

## グラフの平面への直線埋込み問題

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### Abstract

グラフの直線埋込みとは、グラフを平面上に各辺が直線分でかつ交差しないように描くことである。ここではグラフの点集合となる平面上の点集合が指定されており、さらにグラフのいくつかの特別な点は、その対応する点が指定されているような場合の直線埋込みを考える。具体的には、互いに素な  $n$  個の根付き木  $T_1, T_2, \dots, T_n$  の和グラフ  $F := T_1 \cup T_2 \cup \dots \cup T_n$  を、指定された  $n$  個の点  $p_1, p_2, \dots, p_n$  を含む  $|F|$  個の点からなる平面上の点集合  $P$  上に直線埋込みする問題を考える。このとき、各根付き木  $T_i$ ,  $1 \leq i \leq n$ , の根  $v_i$  は、指定された点  $p_i$  に対応させるものとする。またこの根の対応条件を、根の集合  $\{v_1, v_2, \dots, v_n\}$  は全体として指定された点の集合  $\{p_1, p_2, \dots, p_n\}$  に対応するものと条件を弱めた直線埋込みも考える。

特に、3 個の根付き木の和  $T_1 \cup T_2 \cup T_3$  には、前の意味での直線埋込みのできない点集合と根付き木が存在することを示し、かつ後の意味で直線埋込みできることをしめす。また証明から、このような埋込みが  $O(N^2 \log N)$  時間で実現できるアルゴリズムも得られる。ただし  $N = |T_1 \cup T_2 \cup T_3|$  である。

## Straight-line embeddings of rooted forests in the plane

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### Abstract

Let  $F := T_1 \cup T_2 \cup \dots \cup T_k$  be a rooted forest with roots  $v_1, v_2, \dots, v_k$  and let  $P$  be a set of  $|F|$  points in the plane in general position containing  $n$  specified points  $p_1, p_2, \dots, p_k$ . We say that  $F$  can be strongly (or weakly) straight-line embedded onto  $P$  if  $F$  can be embedded in the plane so that every vertex of  $F$  corresponds to a point of  $P$ , every edge corresponds to a straight-line segment, no two straight-line segments intersect except their common end-point, and so that the root  $v_i$  corresponds to  $p_i$  for every  $1 \leq i \leq k$  (or the set  $\{v_1, \dots, v_k\}$  of roots corresponds to the set  $\{p_1, \dots, p_k\}$  of specified points). We give some results on strongly and weakly straight-line embedding of rooted forests.

# 1 Introduction

We consider finite planar graphs without loops or multiple edges. Let  $G$  be a planar graph with vertex set  $V(G)$  and edge set  $E(G)$ . We denote by  $|G|$  the order of  $G$ , that is,  $|G| = |V(G)|$ . Given a planar graph  $G$ , let  $P$  be a set of  $|G|$  points in the plane (2-dimensional Euclidean space) in general position (i.e., no three points of  $P$  lie on the same line). Then  $G$  is said to be *line embedded onto  $P$*  or *straight-line embedded onto  $P$*  if  $G$  can be embedded in the plane so that every vertex of  $G$  corresponds to a point of  $P$ , every edge corresponds to a straight-line segment, and no two straight-line segments intersect except their common end-point. Namely,  $G$  is line embedded onto  $P$  if there exists a bijection  $\phi : V(G) \rightarrow P$  such that two points  $\phi(x)$  and  $\phi(y)$  are joined by a straight-line segment if and only if  $x$  and  $y$  are joined by an edge of  $G$  and all two distinct open straight-line segments have no point in common. We call such a bijection a *line embedding* or a *straight-line embedding* of  $G$  onto  $P$ .

In this paper we consider a line embedding having one more property. Let  $G$  be a planar graph with  $n$  specified vertices  $v_1, v_2, \dots, v_n$ , and  $P$  a set of  $|G|$  points in the plane in general position containing  $n$  specified points  $p_1, p_2, \dots, p_n$ . Then we say that  $G$  is *strongly line embedded onto  $P$*  if  $G$  can be line embedded onto  $P$  so that for every  $1 \leq i \leq n$ ,  $v_i$  corresponds to  $p_i$ , that is, if there exists a line embedding  $\phi : V(G) \rightarrow P$  such that  $\phi(v_i) = p_i$  for all  $1 \leq i \leq n$ . The line embedding mentioned above is called a *strong line embedding* of  $G$  onto  $P$ . Similarly  $G$  is said to be *weakly line embedded onto  $P$*  if there exists a line embedding  $\phi : V(G) \rightarrow P$  such that  $\{\phi(v_1), \dots, \phi(v_n)\} = \{p_1, \dots, p_n\}$ . This line embedding is called a *weak line embedding* of  $G$  onto  $P$ .

A tree with one specified vertex  $v$  is usually called a *rooted tree* with root  $v$ . Given  $n$  disjoint rooted trees  $T_i$  with root  $v_i$ ,  $1 \leq i \leq n$ , the union  $T_1 \cup T_2 \cup \dots \cup T_n$ , whose vertex set is  $V(T_1) \cup V(T_2) \cup \dots \cup V(T_n)$  and whose edge set is  $E(T_1) \cup E(T_2) \cup \dots \cup E(T_n)$ , is called a *rooted forest* with roots  $v_1, v_2, \dots, v_n$ , which are specified vertices of it.

We begin with the following theorem, which was conjectured by Perles [8] and partially solved by Pach and Töröcsik [4]; a simpler proof can be found in Tokunaga [10]. Another related result can be found in [2].

**Theorem A (Ikebe, Perles, Tamura and Tokunaga [3])** *A rooted tree  $T$  can be strongly line embedded onto every set of  $|T|$  points in the plane in general position containing a specified point.*

We obtained the following theorem in [5].

**Theorem B** *A rooted forest  $F$  consisting of two rooted trees can be strongly line embedded onto every set of  $|F|$  points in the plane in general position containing two specified points (see Figure 1).*

Moreover, our proof of the theorem gives an  $O(|F|^2 \log |F|)$  time algorithm for finding a strong line embedding. We now give an example of rooted forests consisting of four rooted trees that cannot be strongly line embedded onto certain sets of points in the plane in general position containing four specified points. However, we proposed the following conjecture.

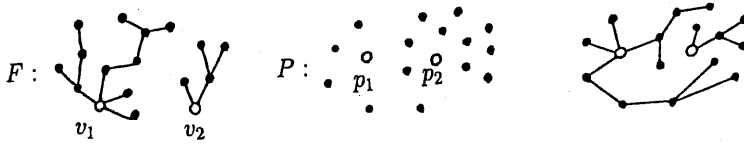


Figure 1: A rooted forest  $F$  and its strong line embedding onto  $P$ .

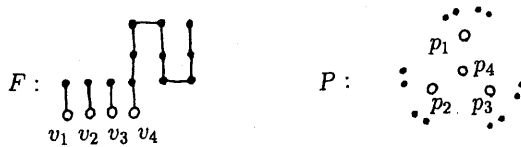


Figure 2: A rooted forest  $F$  which cannot be strongly line embedded onto  $P$ .

**Conjecture C** A rooted forest  $F$  consisting of three rooted trees can be strongly line embedded onto every set of  $|F|$  points in the plane in general position containing three specified points.

In this paper we give an counterexample to the above conjecture, and give a result on weakly line-embedding of a rooted forest consisting of three rooted trees. Namely we prove the following theorems.

**Theorem 1** Let  $F$  be a rooted forest consisting of three rooted trees given in the Figure 3, and let  $P$  be the set of points given in Figure 3. Then  $F$  cannot be strongly line embedded onto  $P$ .

**Theorem 2** Let  $F := T_1 \cup T_2 \cup T_3$  be a rooted forest with roots  $v_1, v_2, v_3$  and let  $P$  be a set of  $|F|$  points in the plane in general position containing three specified points  $p_1, p_2, p_3$ . Then  $F$  can be weakly line embedded onto  $P$ . Moreover our proof gives a polynomial time ( $O(n^2 \log n)$ ?) algorithm for finding such a weak line embedding.

Other related results are given below.

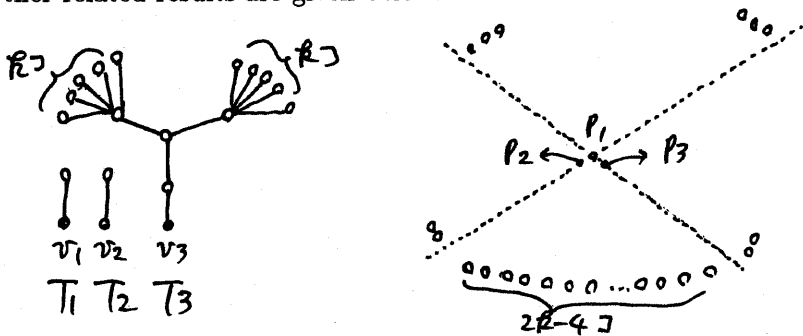


Figure 3: A rooted forest  $F$  and a set  $P$  of points.

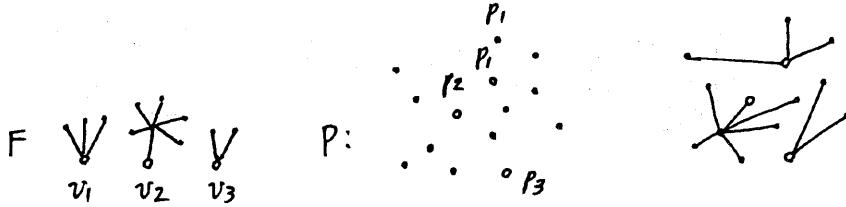


Figure 4: A strong line embedding of a forest consisting of star rooted trees

**Theorem D ([7])** *Let  $n \geq 3$  be an integer. Let  $F := T_1 \cup T_2 \cup \dots \cup T_n$  be a rooted forest such that each  $T_i$  is a star with root  $v_i$ , and let  $P$  be a set of  $|F|$  points in the plane in general position containing  $n$  specified points  $p_1, p_2, \dots, p_n$ . Then  $F$  can be strongly line embedded onto  $P$ .*

**Theorem E ([6])** *Let  $k \geq 1$  and  $n \geq 1$  be an integer. Let  $F := T_1 \cup T_2 \cup \dots \cup T_n$  be a rooted forest such that each  $T_i$  is a rooted tree of order  $k$  or  $k + 1$  with root  $v_i$ , and let  $P$  be a set of  $|F|$  points in the plane in general position containing  $n$  specified points  $p_1, p_2, \dots, p_n$ . Then  $F$  can be strongly line embedded onto  $P$ .*

We can obtain polynomial time algorithms for finding strong line embeddings of Theorems D and E.

## 2 A sketch of proof of Theorem 2

In order to prove our theorem, we need some notation and definitions. Let  $X$  be a set of points in the plane. We denote by  $\text{conv}(X)$  the convex hull of  $X$ , which is the smallest convex set containing  $X$ .

Let  $G$  be a graph. For a vertex  $v$  of  $G$ , we denote by  $\text{deg}_G(v)$  the degree of  $v$  in  $G$ . For a subset  $S \subseteq V(G)$ , we denote by  $G - S$  the graph obtained from  $G$  by deleting the vertices in  $S$  together with their incident edges.

Let  $P$  be a set of points in the plane in general position containing specified points. For convenience, we call a non-specified point of  $P$  an *ordinary point*, and denote the set of ordinary points of  $P$  by  $\text{ord}(P)$ .

For three non-collinear points  $x, y$  and  $p$  in the plane, the plane is partitioned into two regions by two rays emanating from  $p$  and passing through  $x$  and  $y$ , respectively. We denote by  $\text{Rgn}(xpy)$  the region whose induced angle is less than  $\phi$ . Similarly, for non-collinear point  $x$  and ray  $r$  from  $p$ , and for non-collinear rays  $r_1$  and  $r_2$  from  $p$ ,  $\text{Rgn}(xpr)$  and  $\text{Rgn}(r_1pr_2)$  denote the similar internal regions (see Figure 5). If we consider a region including all its boundary, then we call it a *closed region*, and if we consider a region without its boundary, then we call it an *open region*.

**Lemma 3** *Let  $T$  be a tree with two specified vertices  $v_1$  and  $v_2$ , and  $P$  a set of  $|T|$  points in the plane in general position containing two specified points  $p_1$  and  $p_2$ . If one*

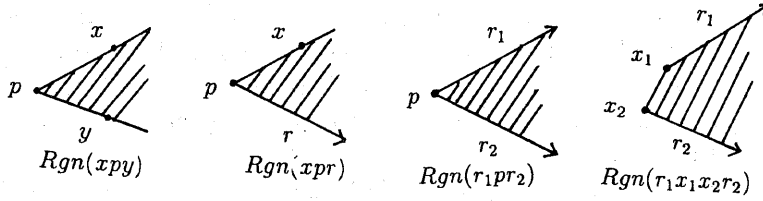


Figure 5: Regions  $Rgn(xpy)$ ,  $Rgn(xpr)$ ,  $Rgn(r_1pr_2)$  and  $Rgn(r_1x_1x_2r_2)$ .

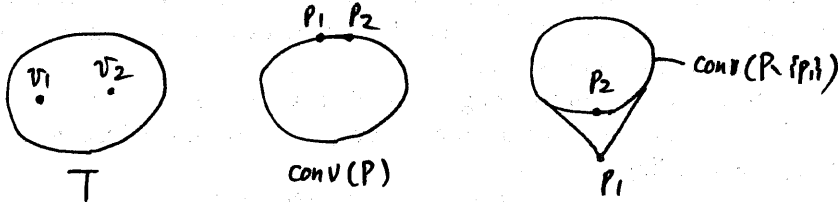


Figure 6: Conditions (i) and (ii).

of the following two conditions satisfies, then  $T$  can be line embedded onto  $P$  such that  $v_i$  corresponds to  $p_i$  for all  $i = 1, 2$ .

- (i)  $p_1$  and  $p_2$  are consecutive vertices of  $conv(P)$ ; or
- (ii)  $p_1$  is a vertex of  $conv(P)$  and  $p_2$  is a vertex of  $conv(P \setminus \{p_1\})$ , and a line segment  $\overline{p_1p_2}$  intersects  $conv(P \setminus \{p_1\})$  only at  $p_1$  (see Figure 6).

**Proof** Here we prove only that if (i) is satisfied, then the lemma holds. By a suitable rotation of the plane and by the symmetry of  $p_1$  and  $p_2$ , we may assume that  $p_1$  lies on the bottom of  $conv(P)$  and that  $p_2$  lies to the right of  $p_1$ . Let  $q$  be a vertex of  $conv(P)$  adjacent to  $p_1$  and lying to the left of  $p_1$ . Suppose first  $\deg_T(v_1) = 1$ . Let  $u$  be the vertex of  $T$  adjacent to  $v_1$ . Then by induction, the tree  $T - v_1$  with two specified vertices  $u$  and  $v_2$  is strongly line embedded onto  $P \setminus \{p_1\}$  with specified points  $q$  and  $p_2$ . By adding  $\overline{p_1q}$  to this embedding, we can get the desired strong line embedding of  $T$ .

We next assume  $\deg_T(v_1) \geq 2$ . Let  $D$  be a component of  $T - v_1$  not containing  $v_2$ . Then  $1 \leq |D| \leq |T| - 2 = |P| - 2$ , and so there exists a line  $l$  passing through  $p_1$  such that the number of ordinary points of  $P$  lying on or to the left of  $l$  is equal to  $|D|$ . We denote the set of these ordinary points of  $P$  by  $Q$ . Then by Theorem A, the rooted tree  $D \cup \{v_1\}$  with root  $v_1$  is strongly line embedded onto  $Q \cup \{p_1\}$  with specified point  $p_1$ . Furthermore, it follows from the inductive hypothesis that  $T - V(D)$  with specified vertices  $v_1$  and  $v_2$  is strongly line embedded onto  $P \setminus Q$  with specified points  $p_1$  and  $p_2$ . By combining the above two embeddings, we can obtain the desired strong line embedding of  $T$  onto  $P$ . We can similarly prove the lemma holds under the assumption that (ii) satisfied.  $\square$

**Lemma 4** Let  $k \geq 3$  be an integer. Let  $T_1 \cup T_2 \cup \dots \cup T_k$  be a rooted forest with roots  $v_1, v_2, \dots, v_k$ , and  $P$  be a set of  $|T_1 \cup T_2 \cup \dots \cup T_k|$  points in the plane in general position containing  $k$  specified points  $p_1, p_2, \dots, p_k$ . If  $k - 2$  points  $p_1, p_2, \dots, p_{k-2}$  are vertices of  $conv(P)$ , then  $T_1 \cup T_2 \cup \dots \cup T_k$  can be strongly line embedded onto  $P$ .

Let  $F := T_1 \cup T_2 \cup T_3$  be a rooted forest with roots  $v_1, v_2$  and  $v_3$ , and let  $P$  a set of  $|F|$  points in the plane in general position containing three specified points  $p_1, p_2$  and  $p_3$ . Then  $\text{ord}(P) = P \setminus \{p_1, p_2, p_3\}$ , which is the set of ordinary points of  $P$ . Let  $\{p_i, p_j, p_k\} = \{p_1, p_2, p_3\}$ , that is,  $p_i$  denotes one of the specified points of  $P$ , and  $p_j$  and  $p_k$  denote the other ones.

We may assume that  $|T_1| \geq |T_2| \geq |T_3| \geq 2$  since if  $|T_3| = 1$  then the theorem follows from the fact that a strong line embedding of  $T_1 \cup T_2$  onto  $P \setminus \{p_3\}$  is of course a weak line embedding of  $F$  onto  $P$ . For all  $1 \leq i \leq 3$ , put  $n_i := |T_i| - 1$ , which are equal to the numbers of ordinary points of  $P$  adding to  $p_i$  to construct  $T_i$  and  $T_2$ , respectively.

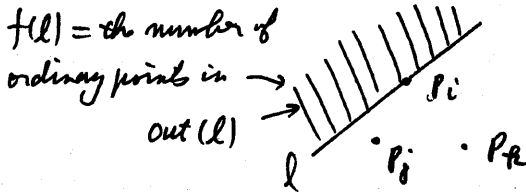
We now prove the theorem. By Lemma 4, we may assume that all the specified points  $p_1, p_2$  and  $p_3$  are interior points of  $\text{conv}(P)$ .

Let  $l$  denote a line passing through  $p_i$  such that one of the regions determined by  $l$  contains both  $p_j$  and  $p_k$ . We denote by  $\text{out}(l)$  the region determined by  $l$  and not containing  $p_j$ , and define

$$f(l) := |\text{out}(l) \cap \text{ord}(P)|,$$

which is the number of ordinary points in  $\text{out}(l)$ . Define the number  $M$  and  $N$  by

$$M := \max\{f(l)\} \quad \text{and} \quad N := \min\{f(l)\}.$$



*Claim 1* We may assume that  $f(l) < n_1$  for all line  $l$  passing through  $p_i$  such that  $\text{out}(l)$  can be defined.

*Claim 2* We may assume that  $f(l) > n_2 \geq n_3$  for all line  $l$  passing through  $p_i$  such that  $\text{out}(l)$  can be defined.

Let

$$T - v_1 = C_1 \cup C_2 \cup \dots \cup C_q,$$

where each  $C_i$  is a component of  $T_1 - v_1$  and  $|C_1| \geq |C_2| \geq \dots \geq |C_m|$ .

*Claim 3* We may assume  $|C_1| > M$ .

Let  $u$  denote the vertex of  $C_1$  adjacent to  $v_1$  in  $T_1$ . We choose a vertex  $w_1$  of  $C_1$  so that (i) the order of the component  $A_0$  of  $T_1 - v_1$  containing the vertex adjacent to  $v_1$  is less than  $M$ , and (ii) the order  $|A_0|$  is as large as possible subject to (i). Then we have  $C_1 - w_1 = A_0 \cup A_1 \cup \dots \cup A_r \cup A_{r+1} \cup \dots \cup A_m$ , where  $A_1$  is one the largest components among all  $A_1, \dots, A_m$ , and  $A_2, \dots, A_r$  satisfy that  $|A_0 \cup A_1 \cup \dots \cup A_r| < M$  but  $|A_0 \cup A_2 \cup \dots \cup A_r \cup A_t| \geq M$  for every  $r < t \leq m$ . It may happen that  $w - 1 = u$  and  $A_0 = \emptyset$ . Let  $B_1 := C_2 \cup \dots \cup C_q$ ,  $B_2 := A_0 \cup A_2 \cup \dots \cup A_r$ ,  $B_3 := A_{r+1} \cup \dots \cup A_m$  and  $B_4 := A_1$  (see Figure 7).

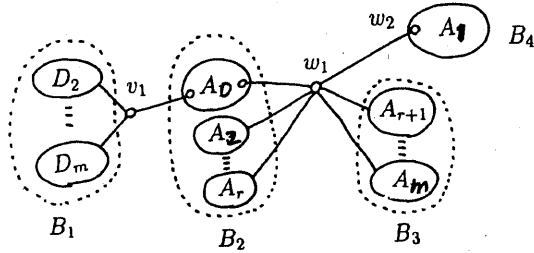


Figure 7: The rooted tree  $T_1$

*Claim 4* We may assume that  $|B_2| \leq N - 2$ .

*Claim 5* We may assume that  $B_3 \neq \emptyset$  and  $|B_4| \geq 2$ .

By making use of the above Claims, we can prove the theorem.

Our proof of Theorem 2 together with the following known results, we can obtain a polynomial time algorithm for finding a weak line embedding.

**Theorem F (Bose, McAllister and Snoeyink [1])** *Let  $T$  be a rooted tree and  $P$  a set of  $|T|$  points in the plane in general position containing a specified point. Then we can strongly embed  $T$  onto  $P$  in  $ord(|T| \log |T|)$  time.*

**Theorem G (Theorem 3.7 of [9])** *The convex hull of  $n$  points in the plane can be found in  $O(n \log n)$  time.*

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