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Packrat Parsers Can Support Multiple Left-recursive Calls at the Same Position

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Abstract: One of the common problems with the recursive descent parsing method is that when parsing with a leftrecursive grammar, the parsing does not terminate because the same parsing function is recursively invoked indefinitely without consuming the input string. Packrat parsing, which is a variant of recursive descent parsing method that handles grammars described in parsing expression grammars (PEGs) by backtracking, is also affected by the above problem. Although naive backtracking parsers may exhibit an exponential execution time, packrat parsers achieve a linear time complexity (for grammars that are not left-recursive) by memoizing the result of each call to the parsing functions. Some methods have been proposed to solve the problem of left recursion in packrat parsers. In these methods, memoization tables in packrat parsers are modified to limit the depth of the recursive calls. By calling the same parsing function repeatedly while increasing the limit, the parsed range in the input string is expanded gradually. These methods have problems in that multiple occurences of left-recursive calls at the same input position cannot be handled correctly, and some of the grammars that does not include left recursion cannot be handled. In this research, we propose and implement a new packrat parser to address these problems. This packrat parser can handle multiple occurences of left-recursive calls at the same position in the input by giving priority to the most recently used rule when gradually increasing the parsed range of the recursion. In the evaluation of the proposed method, in addition to the grammars including left recursion manageable by the methods proposed in existing studies, we confirmed that our approach supports the grammars that cannot be handled by those existing methods.

Keywords: PEG, packrat parser, left recursion, memoization

1. Introduction

1.1 Background

1.1.1 Parsing Expression Grammars

Parsing expression grammars (PEGs) [2] are formal grammars which, along with context free grammars (CFGs) and regular expressions, consists of a set of rules that describe the procedure for parsing strings in a top-down manner. PEGs adopt ordered choices, and thus there is no ambiguity from choices, whereas CFGs have no priority in the analysis of choices, and therefore there can be an ambiguity that a sentence have multiple analysis results for the same sentence. In addition, PEGs can describe a range of grammar classes that cannot be represented by CFGs, because of their unlimited lookahead [2].

A PEG rule is written using parsing expression e and non-terminals N as follows.

 $<\mathbb{N}> \leftarrow e$

And the set of parsing expressions is defined recursively by applying the following rules to ε (the empty string) and the elements of the set of terminals *T* and nonterminals *NT*.

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- The empty string ε is a parsing expression.
- A terminal $t (t \in T)$ is a parsing expression.
- The constant ·, which stands for any terminal symbol, is a parsing expression.
- A nonterminal \mathbb{N} ($\mathbb{N} \in NT$) is a parsing expression.
- A concatenation e_1e_2 of two parsing expressions e_1, e_2 is a parsing expression.
- An ordered choice e_1/e_2 of two parsing expressions e_1, e_2 is a parsing expression.
- A zero-or-more repetitions *e** of a parsing expression *e* is a parsing expression.
- A one-or-more repetitions *e*+ of a parsing expression *e* is a parsing expression.
- An affirmative lookahead & *e* of a parsing expression *e* is a parsing expression.
- A negative lookahead & *e* of a parsing expression *e* is a parsing expression.
- An optional match *e*? of a parsing expression *e* is a parsing expression.

In this paper, we write a string of terminals as 'str', and a nonterminal in a grammar definition as <NT>.

The following is an example of a grammar described in a PEG, which represents a non-context-free language.

This grammar matches $\{a^nb^nc^n \mid n \ge 1\}$, a length-*n* sequence of 'a' followed by sequences of 'b' and 'c' of the same length, in that order. That is, it matches for 'aaabbbccc' but not for 'abbcc'.

In the above rules, zero-or-more repetitions, one-or-more repetitions, affirmative lookahead, and optional match parsing expressions are syntactic sugars, each of which can be rewritten with a nonterminal symbol N and is defined as follows.

*e**: zero-or-more repetitions of *e*

 $N \leftarrow eN/\varepsilon$

e+: one-or-more repetitions of *e*

 $N \leftarrow eN/e$

&e: affirmative lookahead of e

$$N \leftarrow !!e$$

e?: optional match of e

$$N \leftarrow e/\varepsilon$$

1.1.2 Packrat Parsing

The parsing directed by a PEG can be performed using a recursive descent parsing with backtracking. However, backtracking may cause the same input and rule combination to be analyzed multiple times, leading to an exponential time-complexity, which is unacceptable in practice. To solve this problem, a technique called memoization is used [1].

In memoization, the arguments and results of function calls are recorded. If the arguments of a subsequent call are in the table, the stored value can be returned. In the case of recursive descent parsing, each rule and parsing position in the input are considered as arguments. When the same rules and parsing positions are used for parsing, the recorded parsing results are reused.

Packrat parsing achieves a linear time complexity (for 'wellformed' grammars [2], which excludes left recursion) through memoization, even though it allows backtracking [1]. A parser implementation using pacrkat parsing is called a packrat parser.

1.1.3 Left Recursion in PEG

Consider the following PEG.

<Expr> \leftarrow <Expr> '+' <Term>/<Expr> '-' <Term>/<Term>

Here, if Term matches an unsigned integer such as '123' or '0', what is parsed by Expr is either an integer arithmetic expression consists of addition and subtraction such as '12+361' or '1000-700+73', or a single integer such as '373'. In this example, the first and second alternatives of the Expr are prefixed by the Expr itself. The structure in which the nonterminal on the left side of the rule appears to the leftmost of any of the alternatives for each of the ordered choices on the right side is called (direct) left recursion.

Recursive descent parsers parse an input string by calling the function corresponding to each nonterminal symbol recursively. Therefore, when a naive recursive descent parser encounters a rule that involves left recursion, the parsing generally does not terminate because it repeats recursive calls infinitely without consuming the input characters.

In this paper, we call a function call corresponding to a nonterminal symbol (e.g., Expr in the example above) causing left recursion a *left-recursive call*.

In general, it is possible to convert a left-recursive grammar to a non-left-recursive grammar that accepts the same input string, although the shape of the resulting syntax tree changes. As an example, the input string '1-2-3' will be parsed as '(1-2)-3' prior to conversion, whereas after conversion it is parsed as '1-(2-3)'. This means that the conversiion changes the calculation result when the parsed result is interpreted as an arithmetic expression.

1.1.4 Handling of Left Recursion in Previous Studies

In Warth et al. [6] and Goto et al. [3], the authors modified the memoization table of the packrat parser to limit the number of functions called at a time and prevent an infinite recursion. Then, by repeating the parsing process over same rules, they have succeeded in parsing the grammars including left recursion. However, Warth et al.'s method [6] has a problem in that it is not possible to analyze multiple left-recursive calls that occur at the same position in the input string. For example, if we give an input string 'baab' to the grammar

$$\langle S \rangle \leftarrow \langle A \rangle$$
'b'/'b'
 $\langle A \rangle \leftarrow \langle A \rangle$ 'a'/ $\langle S \rangle$ 'a'

(where the start symbol is S), a left-recursive invocation of the invocation path $\langle S \rangle \rightarrow \langle A \rangle \rightarrow \langle A \rangle$ and another left- recursive invocation of the path $\langle S \rangle \rightarrow \langle A \rangle \rightarrow \langle S \rangle$ will occur at the first input character position 0, and the Warth et al.'s method [6] will terminate abonormally. Goto et al.'s method [3], which addressed the problem of Warth et al.'s method, also has their own problems. Given a grammar

$$~~\leftarrow '-'~~$$

$$\leftarrow 'b'/'b'$$

$$\leftarrow 'a'/'a'$$

(where the start symbol is S), which causes multiple left-recursive calls at the same location plus multiple left-recursive calls at multiple input character positions, their method does not behave as the authors claimed (e.g., parsing of the string 'baab-baab' fails). In addition, Goto et al.'s method [3] cannot handle the following grammars, which was handled by Warth et al.'s method. In particular, Goto et al's method:

• fails to parse input '1+1' with the grammar

 $\langle Exp \rangle \leftarrow `1'`+' \langle Exp \rangle / `1',$

which does not include any left-recursive calls,

• fails to parse non-repetitive input 'b' with the grammar

$$\langle S \rangle \leftarrow \langle A \rangle$$
'b'/'b'
 $\langle A \rangle \leftarrow \langle A \rangle$ 'a'/ $\langle S \rangle$ 'a',

• and fails to parse input 'aaa' with the grammar

$$\langle S \rangle \leftarrow \langle S \rangle$$
 'a'/'a'

which includes only direct left recursion.

1.2 Purpose of this Research

As mentioned in Section 1.1.3, it is possible to eliminate left recursion in a grammar described in a PEG by transforming the grammar into a different version with a different form of a syntax tree. However, in general, a change in the shape of the syntax tree may change the meaning of the parsed results, which is undesirable. In this study, we propose a novel algorithm that can handle PEGs that cannot be handled in previous methods, as well as those that have successfully been handled in previous approaches, increasing the usability and applicability of packrat parsing.

1.3 Structure of the Paper

In Section 2, we present the basic implementation of our packrat parser using a pseudo code. In Section 3, we extend the packrat parser to allow it to handle grammar rules with left recursion, according to the algorithm proposed in a previous study, and describe its problems. In the following Section 4, we describe our method to solve these problems. Section 5 presents an evaluation of the proposed method, and finally, Section 6 provides some concluding remarks as well as future issues and prospects.

2. The Basic Packrat Parser

In this section, we describe the general structure and behavior of the packrat parser used throuout this paper. The parser described in this paper is assumed to be a recognizer that takes a PEG and a string as input and judges whether the whole input string matches the grammar without generating a syntax tree.

2.1 Structure of the Packrat Parser

Our basic version of packrat parser is defined using the mutually recursive functions eval (Fig. 1) and applyRule (Fig. 2).

The results of the parse are expressed in Ast type, which has two values, MATCH, and MISMATCH.

In addition, the following global variables are defined.

POS: This indicates the current parsing position of the input string, initialized to zero.

MEMO: This is a table indexed by the positions and nonterminals defined in the grammar, and stores a pair of ans, which is a value of type Ast and is the parsed result, and pos_next, which is of a **Position** type and indicates the start position of the next parse. All parse results are initialized to NULL.

2.1.1 The eval Function

The eval function takes a parsing expression as an argument. It decomposes the given parsing expression and tries to parse the input string. If each decomposed element is a string of terminals, it is checked against the input characters, and if it is a nonterminal, the applyRule function is applied to the symbol.

The pseudo code for the eval function is shown in Fig. 1. The parsing expression given is assumed to be desugared and replaced by an alternative representation.

In our implementation, ordered choices and concatenations are processed from left to right, in depth-first order.

2.1.2 The applyRule Function

The applyRule function takes a nonterminal symbol and a position as arguments. It parses the input string using the parsing expression which is associated with the given nonterminal

```
Ast eval(ParsingExpression e){
 1
      p = POS
2
       if (e is the empty string \varepsilon)
3
4
         return MATCH
5
 6
       if(e is a terminal str){
 7
         if (the input at POS starts with str) {
           POS = POS + (the length of str)
 8
9
            \texttt{return} \ \operatorname{MATCH}
10
         }else
11
            return MISMATCH
       }
12
13
14
       if (e is a negative lookahead !e_{in}) {
15
         if(eval(e_{in}) = MATCH)
            POS = p
16
17
            return MISMATCH
18
         }else
19
            return MATCH
20
       }
21
22
       if (e is a nonterminal N)
23
         return applyRule(N, POS)
24
       if (e is an ordered choice e_1/e_2){
25
26
         if(eval(e_1) == MATCH)
27
            return MATCH
28
         else
29
            return eval(e_2)
       7
30
31
32
       if (e is a concatenation e_1e_2) {
33
         if(eval(e_1) == MATCH){
34
            if(eval(e_2) == MISMATCH){
              POS = p
35
36
              return MISMATCH
37
            }else
38
              return MATCH
39
         }else
            return MISMATCH
40
       }
41
    }
42
             Fig. 1 The eval function.
        Ast applyRule(Nonterminal N, p){
    1
    \mathbf{2}
          if(MEMO(N, p) == NULL){
    3
             exp = getRuleDef(N)
    4
             ans = eval(exp)
    5
             MEMO(N, p) = \{ans, POS\}
    6
             return ans
    7
          }else{
             POS = MEMO(N, p).pos_next
    8
    9
             return MEMO(N, p).ans
   10
          }
       }
   11
                Fig. 2 applyRule.
```

symbol. It also maintains the memo table MEMO and reuses the previously recorded result, if the result for the arguments can be found in the table.

The pseudo code for the applyRule function is shown in Fig. 2. We introduce an auxilary function getRuleDef, which takes a nonterminal N as an argument and returns the parsing expression associated with N.

2.2 How the Packrat Parser Works

The parsing of the input string is initiated by calling the applyRule function on the start symbol, which is a nonterminal in a given PEG. The parsing terminates when the initial call to the applyRule function returns, and the parse is successful if the return value is MATCH and the POS at the end of the analysis is

the same as the length of the input string.

This packrat parser cannot handle left recursion. When a grammar with left recursion is given, the parser terminates abnormally due to a stack overflow of the function call, caused by infinite recursive calls.

3. Goto et al.'s Packrat Parser

In this section, we describe the packrat parser proposed in Goto et al. [3] that can parse constructs containing left recursion.

Let us reconsider the example grammar involving left recursion in Section 1.

```
<Expr> ← <Expr> '+' <Term>/<Expr> '-' <Term>/<Term>
```

In general, we can substitute a nonterminal symbol in any parsing expression for the parsing expression of the right-hand side of the symbol. Repeating this substitution may result in leftrecursive rules (similar to the one above) we previously defined. We call such derived case indirect left recursion. By contrast, as with Expr in the example above, a single rule involving leftrecursive calls is called direct left recursion. Left-recursive rules are widely used in various types of grammars, for example, when defining left-associative operators. In this paper, following the terminology of previous studies [3], [6], we call the first rule of a cyclic path in call graph that is created by left-recursive call the "head rule" and the other rules in the cycle "involved rules." In the case of direct left recursion there are no involved rules, but only a head rule for each cycle.

3.1 Resolving Left Recursion with Seeds

Consider the following grammar.

 $<A> \leftarrow <A>$ '+n'/ 'n'

The strings that can be parsed by this grammar will be 'n', 'n+n', and so on; however, given the grammar, the packrat parser in Section 2 recurs infinitely and the parsing never terminates. Thus, we rewrite this grammar to the following with a limit on the number of left-recursive calls.

$$\leftarrow MisMatch$$

 $\leftarrow '+n'/'n' (n \ge 1)$

Suppose we parse 'n+n+n' using this grammar. The range to be parsed and the results are shown in **Table 1**. First, we use A_0 to match with A_1 . Since A_0 always fails, the first alternative of A_1 fails and the next alternative 'n' matches the first 'n' in the input string. Therefore, A_1 as a whole matches 'n'. We then use A_1 to match with A_2 . Since A_1 matches 'n' in the first alternative, we consider whether the next '+n' matches. In addition, since the rest of the input string is '+n+n', the entire A_2 matches 'n+n', leaving '+n'. Similarly, let us try to match A_3 using A_2 . Since

 Table 1
 Matching A with bounded left recursion.

input	n	+	n	+	n	result
A ₀	×					MISMATCH
A_1	'n'					Матсн
A ₂	A ₁	' +	n'			Матсн
A3		A2			n'	Матсн
A_4	'n'					Матсн

A₂ matches 'n+n', the next '+n' matches the remaining '+n' of the input string, and the entire input string 'n+n+n' matches A₃ and the parse terminates successfully.

Now, we consider how to proceed further. That is, matching A₄ using A₃. Since A₃ matches 'n+n+n', which is the entire input string, the next '+n' match fails because no further input to be matched remains. Since the first alternative fails, the parser backtracks to the beginning of the input string, and tries the next alternative. Since the second alternative is 'n', it matches the first 'n' of the input string, leaving '+n+n' as unprocessed. Therefore, A_4 as a whole matches 'n'.

Thus, if we consider the match of A_n using A_{n-1} as a single parsing step, the shortest string is matched in the first step and the matched range increases with each subsequent step. When the match is already reached to the longest possible range, the result of the next parsing step returns to the first shortest value. We call the result of the first match, A1, a "seed." Based on this observation, the authors of Refs. [6] and [3] each proposed a version of packrat parsers that can handle left recursion, although with some limitations. Warth et al.'s method [6] does not take into account the case in which multiple call cycles (and thus multiple head rules) occur at the same location, and it terminates abnormally when analyzing such left-recursive grammars. In the following, we describe Goto et al.'s method [3], which takes into account the case in which there are multiple head rules.

3.2 Goto et al.'s Method

The packrat parser proposed by Goto et al. [3] uses the same eval function applied in the basic packrat parser but modifies the applyRule function. In addition, the updateMemo and glowLR functions are used.

In addithion, the following variables are newly defined.

- MAXPOS: This is a variable of Position type, which records the maximum value of the parse position. As the parsing progresses, if the POS is updated to a value larger than the current MAXPOS, MAXPOS is updated.
- CALL: This is a call stack recording rules already applied by the applyRule function.
- GROWSTATE: This is a boolean variable that indicates whether the analysis is in the iteration mode (i.e. during a call to the glowLR function).

In addition to MATCH and MISMATCH, Goto et al. [3] include FAIL as a value of Ast type, which is treated like MISMATCH by the eval and other functions. This corresponds to A_0 in Section 3.1. 3.2.1 Detection of Left-Recursive Calls

When the basic packrat parser tries to math nonterminals using the applyRule function, if the previous result is not found in the MEMO table, then the eval function is called, and the result is recorded in the MEMO table.

```
if(MEMO(N, p) == Null){
  exp = getRuleDef(N)
  ans = eval(exp)
 MEMO(N, p) = \{ans, POS\}
3
```

We change the code to pre-write a special value FAIL in the MEMO table before calling the eval function.

```
if(MEMO(N, p) == NULL)
  MEMO(N, p) = {FAIL, POS}
  exp = getRuleDef(N)
  ans = eval(exp)
  MEMO(N, p).ans = ans
  MEMO(N, p).pos_next = POS
```

This allows us to detect the occurrence of a left-recursive call at the second call of the applyRule function.

3.2.2 The Updated applyRule Function

As the main change, the rule defined by the nonterminal N is applied by calling the updateMemo function. The new applyRule function also takes a nonterminal N and a **Position** as arguments and returns Ast. The modified applyRule function is shown in **Fig. 3**^{*1}.

3.2.3 The updateMemo Function

The updateMemo function applies a bookkeeping task for MEMO tables, which was achieved using the applyRule function in the basic version. When matching nonterminals without left recursion, the content of MEMO(N, p) is returned, whereas when left recursion occurs, the content of MEMO(N, p) is used to parse the input again. In addition, the matched result is returned after updating MEMO. The pseude code of the update-Memo function is shown in **Fig. 4**.

By keeping the nonterminal symbol that started the parse pushed onto the CALL stack, we can detect the parse with the same rule and prevent a single parse from becoming too deep. When parsing a construct with left recursion, the result returned by the eval function called at line 7 is used as a seed. As shown in Section 3.1, it is possible to parse an input with a left-recursive

```
1 Ast applyRule(Nonterminal N, p){
2 m = MEMO(N, p)
3 if(m != NULL && N∈CALL){
4 POS = m.pos_next
5 return m.ans
6 }else
7 return updateMemo(N, p)
8 }
```

Fig. 3 applyRule used in Goto et al.'s method.

```
1
   Ast updateMemo(Nonterminal N, p){
2
      CALL.push(N)
     if(MEMO(N, p) == NULL)
3
4
       MEMO(N, p) = {FAIL, p}
5
      m = MEMO(N, p)
6
      exp = getRuleDef(N)
      ans = eval(exp)
7
8
      if(ans == MATCH)
Q
        m.pos_next = POS
10
      if(MAXPOS < m.pos_next)
11
        MAXPOS = m.pos_next
12
      m.ans = ans
13
      CALL.pop()
      if (GROWSTATE == FALSE && N == start symbol) {
14
15
        while(TRUE){
16
          ans = growLR(N, p)
17
          if(ans == FAIL || POS \geq length of the input )
18
            break
19
       }
      }
20
21
      return ans
   3
22
```

Fig. 4 updateMemo in Goto et al.'s method.

*1 The pseudo-code in Ref. [3] is inconsistent with the explanation, and we rewrite the code according to the explanation in the paper because otherwise the parse will terminate abnormally. grammar by repeatedly applying head rule, starting from a seed. In Goto et al.'s method [3], however, any left-recursive call in a grammar is processed by repeatedly calling the start symbol instead of the head rule. This iterative parsing process is performed regardless of whether the start symbol is (directly or indirectly) left-recursive. The glowLR function shown in Section 3.2.4 is responsible for one step of the iteration, and the process proceeds by repeatedly calling this function.

3.2.4 The growLR Function

The glowLR function takes a nonterminal symbol and a position and returns Ast. The function repeats the iteration based on a seed obtained by the call to the eval in the updateMemo function. The pseudo code for glowLR is shown in Fig. 5.

3.3 Example of Successful Analysis

As an example of a PEG with left recursion that can be parsed using the Goto et al.'s method [3], we show a grammar for arithmetic expressions that contain multiple additions.

The input string to be analyzed is '12+3'. Because the start symbol is Expr, parsing starts with a call to applyRule(Expr, 0). Immediately after the parsing process starts, nothing is recorded in MEMO, and thus the updateMemo function is called, and the entire parsing process proceeds mainly within this function. An example of imaginary syntax trees and MEMO table states during the execution of the method are shown in **Figs. 6** and **7**. Here, the nodes representing the portion of the tree currently being matched are shown in dashed lines.

First, the parser attempts to parse the first alternative of Expr, <Expr>'+'<Num>, as shown in Fig. 6 (a). Then, because the MEMO table contains FAIL, the parsing fails and the parser tries the next alternative. The second alternative is <Num>; because nothing is recorded in the MEMO table, we start matching with Num and try to match with its first alternative, <Num><DIGIT>. At this time, as shown in Fig. 6 (b), this alternative fails because FAIL is recorded in the MEMO table, and we move on to the next alternative. Here, the analysis with the nonterminal DIGIT succeeds

```
1
    Ast growLR(NonTerminal N, p){
2
      CALL.push(N)
      GROWSTATE = TRUE
3
      old_pos = MAXPOS
4
5
      m = MEMO(N, p)
      POS = p
6
7
      exp = getRuleDef(N)
      ans = eval(exp)
8
9
      if (ans == MATCH && POS > m.pos_next) {
10
        m.ans = ans
11
        m.pos_next = POS
12
      3
      GROWSTATE = FALSE
13
14
      CALL.pop()
      if(old_pos != MAXPOS)
15
16
        return ans
17
      else
18
         \texttt{return} \ FAIL
   }
19
          Fig. 5 glowLR in Goto et al.'s method.
```



Fig. 6 Successful parse example using Goto et al.'s method #1.

and matches to '1', and the first result of the nonterminal Expr becomes MATCH, the result of which is recorded in the MEMO table, as shown in Fig. 6 (c). This result becomes the seed, which we write as $Expr_1$. Next, the match process moves on to the repetition in the glowLR function.

In the parsing using the glowLR function, $\langle Expr \rangle' +' \langle Num \rangle / \langle Num \rangle$ is parsed again with $Expr_1$ being recorded in the MEMO table. Rewriting the PEG with the explicit recursion count, we obtain $\langle Expr_2 \rangle \leftarrow \langle Expr_1 \rangle' +' \langle Num \rangle / \langle Num_2 \rangle$. As shown in Fig. 6 (d), we start matching the first alternative; however, it fails because the second character in the input string is '2' and does not match '+'. The parser tries the next alternative and starts matching with Num. The result is as shown in Fig. 7 (e), and the first '12' of the input string is recognized by the parser as the result of the parsing of the entire expression $Expr_2$.

The second parsing with the glowLR function attempts to match $Expr_3$ using $Expr_2$ recorded in the MEMO table. As shown in the figure, the parsing of the first alternative proceeds to match between the nonterminal Num and '3' in the input string. Because the MEMO table contains FAIL, the first alternative fails and the parser tries the next alternative DIGIT. As shown in Fig. 7 (g), DIGIT and '3' match, and the entire Expr

and '12+3' match.

Now, before the third parsing by the glowLR function begins, the POS matches the length of the input string. Therefore, the complete result is returned as Match and the parsing is finished.

3.4 Example of Analysis Failure

Goto et al.'s method [3] can continue to parse multiple leftrecursive calls at the same position without errors. However, because the repetition is limited to the start symbol, their method cannot parse correctly when left recursion on another nonterminal symbol should be repeated.

We show an example of parsing the input string '12+34' using the same grammar as in the successful example. **Figure 8** shows the tree representing the parsing process and the MEMO table. We skip up to the parsing of '12+3' because it is the same as the case of a success, and start with the parsing by $Expr_4$.

Figure 8 (a) shows the third parsing of Expr using the glowLR function. Because the first alternative Expr3 matches the input string '12+3' and the following '+' does not match the input string '4', we move to parsing by the second alternative, as shown in Fig. 8 (b). Because the parsing expression of the second alternative is Num, we parse the input by Num₃ using Num₂ in



Fig. 7 Successful parse example using Goto et al.'s method #2.

the MEMO table. The first alteranative DIGIT of Num₃ does not match '+' of the input string, so the parsing by Num₃ fails. Finally, we try to parse the second alternative, DIGIT, which is the state in Fig. 8 (c). Because only a '1' of the input string is parsed by DIGIT, this is the result of Expr; because MAXPOS is not updated any further, the execution is terminated and only '1' is the final parsed result of Expr, leaving the rest of the input string, '2+34', unparsed.

In addition, Goto et al.'s method [3] has the following problems, as described in Section 1.1.4:

- It fails to analyze the input that does not require repetition.
- It fails to handle direct left recursion.

4. Proposed Method

In this section, we propose a parser that can handle multiple left-recursive calls occurring at the same position in the input string and can handle multiple left-recursive calls occurring at a different position $*^2$.

Whereas Goto et al.'s method repeats on the start symbol, the proposed method repeats on the most recently detected leftrecursive head rule.

4.1 The sturcture of Proposed Packrat Parser

In addition to the functions eval, applyRule, and glowLR,

^{*2} Demonstration Java code is available at https://github.com/ialab/ LeftRecursion.



Fig. 8 Failure parse example using Goto et al.'s method.

two new functions, applyRuleGrow and evalGrow, are added to our parser. The Ast type is extended as in Goto et al. [3] and takes three values of MATCH, MISMATCH and FAIL. In addition to ans and pos_next, MEMO also records grow, which is a bool-type field that tells the caller that a left-recursive call has occurred.

4.1.1 The applyRule Function

The applyRule function in the proposed method is shown in **Fig.9**. As the main difference from the basic applyRule function, this version records FAIL in the MEMO table before calling the eval function and writes a value in the MEMO table to indicate that a left-recursive call has occurred if FAIL has already been recorded in the MEMO table.

4.1.2 The glowLR Function

The glowLR function in the proposed method is shown in

Fig. 10. Unlike Goto et al.'s version, the nonterminal symbol to which the glowLR function is applied is not limited to the start symbol, and thus repetition decision is based on the results of each parsing session, not on the maximum value of POS during the analysis.

4.1.3 The applyRuleGrow Function

The applyRuleGrow function is shown in **Fig. 11**. If, when matching an input string with a non-terminated symbol, the MEMO table has already recorded the parsing result of the non-terminated symbol, the behavior of the function changes depending on whether a left-recursive call occurs. If left-recursive calls do not occur up to this point, the record of the analysis results of the MEMO table can be returned as is, and the applyRule function takes care of this behavior. Otherwise, the

```
1
   Ast applyRule(NonTerminal N, p){
2
      if(MEMO(N, p) == NULL){
       MEMO(N, p) = {FAIL, p, FALSE}
3
        m = MEMO(N, p)
4
5
        exp = getRuleDef(N)
        ans = eval(exp)
6
7
        m.ans = ans
        m.pos_next= POS
8
        if(m.grow == TRUE){
9
10
          growLR(N, p)
          m.grow = FALSE
11
12
          ans = m.ans
13
          POS = m.pos_next
14
        }
15
        return ans
16
      }else if(MEMO(N, p).ans == FAIL){
17
        MEMO(N, p).ans = MISMATCH
        MEMO(N, p).grow = TRUE
18
19
        return MEMO(N, p).ans
20
      }else{
        m = MEMO(N, p)
21
        POS = m.pos_next
22
23
        return m.ans
24
      }
  }
25
```

Fig. 9 applyRule in the proposed method.

```
void growLR(N, p){
1
2
      while(TRUE){
3
        old_pos = POS
        POS = p
4
5
        exp = getRuleDef(N)
6
        ans = evalGrow(exp, p, {N})
        if(ans != MATCH || POS <= old_pos)
7
8
          break
9
        MEMO(N, p).ans = ans
10
        MEMO(N, p).pos_next = POS
     }
11
12 }
```

Fig. 10 glowLR in the proposed method.

```
1
    Ast applyRuleGrow(NonTerminal N, p, limits){
2
       limits.add(N)
      exp = getRuleDef(N)
ans = evalGrow(exp, p, limits)
if(ans != MATCH || POS <= MEMO(N, p).pos_next){</pre>
3
4
-5
         ans = MEMO(N, p).ans
6
         POS = MEMO(N, p).pos_next
7
8
      }else{
9
         MEMO(N, p).ans = ans
10
         MEMO(N, p).pos_next = POS
11
12
      return ans
   }
13
```

Fig. 11 applyRuleGrow in the proposed method.

results stored in the MEMO table are used to perform the parsing again. This re-parsing should only be performed when the evalGrow function is repeatedly called in the glowLR function, and the applyRuleGrow function takes care of this process.

The applyRuleGrow function takes a set of nonterminal symbols, limits, as an additional argument. This set contains non-terminals that have already appeared in the parsing, which prevents the recursive calls from becoming too deep during the parsing.

4.1.4 The evalGrow Function

The evalGrow function is shown in Fig. 12. The basic behavior of this function is the same as that of the eval function. It takes as an argument a set of nonterminal symbols, limits, and calls the applyRuleGrow function if a match is made with a nonterminal symbol at the parsing position where it is called.

```
1
   Ast evalGrow(ParsingExpression e, p, limits){
2
     if (e is the empty string \varepsilon)
3
        return MATCH
4
5
      if (e is a terminal str) {
6
        if (the input at POS starts with str) {
          POS = POS + (the length of str)
7
8
           return MATCH
9
        }else
10
           return MISMATCH
11
      }
12
13
      if (e is a negative lookahead !e_{in}) {
14
        if(evalGrow(e_{in}, p, limits) == MATCH){
          POS = p
15
           return MISMATCH
16
17
        lelse
18
           return MATCH
19
      }
20
21
      if (e is a nonterminal N)
22
        if(POS == p && N ∉ limits)
23
          return applyRuleGrow(N, POS, limits)
24
        else
25
           return applyRule(N, POS)
26
      7
27
28
      if (e is an ordered choice e_1/e_2) {
29
        if (evalGrow (e_1, p, limits) == MATCH)
30
          return MATCH
31
        else
32
           return evalGrow(e_2, p, limits)
      7
33
34
35
      if (e is a concatenation e_1e_2){
        if(evalGrow(e_1, p, limits) == MATCH){
36
37
           if(evalGrow(e_2, p, limits) == MISMATCH){
38
             POS = p
             return MISMATCH
39
40
           }else
41
             return MATCH
        }else
42
43
           return MISMATCH
44
      }
   }
45
```



4.2 Examples of Analysis Using the Proposed Method

We will reuse the grammar used in the example in Section 3.3 as an example. Let the input string be parsed as '12+34'. The syntax tree and MEMO table composed during the parsing are shown in **Figs. 13** and **14**. The value of grow in the MEMO table is represented as T for TRUE and F for FALSE.

Parsing begins with the nonterminal symbol Expr. Before starting the matching process of the expression <Expr>'+' <Num>/<Num>, which is the right-hand side of Expr, FAIL is recorded in the MEMO table. When processing the first alternative Expr '+' Num shown in Fig. 13 (a), because FAIL is recorded in the MEMO table, the fact that a left-recursive call occurred is detected and recorded in the MEMO table. Also, the result of (conceptually numbered) nonterminal Expr₀, MISMATCH, is stored in the MEMO table, and returned. Because the result makes parsing with the expression <Expr> '+' <Num> fail, parser tries the next alternative, Num. Figure 13(b) shows an attempt to match the input with the first alternative <Num><DIGIT> of the nonterminal symbol Num. The MEMO table contains FAIL for Num. The parser therefore records that a left-recursive call has occurred and the match fails. Because the first alternative failed, the parser tries to match the next alternative, DIGIT. Because the nonterminal DIGIT matches '1' in the input string, the result of









(Expr, 0)	=	MISMATCH, 0, T
(Num, 0)	=	Match, $2, T$
(DIGIT, 0)	=	Match, 1, F
(DIGIT, 1)	=	Match, 2, F

(d)

 1

 (Expr, 0) = MATCH, 2, T

 (Num, 0) = MATCH, 2, F

 (DIGIT, 0) = MATCH, 1, F

 (DIGIT, 1) = MATCH, 2, F

 (DIGIT, 2) = MISMATCH, 2, F

(e)

Fig. 13 Example parse using proposed method #1.

(Num, 3)

 Num_1 is determined. The state of the parser at this time is shown in Fig. 13 (c).

Since the result of Num₁ has now been determined and the value of (Num, 0).grow in the MEMO table is set to TRUE, the parser starts repeating on the head rule named by Num. Figure 13 (d) shows the state of the parser at the point of attempting to match the first alternative of the nonterminal Num, <Num><DIGIT>. Now Num₂ turns out to match '12', because the result of Num₁ is recorded as MATCH. The parser then attempts to match Num₃, and the first alternative <Num₂><DIGIT> does not match the first part of the input string, '12+', whereas the second alternative <DIGIT> matches '1', using the contents of the MEMO table at (DIGIT, 0). However, the value of POS is reduced compared to the result of Num₂, and thus the parser ends the iteration with the head rule Num, and leaves the result of matching Num₂ in the MEMO table instead of the result of Num₃, and sets (Num, 0).grow to FALSE.

Because it is determined that Num matches the '12' in the input string, the result of parsing $Expr_1$ is determined. Because (Expr, 0).grow in the MEMO table is TRUE, the parser starts a left recursion iteration with the head rule Expr. Figure 13 (e) shows the state of parsing at the time of the match with the first alternative of Expr, <Expr>'+'<Num>. Because Expr₁ matches '12' as does the next '+' also matches, the parser starts matching Num with the rest of the input string '34'. It records FAIL in the MEMO table and tries to match '34' with the first alternative of Num, <Num><DIGIT>. At this time, because FAIL is recorded in the MEMO table, the MEMO table is updated to indicate that a left-recursive call has occurred. The second alternative <DIGIT> of Num matches '3' in the input string and updates the MEMO table. The state at this time is shown in Fig. 14(f).

Fail, 3, F

Because the result of Num₁ is determined and (Num, 3).grow in the MEMO table is TRUE, the parsing of the left recursion with Expr as the head rule is suspended, and the iteration with the head rule Num is started. Figure 14 (g) shows the state of the match with the first alternative <Num><DIGIT> of Num₂. Since the MEMO table contains a record showing that Num₁ matched the input substring '3', Num₂ matches '34'. The parser stores this result in the



(Expr, 0)	=	Match, 2, T						
(Num, 0)	=	Match, 2, F						
(DIGIT, 0)	=	Match, 1, F						
(DIGIT, 1)	=	Match, 2, F						
(DIGIT, 2)	=	MISMATCH, 2, F						
(Num, 3)	=	Match, 4, T						
(DIGIT, 3)	=	Match, 4, F						
(f)								



(Expr, 0)	=	Match, 2, T
(Num, 0)	=	Match, 2, F
(DIGIT, 0)	=	Match, 1, F
(DIGIT, 1)	=	Match, 2, F
(DIGIT, 2)	=	MISMATCH, 2, F
(Num, 3)	=	Match, 5, T
(DIGIT, 3)	=	Match, 4, F
(DIGIT, 4)	=	Match, 5, F

(g)



(Expr, 0)	=	Match, 5 , F
(Num, 0)	=	Match, 2, F
(DIGIT, 0)	=	Match, 1, F
(DIGIT, 1)	=	Match, 2, F
(DIGIT, 2)	=	MISMATCH, 2, F
(Num, 3)	=	Match, 5, F
(DIGIT, 3)	=	Match, 4, F
(DIGIT, 4)	=	Match, 5, F
(DIGIT, 5)	=	MISMATCH, 5, F



MEMO table and then tries to match the rest of the input string with Num₃. Matching the first alternative <Num><DIGIT> will fail because there are no more characters left in the input string to read. The match with the next alternative <DIGIT> matches '3' based on the contents of the MEMO table; however, because the value of POS is less than the result of Num₂, the iteration with Num as the head rule is terminated, and the pending iteration of left recursion with Expr as the head rule is resumed.

The state of resumed parsing of the left recursion with Expr as the head rule is shown in Fig. 14 (h). Matching by the first

alternative <Expr>'+'<Num> will fail because Expr₂ will match the entire input string '12+34' and there will be no match for the next '+'. The next alternative <Num> matches '12' from the contents of the MEMO table. At this time, because POS is less than the value in the case of Expr₂, the parser ends the iteration with Expr as the head rule, leaving the parsing results of Expr₂ in the MEMO table instead of adopting the parsing results of Expr₃.

This causes the entire input string '12+34' to be parsed by the nonterminal Expr, and the parsing succeeds.

<primary></primary>	\leftarrow	<primarynonewarray></primarynonewarray>
<primarynonewarray></primarynonewarray>	\leftarrow	<classinstancecreationexpression></classinstancecreationexpression>
		/ <methodinvocation></methodinvocation>
		/ <fieldaccess></fieldaccess>
		/ <arrayaccess></arrayaccess>
		/'this'
<classinstancecreationexpression></classinstancecreationexpression>	\leftarrow	<pre>'new '<classorinterfacetype>'()'</classorinterfacetype></pre>
		/ <primary>'.new '<identifier>'()'</identifier></primary>
<methodinvocation></methodinvocation>	\leftarrow	<primary>'.'<identifier>'()'</identifier></primary>
		/ <methodname>'()'</methodname>
<fieldaccess></fieldaccess>	\leftarrow	<primary>'.'<identifier></identifier></primary>
		/'super.' <identifier></identifier>
<arrayaccess></arrayaccess>	\leftarrow	<primary>'['<expression>']'</expression></primary>
		/ <expressionname>'['<expression>']'</expression></expressionname>
<classorinterfacetype></classorinterfacetype>	\leftarrow	<classname>/<interfacetypename></interfacetypename></classname>
<classname></classname>	\leftarrow	'C'/'D'
<interfacetypename></interfacetypename>	\leftarrow	ʻl,/,l,
<identifier></identifier>	\leftarrow	'x'/'y'/ <classorinterfacetype></classorinterfacetype>
<methodname></methodname>	\leftarrow	`m'/`n'
<expressionname></expressionname>	\leftarrow	<identifier></identifier>
<expression></expression>	\leftarrow	ʻi'/ʻj'

Fig. 15 Java primary expression grammar.

5. Evaluation

In this section, we evaluate the proposed method by comparing the method with Warth et al.'s method [6] and Goto et al.'s method [3]. Specifically, we evaluate our method in the following aspects.

- Is it possible to analyze a grammar that can be analyzed using Warth et al.'s method?
- Is it possible to analyze a grammar that can be analyzed using Goto et al.'s method?
- Is it possible to analyze a grammar that has not been analyzed using Goto et al.'s method?
- How does the number of function calls differ with these methods?

5.1 Java Primary Expressions

Using the simplified Java primary expression grammar used in the evaluation of Warth et al. [6], we show that the proposed method supports grammar classes that can be handled by existing methods.

The grammar to be used is shown in **Fig. 15**. Note that the start symbol is the first rule of the grammar, **Primary**.

Using this grammar, we parse the following input.

- 'this'
- 'this.x'
- 'this.x.y'

Table 2Parsing result of Java primary expressions.

	Warth	Goto	Proposed
'this'	Матсн	MISMATCH *3	Матсн
'this.x'	Матсн	Матсн	Матсн
'this.x.y'	Матсн	Матсн	Матсн
<pre>'this.x.m()'</pre>	MISMATCH	MISMATCH	MISMATCH
'x[i][j].y'	Матсн	Матсн	Матсн

• 'this.x.m()'

The results are shown in Table 2.

Note that the result for 'this.x.m()' is MISMATCH for all methods, because the input string does not match the Java primary expression syntax. In addition, the result of the input 'this' in Goto et al.'s method is MISMATCH. This is because their method fails to parse some class of inputs that do not require repetition.

5.2 Multiple Left-recursive Calls Occurring at the Same Position

The following was presented by Goto et al. [3] as an example of a grammar that cannot be handled correctly using Warth et al's method because multiple left-recursive calls occur at the same position.

$$\langle S \rangle \leftarrow \langle A \rangle$$
 'b'/'b'
 $\langle A \rangle \leftarrow \langle A \rangle$ 'a'/ $\langle S \rangle$ 'a'

^{*3} Although Goto et al. [3] claimed to have successfully analyzed these input strings, we were unable to reproduce their results.

The result of the analysis using this grammar is shown in **Table 3**. When the parse terminates abnormally, it is represented as ERROR.

5.3 Left-recursive Calls that Occur at Multiple Positions

The following grammar causes left-recursive calls at multiple positions in addition to left-recursive calls at the same position.

$$\langle S \rangle \leftarrow \langle A \rangle$$
'-' $\langle A \rangle$
 $\langle A \rangle \leftarrow \langle B \rangle$ 'b'/'b'
 $\langle B \rangle \leftarrow \langle B \rangle$ 'a'/ $\langle A \rangle$ 'a

The results are shown in **Table 4**. In this section, we empirically showed that the proposed method can handle some grammar classes that were not handled correctly by the existing methods. In addition, based on the testing thus far, the proposed method can successfully handle all grammar classes that can be handled by previous methods.

5.4 Number of Function Calls

Consider the following grammar.

 $\langle S \rangle \leftarrow \langle A \rangle^k \langle L \rangle$ $\langle A \rangle \leftarrow \varepsilon$ $\langle L \rangle \leftarrow \langle L \rangle' 1'/\varepsilon$

where $\langle A \rangle^k$ denotes a sequence of k copies of a nonterminal A.

 Table 3
 Parse with a grammar that includes multiple occurences of left recursion at the same position.

	Warth	Goto	Proposed
'b'	Error	MISMATCH *3	Матсн
'bab'	Error	Матсн	Матсн
'baab'	Error	Матсн	Матсн
'baabab'	Error	Матсн	Матсн
'baabaab'	Error	Матсн	Матсн

 Table 4
 Parse with a grammar that includes multiple occurences of left recursion at multiple positions.

	Warth	Goto	Proposed
ʻb-b'	Error	MISMATCH	Матсн
ʻbab-b'	Error	Матсн	Матсн
ʻb-bab'	Error	Матсн	Матсн
'bab-bab'	Error	MISMATCH	Матсн
'babab-babab'	Error	MISMATCH	Матсн

The input string that can be parsed by this grammar is a string with an arbitrary number of 1s. The total number of calls to eval and evalGrow functions when k and n are increased during the parse using this grammar and input, respectively, is measured. Calls to eval and/or evalGrow from applyRule and glowLR functions are counted. Recursive calls from within eval and evalGrow themselves are not counted.

We measured the results when n was increased from zero to 100 by 10 for k = 1, 10, and 100, respectively. The results are shown in **Tables 5**, 6, and 7, and **Figs. 16**, 17, and 18.

The horizontal axis of the graph is the length of the input string and the vertical axis is the number of calls.

These results confirm that the proposed method is efficient and competitive with existing methods.

6. Conclusion

6.1 Summary

Because the basic packrat parser parse the input strings by recursively calling functions corresponding to the grammar rules, parsing using the parser with a left-recursive grammar does not terminate. Warth et al. [6] and Goto et al. [3] have proposed solutions to this problem. However, Warth et al.'s method [6] does not take into account the case in which multiple left-recursive calls occur at the same point of input, and thus the parsing terminates abnormally. Goto et al.'s method [3] fails when multiple



Fig. 16 Count of eval and evalGrow with different length of input (k = 1).

	0	10	20	30	40	50	60	70	80	90	100
Warth	5	14	24	34	44	54	64	74	84	94	104
Goto	6	33	63	93	123	153	183	213	243	273	303
Proposal	5	14	24	34	44	54	64	74	84	94	104

Table 6 Function call count with k = 10.

	0	10	20	30	40	50	60	70	80	90	100
Warth	14	23	33	43	53	63	73	83	93	103	113
Goto	24	132	252	372	492	612	732	852	972	1,092	1,212
Proposal	14	23	33	43	53	63	73	83	93	103	113

Table 7 Function call count with k = 100.

	0	10	20	30	40	50	60	70	80	90	100
Warth	104	113	123	133	143	153	163	173	183	193	203
Goto	204	1,122	2,142	3,162	4,182	5,202	6,222	7,242	8,262	9,282	10,302
Proposal	104	113	123	133	143	153	163	173	183	193	203



Fig. 17 Count of eval and evalGrow with different length of input (k = 10).



Fig. 18 Count of eval and evalGrow with different length of input (k = 100).

left-recursive calls occur at multiple points, although it can handle multiple left-recursive calls at the same position.

In this study, we proposed a method to parse the most recently detected left-recursion first and showed that the method extends the range of grammars. In the evaluation experiments, we confirmed that our method can handle all grammars that previous methods have been able to handle, and can handle some grammars that existing methods have failed to parse. Moreover, the measurement results of the number of calls to the eval function during an analysis indicate that the analysis time is likely to be shorter than that of Goto et al.'s method.

6.2 Future Tasks and Prospects

Although we have demonstrated that the proposed method can handle more general forms of left recursion, it is yet unknown whether this method can handle all forms of left-recursive PEGs. Our future aim will be to formalize the method and provide proofs of the method to determine the range of grammars that can be handled.

In Warth et al.'s method [6], Tratt [5] pointed out that the right recursion takes precedence when parsing with grammars that contain both a left and right recursion, as in the case of the following.

<Expr> \leftarrow <Expr>'+'<Expr>/<Num>.

We regard this as a problem related to the ambiguity of a PEG that has arisen since left recursion first became available for pars-

ing. The ability of a PEG to handle left recursion may lead to the loss of the PEG's determinism. With regard to this, we need to define a new semantics of PEGs that can handle left recursion while maintaining the determinism.

Medeiros et al. [4] proposed a parsing algorithm for PEGs that allows for left recursion. Their algorithm uses the memoization table only to maintain a growing parse tree in left recursion, which result in an exponential worst-case computation time regardless of whether a grammar is left-recursive. By contrast, our algorithm applies a memoized analysis for non-left-recusive rules as in a usual packrat parser. For left-recursive rules, we expect an almost-linear compexity to be exhibited, although this estimate needs to be proven.

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