

## 深い入れ子代数による非正規型関係のクラス分け

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入れ子関係（非正規型関係）を対象とした入れ子代数は、これまで何種類もが提案されているが、大きく浅い入れ子代数と深い入れ子代数に分類できる。前者が入れ子関係の最も外側のレベルのみを操作対象とするのに対し、後者は内部の入れ子構造を直接操作可能である。これまでに、浅い入れ子代数のNESTとFLAT演算子により定義された、いくつかの重要な入れ子関係のサブクラスが知られている。本稿では、深い入れ子代数のNEST/FLAT演算子の諸性質から、浅い入れ子代数の代わりに深い入れ子代数をその定義に用いても、各サブクラス自身は変化しないことを示す。

**Classification of Nested Relations under Deeply Nested Algebra**

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Nested relational algebras are classified into the shallowly nested algebra and the deeply nested algebra. The former restricts application of operations to the outermost level of nested relations, while the latter allows direct manipulation of internal table structures. Several interesting subclasses of nested relations were identified under the shallowly nested NEST and FLAT operations. In this paper, we study classification of nested relations under the deeply nested NEST/FLAT, and prove that each subclass defined under the deeply nested NEST/FLAT is equal to its counterpart defined under the shallowly nested NEST/FLAT.

## 1. Introduction<sup>†1</sup>

A considerable amount of research effort has been devoted to the study of nested relations since the late 1970's. The first study on the design of nested relations was done by Makinouchi in 1977 [17]. The authors proposed the *nested table data model (NTD)* as an underlying construct for office form handling in 1979 [10] and later in [11, 12, 13, 14]. In addition to extensions of the standard relational algebra operations, two algebraic operations, *NEST* and *FLAT*, were defined and their basic properties were studied in 1980 [11]. Jaeschke and Schek presented a similar study on relations which include set values in 1982 [8]. Fischer and Thomas formally defined a full set of operations for nested relational algebra in 1983 [5]. Later, various formulations of nested relational algebra have been proposed by several researchers [1, 2, 3, 4, 7, 9, 18, 19, 20]. Some of them restrict application of operations to the outermost level of nested relations [5, 7, 8, 19], while others allow direct application of operations to internal table structures [1, 3, 4, 9, 11, 14, 20]<sup>†2</sup>. In this paper, we generically refer to an algebra with the former property as a *shallowly nested algebra*, and one with the latter property as a *deeply nested algebra*.

The nested relational algebra by Fischer and Thomas [5] is a well known instance of the shallowly nested algebra. Most theoretical studies on nested relations have been based on the shallowly nested algebra because of its logical simplicity. However, under the shallowly nested algebra, sequences of *NEST* and *FLAT* (also referred to as *UNNEST*) are required to manipulate internal table structures. Moreover, because of irreversibility of *FLAT* [8, 11], technique such as "tagging" is sometimes mandatory to prevent information loss. Under the deeply nested algebra, manipulation of nested relations can be expressed more succinctly, since algebraic operations are directly applicable to internal table structures without sequences of *NEST* and *FLAT*. To name some examples, algebra of the nested table data model (NTD) [14, 15], Jaeschke's nonrecursive algebra [9], algebra of

Deshpande and Larson [4], and Colby's recursive algebra [3] are instances of the deeply nested algebra.

In this paper, we study subclasses of nested relations defined under the deeply nested *NEST* and *FLAT*, which can directly create and remove internal table structures, respectively. Van Gucht and Fischer identified a number of interesting subclasses of nested relations under the shallowly nested *NEST* and *FLAT*, which can only manipulate the outermost subtable structures [6, 21]. They include the "Normalization Lossless Structures," the "Nested Relations,"<sup>†3</sup> the "Permutable Nested Relations," and the "Hierarchical Structures." A nested relation  $T$  is a Normalization Lossless Structure, iff  $T = \omega^*(\mu^*(T))$  for some sequence  $\mu^*$  of flat operations such that  $\mu^*(T)$  is a flat relation and for some sequence  $\omega^*$  of nest and flat operations. Here, "flat" and "nest" stand for the shallowly nested *FLAT* and *NEST*, respectively. When we replace  $\omega^*$  with some sequence  $\nu^*$  of nest operations, we get the definition of Nested Relations. Furthermore,  $T$  is a Permutable Nested Relation, iff  $T = \nu^*(\mu^*(T))$  for some sequence  $\mu^*$  of flat operations and any sequence  $\nu^*$  of nest operations such that  $T$  and  $\nu^*(\mu^*(T))$  have an identical schema.

We introduce several subclasses of nested relations in analogy with the above subclasses but under the deeply nested *NEST/FLAT*. We then show that each of these subclasses is equal to its counterpart defined under the shallowly nested *NEST/FLAT*. The former definition based on the deeply nested *NEST/FLAT* is more intuitively understandable, while the latter that is based on the shallowly nested *NEST/FLAT* lends itself better to theoretical analysis. Our study is based on the deeply nested *NEST* and *FLAT*, provided by the nested table data model (NTD) [14, 15]. The study in this paper also clarifies some interesting properties of the deeply nested *NEST* and *FLAT* operations.

The remaining part of the paper is organized

<sup>†1</sup> This paper is a revised edition of [16].

<sup>†2</sup> In some nested relational algebras, internal tables can be manipulated in a very restricted way.

<sup>†3</sup> The term "nested relation" was used to refer to instances of a specific subclass of nested relations in the definition by Van Gucht and Fischer. To avoid the confusion, we use the capitalized initial letters.

as follows. Section 2 introduces the deeply nested NEST and FLAT, and clarifies their basic properties. Section 3 discusses sequences of NEST and FLAT. In Section 4, we define three subclasses of nested relations, in analogy with the Normalization Lossless Structures, the Nested Relations, and the Permutable Nested Relations, under the deeply nested NEST/FLAT. Then, we show that each subclass is equal to its counterpart originally defined under the shallowly nested NEST/FLAT. In Section 5, we introduce hierarchical nest operation, HNEST, to study another subclass: the Hierarchical Structures. Section 6 is the conclusion.

## 2. Deeply Nested NEST and FLAT

### 2.1. Basic Definitions

As we previously mentioned, we use the *nested table data model (NTD)* as a basis of our study. In NTD, nested relations are referred to as *nested tables (NTs)*. NTD provides *nested table operations (NT operations)* for algebraic manipulation of NTs. NT operations form a typical instance of the deeply nested algebra. Here, we give definitions of NTs and NEST and FLAT operations.

A *nested table (NT)*  $T$  is defined as the following triple:

$$T = (NN, NS, NO),$$

where  $NN$  is an *NT name*,  $NS$  is an *NT schema*, and  $NO$  is an *NT occurrence*. An NT schema  $NS$  is a set of *group schemas* which meet the *tree condition* given later. A group schema  $GS_i$  in  $NS$  is an expression of the following form:

$$G_i \langle C_1, \dots, C_{n_i} \rangle \quad (n_i \geq 1),$$

where  $G_i$  is a name designating the *group*, and  $C_j$  ( $1 \leq j \leq n_i$ ) is a name designating a *component* of  $G_i$ . Here, group names  $G_i$  are different from each other within an NT schema  $NS$ , and so are  $C_1, \dots, C_{n_i}$  within a group schema  $GS_i$ . If a group  $G_k$  appears as a component of  $G_i$ ,  $G_k$  is called a *child* of  $G_i$ , and  $G_i$  is called a *parent* of  $G_k$ . The sets of *descendants* and *ancestors* of  $G_i$  are also defined in an obvious way and denoted by  $dg(G_i)$  and  $ag(G_i)$ , respectively. The set of child groups of  $G_i$  is denoted

by  $cg(G_i)$ . The other components of  $G_i$  are called *fields* and denoted by  $cf(G_i)$ . The set of components of  $G_i$ , namely  $cf(G_i) \cup cg(G_i)$ , is denoted by  $cc(G_i)$ , and the sets  $dg(G_i) \cup \{G_i\}$  and  $ag(G_i) \cup \{G_i\}$  are denoted by  $dg+(G_i)$  and  $ag+(G_i)$ , respectively. Group schemas in  $NS$  must satisfy the following *tree condition*:

- (a) There exists one group called the *root*, which has no parent.
- (b) Every group other than the root has just one parent and is a descendant of the root.

Figure 1 shows a sample NT. The NT schema of this NT consists of the following group schemas:

$$G_1 \langle F_1, F_2, G_2 \rangle, \quad G_2 \langle F_3, F_4 \rangle.$$

The functions  $cg$ ,  $cf$ ,  $dg$ , and  $ag$  are defined as follows:

$$\begin{aligned} cg(G_1) &= \{G_2\}, & cg(G_2) &= \phi, \\ cf(G_1) &= \{F_1, F_2\}, & cf(G_2) &= \{F_3, F_4\}, \\ dg(G_1) &= \{G_2\}, & dg(G_2) &= \phi, \\ ag(G_1) &= \phi, & ag(G_2) &= \{G_1\}. \end{aligned}$$

$G_1$			
$F_1$	$F_2$	$G_2$	
		$F_3$	$F_4$
X	Y	X	X
		X	Y
		Y	Y
X	Y	Y	X
Z	Y	Y	X

Figure 1. Nested Table

Every field and group has a *domain* of data occurrences.

- (a) The domain of a field  $F$ , denoted by  $dom(F)$ , is defined as a set of atomic data items.
- (b) The domain of a group with group schema  $G_i \langle C_1, \dots, C_{n_i} \rangle$ , denoted by  $dom(G_i)$ , is defined as follows:

$$dom(G_i) = 2^{dom(C_1)} \times \dots \times dom(C_{n_i}).$$

Here, we denote with  $2^A$  the powerset of a set  $A$  and with  $A_1 \times \dots \times A_n$  the Cartesian product of

sets  $A_1, \dots, A_n$ . Elements in  $\text{dom}(C_1) \times \dots \times \text{dom}(C_{n_1})$  are called *clusters*. The clusters are called  $G_i$  clusters to explicitly specify that they can appear in occurrences of  $G_i$ .

An NT occurrence NO is an occurrence of the root group  $G_R$ . If an NT schema is composed of only one group schema, the NT is called a *flat NT*. Flat NTs are obviously equivalent to relations in the relational model. Given a group  $G_i < C_1, \dots, C_{n_1} >$  and a  $G_i$  cluster  $t$ , the data occurrence for component  $C_j$  in  $t$  is denote by  $t[C_j]$ . This notation is also used for a subset of components  $C \subseteq \{C_1, \dots, C_{n_1}\}$ .

Primitive NT operations consist of NEST, FLAT, PROJECTION, SELECTION, PRODUCT, UNION, and DIFFERENCE. Definitions of NEST and FLAT are given below. The others are natural extensions of primitive operations of the standard relational algebra. Their formal definitions are given in [14].

**Definition 1:** Given a group  $G_i$  and  $X \subseteq \text{cc}(G_i)$  ( $X \neq \phi$ ), the *NEST* operation  $N[G_i, G_j < X >]$  creates as a child of  $G_i$  a new group  $G_j$  consisting of components  $X$ . Here,  $G_j < X >$  is a new group schema. Every occurrence  $O$  of group  $G_i$  is replaced by the following  $O'$ :

$$O' = \{(t[\text{cc}(G_i) - X], \text{FN}(t)) \mid t \in O\},$$

where

$$\begin{aligned} \text{FN}(t) &= \{u[X] \mid u \in O \wedge u[\text{cc}(G_i) - X] \\ &= t[\text{cc}(G_i) - X]\}. \end{aligned}$$

In case  $G_i$  is the root, the NEST is referred to as an *outer NEST*.  $\square$

Note that  $G_i$  can be any group in the NT schema in the deeply nested NEST. An example of the NEST operation is given in Figure 2. The shallowly nested algebra only allows outer NEST operations.

**Definition 2:** Given a group  $G_i$  other than the root, the *FLAT* operation  $F[G_i]$  removes group  $G_i$ , and components  $\text{cc}(G_i)$  are converted into components of the parent of  $G_i$ . Let  $G_j$  be the parent of  $G_i$  with group schema  $G_j < X >$ . Then,

every occurrence  $O$  of group  $G_j$  is replaced by the following  $O'$ :

$$O' = \{(t[X - G_i], u) \mid t \in O \wedge u \in t[G_i]\}.$$

In case  $G_j$  is the root (in other words,  $G_i$  is a child of the root), the FLAT is referred to as an *outer FLAT*.  $\square$

Figure 2 includes an example of the FLAT operation. The shallowly nested algebra only allows outer FLAT operations.

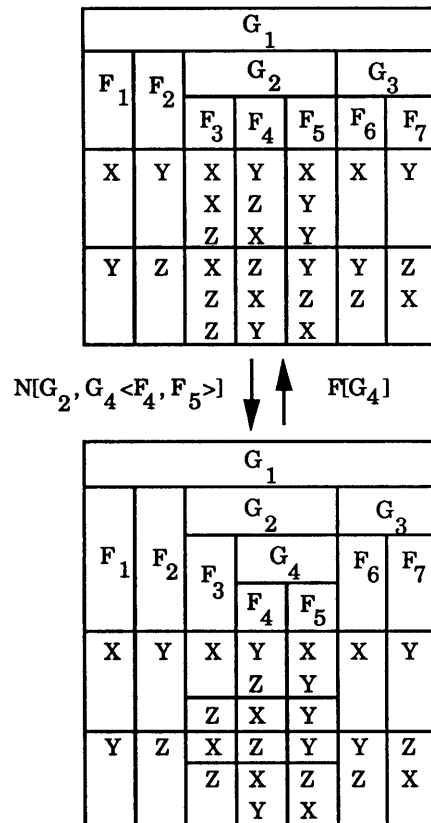


Figure 2. NEST and FLAT

## 2.2. Reversibility and Commutativity of NEST and FLAT

Reversibility and commutativity of NEST and FLAT are essential for the discussion in the remaining part of the paper. Reversibility and commutativity of outer NEST and outer FLAT was studied by some other researchers. Here, we consider properties of NEST and

FLAT in the deeply nested algebra. Some of the following propositions and their proofs are given in our previous work [14, 15].

**Proposition 1 (Reversibility of NEST)**: For NEST  $N[G_i, G_j < C_j >]$  applicable to an NT  $T$ , let  $T' = N[G_i, G_j < C_j >](T)$ . Then,  $T = F[G_j](T')$ .  $\square$

**Definition 3**: Let  $G$  be a group of an NT  $T$ ,  $X \subseteq cc(G)$ , and  $Y \subseteq cc(G)$ . Given an occurrence  $O$  of  $G$ ,  $X$  functionally determines  $Y$  in  $O$ , if  $t[X] = u[X]$  implies  $t[Y] = u[Y]$  for every pair of  $G$  clusters  $t \in O$  and  $u \in O$ . If  $X$  functionally determines  $Y$  in every occurrence of  $G$ , functional dependency  $X \rightarrow Y$  holds in  $T$ .  $\square$

**Proposition 2 (Reversibility of FLAT)**: For FLAT  $F[G_i]$  applicable to an NT  $T$ , let  $G_j$  be the parent of  $G_i$ ,  $C_i = cc(G_i)$ ,  $C_j = cc(G_j)$ , and  $T' = F[G_i](T)$ . If and only if functional dependency  $C_j - G_i \rightarrow G_i$  holds in  $T$ ,  $T = N[G_j, G_i < C_i >](T')$ .  $\square$

**Proposition 3 (Commutativity of FLATs)**: Let  $G_i$  and  $G_j$  be distinct groups other than the root in an NT  $T$ . Then,  $F[G_j]F[G_i](T) = F[G_i]F[G_j](T)^{\dagger 4}$ .  $\square$

Proposition 3 assures that two FLAT operations are always commutative, whatever hierarchical levels they are applied at. On the contrary, NEST does not have this property. To discuss commutativity of NEST operations, we introduce the concept of *weak multivalued dependency* originally identified by Jaeschke and Schek in [8]. Let  $G$  be a group, and  $O$  be an occurrence of  $G$ . The projection of  $O$  over  $X \subseteq cc(G)$ ,  $\{t[X] \mid t \in O\}$ , is denoted by  $O[X]$ . The projection of  $O$  over  $Y \subseteq cc(G)$  with an  $X$ -value  $x$ ,  $\{t[Y] \mid t \in O \wedge t[X] = x\}$ , is denoted by  $O_x[Y]$ . Similarly, the projection of  $O$  over  $Y$  with an  $X$ -value  $x$  and a  $Z$ -value  $z$  ( $Z \subseteq cc(G)$ ) is denoted by  $O_{xz}[Y]$ .

**Definition 4**: Let  $G$  be a group of an NT  $T$ ,  $X \subseteq cc(G)$ ,  $Y \subseteq cc(G)$ , and  $Z = cc(G) - X - Y$ . Given an occurrence  $O$  of  $G$ ,  $X$  weakly multideter-

$\dagger 4$   $F[G_i]F[G_j](T)$  means  $F[G_i](F[G_j](T))$ .

mines  $Y$  in  $O$ , if  $O_{xz}[Y] \cap O_{xz'}[Y] \neq \emptyset$  implies  $O_{xz}[Y] = O_{xz'}[Y]$  for every  $X$ -value  $x$  and  $Z$ -values  $z$  and  $z'$ . If  $X$  weakly multidetermines  $Y$  in every occurrence of  $G$ , weak multivalued dependency  $X \multimap Y$  holds in  $T$ .  $\square$

**Proposition 4 (Commutativity of NESTs)**: Let  $G_k$  and  $G_m$  be groups of an NT  $T$ ,  $X_i \subseteq cc(G_k)$ ,  $X_j \subseteq cc(G_m)$ ,  $X_i \neq \emptyset$ ,  $X_j \neq \emptyset$ , and

$$= \begin{cases} (G'_k, X'_i, G'_m, X'_j) \\ (G_k, (X_i - X_j) \cup (G_j), G_i, X_j) \\ \quad \text{(if } G_k = G_m \text{ and } X_j \subseteq X_i) \\ (G_j, X_i, G_k, (X_j - X_i) \cup (G_i)) \\ \quad \text{(if } G_k = G_m \text{ and } X_i \subseteq X_j) \\ (G_k, X_i, G_m, X_j) \\ \quad \text{(otherwise).} \end{cases}$$

Then,  $N[G'_m, G_j < X'_j >]N[G_k, G_i < X_i >](T) = N[G'_k, G_i < X'_i >]N[G_m, G_j < X_j >](T)$ , iff

- $G_k = G_m$ ,  $X_i \cap X_j = \emptyset$ , and weak multivalued dependency  $cc[G_k] - X_i - X_j \multimap X_i$  holds in  $T$ ,
- $G_k = G_m$  and  $X_i \subseteq X_j$ ,
- $G_k = G_m$  and  $X_j \subseteq X_i$ , or
- $G_k \neq G_m$ .  $\square$

Proposition 4 assures that two NEST operations are commutative, if the new groups do not share the parent. Otherwise, a certain weak multivalued dependency is required to hold.

NEST and FLAT do not generally commute. An example is shown in Figure 3. In this case,  $F[G_2]N[G_1, G_3 < F_3, F_4 >](T) \neq N[G_1, G_3 < F_3, F_4 >]F[G_2](T)$ . The following proposition gives a sufficient condition for commutativity of NEST and FLAT.

**Proposition 5 (Commutativity of NEST and FLAT)**: Let  $G_k$  and  $G_m$  be distinct groups of an NT  $T$ ,  $G_m$  be other than the root,  $X \subseteq cc(G_k)$ ,  $X \neq \emptyset$ ,  $G_m \notin \bigcup_{G \in \text{cg}(G_k) - X} dg^+(G)$ , and

$$X' = \begin{cases} (X - (G_m)) \cup cc(G_m) & \text{(if } G_m \in X) \\ X & \text{(otherwise).} \end{cases}$$

Then,  $F[G_m]N[G_k, G_i<X>](T) = N[G_k, G_i<X>]F[G_m](T)$ .  $\square$

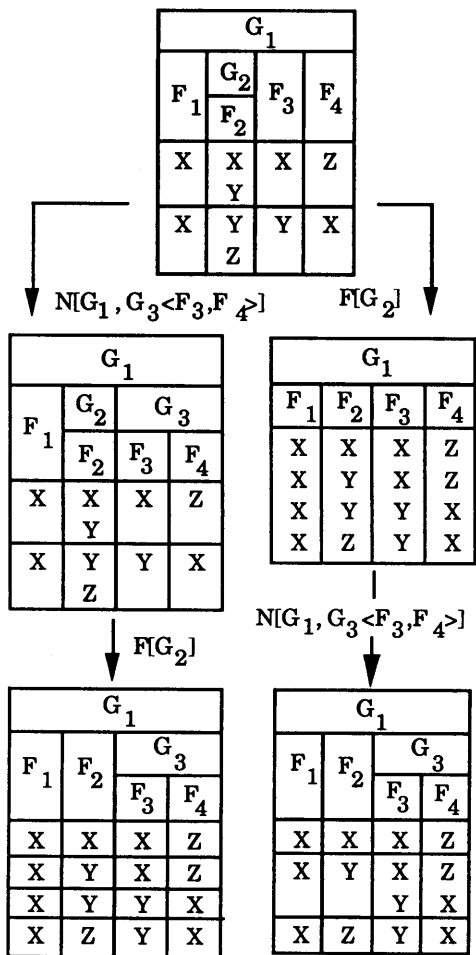


Figure 3. Incommutativity of NEST and FLAT

### 3. Nesting and Flattening

Sequences of two NEST and/or FLAT operations were discussed in Section 2. In this section, we consider more general sequences of NEST and/or FLAT to derive a complicated NT from a flat NT and vice versa.

**Definition 5 :** Given an NT  $T$  with  $n+1$  groups, we define, as a *flattening* for  $T$  (denoted by  $F^*$ ), a sequence of  $n$  FLATs which transforms  $T$  into a flat NT. A flattening consisting only of outer FLATs is called an

*outer flattening* and denoted by  $\mu^*$ .  $\square$

From Proposition 3, we obtain the following corollary.

**Corollary 1 :** Given an NT  $T$ ,  $FT = F^*(T)$  is same for any flattening  $F^*$  for  $T$ .  $\square$

Given two NT schemas  $NS_1$  and  $NS_2$ , if  $NS_1$  and  $NS_2$  are obtainable from each other with some sequences of NEST and/or FLAT,  $NS_1$  and  $NS_2$  are said to be *NF-translatable*.

**Definition 6 :** Given a flat NT  $FT$  and an NF-translatable NT schema  $NS$  with  $n+1$  groups, we define, as a *nesting* for  $FT$  (denoted by  $N^*$ ), a sequence of  $n$  NESTs which transforms  $FT$  into an NT with the NT schema  $NS$ . A nesting consisting only of outer NESTs is called an *outer nesting* and denoted by  $\nu^*$ .  $\square$

From Proposition 4, we obtain for following corollary.

**Corollary 2 :** Given a flat NT  $FT$  and an NF-translatable NT schema  $NS$ ,  $N_1^*(FT) = N_2^*(FT)$  does not always hold for different nestings  $N_1^*$  and  $N_2^*$  for  $FT$ .  $\square$

As stated in Corollary 2, we cannot arbitrarily change the order of NESTs in a nesting. However, any nesting has an equivalent outer nesting. We get the following proposition from Proposition 4.

**Proposition 6 :** Given a nesting  $N^*$  for a flat NT  $FT$ , there exists an outer nesting  $\nu^*$  such that  $N^*(FT) = \nu^*(FT)$ .  $\square$

We can use a mixed sequence of NEST and FLAT as well as a nesting to derive a complicated NT from a flat NT.

**Definition 7 :** Given a flat NT  $FT$  and an NF-translatable NT schema  $NS$ , we define, as a *general nesting* for  $FT$  (denoted by  $N^*$ ), a mixed sequence of NESTs and FLATs which transforms  $FT$  into NT with the NT schema  $NS$ . A general nesting consisting only of outer NESTs and outer FLATs is called an *outer general nesting* and denoted by  $\omega^*$ .  $\square$

A general nesting does not always have an equivalent nesting. For example, the NT shown in Figure 1 can be derived with the general nesting  $F[G]N[G_1, G_2 \langle F_3, F_4 \rangle]N[G_1, G \langle F_1, F_2 \rangle]$  from the flat NT shown in Figure 4. However, it cannot be obtained with the only applicable nesting  $N[G_1, G_2 \langle F_3, F_4 \rangle]$ . As in the case of nesting, any general nesting has an equivalent outer general nesting.

G <sub>1</sub>			
F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	F <sub>4</sub>
X	Y	X	X
X	Y	X	Y
X	Y	Y	Y
X	Y	Y	X
Z	Y	Y	X

Figure 4. Flat Nested Table

**Proposition 7:** Given a general nesting  $N^*$  for a flat NT  $FT$ , there exists an outer general nesting  $\omega^*$  such that  $N^*(FT) = \omega^*(FT)$ .  $\square$

This proposition can be derived from Propositions 1, 2, 3, 4, 5.

#### 4. Classification of Nested Tables

Van Gucht and Fischer identified a number of interesting subclasses of nested relations under the shallowly nested NEST and FLAT [21]. Here, we consider similar classification under the deeply nested NEST and FLAT. Definitions of some of the subclasses discussed in the remaining part of the paper were given in our previous work [14, 15]. In this section, we discuss the Normalization Lossless Structure, the Nested Relation, and the Permutable Nested Relation. In Section 5, we consider the Hierarchical Structure.

**Definition 8:** An NT  $T$  is a *Normalization Lossless Nested Table (NLNT)*, if  $T = N^*(F^*(T))$  for some flattening  $F^*$  and general nesting  $N^*$  [15].  $\square$

As we previously mentioned, the NT shown in Figure 1 is an example of an NLNT. If we restrict  $F^*$  and  $N^*$  to outer flattening  $\mu^*$  and outer general nesting  $\omega^*$  in Definition 8, we

get the definition of Normalization Lossless Structures given in [21]. Any Normalization Lossless Structure is an NLNT by the definition. By Corollary 1, any flattening has an equivalent outer flattening. By Proposition 7, any general nesting has an equivalent outer general nesting. Therefore, any NLNT is a Normalization Lossless Structure.

**Proposition 8:** The class of Normalization Lossless Nested Tables (NLNTs) is equal to that of Normalization Lossless Structures.  $\square$

**Definition 9:** An NT  $T$  is a *Canonical Nested Table (CNT)*, if  $T = N^*(F^*(T))$  for some flattening  $F^*$  and nesting  $N^*$  [14, 15].  $\square$

By the definition, a CNT is always an NLNT. However, the converse does not hold, as exemplified by the NT shown in Figure 1. If we restrict  $F^*$  and  $N^*$  to outer flattening  $\mu^*$  and outer nesting  $\nu^*$  in Definition 9, we get the definition of Nested Relations.

**Proposition 9:** The class of Canonical Nested Tables (CNTs) is equal to that of Nested Relations.  $\square$

Van Gucht and Fischer proposed an efficient algorithm to determine a given nested relation is a Nested Relation [21]. Proposition 9 assures that the same algorithm can be used to identify CNTs.

**Definition 10:** An NT  $T$  is a *Permutable Nested Table (PNT)*, if  $T = N^*(F^*(T))$  for some flattening  $F^*$  and any nesting  $N^*$  such that  $T$  and  $N^*(F^*(T))$  have an identical NT schema.  $\square$

By the definition, a PNT is always a CNT. However, the converse does not hold because NEST operations do not necessarily commute as discussed in Proposition 4. If we restrict  $F^*$  and  $N^*$  to outer flattening  $\mu^*$  and outer nesting  $\nu^*$  in Definition 10, we get the definition of Permutable Nested Relations. Any PNT is a Permutable Nested Relation by the definition and Corollary 1. The converse is proved from Corollary 1 and Proposition 7.

**Proposition 10:** The class of Permutable Nested Tables (PNTs) is equal to that of Permutable Nested Relations.  $\square$

Van Gucht and Fischer also indicated an algorithm to identify Permutable Nested Relations [21]. Proposition 10 assures its applicability to PNTs.

### 5. Hierarchical Structure

In addition to the subclasses of nested relations mentioned above, Hierarchical Structures were discussed based on the "hierarchical nest" operation in [21]. Some nested relational models consider only Hierarchical Structures as data structures [1, 19]. To discuss Hierarchical Structures under the deeply nested algebra, we have to extend the definition of the hierarchical nest operation in [21].

**Definition 11 :** Given a group  $G_i$ ,  $F \subseteq cf(G_i)$ , and  $X \subseteq cc(G_i)$  ( $X \neq \emptyset$ ,  $F \cap X = \emptyset$ ), the HNEST operation  $H[G_i \langle F \rangle, G_j \langle X \rangle]$  creates as a child of  $G_i$  a new group  $G_j$  consisting of components  $X$ . Here,  $G_j \langle X \rangle$  is a new group schema. By the HNEST operation, every occurrence  $O$  of  $G_i$  is replaced by the following  $O'$ :

$$O' = ((t[cc(G_i) - X], FH(t)) \mid t \in O),$$

where

$$FH(t) = \{u[X] \mid u \in O \wedge u[F] = t[F]\}.$$

In case  $G_i$  is the root, the HNEST is referred to as an *outer HNEST*.  $\square$

The outer HNEST is equivalent to the hierarchical nest operation introduced in [21]. Figure 5 shows an example of the HNEST operation. We consider sequences of HNESTs to derive a complicated NT from a flat NT.

**Definition 12 :** Given a flat NT  $FT$  and an NF-translatable NT schema  $NS$ , we define, as a *hierarchical nesting* for  $FT$  (denoted by  $H^*$ ), a sequence of HNESTs

- (1) which transforms  $FT$  into an NT  $T$  with the NT schema  $NS$ , and
- (2) each HNEST of which has the form  $H[G_i \langle K \rangle, G_j \langle X \rangle]$  such that

$$K = \{cf(G_k) \mid G_k \in (dg(G_i) \cup \{G_i\}) \wedge G_k \in ag(G_j)\},$$

where functions  $cf$ ,  $dg$ , and  $ag$  (defined in Section 2) are evaluated in the context of  $NS$ . A hierarchical nesting consisting only of outer HNESTs is called an *outer hierarchical nesting* and denoted by  $\lambda^*$ .  $\square$

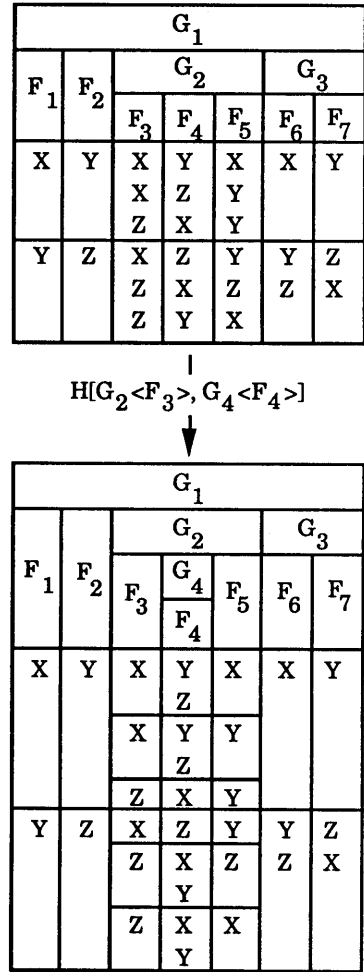


Figure 5. HNEST

**Definition 13 :** An NT  $T$  is a *Hierarchical Nested Table (HNT)*, if  $T = H^*(FT)$  for some hierarchical nesting  $H^*$  for a flat NT  $FT^{\dagger 5}$ .  $\square$

If we restrict  $H^*$  to outer hierarchical nesting

<sup>†5</sup> HNTs are referred to as Well-classified Nested Tables (WNTs) in [21].



$\lambda^*$  in Definition 13, we get the definition of Hierarchical Structures. Figure 6 shows an example of a hierarchical nesting and the obtained HNT. To decide whether the class of HNTs is also equal to that of Hierarchical Structures, we consider commutativity of HNESTs.

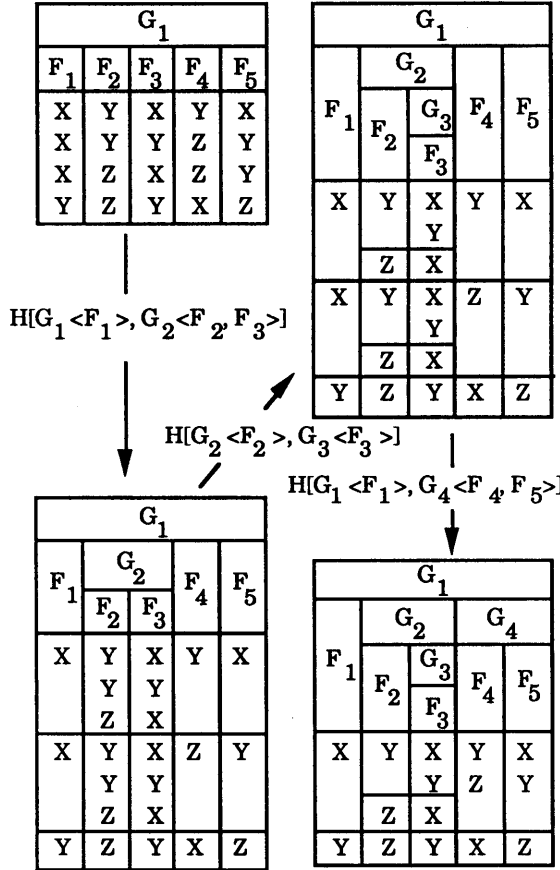


Figure 6. Hierarchical Nesting

**Proposition 11 :** Let  $G_k$  be a group of an NT  $T$ ,  $F \subseteq cf(G_k)$ ,  $X_i \subseteq cc(G_k)$ ,  $X_i \neq \phi$ ,  $F \cap X_i = \phi$ ,  $X_j \subseteq cc(G_k)$ ,  $X_j \neq \phi$ ,  $F \cap X_j = \phi$ , and  $X_j \cap X_i = \phi$ . Then,

$$\begin{aligned} & H[G_k \langle F \rangle, G_j \langle X_j \rangle] H[G_k \langle F \rangle, G_i \langle X_i \rangle](T) \\ &= H[G_k \langle F \rangle, G_i \langle X_i \rangle] H[G_k \langle F \rangle, G_j \langle X_j \rangle](T) \end{aligned}$$

□

**Proposition 12 :** Let  $G_k$  be a group of an NT  $T$ ,  $F_i \subseteq cf(G_k)$ ,  $X_i \subseteq cc(G_k)$ ,  $X_i \neq \phi$ ,  $F_i \cap X_i = \phi$ ,  $F_j$

$\subseteq cf(G_k)$ ,  $F_j \subseteq X_i$ ,  $X_j \subseteq X_i$ ,  $X_j \neq \phi$ , and  $F_j \cap X_j = \phi$ . Then,

$$\begin{aligned} & H[G_i \langle F_j \rangle, G_j \langle X_j \rangle] H[G_k \langle F_i \rangle, G_i \langle X_i \rangle](T) \\ &= H[G_k \langle F_i \rangle, G_i \langle X_i \rangle] H[G_k \langle F_i F_j \rangle, \\ & \quad G_j \langle X_j \rangle](T) \quad \square \end{aligned}$$

**Proposition 13 :** Let  $G_k$  and  $G_m$  be distinct groups of an NT  $T$ ,  $F_i \subseteq cf(G_k)$ ,  $X_i \subseteq cc(G_k)$ ,  $X_i \neq \phi$ ,  $F_i \cap X_i = \phi$ ,  $F_j \subseteq cf(G_m)$ ,  $X_j \subseteq cc(G_m)$ ,  $X_j \neq \phi$ , and  $F_j \cap X_j = \phi$ . Then,

$$\begin{aligned} & H[G_m \langle F_j \rangle, G_j \langle X_j \rangle] H[G_k \langle F_i \rangle, G_i \langle X_i \rangle](T) \\ &= H[G_k \langle F_i \rangle, G_i \langle X_i \rangle] H[G_m \langle F_j \rangle, \\ & \quad G_j \langle X_j \rangle](T) \quad \square \end{aligned}$$

**Proposition 14 :** Given a hierarchical nesting  $H^*$  for a flat NT  $FT$ , there exists an outer hierarchical nesting  $\lambda^*$  such that  $H^*(FT) = \lambda^*(FT)$ . □

This proposition is derived from Propositions 12 and 13, in a similar way to Proposition 6. Any Hierarchical Structure is an HNT by the definition. The converse is proved from Proposition 14.

**Proposition 15 :** The class of Hierarchical Nested Tables (HNTs) is equal to the class of Hierarchical Structures. □

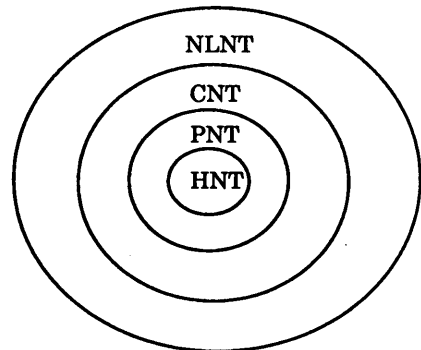


Figure 7. Subclasses of Nested Relations

It was proved in [21] that the class of Hierarchical Structures is properly contained in the class of Permutable Nested Relations. Therefore, from Propositions 10 and 15, we conclude that the class of HNTs is a proper

subset of the class of PNTs. The inclusion relationship among the classes of NLNTs, CNTs, PNTs, and HNTs is shown in Figure 7.

## 6. Conclusion

A variety of algebraic operations have been proposed for nested relations. The set of operations proposed in [6] is one of well known instances of the shallowly nested algebra, in which operations are applicable only at the outermost level in nested relations. Most theoretical studies on nested relations have been based on the shallowly nested algebra because of its logical simplicity. However, data manipulation can be expressed more succinctly when algebraic operations are directly applicable to internal table structures without nesting and flattening. We refer to an nested algebra with this property as a deeply nested algebra. In this paper, we have investigated classification of nested relations under the deeply nested algebra, in particular the deeply nested NEST/FLAT.

Van Gucht and Fischer identified interesting subclasses of nested relations under the shallowly nested NEST and FLAT. They were the Normalization Lossless Structures, the Nested Relations, the Permutable Nested Relations, and the Hierarchical Structures. We have defined corresponding subclasses of nested relations based on the deeply nested NEST and FLAT in the nested table data model and on the extended hierarchical nest operation HNEST. They are named Normalization Lossless Nested Tables (NLNTs), Canonical Nested Tables (CNTs), Permutable Nested Tables (PNTs), and Hierarchical Nested Tables (HNTs). Then, we have proved that each of these subclasses is equal to its counterpart defined under the shallowly nested NEST and FLAT. The interpretation of each subclass based on the deeply nested NEST/FLAT is more intuitively understandable, while that based on the shallowly nested NEST/FLAT lends itself better to theoretical analysis. The above conclusion has been drawn from the study of reversibility and commutativity of the deeply nested NEST, FLAT, and HNEST, and some of their interesting properties have also been clarified in the paper. The research results presented here do not only contribute to taxonomy of nested relations but also to the in-depth analysis of data manipulation by the deeply nested algebra.

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