

An Adaptive Distributed Fault-Tolerant Routing Algorithm for the Star Graph

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This paper presents an adaptive distributed fault-tolerant routing algorithm for the n -star graph. Based on the local failure information and the properties of the star graph, the algorithm can make routing decisions without deadlock and livelock. After faults are encountered, the algorithm routes messages to a given destination by finding a fault-free $n-1$ -star graph. As long as the number f of faults (node faults and/or edge faults) is less than the degree $n-1$ of the n -star graph, the algorithm can adaptively find a path of length at most $d+6f$ to route messages from a source to a destination, where d is the distance between source and destination.

スターグラフにおける耐故障適応ルーティングアルゴリズム

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この論文では、 n -スターグラフに対する耐故障適応ルーティングアルゴリズムを提案する。このアルゴリズムは局所的な故障情報とスターグラフの構造により、デッドロックとライブロックの無いルーティングを見つける。故障に出会ったとき、故障の無い $n-1$ -スターグラフを見つけながら、メッセージを目的頂点に送る。故障の数 f (頂点故障 and/or 辺故障) が n -スターグラフの次数より小さければ、高々 $d+6f$ の長さのルーティングを見つけることができる。ここで、 d はソースから目的頂点までの距離である。

1 Introduction

With the advent of massively parallel computers, it has become highly desirable to construct the interconnection network that has as many nodes as possible within a given degree and diameter. The hypercube has been drawn considerable attention from both academic and industrial communities. The star graph in [1] claims to possess topological superiority over the hypercube. Similar to the hypercube, the star graph possesses rich recursive structure, symmetrical properties and simple routing on the fault-free star graph. In addition, it has a smaller diameter and degree, and a lower average diameter for a given size than the hypercube.

Fault-tolerant routing problems have been studied for different interconnection networks. The problems with different fault models have been studied for the hypercube in [5],[9],[13],[14]. Fault tolerance of the star graph was discussed in [1],[7],[12]. Given a set of at most $n-2$ faulty nodes, node-to-node and set-to-set fault tolerant routing algorithms for the star graph have been presented in [5],[6]. Only based on local failure information, fault-tolerant routing algorithms for the star graph have been described subject to faulty edges in [3], [4]. The shortcoming of the algorithms listed above for the star graph is that all these algorithms are only subject to faulty nodes or faulty edges. When the types of faults are unknown, these algorithms cannot insure routing success. Therefore, it is necessary to develop routing algorithms which can tolerate node faults and/or edge faults for the star graph.

In this paper, we present an adaptive distributed fault-tolerant routing algorithm without any deadlock and livelock for the star graph. A special property of the star graph, which is not possessed by the hypercube, is that the number of copies of $n-1$ -star graph that makes up the n -star graph is larger than $n-1$ that is the fault tolerance of the n -star graph. We use this property together with the local failure information to develop a fault-tolerant routing algorithm that can route messages to a given destination by finding a fault-free $n-1$ -star graph. It is not necessary to judge types of faults when faults are encountered. The algorithm can tolerate at most $n-2$ faults (node faults and/or edge faults) to route messages successfully for an n -star graph.

2 Preliminaries

Let V denote the set of $n!$ permutation of symbols $\{1, 2, \dots, n\}$. An n -star graph interconnection network on n symbols, denoted by $S_n = (V, E)$, is an undirected graph with $n!$ nodes. The nodes of S_n are in a 1-1 correspondence with the permutation $p = p_1 p_2 \dots p_n$ of $\langle n \rangle = \{1, 2, \dots, n\}$. Two nodes of S_n are connected by an edge if and only if the permutation of one node can be obtained from the other by interchanging the first symbol p_1 with the i th symbol p_i , $2 \leq i \leq n$. Obviously, every node has $n-1$ incident edges, corresponding with $n-1$ symbols which the symbol in the first position can be interchanged with. Thus, S_n is a regular graph of degree $n-1$ and is $(n-1)$ -connected. S_n possesses a number of properties that are desired by interconnection networks. These include node and edge symmetric, maximal fault tolerance, and strong resilience. S_n has a high recursive structure, and is made up of n copies of $n-1$ -star graph.

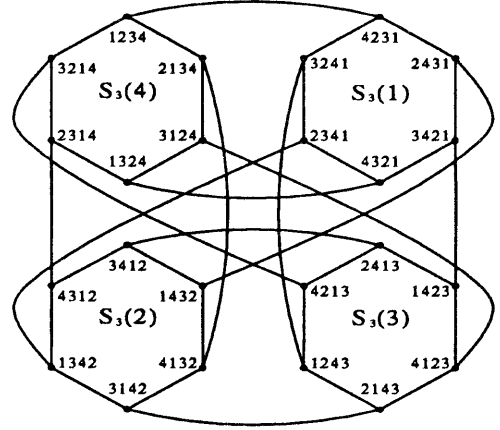


Fig.1: The 4-star graph viewed as four 3-star graphs.

Definition 1 Given a node t in S_n , $S_{n-1}(t_i)$ denotes an $n-1$ -star graph induced by all the nodes with the same last symbol t_i , $t_i \in \langle n \rangle$, and $S_n = \{S_{n-1}(t_i) | 1 \leq i \leq n\}$.

Definition 2 For a node x in S_n , $x^{(i)}$ denotes the node that is obtained by interchanging the first symbol with the i th symbol, specially $x^{(1)} = x$. Given a node t in S_n , $x^{(t_j)}$ for $1 \leq j \leq n$ denotes the node that is obtained by interchanging the first symbol with the symbol t_j , specially $x^{(x_1)} = x$. $x^{(t_j, i)}$ denotes the node that is obtained by interchanging the first symbol with the i th symbol of $x^{(t_j)}$, and $x^{(i, t_j)}$ denotes the node that is obtained by interchanging the first symbol with the symbol t_j of $x^{(i)}$.

Example 1: Let $t = 12345$ and $x = 54231$. Then, $x^{(3)} = \underline{2}45\underline{3}1$, $x^{(t_3)} = \underline{3}42\underline{5}1$, $x^{(t_3, 3)} = \underline{2}4\underline{3}51$ and $x^{(3, t_3)} = \underline{3}45\underline{2}1$.

Now, we show some topological properties of S_n that are important in this paper. Let $d(x, y)$ denote the distance of two nodes x and y . Let $(t_i t_j)$ be an operator such that $x(t_i t_j) = x(t_j t_i) = x_1 \dots t_j \dots t_i \dots x_n$ for $x = x_1 \dots t_i \dots t_j \dots x_n$, where $x(t_i t_j) = x$ if $i = j$. We use the operator $(t_i t_j)$ to define a function that can show an image relation from a node in $S_{n-1}(t_i)$ to a corresponding node in $S_{n-1}(t_j)$.

Definition 3 $\varphi_{ij} : x \rightarrow \varphi_{ij}(x)$, $1 \leq i \leq n$ and $1 \leq j \leq n$, is an image function, and $\varphi_{ij}(x)$ is called the image node of x . For a given node t , $\varphi_{ij}(x)$ is given by:

$$\varphi_{ij}(x) = \begin{cases} \varphi_{ii}(x) = x & \text{if } i = j \\ \varphi_{i1}(x) = x(t_1 t_i) & \text{if } j = 1, 2 \leq i \leq n \\ \varphi_{1j}(x) = x(t_1 t_j) & \text{if } i = 1, 2 \leq j \leq n \\ \varphi_{ij}(x) = x(t_i t_j)(t_i t_1) & \text{if } 2 \leq j \neq i \leq n \end{cases}$$

Lemma 1 Let x be in $S_{n-1}(t_i)$, then $\varphi_{ij}(x)$ is in $S_{n-1}(t_j)$ and $d(x, t^{(t_i, n)}) = d(\varphi_{ij}(x), t^{(t_j, n)})$.

Proof. Let $x = x_1 \dots t_i$, since

$$\varphi_{ij}(x) = \begin{cases} x_1 \dots t_i = x_1 \dots t_j & \text{if } i = j \\ x_1 \dots t_1 \dots t_i(t_1 t_i) = x_1 \dots t_i \dots t_1 & \text{if } j = 1, 2 \leq i \leq n \\ x_1 \dots t_j \dots t_1(t_1 t_j) = x_1 \dots t_1 \dots t_j & \text{if } i = 1, 2 \leq j \leq n \\ x_1 \dots t_1 \dots t_j \dots t_i(t_i t_j)(t_i t_1) = x_1 \dots t_i \dots t_1 \dots t_j & \text{if } 2 \leq j \neq i \leq n \end{cases}$$

φ_{ij} is in $S_{n-1}(t_j)$. When $x = t^{(t_i, n)}$,

$$\varphi_{ij}(t^{(t_i, n)}) = \begin{cases} t^{(t_i, n)} = t^{(t_j, n)} & \text{if } i = j \\ t_n \dots t_1 \dots t_i(t_1 t_i) = t^{(t_1, n)} & \text{if } j = 1, 2 \leq i \leq n \\ t_n \dots t_j \dots t_1(t_1 t_j) = t^{(t_j, n)} & \text{if } i = 1, 2 \leq j \leq n \\ t_n \dots t_1 \dots t_j \dots t_i(t_i t_j)(t_i t_1) = t^{(t_i, n)} & \text{if } 2 \leq j \neq i \leq n \end{cases}$$

Based on node and edge symmetric of the star graph, $d(x, t^{(i,n)}) = d(\varphi_{ij}(x), t^{(i,n)})$. \square

Example 2. As shown in Fig.1, let $t = 1234$. **2.1:** Let $x = 1324$, then $\varphi_{41}(1324) = 1324(41) = 4321$. $d(4321, t^{(1,4)}) = 4231) = d(1324, t = 1234) = 3$. **2.2:** Let $x = 3412$, then $\varphi_{23}(3412) = 3412(23)(21) = 1423$. $d(1423, t^{(2,4)}) = 4213) = d(3412, t^{(2,4)}) = 4132) = 2$.

Lemma 2 Given a node t , let $x_n = t_i$ and $y = \varphi_{ij}(x)$, then $d(x, y) \leq 2$ and $x^{(n)}$ is in the shortest path from x to y when $x_1 = t_j$, and $3 \leq d(x, y) \leq 4$ and $x^{(i,n)}$ is in the shortest path from x to y when $x_1 \neq t_j$.

Proof. It is clear that $d(x, y) = 0$ when $i = j$. When $x_1 = t_j$, $x^{(n)}$ is in the shortest path from x to y if $d(x^{(n)}, y) = d(x, y) - 1$. When $x_1 \neq t_j$, $x^{(i,n)}$ is in the shortest path from x to y if $d(x^{(i,n)}, y) = d(x, y) - 2$. We prove Lemma 2 for $i \neq j$ in three cases.

Case 1. $x = x(t_n) = x_1x_2\dots t_n$ is in $S_{n-1}(t_n)$:

1.1. $y = \varphi_{n1}(x) = x(t_1t_n)$: When $x_1 = t_1$, $x = t_1\dots t_n$ and $y = x(t_1t_n) = t_n\dots t_1$. Then, $d(x^{(1,n)}, y) = d(x^{(n)}, y) = 0$ and $d(x, y) = 1$. When $x_1 \neq t_1$, $x = x_1\dots t_1\dots t_n$. $y = x(t_1t_n) = x_1\dots t_n\dots t_1$. Then, $d(x^{(1,n)}, y) = 1$ and $d(x, y) = 3$.

1.2. $y = \varphi_{nj}(x) = x(t_jt_n)(t_1t_n)$, $2 \leq j \leq n-1$: When $x_1 = t_j$, $x = t_j\dots t_1\dots t_n$ and $y = x(t_jt_n)(t_1t_n) = t_1\dots t_n\dots t_j$. Then, $d(x^{(i,n)}, y) = d(x^{(n)}, y) = 1$ and $d(x, y) = 2$. When $x_1 \neq t_j$ and $i < j$, $x = x_1\dots t_1\dots t_j\dots t_n$ and $y = x(t_jt_n)(t_1t_n) = x_1\dots t_n\dots t_1\dots t_j$. Then, $d(x^{(i,n)}, y) = 2$ and $d(x, y) = 4$. Similarly, $d(x^{(i,n)}, y) = 2$ and $d(x, y) = 4$ when $i > j$.

Case 2. $x = x(t_1) = x_1x_2\dots t_1$ is in $S_{n-1}(t_1)$ and $y = \varphi_{1j}(x) = x(t_1t_j)$, $2 \leq j \leq n$:

When $x_1 = t_j$, $x = t_j\dots t_1$ and $y = x(t_1t_j) = t_1\dots t_j$. Then, $d(x^{(i,n)}, y) = d(x^{(n)}, y) = 1$ and $d(x, y) = 1$. When $x_1 \neq t_j$, $x = x_1\dots t_j\dots t_1$ and $y = x(t_1t_j) = x_1\dots t_1\dots t_j$. Then, $d(x^{(i,n)}, y) = 1$ and $d(x, y) = 3$.

Case 3. $x = x(t_i) = x_1x_2\dots t_i$ is in $S_{n-1}(t_i)$, $2 \leq i \leq n$:

3.1. $y = \varphi_{i1}(x) = x(t_1t_i)$, $2 \leq i \leq n$: When $x_1 = t_1$, $x = t_1\dots t_i$ and $y = x(t_1t_i) = t_i\dots t_1$. Then, $d(x^{(1,n)}, y) = d(x^{(n)}, y) = 0$ and $d(x, y) = 1$. When $x_1 \neq t_1$, $x = x_1\dots t_1\dots t_i$ and $y = x(t_1t_i) = x_1\dots t_i\dots t_1$. Then, $d(x^{(1,n)}, y) = 1$ and $d(x, y) = 3$.

3.1. $y = \varphi_{ij}(x) = x(t_it_j)(t_it_1)$, $2 \leq i \neq j \leq n$: When $x_1 = t_j$, $x = t_j\dots t_1\dots t_i$. $y = x(t_it_j)(t_it_1) = t_1\dots t_i\dots t_j$. $d(x^{(i,n)}, y) = d(x^{(n)}, y) = 1$ and $d(x, y) = 2$. When $x_1 \neq t_j$, $x = x_1\dots t_j\dots t_1\dots t_i$. $y = x(t_it_j)(t_it_1) = x_1\dots t_1\dots t_i\dots t_j$. $d(x^{(i,n)}, y) = 2$ and $d(x, y) = 4$. \square

Lemma 3 There is at least one shortest path from x to t that passes through the nodes only in $S_{n-1}(x_n)$ and $S_{n-1}(t_n)$.

Lemma 4 Let x and t be two nodes in S_n , then $d(x, t^{(x,n)}) \leq d(x, t)$.

Proof. Without loss of generality, assume $t = 12\dots n$. If $x_n = n$, $d(x, t^{(x,n)}) = d(x, t)$ since $t^{(x,n)} = t$. If $x_n = i \neq n$, x is in $S_{n-1}(i)$. From Lemma 3, there is one shortest path through the nodes only in $S_{n-1}(i)$

and $S_{n-1}(n)$. As shown in Fig.2, let $y(n) = x(i)^{(n)}$ and $y(i) = \varphi_{ni}(y(n))$. According to Lemma 2, $x(i) = y(n)^{(n)}$ is in the shortest path from $y(n)$ to $y(i)$. Based on Lemma 1, $d(y(i), t^{(i,n)}) = d(y(n), t)$. Since $y(n)_1 = y(i)_n$, $d(y(i), y(n)) \leq 2$ according to Lemma 2. From $d(x(i), y(i)) = d(y(i), y(n)) - d(x(i), y(n))$, $d(x(i), y(i)) \leq d(x(i), y(n))$. From $d(x, t^{(i,n)}) \leq d(x, x(i)) + d(x(i), y(i)) + d(y(i), t^{(i,n)})$, then $d(x, t^{(i,n)}) \leq d(x, x(i)) + d(x(i), y(n)) + d(y(i), t^{(i,n)}) = d(x, t)$. \square

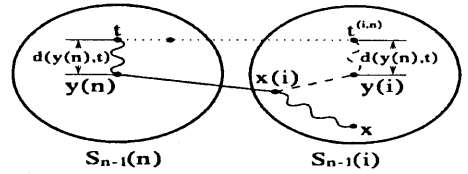


Fig.2: The shortest path through the nodes in $S_{n-1}(i)$ and $S_{n-1}(n)$.

3 Fault-Tolerant Routing Algorithm for S_n

In this section, we not only present an adaptive distributed fault-tolerant routing algorithm, but prove its correctness, and analyze its properties. We make the following assumptions:

A fault can be a node fault and/or an edge fault. If a node is faulty, all the edges incident to it are treated as faulty edges. Each edge is bidirectional. If an edge is faulty, both directions are faulty. The total number of faults is less than degree $n - 1$ of S_n . Any node only knows the condition of its incident edges. The source and the destination are fault-free.

3.1 Routing Algorithm for S_n

Since the total number of faults is less than degree $n - 1$ of S_n , there are at least two fault-free $n - 1$ -star graphs in $\{S_{n-1}(t_i) | 1 \leq i \leq n\}$. Given a destination node t , there is at least a fault-free adjacent node of t , which is in or is adjacent to a fault-free $S_{n-1}(t_i)$, $1 \leq i \leq n$. Let F denote the set of the invalid nodes that is treated as the faulty nodes. When a node x encounters a faulty edge, F is updated as follows.

1. For $2 \leq i \leq n$, $F := F \cup x^{(x_i)}$ if $x^{(x_i)} \neq t$ and $(x, x^{(x_i)})$ is faulty.
2. For $2 \leq i \leq n$, $F := F \cup x$ if $x^{(x_i)} = t$ and $(x, x^{(x_i)})$ is faulty.

Let S denote the set of invalid $n - 1$ -star graphs that is treated as the faulty subgraphs. It is updated as follows.

1. For any $x \in F$, $S := S \cup S(x_n)$ if $x \notin \{t^{(t_i)} | 2 \leq i \leq n - 1\}$.
2. For any $x \in F$, $S := S \cup S(x_n) \cup S(x_1)$ if $x \in \{t^{(t_i)} | 2 \leq i \leq n - 1\}$.

Let *FT-procedure* be a function for performing the fault-tolerant procedure. The node x in the course of *FT-procedure* updates F and S based on local failure information, and decides a node z with $z \notin F$ that is in or is incident to some $S_{n-1} \notin S$, or returns the node $x^{(n)}$ if the edge (x, t) is faulty and each valid adjacent node of x with $x \in \{t^{(t_i)} | 2 \leq i \leq n - 1\}$ is not directly connected to $S_{n-1} \notin S$.

```

function FT-procedure ( $x, t$ : node; var  $F$ : the set of invalid nodes;
                        var  $S$ : the set of invalid  $n - 1$ -star graphs): node;
var  $i, n$ : integer;  $y$ : node; /*  $t_i, x_i, y_i$ : symbol of  $t, x, y$  */
begin
  /*  $x$  is the node that is ready to send  $M$ ,  $t$  is the destination node */
  for  $i := 2$  to  $n$  do begin /* update  $F$  */
    if  $x^{(x_i)} = t$  and  $(x, x^{(x_i)})$  is faulty then  $F := F \cup x$ ;
    if  $x^{(x_i)} \neq t$  and  $(x, x^{(x_i)})$  is faulty then  $F := F \cup x^{(x_i)}$ 
  end;
  for any  $y \in F$  do begin /* update  $S$  */
    if  $y \notin \{t^{(t_i)} | 2 \leq i \leq n - 1\}$  then  $S := S \cup S_{n-1}(y_n)$ ;
    if  $y \in \{t^{(t_i)} | 2 \leq i \leq n - 1\}$  then  $S := S \cup S(y_n) \cup S(y_1)$ 
  end;
  /* to decide  $z \notin F$  that is in or is incident to a  $S_{n-1}(t_i) \notin S$  */
  if  $S_{n-1}(x_n) \notin S$  then return ( $x$ );
  if  $S_{n-1}(x_1) \notin S$  then return ( $x^{(x_n)}$ );
  for  $i := 2$  to  $n - 1$  do begin
    if  $S_{n-1}(x_i) \notin S$  and  $(x, x^{(x_i)})$  is non-faulty then return ( $x^{(x_i)}$ )
  end;
  return( $x^{(n)}$ )
end;

```

Following two rules described in [1] that insure a path of minimum distance between two nodes, it is easy to develop an optimal routing algorithm for a fault-free S_n . Let *ROUTING*(x, t) be a routing function that decides the adjacent node of x , which is in the shortest path from x to t for the fault-free S_n , and let *ROUTING*($x, t^{(x_i, n)}$) be a routing function that decides the adjacent node of x , which is in the shortest path from x to $t^{(x_i, n)}$ for the fault-free $S_{n-1}(x_i)$, $1 \leq i \leq n$. Based on *FT-procedure*, *ROUTING*(x, t) and *ROUTING*($x, t^{(x_i, n)}$), we present a routing algorithm called **FT.ROUTING** that can tolerate at most $n - 2$ faults. Let $M = \{messages, t, F, S\}$ denote a sending request. By **FT.ROUTING**, a node x that possesses M determines a proper node z for routing the messages based on the following routing rules:

- Rule 1.** x is an adjacent node of t : If the edge (x, t) is non-faulty, M is sent to t . Otherwise, x sends M to the node decided by *FT-procedure*.
- Rule 2.** $x \in \{t^{(t_i, n)} | 2 \leq i \leq n - 1\}$: If $x^{(n)} \notin F$ and the edge $(x, x^{(n)})$ is non-faulty, M is sent to $x^{(n)}$. Otherwise, x sends M to the node decided by *FT-procedure*.
- Rule 3.** x is not an adjacent node of t and $x \notin \{t^{(t_i, n)} | 2 \leq i \leq n - 1\}$:

- 3.1. $S = \emptyset$: If the edge (x, z) is non-faulty, where z is decided by *ROUTING* (x, t) , M is sent to z . Otherwise, x sends M to the node decided by *FT-procedure* if x itself is not the node decided by *FT-procedure*. If x is the node decided by *FT-procedure*, go to 3.2.1.
- 3.2. $S \neq \emptyset$:
- 3.2.1. $S_{n-1}(x_n) \notin S$: If the edge (x, z) is non-faulty, where z is the node decided by *ROUTING* $(x, t^{(x,n)})$, M is sent to z . Otherwise, the edge (x, z) is faulty, x sends M to the node decided by *FT-procedure*.
- 3.2.2. If $S_{n-1}(x_n) \in S$, x sends M to the node decided by *FT-procedure*.

Algorithm FT_ROUTING;

Input: A sending request M .

Output: A node z to which a sending request M is sent.

var i, n : integer; x, t, y, z : node; /* t_i, x_i : symbol of t, x */

var F : the set of invalid nodes; S : the set of invalid $n - 1$ -star graphs;

begin

/* x is the node that is ready to send M , z is the node to which M is sent */

if $x \in \{t^{(t_i)} | 2 \leq i \leq n\}$ **then begin** /* Rule 1 */

for $i := 2$ **to** n **do begin**

if (x, t) is non-faulty **then begin** $z := t$; **goto** *SENDING* **end**

else begin $z := FT\text{-procedure}(x, t, F, S)$; **goto** *SENDING* **end**

end

end; /* Rule 1 */

if $x \in \{t^{(t_i, n)} | 2 \leq i \leq n - 1\}$ **then begin** /* Rule 2 */

for $i := 2$ **to** $n - 1$ **do begin**

if $t^{(t_i)} \notin F$ and $(x, t^{(t_i)})$ is non-faulty **then begin** $z := t^{(t_i)}$; **goto** *SENDING* **end**

else begin $z := FT\text{-procedure}(x, t, F, S)$; **goto** *SENDING* **end**

end

end; /* Rule 2 */

/* begin Rule 3 */

if $S = \emptyset$ **then begin** $y := ROUTING(x, t)$; /* Rule 3.1 */

if (x, y) is non-faulty **then begin** $z := y$; **goto** *SENDING* **end**

else begin $z := FT\text{-procedure}(x, t, F, S)$;

if $x \neq z$ **then goto** *SENDING* /* if $x = z$, Rule 3.2.1 */

end

end; /* Rule 3.1 */

if $S \neq \emptyset$ **then begin** /* Rule 3.2 */

if $S_{n-1}(x_n) \notin S$ **then begin** $y := ROUTING(x, t^{(x,n)})$; /* Rule 3.2.1 */

if (x, y) is non-faulty **then begin** $z := y$; **goto** *SENDING* **end**

else begin $z := FT\text{-procedure}(x, t, F, S)$; **goto** *SENDING* **end**

end; /* Rule 3.2.1 */

if $S_{n-1}(x_n) \in S$ **then** $z := FT\text{-procedure}(x, t, F, S)$ /* Rule 3.2.2 */

end /* Rule 3.2 */

/* end Rule 3 */

SENDING: **if** $x \neq t$ **then send** M to z /* if x is not t , route M from x to t */

end;

3.2 Performance Analysis of FT_ROUTING

Given a destination t , each of its adjacent nodes can solely determine a $S_{n-1}(t_i)$ of S_n , and the number of $S_{n-1}(t_i)$, $1 \leq i \leq n - 1$, is equal to $n - 1$ that is the fault tolerance of S_n . This special property of the star graph is the basis for us to develop FT_ROUTING. By updating F and S dynamically, the algorithm needs not to judge that faults are node faults or edge faults and can get necessary information for finding a $S_{n-1} \notin S$. At a time, each node in routing sends messages to one node only. Messages are always routed to the destination t through a $S_{n-1} \notin S$ and an adjacent node of t that is not in F .

Let s be a source, and let $f \leq n - 2$ denote the number of faults in S_n . We give and prove the lemmas about *FT-procedure*, *ROUTING* (x, t) and *ROUTING* $(x, t^{(x,n)})$.

Lemma 5 For S_n , $|F| \leq n - 2$ and $|S| \leq n - 1$. If $|S| = n - 1$, then $|F| = n - 2$.

Lemma 6 *FT-procedure* can always find a $S_{n-1} \notin S$ if $f \leq n - 2$ in S_n .

Proof. Without loss of generality, assume $n \geq 3$. Firstly, we prove Lemma 6 in the special case: The edge (x, t) is faulty and each valid adjacent node of x with $x \in \{t^{(i)} \mid 2 \leq i \leq n - 1\}$ is not directly connected to $S_{n-1} \notin S$. We prove that the edge $(x, x^{(n)})$ is non-faulty and there are no faults in $S_{n-1}(x_1)$ by contradiction. Assume that the edge $(x, x^{(n)})$ is faulty. Since $|F| = n - 2$ before the faulty edge $(x, x^{(n)})$ is encountered, $f = |F| + 1 = n - 1$ in contradiction with $f \leq n - 2$. Similarly, we can prove by contradiction that there are no faults in $S_{n-1}(x_1)$. Since $x \in F$ for $x^{(n)}$, $x^{(n)}$ cannot send M to x and must process *FT-procedure*. From Lemma 5, there is at least a node $y \notin F$ incident to the non-faulty edge $(x^{(n)}, y)$, where y is directly connected to $S_{n-1}(y_1) \notin S$. Since $n - 2$ faults have been encountered, the edge from y to $S_{n-1}(y_1)$ is non-faulty. *FT-procedure* can always find a $S_{n-1} \notin S$.

We prove Lemma 6 by induction. It is clear that Lemma 6 is correct when there is only a fault in S_n . Assume Lemma 6 is correct when there are $k (\leq n - 3)$ faults in S_n . It shows that *FT-procedure* can find a node that is directly connected to a $S_{n-1} \notin S$ after k faults are encountered. When $f = k + 1$, let x be the node that receives M after k faults are encountered, then $|F| = k$ and $|S| \leq k + 1$ in M . If x encounters $(k + 1)$ th faults, $|F| = k + 1 \leq n - 2$ and $|S| \leq k + 1 \leq n - 1$. If the condition of the special case is satisfied in x , Lemma 6 holds as shown in the proof in the special case. Otherwise, since $|F| = k + 1 \leq n - 2$ and $|S| \leq k + 1 \leq n - 1$, there is at least a non-faulty edge $(x, x^{(i)})$ incident to x , where $x^{(i)}$ is directly connected to $S_{n-1}(x_i) \notin S$. Since $k + 1$ faults have been encountered before M is sent to $x^{(i)}$, the edge $(x^{(i)}, x^{(i, n)})$ is non-faulty. *FT-procedure* can always find a $S_{n-1} \notin S$ in S_n . \square

Lemma 7 *ROUTING*(x, t) is optimal before encountering faults in S_n .

Lemma 8 *ROUTING*($x, t^{(x, n)}$) is optimal before encountering faults in $S(x_n)$.

Now, we prove **FT_ROUTING**, analyze its properties, and give the length of the path that is decided by **FT_ROUTING** as well as the message complexity of **FT_ROUTING**.

Theorem 1 **FT_ROUTING** can adaptively find a fault-free path to route messages from a source s to a destination t in S_n with less than $n - 2$ faults.

Corollary 1 **FT_ROUTING** is deadlock-free and livelock-free.

For convenience, we call a pair of sending and receiving a step, and denote the length of path by the number of steps here.

Theorem 2 **FT_ROUTING** can take at most $d(s, t) + 6f$ steps to route messages from a source s to a destination t in S_n with f faults.

Proof. Let x, y and z be the nodes in the course of **FT_ROUTING**, and let *extra* denote the number of the extra steps induced by faults. When x in $S_{n-1}(t_i)$ encounters faults, it always tries to send M to $x^{(t_j, n)}$ in $S_{n-1}(t_j) \notin S$, where $x^{(t_j, n)} = x^{(n)}$ if $t_j = x_1$. Since $d(\varphi_{ij}(x), t^{(t_j, n)}) = d(x, t^{(t_j, n)})$ and $d(x^{(t_j, n)}, t^{(t_j, n)}) \leq d(x^{(t_j, n)}, \varphi_{ij}(x)) + d(\varphi_{ij}(x), t^{(t_j, n)})$ based on Lemma 1 and 2, $d(x^{(t_j, n)}, t^{(t_j, n)}) \leq d(x^{(t_j, n)}, \varphi_{ij}(x)) + d(x, t^{(t_j, n)})$. Assume that the edge $(x^{(t_j)}, x^{(t_j, n)})$ is non-faulty and faults are not encountered in $S_{n-1}(t_j)$. Let x' be a node in the shortest path from $x^{(t_j, n)}$ to $t^{(t_j, n)}$ and $d(x', t^{(t_j, n)}) = d(\varphi_{ij}(x), t^{(t_j, n)})$, then it takes at most $d(x, x^{(t_j, n)}) + d(x^{(t_j, n)}, x')$ steps to send M from x to x' based on Lemma 1, 2 and 8. Since $d(x^{(t_j, n)}, x') \leq d(x^{(t_j, n)}, \varphi_{ij}(x))$, $d(x, x^{(t_j, n)}) + d(x^{(t_j, n)}, x') \leq d(x, \varphi_{ij}(x)) + d(x^{(t_j, n)}, \varphi_{ij}(x)) = d(x, \varphi_{ij}(x))$. It shows that it takes at most $d(x, \varphi_{ij}(x))$ steps to send M to x' . Without loss of generality, assume that $x' = \varphi_{ij}(x)$ in the course of **FT_ROUTING**. We prove *extra* $\leq 6f$ in three cases:

Case 1: x is an adjacent node of t except $t^{(n)}$, i.e., $x \in \{t^{(i)} \mid 2 \leq i \leq n - 1\}$.

Case 2: x is a destination node of $S_{n-1}(t_i)$ except t , i.e., $x \in \{t^{(i, n)} \mid 2 \leq i \leq n - 1\}$.

Case 3: x is a node except the nodes in case 1 and 2.

Let f_1 denote the number of faults that are encountered after M is sent to some node that belongs to case 1, and let f_2 denote the number of faults that are encountered after M is sent to some node that belongs to case 2 and before M is sent to some node that belongs to case 1, and let f_3 denote the number of faults that are encountered before M is sent to some node that belongs to case 2. Then, $f = f_1 + f_2 + f_3$.

Case 1. We prove it by induction. Assume $f_2 = f_3 = 0$. When $f = 1$, let $x = t^{(i)}$, $2 \leq i \leq n-1$, and the edge (x, t) be faulty. **FT_ROUTING** takes 4 steps to route M from x to $\varphi_{nj}(x)$ in $S_{n-1}(t_j) \notin S$ based on Lemma 2, 6 and 8, and 1 step from $\varphi_{nj}(x)$ to $t^{(i,n)}$ based on Lemma 1 and 8, and 1 step from $t^{(i,n)}$ to $t^{(i)}$. Since $d(t^{(i)}, t) = d(t^{(i)}, t)$, **FT_ROUTING** takes 6 extra steps to complete routing.

When $f = f_1 - 1 \leq n-4$, assume that **FT_ROUTING** takes $6(f_1 - 1)$ extra steps to route M to $t^{(i_k)} \notin F$. It means that M can be routed to a node $y = t^{(i)}$ in $6(f_1 - 2)$ extra steps. When the faulty edge (y, t) that is the $(f_1 - 1)$ th fault is encountered in y , M is routed in 6 steps from y to $t^{(i_k)}$ through $S_{n-1}(t_k) \notin S$. Let y^1, y^2 and y^3 be in the path from y to $\varphi_{nk}(y)$, and let $y^0 y^1 y^2 y^3 y^4 y^5 y^6$ denote the path from y to $t^{(i_k)}$ as shown in Fig.3, where $y^0 = y$, $y^4 = \varphi_{nk}(y)$, $y^5 = t^{(i,n)}$, and $y^6 = t^{(i_k)}$. **FT_ROUTING** can take m steps to route M from y to y^m .

Fig.3 shows the routing procedure from y to y^m , and from y^m to its image node in a fault-free $S_{n-1}(t_\alpha)$, $1 \leq \alpha \leq n-1$, as well as from this image node to $t^{(i_\alpha, n)}$, and from $t^{(i_\alpha, n)}$ to t . When the f_1 th fault is encountered in y^m , M is routed to t through a fault-free $S_{n-1}(t_\alpha)$. Let $\varphi_{\beta\alpha}(y^m)$ be the image node of y^m in $S_{n-1}(t_\alpha)$, where $\beta = n$ if $m = 0, 1$ or 6 and $\beta = k$ if $2 \leq m \leq 5$. Then, $extra = 6(f_1 - 2) + m + d(y^m, \varphi_{\beta\alpha}(y^m)) + d(\varphi_{\beta\alpha}(y^m), t^{(i_\alpha, n)}) + 1$ based on Lemma 1, 2, 6 and 8, where $d(y^m, \varphi_{\beta\alpha}(y^m)) \leq 4$ from Lemma 2. When $0 \leq m \leq 1$, since y^m is in $S_{n-1}(t_n)$ and $d(y^m, t) = 1 + m \leq 2$, $d(\varphi_{n\alpha}(y^m), t^{(i_\alpha, n)}) = d(y^m, t) \leq 2$ from Lemma 1. Therefore, $extra \leq 6(f_1 - 1) + 2 < 6f_1$. When $2 \leq m \leq 5$, since $m + d(\varphi_{k\alpha}(y^m), t^{(i_\alpha, n)}) = m + d(y^m, y^5) = 5$, $extra \leq 6(f_1 - 1) + 4 < 6f_1$. It is clear that $extra = 6f_1$ when $m = 6$. For any y^m , $0 \leq m \leq 6$, $extra \leq 6f_1$.

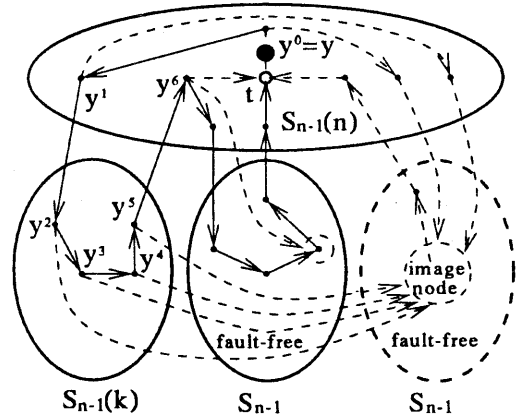


Fig.3: The fault-tolerant routing procedure of **FT_ROUTING**.

When $y = t^{(i)}$ with $j \neq 1$ sends M to $y^{(n)} = t_n \dots t_1 \dots t_j$ in $S_{n-1}(t_j)$, $f = n-2$. If only $S_{n-1}(t_1) \notin S$, $y^{(n)}$ sends M to $z = y^{(n, t_1)} = t_1 \dots t_n \dots t_j$ in $S_{n-1}(t_j)$, and z sends M to $z^{(t_j)} = t_j \dots t_n \dots t_1$ in $S_{n-1}(t_1)$. By **ROUTING**($z^{(n)}, t^{(n)}$), $z^{(t_j)}$ sends M to $t^{(n)} = z^{(t_j, n)} = t_n \dots t_j \dots t_1$. It takes 4 steps from $t^{(i)}$ to $t^{(n)}$, and $extra = 6(n-3) + 4 = 6f - 2$. If $S_{n-1}(t_1) \cup S_{n-1}(t_\alpha) \notin S$ with $\alpha \neq 1$ in y , there are at least two faulty edges incident to y , which have been not encountered before M is sent to y . It shows that $|F| \leq n-4$ when y received M and M was sent to y in at most $6(n-4)$ steps. Since it takes one step from y to $y^{(n)}$ and 5 steps from $y^{(n)}$ to $t^{(i_\alpha, n)} \notin F$ through $S_{n-1}(t_\alpha) \notin S$, $extra = 6(n-3) < 6f$. Thus, Theorem 2 holds in case 1.

Case 2. Similarly, we can prove case 2 by induction. Assume $f_1 = f_3 = 0$. When $f = 1$, let $x = t^{(i, n)}$ and the edge $(t^{(i, n)}, t^{(i)})$ is faulty. By **FT-procedure**, $S_{n-1}(x_1) \cup S_{n-1}(x_n) \in S$, where $S_{n-1}(x_1) = S_{n-1}(t_n)$ and $S_{n-1}(x_n) = S_{n-1}(t_i)$ since $x_1 = t_n$ and $x_n = t_i$. Let M be sent to $S_{n-1}(t_j) \notin S$. Based on Lemma 2, it takes at most 4 steps for x to route M to $t^{(i, n)}$. When $x = t^{(i, n)} = t^{(n)}$, we can prove that it takes 4 steps from $t^{(i, n)} = t^{(n)}$ to $t^{(i, n)}$ for $j \neq 1$. It is just the reverse procedure from $t^{(i, n)}$ to $t^{(n)}$ as shown in the proof of case 1. Assume that it can take $4(f_2 - 1)$ extra steps to route M to t when $f = f_2 - 1$. As same as the proof of case 1, $extra = 4f_2$ when $f = f_2$. When $f_1 \neq 0$ and $f_3 = 0$, $extra = 6f_1 + 4f_2 \leq 6f$. Theorem 2 holds in case 2.

Case 3. Let $length$ denote the length of the path from s to t , which is decided by **FT_ROUTING**. Assume $f_1 = f_2 = 0$. When $f = 1$, only one of $S_{n-1}(x_1)$ and $S_{n-1}(x_n)$ is in S . Let x be in $S(t_i)$, and let M be sent to $S_{n-1}(t_j) \notin S$. Let $y = \varphi_{ij}(x)$, then $S_{n-1}(y_n) = S_{n-1}(x_1)$ if $y_n = x_1$ or $S_{n-1}(y_n) = S_{n-1}(x_n)$ if $y_n = x_n$. Based on Lemma 2 and 8, it takes at most 2 steps to route M from x to y in $S_{n-1}(y_n) = S_{n-1}(t_j)$. Therefore, $length = d(s, x) + d(x, y) + d(y, t^{(i, n)}) + 2$. Since $d(x, y) \leq 2$ and $d(s, x) + d(y, t^{(i, n)}) = d(s, x) + d(x, t^{(i, n)}) \leq d(s, t)$ based on Lemma 1 and 4, $length \leq d(s, t) + 4$. It

is easy to prove by induction that $length \leq d(s,t) + 4f_3$. So, $extra \leq 4f_3$. When $f_1 \neq 0$ and $f_2 \neq 0$, $extra \leq 6f_1 + 4f_2 + 4f_3 \leq 6f$. Theorem 2 holds in case 3. \square

Corollary 2 FT_ROUTING is optimal if no faults are encountered in routing.

Corollary 3 The number of M transmitted from s to t in the course of FT_ROUTING is less than or equal to $d(s,t) + 6f$ in S_n with f faults.

4 Conclusions

In this paper, we presented an adaptive distributed fault-tolerant routing algorithm without deadlock and livelock for the star graph. It is simple and easy for implementation. The message header only needs to be updated when faults are encountered. The upper bound of the message header is $2n - 2$ for the n -star graph. This algorithm can always route messages to a given destination by finding a fault-free $n - 1$ -star graph based on the local failure information and the topological property of the star graph. Thus, it insures that the routing procedure is deadlock-free and livelock-free. If there are $f \leq n - 2$ faults (node faults and/or edge faults) in the n -star graph, it can find a path of length at most $d(s,t) + 6f$ to route messages from a source s to a destination t .

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