

begin
1.  $\delta \leftarrow \emptyset$ ;
2. for each  $p \in P$  do  $M(p) \leftarrow M_0(p)$ ;
3. for each  $t \in T$  do  $X_{\text{rest}}(t) \leftarrow X(t)$ ;
4. while  $(X_{\text{rest}}(t) \neq 0 \text{ for some } t \in T)$  do

```

5. FIRE( $M, X_{rest}$ );
  6. Output  $\delta$  and  $X_{rest}$ ;
- /\* if  $X_{rest}(t) \neq 0$  for some  $t$  then finding a solution is failed \*/  
end.

It is clear that *YWLFS* finds a firing sequence  $\delta$  that is legal on  $M_0$ , since procedure *FIRE*( $M, X_{rest}$ ) chooses a transition  $next\_t$  that is firable on a current marking  $M$  with  $X_{rest}(next\_t) > 0$ . Time complexity of the procedures are summarized as follows:

*SEARCH\_LFS*  $O(\bar{X} \cdot |E|)$ ,  
*COMP\_EFFECT*  $O(\bar{X} \cdot |X| \cdot |E|)$ ,  
*FIRE*  $O(\bar{X} \cdot |X|^2 \cdot |E|)$ ,

where  $\bar{X} = \max\{X(t) | t \in T\}$  and  $|X|$  denotes the total sum of  $X(t)$ ,  $\forall t \in T$ . Hence time complexity of *YWLFS* is

$O(\bar{X} \cdot |X|^2 \cdot |T| \cdot |E|)$ .

Note that *YWLFS* is a pseudo-polynomial time algorithm.

### 5. Experimental Results

We have implemented *YWLFS* on a workstation SUN SPARC station by using the C programming code. All the test problems are taken from those which are constructed and have been used in our research such as [16-19], where it is described how they are generated. The underlying Petri nets are either state machines or general Petri nets. It should be noted that existence of a solution to each of these problems is guaranteed. Hence capability of *YWLFS* can be shown by means of results obtained by applying *YWLFS* to these problems. Experimental results show that *YWLFS* is a promising algorithm.

We first summarize the number and sizes of test problems as well as some statistical data.

- (1) The number of test problems:

underlying Petri nets(upn)	#test problems
state machines(sm)	1800
general Petri nets(gn)	381
<b>total</b>	<b>2181</b>

- (2) Sizes of Petri nets:

upn	P	T	E	X
sm	5 ≤  P  ≤ 90	9 ≤  T  ≤ 99	34 ≤  E  ≤ 554	9 ≤  X  ≤ 297
gn	15 ≤  P  ≤ 97	13 ≤  T  ≤ 97	67 ≤  E  ≤ 536	13 ≤  X  ≤ 291

- (3) Firing count vectors  $X$ :

$X = kX'$  for  $k=1,2,3$ , and  $X' = 1$  ( $X'(t)=1$  for  $\forall t \in T$ ).

- (4) Successful cases (where *YWLFS* finds solutions):

upn	#cases	ratio (= #cases / 2181)
sm	1765	98%
gn	285	75%
<b>total</b>	<b>2050</b>	<b>87%</b>

- (5) Unsuccessful cases:

upn	#cases	average of ratio $ \bar{\delta}  /  X $
sm	35	94%
gn	96	64%
<b>total</b>	<b>131</b>	<b>72%</b>

A part of other experimental results are shown in Table 1. Other statistical data are given in Figs. 2 through 5, and in Table 2. shows in the column "Success" the total number of successful cases out of 600 (out of 127, respectively) test problems, each having state machines (general Petri nets) as underlying Petri nets, for each value of  $k$ ,  $k=1,2,3$ . The column "Ave. ratio" denotes average ratios ( $=|\bar{\delta}|/|X|$ ) over all 600 (127) test problems. The column "Success" is schematically shown in Figs. 2 and 3. Fig. 4 (Fig. 5, respectively) shows average ratios ( $=|\bar{\delta}|/|X|$ ) as well as the total number of unsuccessful cases out of 600 (out of 127) test problems, each having state machines (general Petri nets) as underlying Petri nets, for values of  $|X|$ .

### 6. Concluding Remarks

Experimental results show that *YWLFS* is a very promising approximation algorithm: it finds solutions to 2050 problems (94%) of 2181 test problems for which existence of solutions are guaranteed. Theoretical estimate of worst approximation by *YWLFS*, as well as providing more experimental results, is left for future research.

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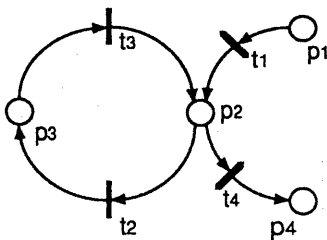


Fig. 1. An example of Petri net PN.

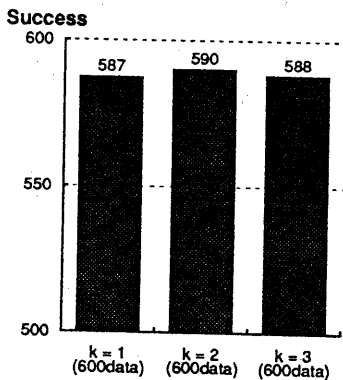


Fig. 2. A bar-graph representation of the column "Success" of Table 2 for the cases having state machines as underlying Petri nets.

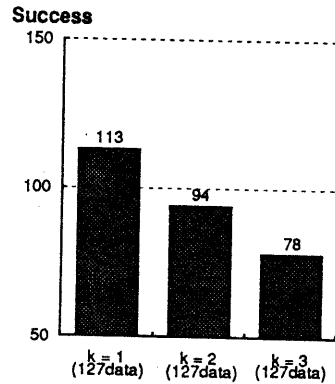


Fig. 3. A bar-graph representation of the column "Success" of Table 2 for the cases having general Petri nets as underlying ones.

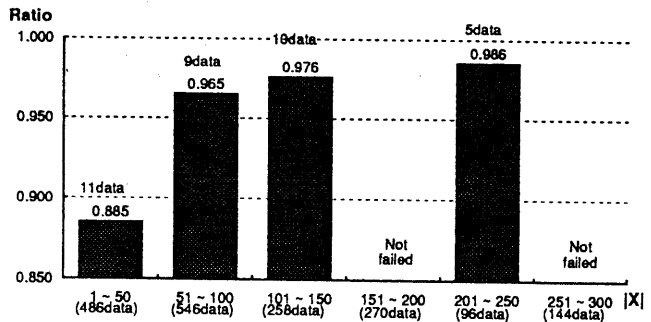


Fig. 4. Average ratios ( $=\bar{R}/|X|$ ) as well as the total number of unsuccessful cases out of 600 test problems, each having state machines as underlying Petri nets, for values of  $|X|$ .

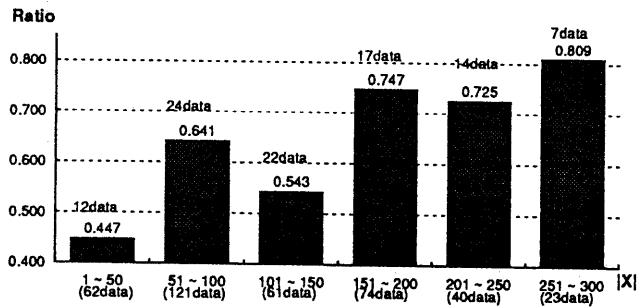


Fig. 5. Average ratios ( $=\bar{R}/|X|$ ) as well as the total number of unsuccessful cases out of 127 test problems, each having general Petri nets as underlying ones, for values of  $|X|$ .

Table 1. A part of our experimental results. The columns "#DATA", " $|I|$ ", "Ratio" and "Time" denote data identification, the length  $|I|$  of firing sequences  $\delta$  found by *YWLFs*, the ratio  $|I|/|X|$  and CPU time in 1/60 second, respectively, and the other columns are self-explanatory.

#DATA	$ P $	$ I $	$ E $	$ X $	k	$l$	Ratio	Time
gn1.16.pn	49	52	210	52	1	50	0.962	2416
gn1.19.pn	66	70	278	140	2	140	1.000	9877
gn1.26.pn	87	80	330	240	3	152	0.633	41886
gn1.31.pn	94	94	376	94	1	94	1.000	12383
gn1.36.pn	90	91	370	273	3	273	1.000	85127
gn1.43.pn	32	37	149	74	2	64	0.865	1563
gn1.50.pn	50	54	214	54	1	54	1.000	1472
gn2.18.pn	53	55	250	110	2	110	1.000	914
gn2.25.pn	71	70	320	140	2	140	1.000	2914
gn2.28.pn	67	70	316	210	3	206	0.981	5123
gn2.31.pn	86	83	390	83	1	83	1.000	1587
gn2.34.pn	89	79	372	158	2	158	1.000	4830
gn2.39.pn	96	93	422	93	1	93	1.000	2658
gn2.40.pn	96	93	422	186	2	186	1.000	6394
gn3.1.pn	18	13	77	13	1	5	0.385	2
gn3.13.pn	51	54	302	54	1	54	1.000	499
gn3.14.pn	51	53	286	106	2	106	1.000	1133
gn3.23.pn	65	68	376	68	1	68	1.000	989
gn3.26.pn	89	80	450	160	2	124	0.775	4100
gn3.36.pn	92	91	514	273	3	222	0.813	16568
gn3.52.pn	50	54	288	162	3	162	1.000	3426
gn3.61.pn	80	78	420	78	1	78	1.000	1774
gn3.62.pn	79	77	428	231	3	231	1.000	11367
gn3.69.pn	90	95	536	285	3	285	1.000	26027
gn3.72.pn	87	95	526	95	1	95	1.000	3555
gn3.77.pn	16	13	71	26	2	26	1.000	18
gn3.80.pn	28	33	170	99	3	99	1.000	642
gn3.91.pn	60	47	263	94	2	94	1.000	793
gn3.93.pn	58	46	272	138	3	97	0.703	1498
gn3.99.pn	76	81	448	243	3	243	1.000	13462
sm1.1.pn	14	16	64	16	1	16	1.000	26
sm1.103.pn	84	95	378	285	3	285	1.000	89518
sm1.105.pn	81	95	378	95	1	95	1.000	12238
sm1.142.pn	83	99	396	198	2	198	1.000	37896
sm1.144.pn	79	99	396	99	1	99	1.000	14896
sm1.156.pn	13	24	96	24	1	24	1.000	150
sm1.175.pn	88	99	396	297	3	297	1.000	146404
sm1.180.pn	80	99	394	99	1	99	1.000	15350
sm1.193.pn	48	58	232	116	2	116	1.000	8280
sm1.199.pn	60	71	284	71	1	71	1.000	7874
sm1.23.pn	55	70	278	140	2	140	1.000	14697
sm1.35.pn	76	94	376	94	1	94	1.000	10624
sm1.36.pn	74	94	374	282	3	282	1.000	83678
sm1.52.pn	41	54	214	108	2	108	1.000	7493
sm1.67.pn	83	94	374	94	1	94	1.000	12747
sm1.68.pn	81	94	374	188	2	188	1.000	32855
sm1.94.pn	43	54	216	54	1	54	1.000	3308
sm1.97.pn	69	79	316	158	2	158	1.000	16691
sm2.108.pn	78	95	434	95	1	95	1.000	3234
sm2.110.pn	13	16	72	32	2	28	0.875	25
sm2.142.pn	84	99	446	297	3	297	1.000	15494
sm2.147.pn	11	15	64	15	1	12	0.800	7
sm2.175.pn	89	99	450	99	1	99	1.000	3279
sm2.180.pn	81	99	446	198	2	198	1.000	12922
sm2.19.pn	61	70	316	70	1	68	0.971	1041
sm2.26.pn	74	85	378	85	1	85	1.000	1555
sm2.36.pn	75	94	424	282	3	282	1.000	19387
sm2.55.pn	59	69	316	138	2	132	0.957	2182
sm2.59.pn	54	69	306	69	1	66	0.957	824
sm3.43.pn	32	38	212	38	1	38	1.000	140
sm3.43.pn	32	38	212	38	1	38	1.000	140
sm3.49.pn	48	54	300	162	3	162	1.000	2566
sm3.86.pn	35	45	248	90	2	90	1.000	1324

Table 2. Other statistical data. The column "Success" shows the total number (and its ratio in parenthesis) of successful cases out of 600 (out of 127, respectively) test problems, each having state machines (general Petri nets) as underlying Petri nets, for each value of k, k=1,2,3. The column "Ave. ratio" denotes average ratios ( $=|I|/|X|$ ) over all 600 (127) test problems.

k	State machine (600data)		General net (127data)	
	Success	Ave. ratio	Success	Ave. ratio
1	587 (97.8%)	0.998	113 (89.0%)	0.970
2	590 (98.3%)	0.999	94 (74.0%)	0.911
3	588 (98.0%)	0.999	78 (61.4%)	0.846