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Assigning Digital Keys to Determine Relationships in a User Hierarchy Structure

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In this paper, a new hierarchy mechanism based on digital keys is proposed. The objective of the mechanism is that the relationships, such as Brother, Father-of, Son-of, and so on, between any two users can be revealed conveniently. This helps in determining whether a file owned by a user may be read, written, or executed by the other users. In our scheme, each user is given a 4-tuple of digital keys. Through careful design of keys, we can get economic keys for each user. Furthermore, the relationship between two users can be easily revealed by performing a simple algorithm. In addition, whenever a new user is appended into the user hierarchy system, the corresponding keys can be determined quickly without changing any existing keys.

1. Introduction

Recently, computer systems, the greatest invention in the 20th century, have come from research institutes into offices and homes. The popularity and advanced progress of computer networks have made multiuser sharing of expensive computer resources a reality. However, sharing may cause some undesired phenomena such as unauthorized accesses, inconsistent status of shared resources. Therefore, an important issue in a multiuser computing environment is the question of how to control access to computer resources and/or identify whether a user has enough privilege to alter the access right of another user. To date, many papers1)-9) have addressed this problem.

In a computer protection system, the user hierarchy mechanism is always used to represent the relationships among users. The information on these relationships can be used to handle the requests for changing access attributes. For example, changing the read, write or execution rights, deleting old users, and inserting new users are typical requests of changing privileges. **Figure 1** shows a user hierarchy structure. In that figure, each user is represented by its ID number. In addition, each branch connecting

Recently, researchers have devoted themselves to finding efficient schemes for implementing the user hierarchy structures. Many approaches have been proposed such as the ownership hierarchy of subjects by Graham et al.,4) the access hierarchy of users by Gudes,5) the access control hierarchy by Saltzer et al.,8) the key-key pair mechanism by Wu et al.,9) the user hierarchy mechanism based on Chinese remainder theorem by Chang.²⁾ The overall schemes mentioned above cannot solve the dynamic insertion/deletion problem. In this paper, we propose a dynamic user hierarchy mechanism which assigns each user an economic key pair, so that the relationship between any two users can be derived by performing a simple formula to their corresponding key pairs. The rest of this paper is organized as follows: In Section 2, we discuss the related research. Our efficient scheme for determining relationships in information systems is described in Section 3. The performance comparisons of previous works and ours are described in Section 4. Finally, concluding remarks are given in Section 5.

2. A Review of Past Research

Among the schemes^{2),4),5),8),9)} mentioned in the previous section, only the methods proposed by Ref. 2), 9) adopt the implicit methods to design. They assign each user a key/key pair and through a simple mathematical operation, the relationship between the associated users is

the adjacent users represents the father-son relation between these two users.

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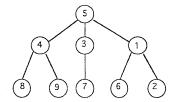


Fig. 1 The user hierarchy structure.

deduced. In the following, we illustrate these two methods through two simple examples, respectively.

Example 1

Consider a user hierarchy structure with 6 users as shown in Fig. 2(a). Figure 2(b) shows the matrix representation.

It can be seen that the matrix is in essence symmetric by close inspection of the matrix representation.

This interpretation is that the relation for user i to user j is the reverse of the relation for user j to user i. That is, if h_{ij} , the relationship of user i to user j, reveals "father of", then h_{ji} , the relationship of user j to user i, means "son of". In addition, each element in main diagonal, which represents one's relationship to himself, is useless. Therefore, the lower triangle can be used to represent the whole matrix. This lower triangle matrix is called the matrix H as shown in Fig. 3.

By applying the mechanism of Ref. 9, we then construct a key matrix in order to find all the users' keys. The matrix K is constructed as follows:

$$K = \begin{bmatrix} k_{11} & k_{12} & k_{13} & k_{14} & k_{15} & k_{16} \\ k_{21} & k_{22} & k_{23} & k_{24} & k_{25} & k_{26} \\ k_{31} & k_{32} & k_{33} & k_{34} & k_{35} & k_{36} \\ k_{41} & k_{42} & k_{43} & k_{44} & k_{45} & k_{46} \\ k_{51} & k_{52} & k_{53} & k_{54} & k_{55} & k_{56} \\ k_{61} & k_{62} & k_{63} & k_{64} & k_{65} & k_{66} \end{bmatrix}$$

such that $K_i * K_j = h_{ij}$, where $1 \le j < i \le 6$, K_i represents the *i*-th row vector of K and * is the inner product computation of GF(7), here 7 is

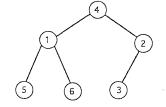


Fig. 2(a) The user hierarchy structure.

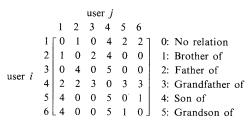


Fig. 2(b) The matrix representation.

Fig. 3 The matrix H.

the smallest prime number larger than all elements in the matrix H and chosen as the module number of the Galois field. Then we reserve fifteen lower triangle variables and randomly assign values to the other twenty-one elements in the matrix K. Thus, the matrix K becomes

$$K = \begin{bmatrix} 2 & 4 & 0 & 3 & 1 & 0 \\ k_{21} & 2 & 0 & 0 & 0 & 0 \\ k_{31} & k_{32} & 1 & 0 & 0 & 1 \\ k_{41} & k_{42} & k_{43} & 1 & 0 & 3 \\ k_{51} & k_{52} & k_{53} & k_{54} & 1 & 0 \\ k_{61} & k_{62} & k_{63} & k_{64} & k_{65} & 1 \end{bmatrix}$$

Furthermore, we obtain all keys by solving the fifteen equations:

After the fifteen equations are solved in the Galois field GF(7), we obtain

$$K = \begin{bmatrix} 2 & 4 & 0 & 3 & 1 & 0 \\ 0 & 2 & 0 & 0 & 0 & 0 \\ 3 & 2 & 1 & 0 & 0 & 1 \\ 1 & 1 & 2 & 1 & 0 & 3 \\ 10 & 0 & 5 & 6 & 1 & 0 \\ 4 & 0 & 1 & 3 & 1 & 1 \end{bmatrix}$$

That is, the key vectors of all users are $K_1 = (2, 4, 0, 3, 1, 0)$, $K_2 = (0, 2, 0, 0, 0, 0)$, $K_3 = (3, 2, 1, 0, 0, 1)$, $K_4 = (1, 1, 2, 1, 0, 3)$, $K_5 = (10, 0, 5, 6, 1, 0)$, and $K_6 = (4, 0, 1, 3, 1, 1)$, respectively.

Here, the determinant D of the matrix K is not zero. One thing should be noticed is if D=0, we must reassign the main diagonal and the upper triangle variables in the original matrix K again and renewedly find the remaining fifteen variables until the resulting matrix K is nonsingular.

Now, if we want to identify whether user 3 has the privilege to access the files constructed by user 2 or not, we can simply compute the expression $K_3 * K_2 = (3, 2, 1, 0, 0, 1) * (0, 2, 0, 0, 0, 0) = 4 = h_{32}$ and obtain that user 3 is a son of user 2. On the other hand, if we would like to find the relation for user 3 to user 4, we must evaluate the relation for user 4 to user 3 first, and then reverse the relation. That is, $K_4 * K_3 = (1, 1, 2, 1, 0, 3) * (3, 2, 1, 0, 0, 1) = 3 = h_{43}$ and reverse (3) = 5.

The most straightforward advantage of this mechanism is its simplicity. The relationship between two users can be derived by performing a single multiplication operation on two vectors. However, the time complexity for constructing the key vectors is $O(n^4)$ and the required space for recording the key vectors in fact exceeds that of the corresponding H-matrix. Besides, when a user is inserted into or deleted from the system,

all the key vectors must be reconstructed.

Later, Chang²⁾ proposed a user hierarchy mechanism based on Chinese remainder theorem. In a computer protection system of n users, user i possesses a key K_i and a coprime integer q_i . H_{n*n} is a predefined matrix H and h_{ij} is the (i, j)th element of the matrix H. Given a finite set $S = \{q_1, q_2, \dots, q_n\}$ of coprime integers for n users, where $q_j > \max\{h_{ij}\}_{1 < i, j < n}$, the relation for user i to user j is revealed by

$$h_{ij} = \begin{cases} K_i \mod q_j, & \text{if } i > j \\ \text{reverse}(K_j \mod q_i), & \text{otherwise.} \end{cases}$$

In Ref. 2) Chang proposed an algorithm to compute K_i 's which are smaller than $q_1 \cdot q_2 \cdot \cdots \cdot q_n$. The interested readers are referred to Ref. 2 for more details.

Example 2

Let us consider the matrix H in Example 1 again. Assume $q_1 = 7$, $q_2 = 8$, $q_3 = 9$, $q_4 = 11$, $q_5 = 13$, $q_6 = 17$. By using the Chinese remainder theorem, there exists $K_1 = 0$, $K_2 = 350064$, $K_3 = 612612$, $K_4 = 598026$, $K_5 = 4184856$ and $K_6 = 4750344$ satisfying $h_{ij} = K_i \mod q_j$, for $1 \le j < i \le 6$

Let us consider " h_{42} " for example. K_4 = 598026 and q_2 =8 are selected and then the following operation is performed:

$$h_{42} = K_4 \mod q_2 = 598026 \mod 8 = 2.$$

The main drawback of Chang's method is that keys grow very rapidly when the total number of users becomes large. In addition, this scheme still cannot solve the dynamic insertion/deletion problem.

3. Our Approach

In this section, a dynamic scheme is proposed that fulfills the requirement of user hierarchy system. In our scheme, without loss of generality, let the user hierarchy be represented by a directed acyclic graph. Besides, assume P_1 , P_2 ,

 \cdots , P_k are k distinct primes, where k is large enough to use in our assignment. In the following, an algorithm for assigning the key for each user is presented.

Algorithm ASSIGNMENT

Input: A user hierarchy \overline{U} .

Output: A set of t_i 's, U_i 's being assigned to the users of C, respectively.

Step 1: for root user C_1 do

Let
$$t_1 = P_1$$
 and $U_1 = 1$.

Step 2: for each non-root user C_i , which is the child of all directed ancestors C_{j1} , C_{j2} , ..., C_{jh} , do

if in-degree of C_i is 1 then $[t_i = t_{j1} \cdot$

if in-degree of C_i is 1 then $[t_i=t_{j1} \cdot P_b, U_i=1]$

else
$$[t_i=1 \text{ cm}$$

 $(t_{j1}, t_{j2}, \cdots, t_{jh}) \cdot P_b,$
 $U_i=P_{j1} \cdot P_{j2}$
 $\cdots \cdot P_{jh}],$

where P_b , $1 \le b \le k$, is the smallest prime which is larger than all primes used previously.

The following example illustrates how t_i 's and U_i 's are assigned by the algorithm proposed above.

Example 3

Consider a hierarchy structure having 6 nodes in it, as depicted in **Fig. 4**.

By the algorithm proposed above, we can derive the following equations:

$$t_1 = P_1, \ U_1 = 1$$

 $t_2 = t_1 \cdot P_2, \ U_2 = 1$
 $t_3 = t_1 \cdot P_3, \ U_3 = 1$
 $t_4 = t_2 \cdot P_4, \ U_4 = 1$
 $t_5 = 1 \operatorname{cm}(t_1, \ t_2, \ t_3) \cdot P_5, \ U_5 = P_1 \cdot P_2 \cdot P_3$
 $t_6 = t_3 \cdot P_6, \ U_6 = 1.$

In addition, let m be a large number and larger than the total number of users and possesses h decimal digits. We assign $S=10^h$ and each user a fraction B, 0 < B < 1, to represent one's brother/sister relationship with others in a user hierarchy. Here, the brother/sister nodes

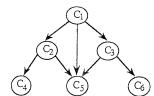


Fig. 4 The hierarchy structure.

have the same B except the "common" brother/ sister node. The "common" brother/sister node implies that it has more than one father/mother node and its associated B is different from the other brother/sister nodes, but the difference is less than 1/S. However, the difference of B for the no relation nodes of the same level must equal to or more than 1/S. That is, user i and user j are brothers/sisters if and only if they are on the same level and $|B_i - B_j| < 1/S$. Let $R_i =$ $d_1 + B_i$, where d_i denotes the maximum level number of user i from the top. Thus, user ipossesses a 4-tuple key (t_i, R_i, U_i, P_i) for i=1, $2, \dots, n$. Finally, we can evaluate the relationship between two users by performing the following algorithm.

Algorithm RELATIONSHIP

Input: The ID numbers i and j.

Output: The relationship between user i and user j.

Step 1: flag=0; relation=0

Step 2: if $|R_i - R_j| < 1/S$ then [relation=1; return]

if $t_i
mathbb{\dagger} t_j
mathbb{\dagger}$ then [interchange (t_i, t_j) ; interchange (U_i, U_j) interchange (P_i, P_j) ;

flag=1] if $P_i|U_j$ then relation=2 else [if $t_i|t_j$ then relation = $||R_j| - |R_i|| + 1$ else relation =0]

Step 3: if flag=1 then relation = reverse (relation)

In the following, we prove the correctness of the proposed method generally.

Theorem 3.1: Let C_i and C_j be two nodes, and (t_i, R_i, U_i, R_i) , (t_j, R_j, U_j, P_j) be their corresponding keys. The relationship between them can be derived by the algorithm RELATION-SHIP mentioned above.

Proof: We divide the proof into the following two cases.

Case 1: $C_i \ge C_j$

From the algorithm ASSIGNMENT, we know that each t is the product of 1cm of his directed ancestors' t values and one new prime number. Thus, if $C_i \ge C_j$, t_j does not contain the factor of t_i . That is, $t_i \nmid t_j$.

 $[\]stackrel{\text{th}}{=} t_i \mid t_j$ means t_i is not the factor of t_j .

 $t_i \mid t_j$ means t_i is the factor of t_j .

Case 2: $C_i \ge C_j$

With the same reason of Case 1, if $C_i \ge C_j$, t_j contains the factor of t_i and $t_i|t_j$. In the following, we divide the proof into three subcases. Case 2.1: The relationship of C_i and C_j is "brother of".

In our scheme, let m be a large number and larger than the total number of users and possesses h decimal digits. We assign $S=10^h$ and each user a fraction B, 0 < B < 1, to represent one's brother/sister relationship with others in a user hierarchy. Here, the brother/sister nodes have the same B except the "common" brother/ sister node. The "common" brother/sister node implies that it has more than one father/mother node and its associated B is different from the other brother/sister nodes, but the difference is less than 1/S. However, the difference of B for the no relation nodes of the same level must equal to or more than 1/S. Thus, user i and user j are brothers/sisters if and only if they are on the same level and $|B_i - B_j| < 1/S$.

Case 2.2: The relationship of C_i and C_j is "father of".

From the step 2 in algorithm RELATION-SHIP, we can easily see that if C_i is the father node of C_j , then $P_i|U_j$.

Case 2. 3: The relationship of C_i and C_j is the other.

In our scheme, $R_i = d_i + B_i$, where d_i denotes the maximum level number of user i from the top. Thus, if the relationship of C_i and C_j is neither "father of" nor "brother of", the relationship can be derived by the equation "relation = $\lfloor R_i \rfloor - \lfloor R_j \rfloor + 1$ ".

Q. E. D.

Now, let us again consider the user hierarchy structure in Example 3. Without loss of generality, we have $P_1 < P_2 < P_3 < P_4 < P_5 < P_6$. Thus, the "optimal" assignments of these primes are $P_1 = 2$, $P_2 = 3$, $P_3 = 5$, $P_4 = 7$, $P_5 = 11$ and $P_6 = 13$. That is, the t_i 's and U_i 's assigned to all users are $t_1 = 2$, $t_2 = 6$, $t_3 = 10$, $t_4 = 42$, $t_5 = 330$, $t_0 = 130$, and $U_1 = U_2 = U_3 = U_4 = U_6 = 1$, $U_5 = 30$.

Let m=50 which possesses 2 decimal digits. Thus, we can assign $S=10^2=100$. Furthermore, since user 1 is in level 1, we assign $d_1=1$. Similarly, since user 2 and user 3 are on level 2, we assign $d_2=d_3=2$. For the same reason, $d_4=d_6=3$. In addition, the maximum level number of user 5 from the top is 3, thus, $d_5=3$. In order

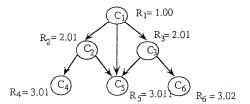


Fig. 5 The assignment of associated parameters.

to represent the brother relationship between user 2 and user 3, we randomly assign a "distinct" fraction which is different from other B_i 's on the same level, say 0.01, to B_2 and B_3 . Thus, $B_2=B_3=0.01$. However, user 5 is the "common" brother/sister with user 4 and user 6. So, we assign user 5 a different value, say $B_5=0.011$. In order to satisfy the condition $|B_i-B_j| \ge 1/S$ for any no relation nodes i and j, we assign $B_4=0.01$ and $B_6=0.02$. Consequently, user 1 has no brothers, we assign $B_1=0.00$. At last, by adding d_i to B_i , we have a relational parameter R_i which is associated to user i for $i=1, 2, \cdots, 6$. **Figure 5** shows all associate relational parameters R_i 's.

Thus, user i possesses an integer t_i and a relational parameter R_i , for $i=1, 2, \dots, 6$. That is, we have six 4-tuple keys

$$(t_1, R_1, U_1, P_1) = (2, 1.00, 1, 2),$$

 $(t_2, R_2, U_2, P_2) = (6, 2.01, 1, 3),$
 $(t_3, R_3, U_3, P_3) = (10, 2.01, 1, 5),$
 $(t_4, R_4, U_4, P_4) = (42, 3.01, 1, 7),$
 $(t_5, R_5, U_5, P_5) = (330, 3.011, 30, 11),$
 $(t_6, R_6, U_6, P_6) = (130, 3.02, 1, 13).$

Now, let us consider h_{53} . Since $|R_5 - R_3| > 0.01$, user 5 and user 3 are not brothers. By performing algorithm Relationship, where i=5 and j=3, the relationship of user 5 and user 3 is 4, that is, the relationship of user 5 and user 3 is "son of". Again, let us consider h_{45} . By examing $|R_4 - R_5| = 0.001 < 0.01$, we obtain the relationship of h_{45} is "brother of", which is correct.

Now, we append a new user, say C_7 , to the hierarchy structure of Example 3 as shown in **Fig. 6**.

In our scheme, whenever a new user is appended into the user hierarchy system, the corresponding keys will be determined immediately without changing any previously defined keys. That is, by employing the algorithm Assignment, $t_7 = \text{lcm}(t_2 \cdot t_3) \cdot P_7 = 510$, $R_7 = 3.012$, $U_7 = P_2 \cdot P_3 = 6$, and $P_7 = 17$ is derived. Further-

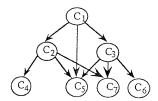


Fig. 6 The new user C_7 is appended into the system.

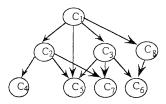


Fig. 7 The new user C_8 is inserted into the system.

more, a new user, say C_8 , is inserted into the hierarchy structure as shown in Fig. 7.

In our scheme, only some relevant keys came from his descendant users are needed to be modified. That is, the keys of C_8 is constructed and C_6 is modified as follows:

$$t_8 = t_1 \cdot P_8 = 38,$$

 $R_8 = 2.01, U_8 = 1,$
 $P_8 = 19$
 $t_6 = 1 \text{cm}(t_3, t_8) \cdot P_6 = 2470,$
 $R_6 = 3.02, U_6 = P_3 \cdot P_8 = 95,$
 $P_6 = 13.$

4. The Performance Comparisons of Wu et al.'s, Chang's and Ours

The method proposed by Wu et al.9) adopts the key-key pair mechanism to determine the relationships in a user hierarchy structure; however, there still exists some drawbacks by employing their mechanism. Their method needs to record a set of key vectors. Thus, when the number of users becomes larger and larger, the key vectors will also gradually be increased. In other words, their method needs spend $O(n^2)$ space to store all the key vectors, where n is the total number of users. Another, their mechanism must solve a large set of linear equations for obtaining the corresponding key vectors. If the determinant of the obtained matrix of the set of key vectors is zero, we must reassign the variables in the matrix until the resulted matrix is nonsingular. The overall time complexity for constructing the key vectors is $O(n^4)$ and the required space for recording the key vectors in fact exceeds that of the corresponding *H*-matrix.

Later, Chang²⁾ proposed another user hierarchy mechanism based on Chinese remainder theorem for n users, in which all keys K_i 's are upper bounded by $q_1 \cdot q_2 \cdot \dots \cdot q_n$, where q_i is a coprime integer associated to user i. Since each number can be factored into a sequence of prime numbers, we can immediately derive the equation

$$q_i = P_{i_1}^{e_{i_1}} \cdot P_{i_2}^{e_{i_2}} \cdot \cdots \cdot P_{i_r}^{e_{i_r}},$$

where P_{ij} is a prime number and e_{ij} is a natural number, for $1 \le i \le n$ and $1 \le j \le r$. Furthermore, q_1, q_2, \cdots and q_n are coprime integers, there exists n distinct prime numbers which are smaller than or equal to q_i 's, respectively. For convenience, let $P_i \le q_i$ for $i = 1, 2, \dots, n$. Thus, each K_i is smaller than $P_1 \cdot P_2 \cdot \cdots \cdot P_n$, where P_1, P_2, \cdots and P_n are n distinct prime numbers. It can be seen that the main disadvantage of Chang's method is that some keys will grow very rapidly when the total number of users becomes large.

As to our scheme, each $t_i = \text{lcm}(t_{j_1}, t_{j_2}, \dots, t_{j_h})$ $\cdot P_b$, where P_b , $1 \le b \le k$, is the smallest prime which is larger than all primes used previously. Thus, t_i is upper bounded by the multiplication of n prime numbers. Owing to the n-th prime number is as large as $n \log n$, thus requiring $\log (n \log n)$ bits of storage. The products of n primes requires therefore is less than or equal to $O(n \log (n \log n))$ bits.

Furthermore, our method uses some simple arithmetic and assignment operations to compute the key pairs for all users. But when deal with very large numbers, the critical division operation of our procedure cannot be operated on in constant time. Because each t_i may be as large as $O(n \log(n \log n))$ bits, the division may need $O(n^2 \log^2(n \log n))$ time in the worst case with long division method in our algorithm. For the same reason, Chang's method use many multiplication operations of large prime numbers. Thus, it faces the same trouble. Comparing to Wu et al.'s method, our approach avoids computing complicated equations and reduces the storage size from $O(n^2)$ to $O(n \log(n \log n))$ n)).

5. Concluding Remarks

A dynamic user hierarchy mechanism based on digital keys is proposed in this paper. We can easily reveal the relationship between two users by performing a simple algorithm. When the number of users becomes large, the implementation of our scheme is easier than those of Wu et al.'s and Chang's schemes.

In our scheme, no modification of the keys is needed when a new user is appended into the system, while almost all past user hierarchy schemes need. Besides, only minor modification is needed when a new user is inserted into the system. Hence, our scheme is suitable for practical implementation. Up-to-date, all proposed approaches could not directly handle other relationships, such as cousin, niece/nephew, uncle/aunt except use the "common" brother to access, but this is still very hard. Thus, how to modify our method to handle the above mentioned problem? This remains for inquiry.

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