# Membership Management of Group

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#### Abstract

This paper discusses a group communication protocol where membership is dynamically changed due to faulty processes. We discuss how to support causally ordered delay of messages on less reliable networks.

#### 1 Introduction

In a distributed application, a group of multiple processes are cooperating to achieve some objectives. Messages transmitted are causally / totally delivered to processes in the group [2]. A message  $m_1$  causally precedes another message  $m_2$  if a sending event of  $m_1$  happens before [1] a sending event of  $m_2$ . If  $m_1$  causally precedes  $m_2$ ,  $m_1$  is required to be delivered before  $m_2$  in every common destination of  $m_1$  and  $m_2$ .

Some process in a group may be faulty. Another process may newly join a group. Thus, a membership of group is variant, where processes are leaving and joining. In this paper, each communication channel between a pair of processes is assumed to be less-reliable. A process  $p_i$  perceives another process  $p_i$  to be faulty if  $p_i$  does not receive any message for some duration. When a process recovers from the fault, the process informs all the operational members of its recovery. Each process  $p_i$  has a view  $v_i$  showing what process is perceived to be operational in a group. The view  $v_i$  does not include a process  $p_j$  if  $p_i$  perceives  $p_j$  to be faulty. If  $p_i$  perceives some change of the membership, i.e. leaving and joining the group,  $v_i$  is changed. However, another process  $p_i$  might perceive  $p_j$  still operational. Here,  $v_i \neq v_j$ .

In most discussions on membership management [3], networks are assumed to be reliable. In addition, some mechanism for detecting faulty processes is assumed to be supported by the underlying subsystem. The underlying system is realized by taking usage of the Internet and mobile communication. In these systems, communication channels like connections supported by TCP are often disconnected while processes are still operational. Suppose there are three processes  $p_i$ ,  $p_j$ , and  $p_k$ . A channel between  $p_i$  and  $p_j$  is eventually disconnected due to timeout while a channel between  $p_j$  and  $p_k$  is connected. Here,  $p_i$  considers  $p_j$  to be faulty because  $p_i$  cannot receive any message from  $p_j$  but  $p_k$  considers  $p_i$  to be operational. We discuss a group communication of multiple

processes where processes are leaving and joining. In this paper, we discuss how to detect faulty processes.

In section 2, we discuss a system model. In section 3, we discuss how to detect faulty processes.

### 2 System Model

A group G is a collection of process  $p_1, ..., p_n$  (n > 1) which are interconnected in a network. The network is modeled to be a collection of channels. Each channel  $c_{ij}$  supports reliable, bidirectional communication between a pair of processes  $p_i$  and  $p_j$ . For example, a channel can be realized by a TCP connection. Messages sent by a process  $p_i$  are delivered to the other process  $p_j$  in a sending order without message loss as long as a channel  $c_{ij}$  exists. For example, if a connection is disconnected,  $p_i$  and  $p_j$  cannot be communicated. Even if  $p_i$  does not receive any message from  $p_j, p_i$  cannot perceive  $p_j$  to be faulty. Here,  $p_j$  is suspected by  $p_i$ .

A process is assumed to suffer from only crash fault. No Byzantine faulty occurs. In a network, each channel is assumed to be reliable, i.e. messages are delivered in a sending order without any message loss and duplication. However, a channel may be disconnected in the Internet and mobile networks. If a process is faulty, channels with the faulty process are automatically disconnected. In Figure 1, there are three processes  $p_1$ ,  $p_2$ , and  $p_3$ . If the process  $p_3$  is faulty, a pair of channels  $c_{13}$  and  $c_{23}$  are disconnected [Figure 1(2)]. On the other hand, a channel may be disconnected while processes interconnected with the channels are operational. For example, a channel  $c_{13}$ is disconnected while the processes  $p_1$  and  $p_3$  are operational [Figure 1(3)]. A process  $p_i$  cannot communicate with another process  $p_j$  if a channel between  $p_i$  and  $p_j$  is disconnected. Here,  $p_i$  perceives that  $p_i$  is suspected.  $p_i$ perceives  $p_i$  to be faulty if every operational process for  $p_i$  perceives  $p_i$  to be suspected or faulty.

Processes exchange messages in the network. Let  $s_i(m)$  and  $r_i(m)$  be sending and receipt events of a message m at a process  $p_i$ . The causally precedent relation is defined by the happens-before relation [1]. A message  $m_1$  is referred to as causally precede another message  $m_2$   $(m_1 \to m_2)$  iff  $s_i(m_1)$  happens before  $s_j(m_2)$ .  $m_1$  is causally concurrent with  $m_2$   $(m_1 \parallel m_2)$  iff neither  $m_1 \to m_2$  nor  $m_2 \to m_1$ . A message  $m_1$  totally precedes another message  $m_2$  iff  $m_1 \to m_2$  or  $m_1$  precedes  $m_2$  in

every pair of common destination process of  $m_1$  and  $m_2$  if  $m_1 \parallel m_2$ .

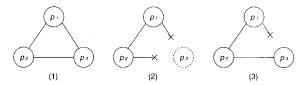


Figure 1: Disconnection of channel.

## 3 Detection of Faulty Process

As discussed in the preceding section, a process  $p_i$  cannot communicate with another process  $p_i$  if a channel  $c_{ij}$ between  $p_i$  and  $p_j$  is disconnected even if  $p_j$  is still operational. A process  $p_i$  considers another process  $p_i$  to be operational if  $p_i$  receives some message from  $p_j$ . A process  $p_i$  suspects  $p_i$  if  $p_i$  does not receive any message for a longer duration than some prefixed time units. An operational process  $p_i$  perceives another process  $p_i$  to be faulty if  $p_i$  is suspected by every process  $p_k$  which  $p_i$  is perceived to be operational. Let us consider processes in Figure 1(3). A pair of processes  $p_1$  and  $p_2$  cannot communicate with one another due to the disconnection of a channel  $c_{13}$ . However,  $p_1$  can still deliver messages to  $p_3$  via  $p_2$ . If  $p_i$  finds that  $p_i$  can deliver messages to a suspected process  $p_j$  via other processes,  $p_j$  is semi-operational. Figure 2 shows a state transaction showing how a process perceives another process.



Figure 2: State transaction.

Each message m has a sequence number m.sq. The sequence number sq is incremented by one each time a process  $p_i$  sends a message. Each process  $p_i$  sends a message m with a vector  $\langle sq_1, ..., sq_n \rangle$  to all the processes where each  $sq_j$  shows a sequence number of message which  $p_i$  expects to receive next from a process  $p_j$ . Suppose a process  $p_i$  receives a message m from another process  $p_j$ . Here,  $p_i$  finds that  $p_j$  has received every message  $m_i$  from  $p_i$  where  $m_i.sq \leq m.sq_i$ . In addition, the process  $p_i$  knows that  $p_j$  has received every message  $m_k$  from a process  $p_k$  when  $m_k.sq \leq m.sq_k$ . If  $sq_j + 1 = m.sq$ ,  $p_i$  accepts m from  $p_j$ . If  $sq_j > m.sq$ ,  $p_i$  rejects m. If  $sq_j + 1 < m.sq$ ,  $p_i$  finds there is a message gap from  $p_j$ . Suppose  $sq_k < m.sq_k$ ,  $p_i$  finds that  $p_i$  has not received message  $m_k$  from  $p_k$  where  $sq_k < m_k.sq_k \leq m.sq_k$ .

In Figure 3, a process  $p_1$  sends a message  $m_1$  with a vector  $\langle 2,1,3\rangle$  to a pair of processes  $p_2$  and  $p_3$ . However,  $p_3$  does not receive  $m_1$  due to the disconnection of the channel  $c_{13}$  while  $p_2$  receives  $m_1$ . Then, the process  $p_2$  sends a message  $m_2$  with  $\langle 2,2,3\rangle$  to  $p_1$  and  $p_3$ .  $p_3$  receives  $m_2$  and then sends a message  $m_3$  with  $\langle 2,2,4\rangle$  to  $p_1$  and  $p_2$ . The process  $p_2$  sends a message  $m_4$  with  $\langle 2,3,4\rangle$  to  $p_1$  and  $p_3$ . After sending  $m_1$  to  $p_3$ ,  $p_1$  does not receive any message from  $p_3$ .  $p_1$  suspects  $p_3$ . On receipt of  $m_4$ ,  $p_1$  finds that  $p_2$  receives some message from  $p_3$  but  $p_1$  does not receive. That is,  $p_1$  finds that  $p_2$  perceives  $p_3$  to be operational. Here,  $p_3$  is semi-operational in  $p_1$ .  $p_2$  forwards  $p_3$  a message which  $p_1$  sends.

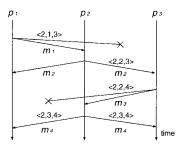


Figure 3: Suspected process.

# 4 Concluding Remarks

In this paper, we discussed how to detect faulty processes in a group in presence of disconnection of channel. We are now discussing how to make a consensus on a some view among all the operational processes.

#### References

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